

Cladophialophora bantiana as an Emerging Pathogen in Animals: Case Report of Equine Endometritis and Review of the Literature

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We present an unusual equine endometritis case associated with *Cladophialophora bantiana* in a 15-year-old mare. The mare displayed infertility and uterine fluid accumulation with numerous black, hairy granules. Microscopically, the fluid revealed numerous septate, dark fungal hyphae and conidia in chains. Culture yielded *C. bantiana* (CBS 138271); the species was confirmed by internal transcribed spacer (ITS) sequencing. Treatment was unsuccessful. *C. bantiana* causes cerebral phaeohyphomycosis in humans, while animal cases are rare. Animal cases are reviewed.

CASE REPORT

A 15-year-old Standardbred mare was referred to the Production Animal Veterinary Teaching Hospital of the University of Helsinki, Finland, at the end of April 2013 because of infertility problems. The mare was born in Kentucky, USA, but was sold to a stud farm in Canada. It foaled in Canada four times during 2003 to 2007. The mare was sold pregnant to Finland in 2009. The foaling was successful in 2010, but the following parturition in 2011 was difficult and fetotomy was required. During the procedure, the mare's cervix was lacerated. Since then, the mare had not conceived, despite repeated inseminations.

At admission, on 26 April 2013, the examination revealed mild cervical damage and a partly open cervix. The mare had been inseminated 5 days prior to admission. Upon ultrasonography of the uterus, a small amount of slightly echogenic fluid was detected. No polymorphonuclear leukocytes (PMNs) were observed in cytology. Bacterial culture yielded only a few colonies of contaminant growth. To support cervical closure, therapy with the synthetic progestin altrenogest was initiated.

On 8 May, ultrasound examination for 14-day pregnancy was negative. A small amount of slightly echogenic fluid was observed in the uterus. A uterine biopsy specimen and a swab for bacterial culture were taken. Uterine lavage was also performed. The lavage fluid was initially cloudy, but the last lavage fluid sample contained multiple variable-sized black, hairy-looking granules (Fig. 1), the largest of which was 0.5 cm in diameter. Bacterial culture was performed at the clinic's laboratory; this time, abundant beta-hemolytic *Streptococcus* sp. and a few *Escherichia coli* colonies, as well as unidentified fungal growth, were detected on blood agar. Cytological examination revealed numerous PMNs, erythrocytes, streptococcal chains, and fungal hyphae. In a biopsy specimen, acute endometritis, almost uniform glandular dilation, and some fibrosis were present.

Intrauterine treatment was started with a mucolytic agent, acetylcysteine, which was administered to the uterus and flushed out after 6 h. This was followed by daily flushings with isotonic saline and subsequent intrauterine administration of antifungal and antibacterial agents during the estrus cycle. During the first 2 days, 100 mg fluconazole and 5 million units of penicillin G were ad-

ministered to the uterus after a flushing. On the third day, 250 ml of 2% acetic acid was placed in the uterus and maintained for 5 min before being washed out and rinsed with saline. Then, 5 million units of penicillin and 2 g gentamicin (buffered in bicarbonate solution) were administered to the uterus and continued for the rest of the estrus period. At the same time, oral fluconazole treatment was initiated (loading dose of 14 mg/kg of body weight, after which 5 mg/kg once a day was used) for 3 weeks. Oxytocin was also given to facilitate uterine emptying. On 24 and 29 May, the mare was given prostaglandin intramuscularly to induce luteolysis and subsequent estrus. By that time, the uterus was empty. At the beginning of the subsequent estrus, uterine lavage was repeated. The mare was inseminated on 5 June, but at the time of the insemination, ultrasonography of the ovaries suggested that a pre-ovulatory follicle looked like a hemorrhagic anovulatory follicle.

The ultrasound examination for a pregnancy on 20 June was negative. Four days later, a small amount of intrauterine fluid was observed. Again, uterine lavage fluid contained numerous black hairy granules, and an unidentified fungal species was cultured at the clinic's laboratory. After this, the specimen was sent to the Mycology Unit of the Helsinki University Hospital Laboratory (HUSLAB). Lavages with saline and a mucolytic agent, as well as 2-day intrauterine administration of fluconazole together with penicillin G, were repeated. This was followed by oral fluconazole for 2 weeks. In the next estrus, only a small amount of fluid was

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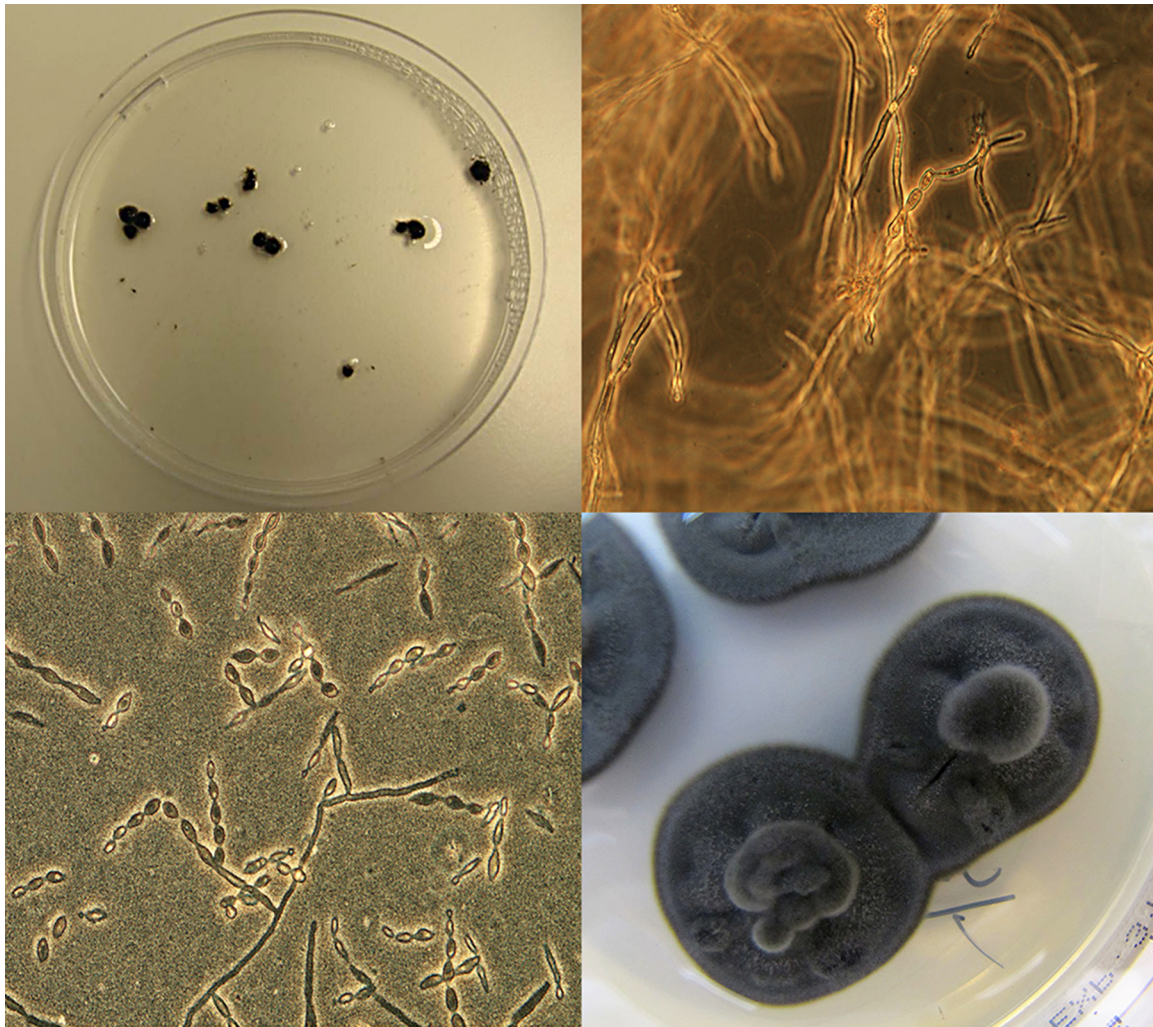


FIG 1 (Upper left) Black hairy granules in the uterine lavage fluid on a petri dish. (Upper right) Ten percent KOH mount of uterine lavage fluid showing dark brown, septate branching hyphae with single-celled oval conidia in chains (magnification, $\times 400$). (Lower left) Lactophenol cotton blue preparation of the colony showing single-celled oval conidia in chains (magnification, $\times 400$). (Lower right) Colonies of *C. bantiana* on Sabouraud dextrose agar (LabM Ltd., United Kingdom) after 2 weeks of incubation at 37°C.

present in the uterus. Daily saline flushing and 3 days of intrauterine treatment with fluconazole were repeated before insemination on 19 July. On 27 July, a substantial amount of uterine fluid with black hairy spheres was discovered. The uterus was edematous, and vulvar discharge was observed. An attempt to recover an embryo from the fluid was unsuccessful.

At the Mycology Unit of HUSLAB, microscopic examination of potassium hydroxide (KOH) preparations of uterine fluid revealed numerous septate and darkly pigmented fungal hyphae and conidia in chains (Fig. 1). The specimen was cultured on Sabouraud dextrose agar plates (LabM Ltd., United Kingdom) supplemented with penicillin (6 mg/liter) and dihydrostreptomycin (26 mg/liter). The plates were incubated at +37°C and +28°C. After 3 days, the plates showed tiny colonies with an olive-gray velvety appearance with a black reverse (Fig. 1). A lactophenol cotton blue preparation of the growth showed dark-walled, septate hyphae with conidia in long, poorly branched chains on undifferentiated hyphae. The conidia were one celled, pale brown, smooth walled, and ellipsoid (Fig. 1). Growth features and micro-

scopic morphology of the fungus raised a concern that the species was probably a *Cladophialophora* sp. and potentially *Cladophialophora bantiana*, a neurotropic fungus. The laboratory alerted clinicians about the finding because of the potential occupational health hazard. The fungal isolate was sent to the CBS Fungal Biodiversity Centre (Utrecht, The Netherlands) for species confirmation.

At CBS, genomic DNA was extracted from cultures grown on malt extract agar plates using a cetyltrimethylammonium bromide method, as described previously (1). Amplification and sequencing of the partial ribosomal operon were performed using primers ITS1 and ITS4 for the internal transcribed spacer (ITS) region (2). Consensus sequences were computed and edited with the SeqMan Lasergene package (DNASTar, WI, USA). The sequences were compared with deposits in GenBank and with an in-house research database on black fungi at CBS. The sequence was 100% identical to that of CBS 173.52, the type strain of *Cladophialophora bantiana*. The strain was deposited in the CBS reference collection with the accession number CBS 138271.

Due to the poor prognosis and zoonotic potential of the suspected pathogen, the horse was euthanized in mid-August and submitted to the Finnish Food Safety Authority Evira for a complete necropsy. Tissue samples for histological evaluation were taken from all major organs, including the reproductive tract. The samples were fixed in neutral-buffered 10% formalin, routinely processed, embedded in paraffin, sectioned at 4- μ m thickness, and stained with hematoxylin and eosin (HE). Samples of the uterus were also stained with Grocott's methamine silver stain for fungal hyphae. The horse was in good body condition, and pathological changes were limited to the reproductive organs. In the ovaries, variably sized follicles with one fresh ovulation site were observed. The uterus was dilated and contained about 1 liter of yellowish-gray, fairly watery fluid. The endometrium was moderately, unevenly thickened and edematous. The cervix and both oviducts were macroscopically normal. Histologically, chronic endometritis with occasional foci of acute purulent inflammation was discovered. In the endometrium, inflammatory cells consisted of lymphocytes and plasma cells with lesser numbers of neutrophils mainly associated with the purulent foci, but neither bacteria nor fungal hyphae were observed. There were no specific changes in the cervix, but mild vaginitis characterized by mucosal infiltrations of lymphocytes and plasma cells was present. To avoid a potential health hazard, no more cultures were performed at necropsy.

To our best knowledge, this is the first report of *Cladophialophora bantiana* associated with equine endometritis and generally the first case of this fungus in a horse. Equine endometritis is usually accompanied by bacteria, of which group C beta-hemolytic streptococci and *Escherichia coli* are the most common species (3). Microbial growth is considered to be secondary due to underlying factors impairing the local immunity. Few papers have been published on the role of fungi in equine endometritis, but fungal growth is considered to be present in 1 to 7% of cases (4–6). *Candida* spp. and *Aspergillus* spp. are among the most common fungal genera in equine fungal endometritis. Clinical signs range from subclinical infection to profuse vaginal discharge. Infertility is common, and the prognosis is guarded to poor (6).

C. bantiana is a member of the order *Chaetothyriales*, which are often referred to as “black yeast-like fungi” due to the ability of some representatives to produce budding cells as well as dark hyphae, depending on the life cycle and environmental conditions (7). From a clinical perspective, three groups can be distinguished within the order: (i) pathogens, which have the advantage of using vertebrate vectors and which need specialized methods to be isolated from the environment; (ii) agents of chromoblastomycosis, which have a distinct pathogenic phase in mammalian tissue; and (iii) saprobes, which cause infections only by coincidence (7). The melanized fungi are unique in being capable of causing a plethora of diseases in mammals, such as phaeohyphomycosis, eumycetoma, and chromoblastomycosis (8). Among others, melanin, chitin, thermotolerance, and the assimilation of aromatic hydrocarbons are considered to play a role in pathogenesis (9).

Mammals and birds are usually well protected from infections caused by black fungi due to their skin or feather coverage (7). Ten different *Cladophialophora* species are known to cause infections in humans. Of these, *C. bantiana* is a well-known causative agent

of cerebral phaeohyphomycosis, which is often a fatal condition (8, 10). Many such cases have been diagnosed in people without apparent immune deficiency (8), although there may be underlying genetic susceptibilities associated, for instance, with mutations in the *CARD9* gene with subsequent decreased cytokine production by innate immune cells (7). The prognosis of cerebral phaeohyphomycosis is poor, regardless of aggressive surgical and medical treatment (8). *C. bantiana* has also been involved in (sub)cutaneous infections (11–13) and in a joint infection (14) in humans. The exact route of infection in systemic and/or cerebral phaeohyphomycosis is not well understood, but proposed routes include inhalation of conidia and subsequent hematogenous spread of the organism or nasal invasion (9). Penetrating trauma is a logical route for infection in localized infections.

It is likely that *C. bantiana* has an environmental origin, but thus far, the fungus has only occasionally been isolated from environmental sources. It has been discovered from soil in Panama (15); from tree bark (16) and a brick wall of a warehouse (17) in Virginia, USA; and recently from the hot tub water of a retirement community in the United States (18). Human infections have been observed worldwide (8), but the distribution of cases indicates that *C. bantiana* has a preference for warmer climates with high average humidity (9). The same conclusion can be drawn from the geographical distribution of animal infections (Table 1). In this respect, it was surprising to find *C. bantiana* infection in an equine patient in Finland. According to HUSLAB, which also serves as a national reference laboratory for medical fungi in Finland, no *C. bantiana* cases in humans have been discovered. In Sweden, *C. bantiana* together with *Mycobacterium abscessus* was reported in soft tissue infections of two patients surviving the 2004 tsunami catastrophe, and these individuals were thus likely to have acquired their infections in Thailand (19). Five animal infections caused by *C. bantiana* have been reported from European countries: two from France (20, 21), and one each from Italy (22), the United Kingdom (23), and Switzerland (24). The horse described in our report was born in Kentucky, USA, where the climate probably favors the existence of *C. bantiana*. It might be possible that the horse was an asymptomatic carrier of *C. bantiana* until the conditions in the reproductive tract changed to support the multiplication of the fungus and subsequent development of local infection. Specimens from the vagina or clitoral fossa could have been useful to investigate whether the horse harbored the fungus in its reproductive tract, but unfortunately, they were not taken.

An increasing number of case reports suggests that *C. bantiana* may be an emerging animal pathogen capable of affecting several mammal species. Published animal cases are listed in Table 1. The table includes only infections in which the fungus has been confirmed to the species level. In addition to these cases, there are some reports of animal infections where species-level confirmation had not been performed but which were probably due to *C. bantiana* (25–28). In addition to the equine case of this report, animal species affected by this fungus include cats (20, 23, 29–33), dogs (21, 27, 34–36), a snow leopard (24), and an alpaca (37). The predilection of this fungus for the central nervous system (CNS) is also apparent in animals: 58% of previously reported cases had lesions in the brain, and the clinical signs in these were similar to those of humans. Dissemination of the disease in animals is common, since in 50% (12/24) of cases, lesions in various internal organs were observed with ($n = 5$) or without ($n = 7$) CNS in-

TABLE 1 Summary of published *Cladophialophora bantiana* infections in animals^a

Animal and disease	Age (yr)	Sex	Predisposing factor(s)	Treatment	Outcome	Location	Yr (reference)
Cat							
Pulmonary granuloma	1.2	M	Diabetes mellitus	Surgery, oral itraconazole followed by posaconazole	Survival of 13 mo, then euthanized due to hepatocellular carcinoma	Sydney, Australia	2011 (30)
Cerebral phaeohiphomycosis	6	M	None	No	Euthanasia	Georgia, USA	2010 (32)
Fungal pyelonephritis	11	M	Skin scratches	Oral itraconazole	Euthanasia after 7 mo	United Kingdom	2007 (23)
Systemic phaeohiphomycosis (abdomen and thorax)	9	M	None	Oral ketoconazole	Died after 1 wk	France	2003 (20)
Cerebral phaeohiphomycosis	0.5	M	None	No	Euthanasia	Minnesota, USA	2002 (29)
Cutaneous eumycetoma	5	M	None	Surgery, oral itraconazole followed by fluconazole	Cutaneous lesion relapsed, but clinically normal after 6 mo	Italy	2002 (22)
Cerebral phaeohiphomycosis	6	M	None	No	Died	Alabama, USA	1987 (44)
Cerebral phaeohiphomycosis	1.5	F	None	No	Died	Australia	1985 (31)
Cerebral phaeohiphomycosis	1	M	None	No	Euthanasia	California, USA	1977 (33)
Cerebral phaeohiphomycosis	3	M	None	No	Euthanasia	California, USA	1977 (33)
Kidney granuloma	7	F	Leukemia	No	Euthanasia due to underlying disease	California, USA	1974 (38)
Dog							
Eumycetoma (abdominal wall)	5	M	None	Cryotherapy, surgery, oral itraconazole	Asymptomatic at 1-yr checkup	Taipei, Taiwan	2013 (39)
Cerebral phaeohiphomycosis, pyelonephritis	0.3	M	Canine distemper	No	Died	Brazil	2013 (45)
Eumycetoma (thoracic wall)	3	M	Corticosteroid treatment, dermatitis after contact with processionary caterpillars	Surgery, oral ketoconazole; surgery repeated, then oral itraconazole and flucytosine	Asymptomatic after 6 weeks	France	2004 (21)
Cerebral and systemic phaeohiphomycosis (spinal cord, spleen, lymph nodes, lungs)	2	F	None	Oral itraconazole, intravenous amphotericin B	Euthanasia after 4 mo	South Africa	2002 (46)
Cerebral and systemic phaeohiphomycosis (liver, kidney)	8	M	None	Oral fluconazole	Euthanasia	California, USA	2001 (34)
Systemic phaeohiphomycosis	NA	NA	Lymphopenia	NA	Fatal	South Africa	1996 (35)
Systemic phaeohiphomycosis	NA	NA	Lymphopenia	NA	Fatal	South Africa	1996 (35)
Cerebral and systemic phaeohiphomycosis (liver, spleen, kidney, adrenal glands)	8	F	Corticosteroid treatment, ehrlichiosis	Ketoconazole	Euthanasia	South Africa	1994 (36)
Cerebral phaeohiphomycosis	0.3	F	Distemper	No	Euthanasia	Alabama, USA	1987 (44)
Cerebral phaeohiphomycosis	0.5	M	None	No	Euthanasia	Alabama, USA	1987 (44)
Cerebral and systemic phaeohiphomycosis (liver, kidney, spleen)	9	F	None	No	Euthanasia	South Africa	1980 (47)
Huacaya alpaca							
Cerebral phaeohiphomycosis	8	M	None	No	Died	Indiana, USA	2011 (37)
Snow leopard							
Systemic phaeohiphomycosis (spinal cord)	0.4	F	None	No	Euthanasia	Switzerland	2006 (24)

^a Abbreviations: NA, data not available; M, male; F, female.

volvement. Dark or black lesions in internal organs and the CNS are a characteristic feature of systemic *C. bantiana* infections (8, 20, 24, 36, 38). As in humans, the outcome of cerebral or systemic phaeohyphomycosis is often fatal, but local infections may have a better prognosis, provided that surgery and long-term medical treatment are combined. Three case reports have been published on the presence of local eumycetomas in animals: two in dogs (21, 39) and one in a cat (22). In dogs, typical chronic lesions with tumefaction, fistula, and draining discharge with black grains or granules were described. The granules are bundles of hyphae embedded in a cement-like substance and are considered highly indicative for an etiological agent belonging to black molds (8). These types of granules were also characteristic in our case.

Our patient was a classic example of equine fungal endometritis, although the fungus associated with the infection was exceptional. The mare did not show other clinical signs except infertility and the accumulation of uterine fluid. Cytology suggested that beta-hemolytic *Streptococcus* together with *C. bantiana* was associated with the infection at first, but it later became evident that *C. bantiana* was the pathogen persisting in the uterus. This was manifested by the repeated appearance of black granules in the uterine lavage fluids. The infection was limited to the uterine lumen, as fungal hyphae were not detected in any of the biopsy specimens taken during the treatment or at necropsy. It is a typical feature of equine fungal endometritis that the infection is superficial and that fungal elements are usually free in the uterine lumen or adjacent to the luminal surface (6). As a result, fungal structures may be rinsed off from a slide during the processing of uterine biopsy specimens, which may delay the diagnosis (6). Therefore, direct microscopic examination of uterine fluid or uterine swab cytology is an important diagnostic tool for fungal endometritis. This was also observed in our case, where cytology indicated the presence of fungal hyphae together with inflammatory cells suggesting an infection, although *C. bantiana* was not at this stage suspected.

Our patient had several factors that may have predisposed the horse to a fungal infection: uterine fluid accumulation and the persistence of infection suggested impaired uterine emptying and/or local defense mechanisms. A cervical trauma due to fetotomy probably caused inadequate closure of the cervix. This, together with frequent manipulations (due to artificial inseminations and treatments) and repeated antimicrobial administration, may have caused disturbances of the normal vaginal microbiota. All these are considered factors associated with fungal endometritis in horses, although the mechanisms of pathogenesis of the condition are not well known (6). In addition to uterine lavages and local antimicrobials, the treatment protocol of our patient included local and systemic administration of fluconazole, a triazole. The drug has not been registered for use in horses in the European Union (EU) but can be used if the horse is removed from the food chain. The treatment response in our case was poor, but this may have been the case regardless of the fungal species, because the prognosis of fungal endometritis is guarded (6). The drug therapy was also ineffective, because fluconazole has poor or no activity against filamentous fungi, including *C. bantiana* (40). Nevertheless, the drug probably suppressed the growth of the fungus to some extent, as periods of clinical improvement were observed between the estrus cycles. Regrowth of the fungus in the uterus may have been due to the poor effect of fluconazole or reintroduction of the same organism from the caudal part of the reproductive tract along with inseminations and/or local treat-

ments. The susceptibility of our strain was not tested, but reported fluconazole MICs for this species range from 16 to 64 $\mu\text{g/ml}$ (40). In horses, after repeated oral administration, fluconazole concentrations in tissues vary between 11 and 57 $\mu\text{g/ml}$, depending on the type of tissue (41). Local administration of the drug is expected to raise the concentration far beyond the reported MICs, although the concentration is merely high enough to eradicate the organism. Concerning yeast infections, the primary targets for fluconazole therapy, the EUCAST susceptibility breakpoint indicating a good clinical response in humans is $\leq 2 \mu\text{g/ml}$. Moreover, due to the fungistatic nature of the drug, an area under the concentration-time curve (AUC)/MIC ratio of ≥ 100 should be achieved *in vivo* to confirm a clinical cure. It is highly unlikely that this target would have been achieved for long enough, even with the local administration of fluconazole. Possible drugs that could have been used for treating infections caused by *C. bantiana* include itraconazole, voriconazole, posaconazole, and amphotericin B (40), but the costs often limit their use in horses. Toxicity may also limit the systemic use of the drugs, especially for amphotericin B. The treatment of our patient was stopped when the suspicion of *C. bantiana* species was aroused. The decision was justified by several unsuccessful inseminations, high treatment costs, the poor prognosis, and the potential health hazard to our staff.

Timely recognition of a causative organism is important in setting the prognosis and making treatment decisions. The differentiation between yeasts and filamentous fungi is important because it affects the empirical selection of an antifungal agent. Moreover, endometritis caused by filamentous fungi has a poorer prognosis than do infections caused by yeasts (6). In some circumstances, it may also be equally important to forgo treatment in animals. For example, neurotropic properties and the lack of efficient treatment regimens make *C. bantiana* a potential health hazard for veterinarians handling the animals affected by this fungus. In the majority of the reports concerning *C. bantiana* in animals, health hazard aspects have not been discussed. Coldrick et al. (23) advised that handling an affected animal or specimens containing hyphal fragments posed no health risk to humans. A similar consideration was made in our case. To our best knowledge, the transmission of *C. bantiana* between animals and humans has not been described. The handling of cultures is potentially more hazardous, because the fungus can produce infectious conidia *in vitro*. Dimorphic fungi have been reported to cause laboratory-acquired infections, but there are no such reports regarding *C. bantiana* (42). Nevertheless, *C. bantiana* is classified in the EU as a hazard category 3 agent requiring biosafety level (BSL) 3 containment facilities for manipulating cultures of this species (43). In the United States, BSL 2 containment is required (8). According to the directive 2000/54/EC, employers are obliged to keep a record of persons exposed to hazard group 3 and 4 organisms, and the records are stored for 10 years (43). In our case, the persons handling the fungal cultures at the clinic's laboratory were considered exposed to *C. bantiana*, because cultures were not handled in a biosafety cabinet. The risk of infection, however, was considered by an infectious disease specialist to be low. Specimens with suspected fungal growth are now required to be sent to an experienced mycological laboratory. Clinicians were advised to consider *C. bantiana* as one possibility among differential diagnoses if discharge with black granules is observed. It was further advised that proper precautions, such as the use of gloves and protective clothing (i.e., masks in procedures creating aerosols), as well as the

cautious use of sharp objects, are necessary when suspect animals are handled, although this should be routine in any case.

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