

# A common glycan structure on immunoglobulin G for enhancement of effector functions

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**Antibodies have been developed as therapeutic agents for the treatment of cancer, infection, and inflammation. In addition to binding activity toward the target, antibodies also exhibit effector-mediated activities through the interaction of the Fc glycan and the Fc receptors on immune cells. To identify the optimal glycan structures for individual antibodies with desired activity, we have developed an effective method to modify the Fc-glycan structures to a homogeneous glycoform. In this study, it was found that the biantennary N-glycan structure with two terminal alpha-2,6-linked sialic acids is a common and optimized structure for the enhancement of antibody-dependent cell-mediated cytotoxicity, complement-dependent cytotoxicity, and antiinflammatory activities.**

endoglycosidase | Fc glycosylation | glycoengineered antibodies | homogeneous antibodies | sugar oxazoline

Antibody-based therapies have been effectively used to treat many diseases, including inflammatory disorders, cancers, infectious diseases, and organ transplant rejection. Currently, more than 40 therapeutic monoclonal antibodies (mAbs) have been approved for clinical use in the United States, European Union, and other countries, including antibodies targeting CD20, Her2, EGFR, CD40, TNF $\alpha$ , CTLA-4, and PD-1.

Most therapeutic antibodies are monoclonal and prepared by hybridoma technology (1) as humanized antibodies to avoid undesired immunological responses derived from species difference. Recently, development of human antibodies through the screening of phage display libraries from human B cells or from single B-cell clones has become a major trend (2–4).

Like many other mammalian proteins, antibodies are heterogeneously glycosylated, and the glycosylation in the Fc region, specifically at position 297, has been an important issue in the development of therapeutic monoclonal antibodies, because the glycan moiety can significantly affect the activities of antibodies through interaction with the Fc receptors on immune cells, including natural killer cells, macrophages, dendritic cells, neutrophils, etc. Therefore, there is a need for development of homogeneous monoclonal antibodies with well-defined Fc glycans to examine these interactions and improve their safety and efficacy. Toward this goal, it has been reported that removal of the core fucose residue enhances the antibody-dependent cellular cytotoxicity (ADCC) activity of immunoglobulin Gs (IgGs) (5, 6) due to the increased Fc-glycan interaction with Fc $\gamma$ RIIIa receptor (5, 7, 8). Two FDA-approved glycoengineered antibodies, mogamulizumab (POTELLIGENT) and obinutuzumab (GA101), are defucosylated antibodies in which POTELLIGENT was produced by the fucosyltransferase 8 (FUT8) knockout CHO cell line and GA101 was from the N-acetylglucosamine transferase III (GnT-III) overexpression system. In addition, it has been reported that more Fc $\gamma$ IIIa was expressed on the monocytes of long-term rheumatoid arthritis (RA), and that more fucosylation was also found in the IgG heavy chain of RA patients (9, 10), indicating the possibility of RA treatment with afucosylated antibodies to

neutralize proinflammatory cytokines and compete with auto-antibodies for Fc $\gamma$ IIIa.

It was also observed that the 2,6-linked sialic acid of the biantennary glycan increases the antiinflammatory activity (11), although its effect on ADCC was not clear (11–13), and that the remission of RA was often accompanied by an increase of IgG sialylation during pregnancy, and its relapse coincided with the decrease of IgG sialylation after delivery (14). Inflammation and cytotoxicity are two sides of the immune response, and the occurrence of cancer or infection is normally accompanied by inflammation. However, all of the antibodies described above were still heterogeneous even when a specific glycan structure was enriched through pathway engineering and cell culture preparation. To understand the effect of Fc glycans on ADCC, complement-dependent cytotoxicity (CDC), and antiinflammatory activities, homogeneous antibodies with well-defined glycan structures are needed.

Many methods have been developed for the preparation of homogeneous glycoproteins with well-defined glycans, including native chemical ligation (NCL) (15, 16), expressed protein ligation (EPL) (17), Staudinger ligation (18–20), sugar-assisted ligation (21), and glycoprotein remodeling in vitro using endoglycosidases and glycosyltransferases (22). Similarly, glycosylation pathway engineering has been developed to improve the biological function and reduce the heterogeneity of therapeutic antibodies (23, 24). Of these methods, the most practical way to acquire homogeneous glycoproteins is based on the strategy of glycoprotein remodeling, a strategy first reported in 1997

## Significance

**Antibodies are important therapeutic agents and have been used for the treatment of many diseases, including infectious and inflammatory diseases, and cancer. The therapeutic efficacy of an antibody is usually determined not only by the selectivity and affinity toward the target but also by the Fc-glycan structure interacting with the Fc receptors on immune cells. This study describes the preparation of various antibodies with different Fc-glycan structures as homogeneous glycoforms for the investigation of their effector activities. During this study, it was discovered that the biantennary N-glycan structure with two terminal alpha-2,6-linked sialic acids is a common and optimal structure that is able to enhance the activities of antibodies against cancer, influenza, and inflammatory diseases.**

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(22) and later applied to antibody glycoengineering (25–27). The strategy starts with the use of exoglycosidases or endoglycosidases to cleave most of the N-glycans to form a homogeneous glycoform containing a well-defined glycan, followed by extension of the glycan using glycosyltransfer enzymes. Compared with the other endoglycosidases of the Glycoside Hydrolase Family 18 (GH18), like endo H, endo F1, F2, and F3, endoS showed the best specific hydrolytic activity for the asparagine-linked biantennary glycan of human IgG to give mono-GlcNAc antibody. In addition, some endoglycosidases of GH18 can also be used as glycosynthases with glycan oxazolines as substrates to form the chitobiose linkage, a strategy first demonstrated by Shoda and coworkers using chitinases (28, 29) and further elaborated by Wang with endoglycosidase mutants developed using Wither's approach, including endoS D233Q and endoM N175Q (26, 30, 31).

In this study, we used the endoS from *Streptococcus pyogenes* (32) and the fucosidase from *Bacteroides fragilis* (BtFucH) in combination to treat a mixture of antibody glycoforms to obtain a homogeneous antibody containing the mono-GlcNAc residue at position 297, which was then further extended with various glycans containing a leaving group catalyzed by an endoglycosidase mutant to give homogeneous antibodies with a well-defined glycan at the Fc region for functional study. The endoS was to hydrolyze the chitobiose core of the asparagine-linked glycan, and the fucosidase was to cleave the fucose attached to the remaining innermost GlcNAc to form an antibody with mono-GlcNAc. During this process, it was found that the biantennary N-glycan structure with two terminal alpha-2,6-linked sialic acids at position 297 of the Fc region is a common and optimized structure for the enhancement of ADCC, CDC, and anti-inflammatory activities.

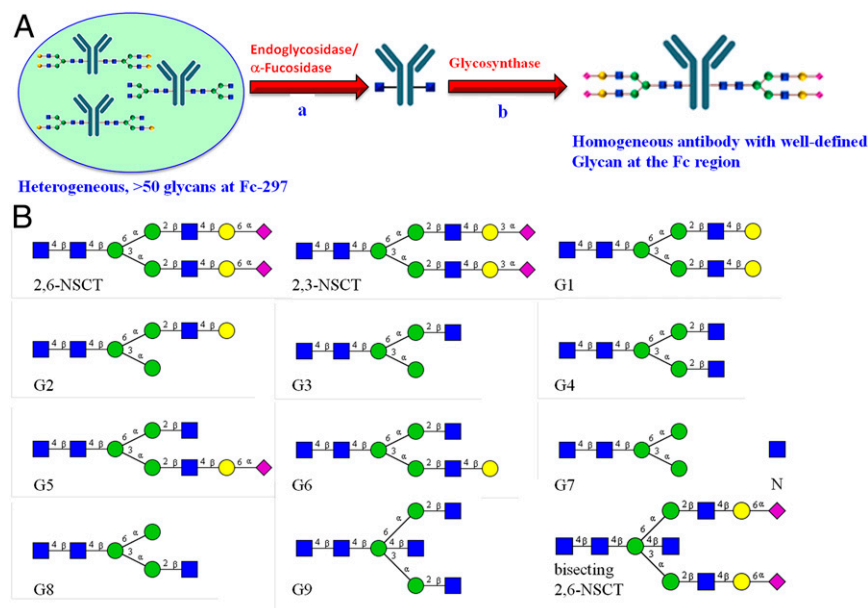
## Results and Discussion

**Glycoengineering of IgG1 Antibody.** The goal of this study was to prepare homogenous antibodies with optimized anticancer and anti-inflammatory functions. The commercially available Rituximab IgG1 was selected as a model because it has been used for the treatment of both cancer and autoimmune diseases. We used the combined endoglycosidase/fucosidase system to treat the antibody glycoforms to first obtain a homogeneous antibody with mono-GlcNAc at the Fc region, and then a pure synthetic glycan oxazoline was ligated with the GlcNAc residue under the catalysis of an endoglycosidase mutant to obtain the homogeneous antibody for activity assay (Fig. 1A). The fucosidase expressed from *Bacteroides fragilis* (BtFucH) was used in combination with either the EndoS from *Streptococcus*

*pyogenes* alone or mixtures of Endo F1/S, to prepare the homogeneous mono-GlcNAc antibody in one pot within 1 d. This fucosidase is more efficient than the one from bovine kidney, which requires 20 d of incubation (26). We also found that incubation of the antibody at 37 °C for 1 wk would cause a significant loss of binding affinity toward its antigen. Then, by using an EndoS mutant, a series of synthetic glycan oxazolines were successfully transferred to GlcNAc-Rituximab to form homogeneous antibodies with different glycans at the Fc region for the subsequent binding and functional assays (Fig. 1 and *SI Appendix*, Fig. S3).

**Characteristics of 2,3- and 2,6-Sialylated Rituximab Glycoforms.** The Ravetch group has demonstrated the effect of Fc glycans on the effector-mediated activities of antibodies using highly enriched antibody glycoforms (11, 13). The FcγRI-mediated effector function of antibodies with truncated glycans prepared by treatment with glycosidases has also been reported (33). Although the 2,6-sialylated IVIG rather than the 2,3-linked isomer was found to be the major structure responsible for the antiinflammation activity, the detailed interactions of different homogeneous glycoforms with different receptors (FcγRs) have not been studied (11). Moreover, it has been shown that high levels of sialylation reduce ADCC activity (12), but it is not clear whether both 2,6- and 2,3-sialylated antibodies would have a similar effect on cytotoxicity. To study the differences in these sialylation linkages, we prepared 2,6- and 2,3-sialylated homogeneous antibodies (denoted as 2,6-NSCT-Rituximab and 2,3-NSCT-Rituximab) from mono-GlcNAc Rituximab. Compared with the nonmodified Rituximab, the mono-GlcNAc Rituximab showed a complete loss or substantially reduced binding affinity toward FcγRIIIa, FcγRIIa, FcγRI, and C1q but not toward FcγRIIb. However, after elongation of the glycan to form the structure of 2,6-NSCT-Rituximab, its binding affinity toward FcγRIIa, FcγRIIb, and, especially, FcγRIIIa increased whereas no significant change was observed toward C1q (Table 1). On the other hand, for 2,3-NSCT-Rituximab, only the interaction with FcγRIIIa was partially increased, whereas its interaction with FcγRIIa was significantly decreased and that with FcγRIIb was unchanged (Table 1).

Recently, it was reported that hFcγRIIa is engaged in the anti-tumor vaccinal effect of antitumor monoclonal antibody (34), suggesting that monoclonal antibodies may have another medicinal aspect. The increased affinity of the 2,6-NSCT-Rituximab toward FcγRIIa in this study implies a possible enhancement of the vaccinal effect compared with the 2,3-NSCT-Rituximab and the nonmodified



**Fig. 1.** (A) A general strategy for the preparation of homogeneous antibodies with a well-defined glycan structure through in vitro enzymatic remodeling of a mixture of antibody glycoforms. The mixture was first treated with a combination of endoS and the fucosidase from *Bacteroides fragilis* to generate mono-GlcNAc antibody, followed by ligation with a synthetic glycan oxazoline catalyzed by an endoS mutant. (B) The glycan structures on the homogeneous antibody prepared for the study. G9 can be further extended by glycosyltransferases to form the bisecting 2,3-NSCT-antibody and 2,6-NSCT-antibody.

**Table 1. Fc $\gamma$ -receptor binding of the commercial Rituximab and the glycoengineered 2,3-NSCT- and 2,6-NSCT-Rituximab: binding experiments of the mono-GlcNAc, 2,3-NSCT- and 2,6-NSCT-Rituximab toward Fc $\gamma$  receptors and C1q performed in ELISA**

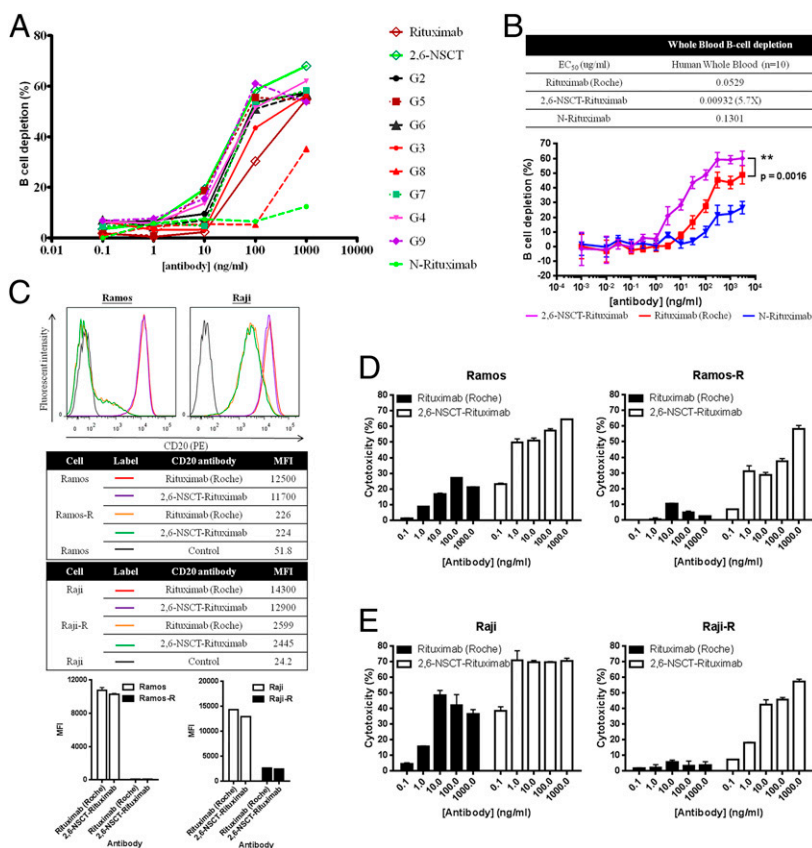
Fc receptor	EC <sub>50</sub> , nM (95% CI)			
	Roche-Rituximab	2,6-NSCT-Rituximab	2,3-NSCT-Rituximab	N-Rituximab
Fc $\gamma$ RIIIa	6.179 (3.303–9.054) 3.375 (1.785–4.964)	0.239 (0.209–0.269) 0.298 (0.242–0.353)	N.D. 1.216 (0.350–2.081)	36.27 (–31.56–104.1) N.D.
Fc $\gamma$ RIIa	5.445 (3.656–7.234)	2.983 (1.953–4.013)	16.45 (1.013–31.89)	N.M.*
Fc $\gamma$ RI	0.188 (0.162–0.215)	0.228 (0.206–0.251)	N.D.	0.854 (0.627–1.080)
Fc $\gamma$ RIIb	0.512 (0.205–0.819)	0.280 (0.235–0.325)	0.529 (0.404–0.655)	0.315 (0.261–0.370)
C1q	6.471 (5.211–7.731)	8.069 (6.439–9.699)	N.D.	not fitted

CI, confidence interval; N.D., no data, was not examined; N.M., not measured.  
 \*Data were out of range examined. Deglycosylation rendered mono-GlcNAc Rituximab to lose its binding affinity towards Fc $\gamma$ RIIIa, Fc $\gamma$ RIIa, Fc $\gamma$ RI, and C1q, whereas the 2,3- and 2,6-sialylated antibodies restored their affinity, and the 2,6-sialylated Rituximab showed enhanced interactions with Fc $\gamma$ RIIa, Fc $\gamma$ RIIb, and Fc $\gamma$ RIIIa.

Rituximab. It was also reported that hFc $\gamma$ RIIIa on macrophages is vital for the antitumor cytotoxicity in a humanized mouse model (34) and that defucosylation of IgG1 was found to enhance the ADCC effect via increasing the interaction between the afucosylated Fc glycans and Fc $\gamma$ RIIIa (5, 35). In the binding experiments, we found that both the defucosylated 2,6-NSCT-Rituximab and 2,3-NSCT-Rituximab indeed showed a stronger interaction with Fc $\gamma$ RIIIa than the nonmodified Rituximab; however, the 2,6-NSCT-Rituximab has much higher affinity than does the 2,3-NSCT-Rituximab (Table 1). Using three different B lymphoma cell lines, Raji, Ramos, and SKW6.4, we monitored the luminescence caused by the released proteases of dead cells to evaluate the peripheral blood mononuclear cell (PBMC)-mediated cytotoxicity induced by Rituximab, 2,3-NSCT-Rituximab, and 2,6-NSCT-Rituximab. Indeed, compared with the nonmodified Rituximab, both the 2,6-NSCT-Rituximab

and 2,3-NSCT-Rituximab showed a stronger interaction with Fc $\gamma$ RIIIa (Tables 1 and 2), and the 2,6-sialyl linkage showed excellent affinity toward Fc $\gamma$ RIIIa and a strong ADCC to the three lymphoma cell lines, whereas the 2,3 linkage had weaker activities. In addition to ADCC, CDC is also important in antibody therapy. Although Rituximab and 2,6-NSCT-Rituximab had similar activities in CDC and C1q binding, the cell-based ELISA data showed that the 2,3-NSCT-Rituximab had a weaker CDC (Table 3).

**Binding Affinity and the B-Cell Depletion Activity of Various Afucosylated Rituximab Glycoforms.** To study whether the cytotoxicity was affected by the 2,6-sialylation, we prepared other homogeneous afucosylated Rituximab glycoforms for comparison. In surface plasma resonance (SPR) analysis, none of the modified afucosylated Rituximab glycoforms displayed a stronger binding affinity toward



**Fig. 2. Antibody-dependent B-cell depletion of various Rituximab glycoforms.** The depletion of human B cells was conducted using freshly prepared human PBMC cells and analyzed with FACS, based on the CD19<sup>+</sup> CD3<sup>-</sup> B cells. (A) Compared with a series of different Rituximab glycoforms, the 2,6-NSCT-Rituximab showed higher depletion activity. (B) In the whole blood B-cell depletion activity of 10 donors, the 2,6-sialylated Rituximab was significantly more active than the nontreated Rituximab, with a *P* value of 0.0016, whereas the mono-GlcNAc Rituximab showed the lowest activity. (C) The Rituximab-resistant cells of Ramos (Ramos-R) and Raji (Raji-R) express a lower level of CD20 on the cell surface; MFI, medium fluorescence intensity. (D) Ramos and Ramos-R and (E) Raji and Raji-R: The 2,6-NSCT-Rituximab showed remarkable ADCC efficacy toward both normal and resistant cells, whereas the nontreated antibody dramatically lost its activity toward resistant strains.

**Table 2. Fresh PBMC-mediated ADCC assays of the commercial Rituximab and the glycoengineered 2,3-NSCT- and 2,6-NSCT-Rituximab: Experiments were conducted with three different B-cell lines, Raji, Ramos, and SKW6.4**

Antibody	EC <sub>50</sub> , ng/mL (95% CI)		
	Raji	Ramos	SKW6.4
Rituximab	1.981 (−0.589–4.552)	30.19 (−14.10–74.48)	14.26 (−54.14–82.65)
2,3-NSCT-Rituximab	0.573 (−0.05–1.197)	9.953 (−5.76e+7–5.76e+7)	8.022 (0.881–15.16)
2,6-NSCT-Rituximab	0.159 (0.014–0.304)	2.553 (−0.347–5.453)	3.130 (−0.254–6.514)

The activity measured by EC<sub>50</sub> was significantly increased from the unmodified Rituximab to the glycoengineered afucosylated 2,3-NSCT-Rituximab, and to the most active 2,6-NSCT-Rituximab.

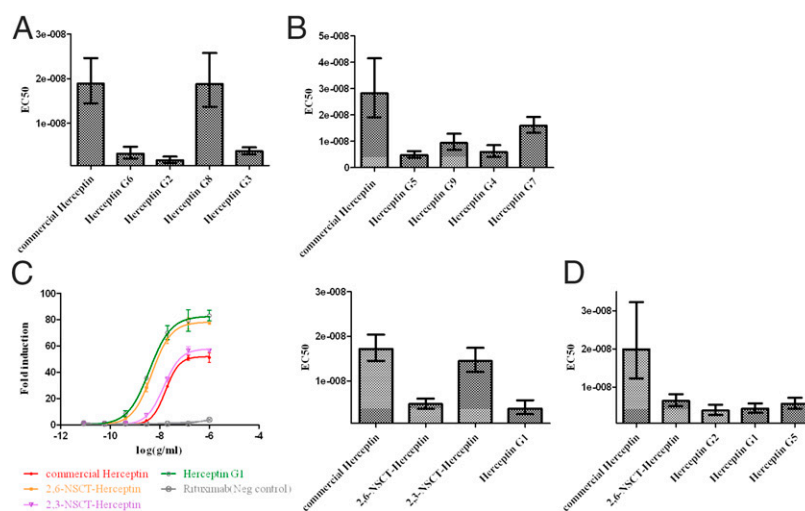
FcγRIIIa than 2,6-NSCT-Rituximab, although some  $K_d$  variations among different glycoforms were observed (*SI Appendix, Table S5*). We further performed a cytotoxicity study of PBMC-mediated depletion of human B cells by analyzing CD19<sup>+</sup> CD3<sup>−</sup> B cells with flow cytometry. Consistent with the SPR data, the B-cell depletion efficacy of the 2,6-NSCT-Rituximab was superb when the antibody concentration was 10 ng/mL or higher (Fig. 2A). Moreover, the activity of the 2,6-NSCT-Rituximab was also significantly higher than the nonmodified Rituximab, with a  $P$  value of 0.0016 in the whole blood B-cell depletion tests of 10 donors, whereas the mono-GlcNAc Rituximab showed the lowest activity (Fig. 2B). These data indicated that the 2,3- and 2,6-sialylation on IgG1 had different activities, and the 2,6-NSCT-Rituximab was the best for B-cell depletion. These results could not have been obtained from the samples prepared from CHO cells directly, which expressed proteins with various glycans, and the sialic acid residues are mainly in the 2,3 linkage instead of the 2,6 linkage (36).

**The ADCC of 2,6-NSCT-Rituximab Toward Resistant Cell Lines.** Like many pharmaceuticals, some patients are resistant to Rituximab due to high dosage and long-term use (37, 38). To understand whether the 2,6-NSCT-Rituximab is effective against drug-resistant cells, we prepared Ramos and Raji Rituximab-resistant cell lines to evaluate their PBMC-mediated ADCC under different concentrations of 2,6-NSCT-Rituximab (Fig. 2C–E). After coculturing with Rituximab, both Ramos and Raji B cells evolved to become Rituximab resistant with low CD20 expression

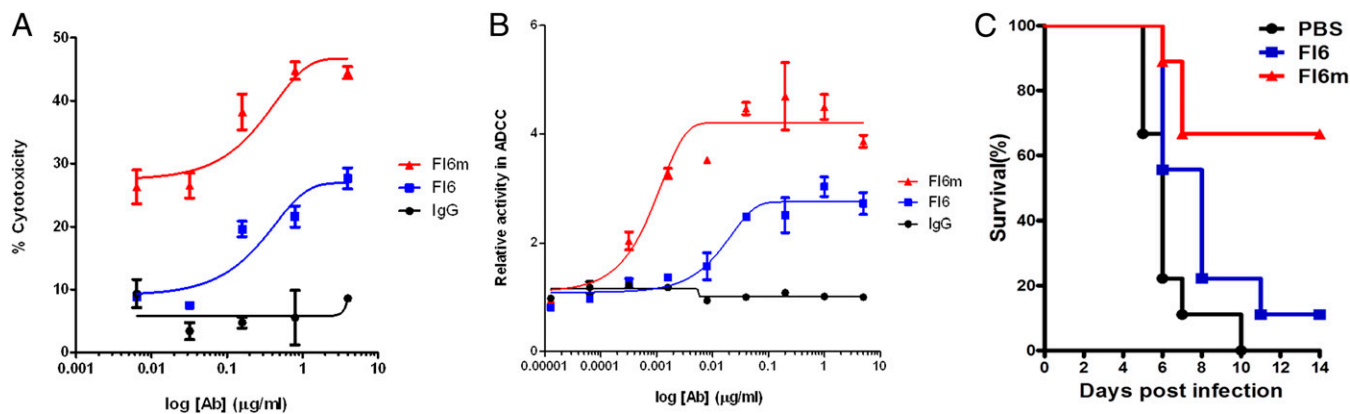
on the cell surface (Fig. 2C). As a result, the nonmodified Rituximab dramatically lost its activity against resistant strains (Fig. 2D and E), but the 2,6-NSCT Rituximab showed significant ADCC activity against both nonresistant and resistant cells.

**FcγRIIIa Binding Affinity of Various Afucosylated Herceptin Glycoforms.** To further evaluate the impressive cytotoxicity derived from the 2,6-NSCT glycan modification, Herceptin, an antibody for the treatment of her2<sup>+</sup> breast cancer, was selected and modified with different glycan structures and evaluated.

The binding analysis of various homogeneous Herceptin glycoforms interacting with FcγRIIIa is shown in *SI Appendix, Table S6*. Similar to the affinity difference of the 2,3- and 2,6-NSCT-Rituximab in ELISA analysis, the 2,6-NSCT-Herceptin showed a stronger interaction with FcγRIIIa, whereas a detrimental effect was observed with the 2,3-NSCT-Herceptin, and the effect of Fc afucosylation was more significant than the sialylation effect. Moreover, the corresponding  $K_d$  of all Herceptin glycoforms showed a similar tendency to those of the Rituximab glycoforms (*SI Appendix, Table S6*). Antibodies, such as G1, G2, and 2,6-NSCT, had a more than ninefold increase in affinity for FcγRIIIa, compared with the other derivatives like G3, G4, G5, G6, G7, G9, and 2,3-NSCT. Particularly, in both Rituximab and Herceptin, the afucosylated glycoform G8 almost lost its defucosylation advantage for the ADCC activity. The antibody with bisecting glycan, G9, showed a slight but not significant increase in affinity toward FcγRIIIa in both Rituximab and Herceptin when it was compared with the nonbisecting



**Fig. 3.** EC<sub>50</sub> of homogeneous Herceptin glycoforms in the V158 FcγRIIIa mediated ADCC reporter assay. Experiments were performed under the E/T ratio of 6–1 with SKBR3 target cells and the V158 FcγRIIIa engineered effector Jurkat cells. All data shown in the same graph were experiments done in the same microplate and the same batch of effector cells; bars of 95% confidence interval were plotted. (A) The afucosylated Herceptin G8 and the commercial Herceptin showed a similar ADCC effect, indicating that the defucosylation advantage of anti-FcγRIIIa is lost in the afucosylated Herceptin G8. (B) The bisecting and nonbisecting Herceptin analogs G9 and G4 showed similar EC<sub>50</sub> values, indicating that the bisecting GlcNAc effect was not observed in this assay. (C) Compared with the glycoengineered Herceptin G1 with two galactose terminals, no significant EC<sub>50</sub> change in the 2,6-sialylated antibody was observed, whereas the apparent EC<sub>50</sub> increase was shown in the 2,3-sialylated Herceptin. The curves of fold induction were the results of induced luminescence divided by the induction of no antibody control. (D) Samples with the lowest EC<sub>50</sub> in A–C were chosen and compared with the commercial Herceptin. All samples demonstrated better activity in this ADCC reporter bioassay.



**Fig. 4.** Anti-influenza antibody FI6 with 2,6-NSCT glycan attached to its Fc Asn297 (FI6m) significantly enhances its ADCC activity and prophylactically protects mice from a lethal dose of H1N1 virus challenge. (A) Cytotoxicity is represented as the percentage of lysed HEK293T cells (target cells) expressed with influenza H1 HA (A/California/07/09) when incubated with PBMCs (effector cells) and various concentrations of antibodies. (B) The ADCC activity is shown as fold increases of bioluminescence from a luciferase reporter assay that gave signals when ADCC NFAT pathway was activated. HA-expressed HEK293T cells (target cells) were incubated with NK cells with the aforementioned luciferase reporter (effector cells) and various amounts of anti-influenza antibody FI6 and FI6m. Curve fitting was conducted with software GraphPad Prism in 4PL nonlinear regression. (C) Survival of mice was monitored upon lethal dose (10 MLD<sub>50</sub>) infection of influenza virus A/California/07/09 (H1N1). Two hours before infection, each group of mice ( $n = 9$ ) was administered 2.5 mg/kg of FI6, FI6m, or PBS intraperitoneally. The FI6 and FI6m groups had significant survival difference ( $P < 0.01$ ).

analog, G4. Likewise, the bisecting 2,6-NSCT-Herceptin also showed no significant enhancement in affinity toward Fc $\gamma$ RIIIa compared with its nonbisecting analog, 2,6NSCT-Herceptin. Overall, the 2,6-NSCT-Herceptin showed a superb Fc $\gamma$ RIIIa binding affinity among the afucosylated analogs in the SPR analysis.

To further understand the Fc glycosylation effect on the Fc $\gamma$ RIIIa-mediated ADCC of Herceptin glycoforms, we conducted an ADCC reporter bioassay using the signaling nuclear factor of the activated T-cell (NFAT) pathway of V158 Fc $\gamma$ RIIIa engineered Jurkat effector cells and SKBR3 target cells with an E/T ratio of 6. Consistent with the kinetic data, the EC<sub>50</sub> of the afucosylated G8 Herceptin showed a loss of Fc $\gamma$ RIIIa activity and displayed a similar ADCC effect to the fucosylated Herceptin (Fig. 3A). Interestingly, a previous study showed that more bisecting glycans on the antibody, prepared by the increased expression of  $\beta$  (1, 4)-N-acetylglucosaminyltransferase III, correlate with its stronger ADCC (39). On the contrary, our study showed that no significant  $K_d$  difference was observed between the bisecting and nonbisecting glycoforms of Herceptin and Rituximab, G9 and G4, and the EC<sub>50</sub> values of the Herceptin glycoforms showed a similar cytotoxicity profile in Fc $\gamma$ RIIIa cell-mediated assay (Fig. 3B). A comparison of the bisecting and nonbisecting 2,6-NSCT-Herceptin showed a similar result, suggesting that the bisecting IgG1 does not perform better in the Fc $\gamma$ RIIIa-mediated ADCC activity.

In addition, compared with the nonsialylated G1-Herceptin, the ADCC of 2,3-sialylated Herceptin was obviously reduced, whereas the 2,6-NSCT-Herceptin still maintained its activity (Fig. 3C), indicating that sialylation-mediated ADCC reduction is caused by the 2,3 linkage. To explore the potential utility of 2,6-NSCT in antibody medication, we selected glycoengineered afucosylated Herceptin samples with lower EC<sub>50</sub> than the commercial Herceptin for further activity studies (Fig. 3D), and it was found that all samples exhibited better cytotoxicity and were capable of killing cancer cells under low concentrations.

**The ADCC Effect of the 2,6-NSCT Glycan Modification on Antiviral Antibodies.** We evaluated whether the homogenous 2,6-NSCT glycan modification of antibodies can also increase the ADCC effect of antiviral antibodies to remove virus-infected cells. We prepared an anti-influenza broadly neutralizing antibody, FI6, which was known to recognize the stem region of hemagglutinin (HA) of various subtypes of influenza, and its neutralizing activity was linked to ADCC (40). The antibody was modified to the homogeneous 2,6-NSCT-glycoform (FI6m) and mixed with human HEK293T cells, which express HA on the cell surface to mimic influenza-infected cells; then, the ADCC effects were

measured by both the PBMC-mediated cytotoxicity in target cells and the activation of ADCC by effector cells. The cytotoxicity results showed that the homogeneous antibody (FI6m) indeed exhibits a significantly higher (twofold to threefold increase) ADCC activity than the unmodified antibody FI6 (Fig. 4A). In addition, the activation of the ADCC signaling NFAT pathway of the effector NK cells was also observed to have a twofold enhancement when the homogeneous FI6m was used (Fig. 4B). Thus, our observation indicates that the homogeneous 2,6-NSCT glycan modification of antiviral antibodies can be a general strategy to enhance the ADCC activity on virus-infected cells.

Next we tested whether the *in vitro* ADCC enhancement of FI6 can be translated into protection in a mouse model that was given a lethal dose infection of influenza H1N1. The passive transfer of FI6 monoclonal antibody has been shown to protect H1N1 infection previously (41). Indeed, antibody FI6m showed a significantly better protection when mice were challenged with A/California/07/2009 H1N1 virus (Fig. 4C). The survival rate was 66% for FI6m versus 11% for FI6, demonstrating that the *in vitro* ADCC enhancement by the homogeneous FI6m is consistent with the *in vivo* protection from viral infection.

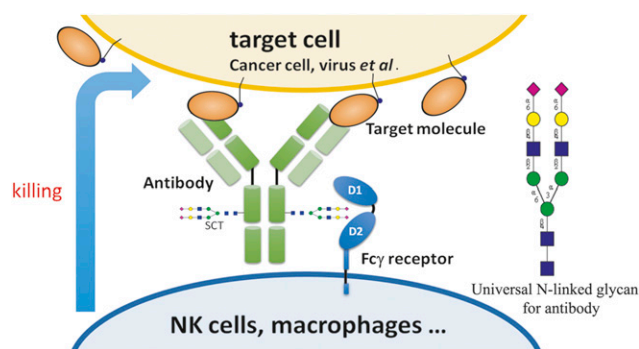
## Conclusion

The therapeutic properties of antibodies depend on the target-binding specificity and the Fc glycan-mediated effector functions. In this study, we have shown that homogeneous antibodies with well-defined glycan structure in the Fc region can be prepared to optimize the effector-mediated ADCC, CDC, and anti-inflammatory activities. Although all existing therapeutic antibodies and more than 20 glycoengineered antibodies currently in clinical studies are still mixtures of different glycoforms, our results show that the

**Table 3.** CDC assays of the commercial Rituximab and the glycoengineered 2,3-NSCT- and 2,6-NSCT-Rituximab towards target cells, Ramos

Antibody	EC <sub>50</sub> , $\mu$ g/mL (95% CI)
Rituximab	0.230 (0.103–0.356)
2,3-NSCT-Rituximab	0.587 (0.256–0.918)
2,6-NSCT-Rituximab	0.270 (0.110–0.431)

In the CDC assay performed with FACS, 2,6-NSCT-Rituximab showed similar CDC activity to the nontreated antibody, but the 2,3-NSCT-Rituximab showed reduced CDC efficacy.



**Fig. 5.** A common and optimal N-linked glycan on the Fc region of a therapeutic antibody for the enhancement of antibody activities against infectious and inflammatory diseases, as well as cancer.

biantennary N-linked glycan structure with two terminal alpha-2,6-linked sialic acids at the Fc glycosylation site Asn-297 is a common optimal structure for the enhancement of effector functions (Fig. 5). The activity enhancement is mainly due to the increased interaction between the Fc glycan and Fc receptors as shown by the SPR analysis of the Fc glycan and Fc receptor interaction in this study and by the previous structural studies on the

defucosylated antibodies interacting with the FcγRIIIa receptor (8). Our preliminary study based on the X-ray structure also showed that the 2,6-sialylated glycan had a stronger interaction with the FcγRIIIa receptor than did the other glycoforms. In conclusion, this study provides a previously unidentified strategy for the development of therapeutic antibodies in the future.

## Materials and Methods

Rituximab (2.5 mg) in a sodium phosphate buffer (50 mM, pH 7.0, 1.25 mL) was incubated with EndoS (125 μg) and BffuCh (2.5 mg) at 37 °C for 22 h. The reaction mixture was subjected to affinity chromatography on a column of protein A-agarose resin (1 mL) preequilibrated with a sodium phosphate buffer (20 mM, pH 7.0). After washing, the bound IgG was released with glycine HCl (50 mM, pH 3.0, 10 mL), and the elution fractions were immediately neutralized with Tris-Cl buffer (1.0 M, pH 8.3) and concentrated by centrifugal filtration (Amicon Ultra centrifugal filter) to give mono-GlcNAc Rituximab (1.93 mg). Detailed materials and methods are in *SI Appendix*, including the synthesis and analysis of pertinent glycans, glycan oxazolines and homogeneous antibodies, functional assays and binding analysis of various homogeneous antibodies, and H1N1 virus challenge in mice.

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