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### **Medullary circuits for nociceptive modulation**

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### **Abstract**

Neurons in the medullary raphe are critical to opioid analgesia through descending projections to the dorsal horn. Work in anesthetized rats led to the postulate that nociceptive suppression results from tonic activation of nociceptive-inhibiting neurons and tonic inhibition of nociceptivefacilitating neurons. However, morphine does not cause tonic changes in raphe neuronal firing in unanesthetized rodents. Recent work suggests that a drop in activity of nociceptive-inhibiting neurons synchronizes nociceptive circuits and a burst of activity in nociceptive-facilitating neurons facilitates withdrawal magnitude. After morphine, the phasic responses of raphe cells are suppressed along with nociceptive withdrawals. The results suggest a new model of brainstem modulation of nociception in which the medullary raphe facilitates nociceptive reactions when noxious input occurs and may modulate other functions between injurious events.

> Endogenous pain modulation operates through descending pathways from the brainstem that modulate nociceptive transmission within the spinal cord (reviewed in 1-3). Neurons within the raphe magnus and adjacent ventromedial reticular formation (collectively termed the rostral ventromedial medulla or RVM here) provide a major final common pathway for modulatory influences on the spinal cord arising from brainstem and forebrain areas. RVM neurons project to the superficial dorsal horn and intermediate gray and their activation modulates the activity and responses of nociceptive dorsal horn neurons. The pathway through RVM contributes significantly to systemic opioid analgesia and is necessary for supraspinal opioid analgesia [4]. This review focuses on an emerging reformulation of how RVM neurons participate in the modulation of nociceptive responsiveness.

> In the early 1980s, Howard Fields and co-workers recorded the activity of RVM neurons in anesthetized rats during the heat-evoked tail flick [5]. The tail flick is a ballistic movement that removes the tail, after a few seconds, from a noxious stimulus and is thus analogous to the nociceptive flexor withdrawal reflex of the limbs. Fields and co-workers physiologically defined two classes of medullary neurons by their discharge during the heat-evoked tail flick. They hypothesized that these two neuronal classes were critical to both nociception and antinociception, the latter elicited either by opioid administration or midbrain stimulation (reviewed in 1). A large body of work from Fields's and others' laboratories led

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to the elaboration of a cellular model for RVM-mediated analgesia and eventually to a proposed mechanism for producing hyperalgesia as well [3, 6-7]. The circuit model proposed by Fields has been extraordinarily heuristic particularly in spurring advances in our understanding of the behavioral and pharmacological mechanisms involved in descending nociceptive modulation. Progress on understanding how physiological discharge patterns support RVM-mediated nociceptive modulation has been more measured and until recently, has been made primarily within the context of the anesthetized rodent. As highlighted in this review, physiological recordings from single units in RVM, particularly in unanesthetized rodents, challenge several specific components of the existing model and constrain future hypotheses.

### **The current model postulates that the tonic discharge of RVM cells modulates nociceptive state**

The two RVM cell classes with putative nociceptive modulatory effects are termed  $_{\text{ON}}$  and  $_{\text{OFF}}$ cells. The definition and name of on cells derive from this cell class's consistent excitatory response to superficial noxious stimulation  $[5]$ . Similarly,  $_{\text{OF}}$  cells are defined by the pause in their discharge in response to superficial noxious stimulation. In the initial description, an analogy was made between  $_{\text{OF}}$  cells and the omnipause neurons of the paramedian pontine reticular formation, presciently highlighting the putative importance of the  $_{\text{OFF}}$  cell pause in phasically gating movement through disinhibition [5]. Yet, as described below, it was the *tonic* responses of  $_{\text{ON}}$  and  $_{\text{OFF}}$  cells to an analgesic dose of morphine in anesthetized rats that ultimately lent salience to the classification scheme and received the most attention [8-9].

Without question, the tonic effects of opioids on  $\alpha_N$  and  $\alpha_F$  cell discharge are dramatic in the anesthetized rat. On cells stop firing and  $_{\text{OFF}}$  cells fire continuously after administration of an opioid at any dose and by any route that suppresses nociceptive withdrawals [see for example 10-13]. The robust and consistent finding that opioids change the tonic firing of  $\alpha$ and  $_{\text{OFF}}$  cells led to the suggestion that the tonic discharge of  $_{\text{ON}}$  and  $_{\text{OFF}}$  cells mediates RVM modulation of nociception. In this formulation, continuous  $\alpha_N$  cell firing produces a state of heightened sensitivity to noxious stimulation, termed hyperalgesia, and continuous <sub>OFF</sub> cell firing depresses the sensitivity to noxious stimulation, termed antinociception (Fig. 1A). Beyond the tonic responses of RVM cells to opioid administration, additional findings support the interpretation that tonic RVM discharge produce states of nociceptive responsiveness. First, withdrawal latency appears to be a function of the tonic discharge pattern at the time of stimulus application [14]. Withdrawals occur at a shorter latency, reflective of greater nociceptive sensitivity, when  $\alpha_N$  cells are at peak firing rates and  $\alpha_F$  cells relatively inactive at the time of noxious stimulation (Fig. 1B). Conversely, when noxious stimulation is applied while  $_{\text{OFF}}$  cells are at peak firing rates and  $_{\text{ON}}$  cells inactive, withdrawals occur at a long latency or not at all. Second, the tonic discharge rate of  $_{\text{ON}}$  cells is elevated during the hyperalgesia associated with acute, naloxone-precipitated opioid withdrawal [15]. In sum, the strong association between tonic RVM cell activity and nociceptive sensitivity in anesthetized rats supports nociceptive-facilitating and -inhibiting roles for the tonic discharge of  $\omega$  and  $\omega$ FF cells, respectively.

Because of the tonic response to opioids in anesthetized rats, a shorthand developed. A drug or manipulation that excites the tonic firing of  $_{\text{OF}}$  cells and inhibits the tonic firing of  $_{\text{ON}}$  cells is potentially antinociceptive whereas a drug or manipulation with the reverse effect is expected to produce hyperalgesia. There is indirect evidence in support of the tonic model of RVM nociceptive modulation. Since  $\alpha_N$  but not  $\alpha_{\text{FF}}$  cells are directly sensitive to mu opioid receptor agonists (such as dermorphin) [12], dermorphin-conjugated saporin is thought to selectively kill RVM  $_{\text{ON}}$  cells. According to the shorthand then, injection of dermorphinsaporin into RVM should prevent the development of hyperalgesia. Indeed, dermorphinsaporin lesions of RVM prevent the development of several types of hyperalgesia, including the neuropathic variety following nerve injury [6, 16]. The interpretation of such studies is that without  $\infty$  cells, there can be no tonic  $\infty$  cell output and consequently RVM-mediated hyperalgesia cannot and does not occur. Tempering the weight ascribed to these findings is evidence that dermorphin-conjugated saporin decreases the number of both  $\alpha_N$  and  $\alpha_{\text{FF}}$  cells in RVM rather than simply excising the  $\alpha$  cell population as intended [17].

## **RVM** <sub>on</sub> and <sub>oFF</sub> cells act through phasic rather than tonic modulation of **nociception**

The first attempt at determining whether opioids act similarly on RVM  $_{\text{ON}}$  and  $_{\text{OFF}}$  cells in the absence of general anesthesia as in the presence of general anesthesia came when Jean-Louis Oliveras and colleagues recorded the activity of RVM cells in the awake rat before and after morphine  $[18]$ . In this study, only cells with  $\infty$ -like responses to somatosensory stimulation (hereafter referred to as simply  $\alpha$  cells) were studied; no  $\alpha$ F-like cells were isolated (presumably because rats were not permitted to enter sleep, the behavioral state when  $_{\rm OFF}$ cells are primarily active; 19). In response to morphine, the tonic activity of  $\alpha$  cells in the awake rat did not change. However,  $\alpha$  cell responses to noxious stimulation were suppressed by morphine. These results, reported two decades ago, raised serious concerns about the tonic model of RVM nociceptive modulation introduced in the early 1980s and dominant to this day. Despite the clear inconsistency of the results from Martin et al [18] with the idea that tonic changes in  $\alpha_N$  and  $\alpha_F$  cell discharge produce antinociception, this work in awake rat has been largely ignored for the past 20 years. In fact, several recent reviews on RVM failed to cite this work while advancing the traditional, tonic model of RVM on and off cell function.

Recently, we confirmed and extended the results of Martin et al [18]. We recorded from both  $\alpha$  and  $\alpha$ FF cells in unanesthetized mice who cycled through wake and sleep states [20••]. Morphine suppressed the noxious stimulus-evoked responses of both cell classes while producing no change in background discharge rates. Thus, morphine suppressed the  $\alpha$  cell burst and  $_{\text{OFF}}$  cell pause elicited by noxious heat. The same depression of noxious stimulusevoked responses coupled with no change in background discharge observed in unanesthetized rodents is also found in the anesthetized mouse [21]. Therefore, in both unanesthetized and anesthetized rodents, phasic responses of RVM  $_{\text{ON}}$  and  $_{\text{OFF}}$  cells to noxious stimulation are suppressed by opioids. In contrast to their consistent effects on evoked responses, opioids alter the tonic discharge of  $\alpha_N$  and  $\alpha_F$  cells only in the anesthetized rat preparation.

The finding that opioids do not alter the tonic discharge of RVM cells in unanesthetized rodents shows that RVM modulates nociception on a phasic basis rather than producing tonic *states* of nociceptive responsiveness. In sum, RVM cells modulate nociceptive events on an on-demand basis, only in concert with incoming nociceptive input<sup>1</sup>.

#### **A phasic decrease in OFF cell discharge times withdrawal onset**

The studies reviewed above show that tonic  $_{\text{OFF}}$  cell discharge is not necessary for antinociception. Striking evidence that tonic oFF cell activity is also insufficient for antinociception comes from the finding that background <sub>OFF</sub> cell discharge is *greater* before noxious stimulus trials that result in withdrawals than before those that do not produce withdrawals in the unanesthetized mouse  $[20\bullet\bullet]$ . The observation of greater  $\sigma$ FF cell activity before responding trials than before non-responding trials is frankly inconsistent with the tonic model described above which predicts that greater oFF cell activity should lead to decreased nociceptive responses (Fig. 1B). Furthermore, since  $\sigma$ F cells always pause in response to noxious stimulation that elicits a withdrawal, we can hypothesize that withdrawals are facilitated when oFF cells are both active prior to the noxious stimulus application *and* silenced by the noxious stimulus. According to this model, a *decrease* in OFF cell activity, rather than a *lack* of  $_{\text{OFF}}$  cell activity, is key to  $_{\text{OFF}}$  cells' providing pronociceptive modulation (Fig. 2A). A sharp decrease in the discharge of an inhibitory input can result in post-inhibitory rebound excitation of a post-synaptic cell and is a common mechanism for synchronizing motor reactions [22-23]. Thus, rather than OFF cell silence simply serving a permissive role, it is likely that the decrease in OFF cell firing, the pause, provides a pronociceptive signal that synchronizes and therefore strengthens ensuing motor circuits in the spinal cord. This idea is both too new and sufficiently counter to the current model's predictions that it has not been tested specifically. However, one study provides suggestive evidence in support. In the anesthetized rat, the *magnitude* of the oFF cell decrease in discharge elicited by noxious tail heat was greater in trials resulting in a withdrawal than in trials without a motor reaction [24].

### The <sub>an</sub> cell burst facilitates withdrawal magnitude and its absence is key to **antinociception**

Converging evidence suggests that the  $\alpha$  cell burst in response to noxious stimulation positively sculpts motor withdrawals (Fig. 2B). In support of this idea, the envelope of the fully rectified electromyographic response closely resembles the envelope of the noxious stimulus-evoked  $\alpha$  cell burst in both anesthetized and unanesthetized rodent [20••, 24]. Further, the peak magnitude of the EMG response correlates with the peak of the  $\alpha$  cell burst [20••]. The attenuation of hyperalgesic withdrawals after dermorphin-saporin treatment of the RVM lends indirect support to the importance of  $\alpha$  cell activation in facilitating nociceptive withdrawals (reviewed in 7). These findings suggest that  $\alpha_N$  cells strongly facilitate motor withdrawals elicited by noxious stimulation.

 $<sup>1</sup>$ To a certain extent, this assertion suffers from the same uncertainty as does the question of the tree falling in the empty forest. In</sup> other words, asking whether a state of analgesia exists in the absence of noxious stimulation is akin to asking whether the aforementioned tree makes a sound when it falls.

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It now appears that  $\alpha_N$  cell facilitation of nociception is sufficiently effective that a reduction in  $\alpha$  cell activity, even in the absence of  $\alpha$ FF cell activation, can produce antinociception. For example, midbrain administration of the endocannabinoid palmitoylethanolamide (PEA) suppresses on cell discharge without activating oFF cells and causes a significant increase in the latency to the tail flick withdrawal; withdrawal magnitude was not measured in this study [25•]. Similarly, morphine administration to unanesthetized mice that resulted in antinociception suppressed  $\alpha$  cell responses to noxious stimulation without producing tonic activation of <sub>OFF</sub> cells [20••]. In light of these results, it is particularly instructive to consider the sequelae resulting from microinjection of kynurenate into RVM. Kynurenate blocks the excitatory response of  $\alpha$  cells to noxious stimulation and depresses  $\alpha$  cell background activity but does not alter the background activity of  $_{\text{OF}}$  cells or the length of the  $_{\text{OF}}$  cell pause [26]. Notably kynurenate microinjection has no effect on tail flick latency. The finding that kynurenate has no effect on withdrawal onset is predicted by the absence of a change in  $_{\rm OF}$  cell firing. The behavioral prediction stemming from kynurenate's reduction in the on cell burst is a withdrawal of greatly reduced magnitude. Indeed, kynurenate microinjection greatly reduces movement magnitude in the stimulated limb and even more so in the other 3 limbs [27].

In conclusion, antinociception can result from the suppression of phasic rather than tonic  $\alpha$ cell discharge. In support of this idea, morphine administration to unanesthetized rodents suppresses  $\alpha$  cell bursts without either activating  $\alpha$  cells or inhibiting  $\alpha$  cells tonically [18, 20 $\cdot$ •]. The time course of PEA-induced antinociception matches that of the reduction in  $\infty$ cell burst and precedes the decrease in tonic  $\alpha$  cell discharge [25•]. Thus, a reduction in the ON cell burst is sufficient, by itself, to greatly reduce nociceptive responses.

### **The neurochemistry of**  $\alpha$  **and**  $\alpha$ **FF** cell-mediated nociceptive modulation **remains a challenge**

The connectivity and neurotransmitters involved in the hypothesized  $_{\text{OFF}}$  cell synchronization of dorsal horn circuits through disinhibition and  $\alpha$  cell facilitation of those same circuits are unclear. Although serotonin is clearly an important player in spinal nociceptive modulation [3], neither on nor off cells contain serotonin [28-30]. Direct inhibition of dorsal horn neurons by  $_{\rm OFF}$  cells is certainly possible as most  $_{\rm OFF}$  cells contain GAD, the synthesizing enzyme for GABA [30]. Surprisingly, most on cells also contain GABA, a result which is harder to easily reconcile with the hypothesized facilitatory actions of  $\alpha$  cells on dorsal horn neurons. Whereas net facilitation can result from disinhibition, the primary post-synaptic elements in the dorsal horn targeted by RVM cells are non-GABAergic dendrites, making on cell inhibition of an inhibitory interneuron unlikely [31•].

#### **Implications of phasic rather than tonic RVM-mediated modulation**

The data reviewed above suggest that RVM cells do not produce tonic *states* of nociceptive responsiveness but rather that they modulate nociceptive responsiveness if and when the need should arise. The restriction of RVM cells' serving a nociceptive modulatory function to periods of injury means that RVM cells may perform other functions when no noxious stimulus is present. That  $\alpha_N$  and  $\alpha_F$  cells do contribute to functions beyond nociceptive

modulation is supported by their discharge patterns. Even in the absence of intentional somatic stimulation and in the relatively constant conditions of light anesthesia,  $\alpha$  and  $\alpha$ FF cells discharge in bursts alternating with silent periods  $[32-33]$ . On and  $_{\text{OFF}}$  cells in unanesthetized animals also discharge spontaneously in irregular patterns of bursting and silence [19, 34]. In fact without knowing the times of noxious stimulus application, discriminating between a stimulus-evoked change in discharge and a change in discharge that occurred "spontaneously" is impossible. Thus, inputs unrelated to nociception influence ON and OFF cell discharge. Some of these non-nociceptive inputs are known; for example, blood pressure changes [35], sleep-wake state [19], active body movements [36-37], voiding [38], and eating [39] all influence RVM cell discharge. It is likely that additional nonnociceptive variables influence RVM cell discharge as well.

Despite their discharge being influenced by non-nociceptive inputs, RVM cells may have only one efferent function: nociceptive modulation. For example, the sleep-active discharge pattern exhibited by OFF cells [26] may act to modulate nociception in accordance with behavioral state rather than to modulate behavioral state per se. On the other hand, RVM cells may modulate non-nociceptive as well as nociceptive functions. For example, indirect evidence suggests that  $\infty$  cells facilitate the response to cold challenge by facilitating both tail vasoconstriction [40••] and thermogenesis from brown adipose tissue [41]. A major challenge for the future is a full understanding of how and if individual  $\alpha$  and  $\alpha$ FF cells influence nociceptive and non-nociceptive functions alike.

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### **Highlights**

**•** opioid analgesia depends on descending modulation from the medullary raphe

- **•** opioids produce analgesia without altering the tonic discharge of medullary neurons
- **•** normally, phasic neuronal responses of brainstem cells facilitate nociception
- **•** morphine produces analgesia by blocking the phasic responses of brainstem cells
- **•** brainstem cells may modulate non-nociceptive functions during pain-free periods



#### A. Tonic model: tonic states of nociceptive responsiveness

#### **Figure 1.**

The tonic model of descending nociceptive modulation posits that different patterns of tonic activity in RM cells produce different states of nociceptive responsiveness. A: On cells tend to fire together as do OFF cells whereas ON (middle trace) and OFF cells (top trace) show reciprocal patterns of tonic activity. According to the model,  $\alpha$  cell activity combined with OFF cell inactivity produces an elevation in nociceptive responsiveness (bottom trace) that is marked by short withdrawal latencies (diamonds). In contrast,  $_{\text{OFF}}$  cell activity combined with ON cell inactivity depresses nociceptive responsiveness so that withdrawals occur at long latencies or not at all. B: According to the tonic model of RM nociceptive modulation, the pattern of tonic discharge in  $\alpha$  and  $\alpha$ FF cells (top two traces), just prior to noxious stimulation (marked heat stimulus), determines the ensuing withdrawal (WD) latency. If noxious stimulation is applied when  $_{\text{OFF}}$  cells are active and  $_{\text{ON}}$  cells inactive (solid lines), antinociception, marked by a long withdrawal latency, results. In contrast, if noxious stimulation is applied when  $\alpha$  cells are active and  $\alpha$ FF cells inactive (dashed lines),

hyperalgesia, marked by a short withdrawal latency, results. In this way, the withdrawal latency is expected to be directly proportional to the tonic level of oFF cell discharge prior to the stimulus (graph at right) and inversely proportional to pre-stimulus on cell discharge (not shown).



# A. Phasic model: phasic OFF cell discharge



### B. Phasic model: phasic ON cell discharge



#### **Figure 2.**

The phasic model proposes modulatory roles for the responses of  $\alpha$  and  $\alpha$ FF cells evoked by noxious stimulation. A: In the phasic model proposed here, the greater the magnitude of the decrease in oFF cell discharge (top trace) elicited by noxious stimulation, the greater the synchronization of the withdrawal, leading in turn to a larger withdrawal magnitude (bottom trace labeled WD). A large evoked decrease in  $_{\text{OF}}$  cell activity is associated with a rapidly rising, large magnitude withdrawal whereas a small evoked decrease in  $_{\text{OFF}}$  cell activity is associated with a slowly rising, low magnitude withdrawal or no withdrawal at all (not shown). B: The  $\alpha$  cell burst (top trace) evoked by noxious stimulation is proposed to sculpt the ensuing withdrawal, with larger bursts associated with larger magnitude withdrawals.