

# All-Carbon [3+3] Oxidative Annulations of 1,3-Enynes by Rhodium(III)-Catalyzed C–H Functionalization and 1,4-Migration\*\*

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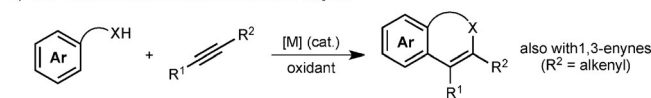
**Abstract:** 1,3-Enynes containing allylic hydrogens *cis* to the alkyne function as three-carbon components in rhodium(III)-catalyzed, all-carbon [3+3] oxidative annulations to produce spirodialins. The proposed mechanism of these reactions involves the alkenyl-to-allyl 1,4-rhodium(III) migration.

Transition metal-catalyzed oxidative annulations of alkynes<sup>[1]</sup> that proceed by directing group-promoted C(sp<sup>2</sup>)–H functionalization<sup>[1,2]</sup> are versatile methods for heterocycle<sup>[3]</sup> and carbocycle<sup>[4]</sup> synthesis. Alkynes, including 1,3-enynes,<sup>[5]</sup> serve as two-carbon components in these reactions (Scheme 1a). However, analogous reactions that result in three-carbon annulation are currently underdeveloped,<sup>[6]</sup> and addressing this shortcoming would expand the range of products accessible using C–H functionalization/oxidative annulation chemistry.

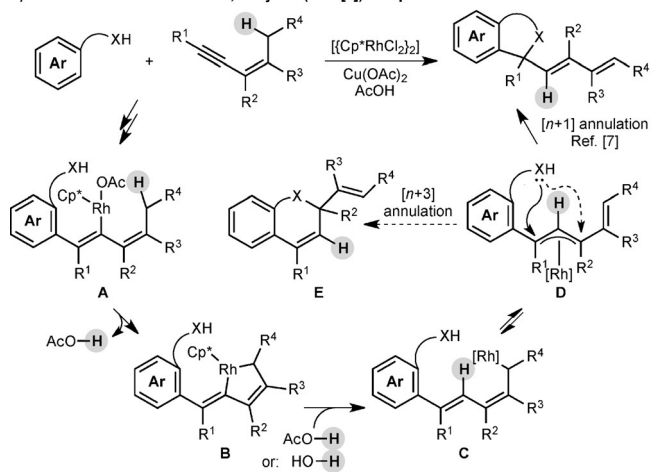
Using rhodium(III) catalysis, we recently discovered a new mode of oxidative annulation of 1,3-enynes that contain allylic hydrogens *cis* to the alkyne, in which they act as one-carbon components (Scheme 1b).<sup>[7]</sup> The proposed mechanism<sup>[7]</sup> involves the 1,4-rhodium(III) migration<sup>[8,9]</sup> of alkenylrhodium species **A** to give  $\sigma$ -allylrhodium(III) species **C** via rhodacycle **B**. Following isomerization of **C** into the electrophilic  $\pi$ -allylrhodium(III) species **D**, nucleophilic trapping by the directing group gives the product of  $[n+1]$  annulation. Given the isomerization of **C** into **D**, there exists the possibility for cyclization to occur at a different position of the extended  $\pi$ -system to give **E**, a product of  $[n+3]$  annulation (Scheme 1b).<sup>[6]</sup>

Herein, we describe the realization of this possibility in rhodium(III)-catalyzed reactions of 2-aryl cyclic 1,3-dicar-

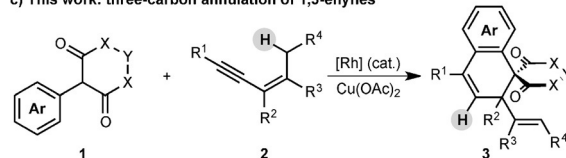
**a) Two-carbon oxidative annulations with alkynes**



**b) One-carbon annulations of 1,3-enynes (Ref. [7]) and possible three-carbon annulation**



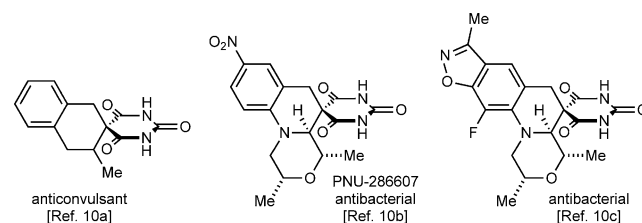
**c) This work: three-carbon annulation of 1,3-enynes**



**Scheme 1.** Catalytic oxidative annulations of alkynes and 1,3-enynes.

bonyls **1** with 1,3-enynes **2** to give spirodialins **3** (Scheme 1c). The majority of the products obtained are spirocyclic barbiturates, which are of interest given the well-established medicinal importance of the barbiturate motif, and the biological activity of structurally related spirocycles (Figure 1).<sup>[10]</sup>

During our studies of metal-catalyzed oxidative annulations of alkynes,<sup>[4a,b,c,7]</sup> the reaction of 5-arylbarbituric acid **1a** with 1,3-enyne **2a** was performed using  $[\{Cp^*RhCl_2\}_2]$  (2.5 mol%) and  $Cu(OAc)_2 \cdot H_2O$  (2.1 equiv) in dioxane/ $H_2O$



**Figure 1.** Biologically active spirocyclic barbiturates.

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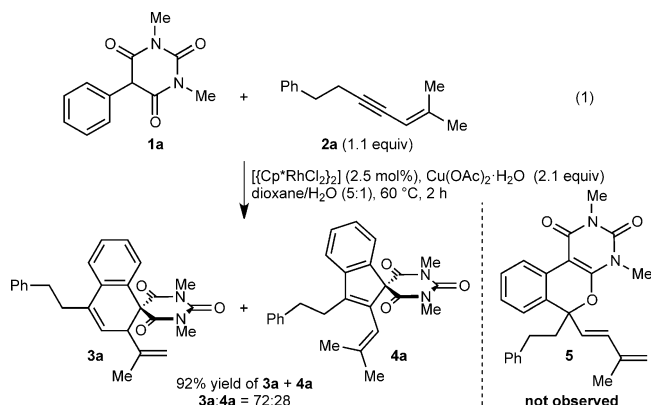
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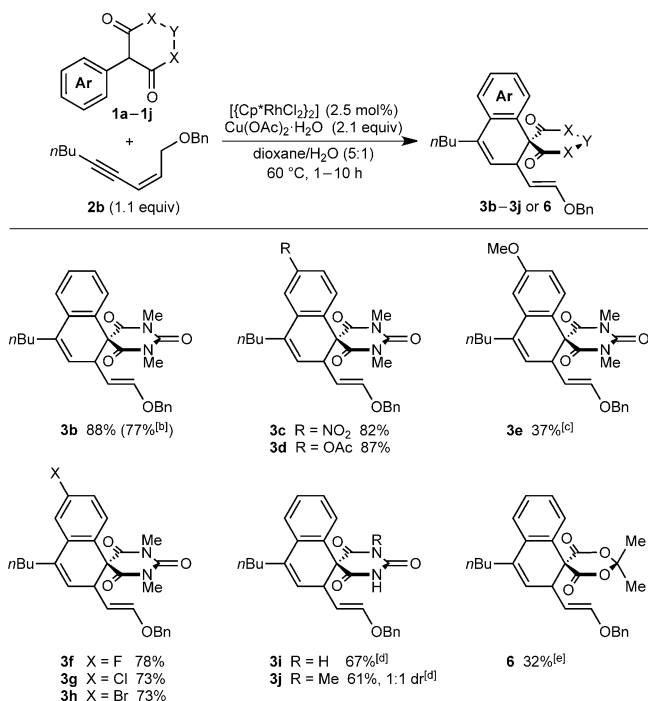
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(5:1) at 60 °C [Eq. (1)]. As well as providing the spiroindene **4a** through a standard two-carbon annulation,<sup>[4a,b,e]</sup> a [3+3] annulation occurred to give spirodialin **3a** as the major product. No one-carbon annulation product **5**<sup>[7]</sup> was detected. Chromatographic purification gave a 72:28 mixture of **3a** and **4a** in 92% yield. Without H<sub>2</sub>O, more side products were formed and the ratio of **3a**:**4a** decreased to ca. 50:50. No reaction occurred without Cu(OAc)<sub>2</sub>·H<sub>2</sub>O.<sup>[11]</sup>



Further studies revealed the benzyloxy-containing 1,3-enyne **2b** to be superior to **2a**; the reaction of **2b** with **1a** gave spirodialin **3b** only, in 88% yield as the *E*-isomer (Scheme 2). Reaction of **2b** with various 5-arylbarbituric acids<sup>[12]</sup> demonstrated compatibility with nitro (**3c**), acetoxy (**3d**), and halogen substituents (**3f–3h**) on the aryl group.<sup>[13]</sup> Spirodialin **3e** was not formed under the standard conditions,<sup>[14]</sup> but replacing dioxane/H<sub>2</sub>O with undried DMF enabled produc-



**Scheme 2.** [a] Conducted with 0.50 mmol of **1a–1j**. [b] Yield of isolated products. [c] Conducted with 0.5 mol% of [(Cp\*RhCl<sub>2</sub>)<sub>2</sub>]. [d] Conducted in undried DMF. Side products were also obtained; see Ref. [14]. [e] Conducted at 120 °C. [f] Conducted with 5 mol% of [(Cp\*RhCl<sub>2</sub>)<sub>2</sub>].

tive [3+3] annulation and isolation of **3e** in 37% yield, along with several side products.<sup>[14]</sup> Free N–H groups on the barbituric acids were also tolerated (**3i** and **3j**). In the latter case, **3j** was formed as a 1:1 inseparable mixture of diastereomers. The reaction of 2-phenyl Meldrum's acid also gave [3+3] annulation, but the yield of **6** was only 32% due to decomposition of the starting material and product under the acidic conditions.<sup>[15]</sup> Decreasing the loading of [(Cp\*RhCl<sub>2</sub>)<sub>2</sub>] to 0.5 mol% in the reaction of **1a** with **2b** was well-tolerated and provided **3b** in 77% yield.

Interestingly, Cu(OAc)<sub>2</sub>·H<sub>2</sub>O rapidly decomposed cyclic hydrazide **7**, precluding its use as the oxidant in the reaction with 1,3-enyne **2b** [Eq. (2)]. However, reaction of **7** (2.0 equiv) with **2b** without Cu(OAc)<sub>2</sub>·H<sub>2</sub>O but with inclusion of NaOAc·3H<sub>2</sub>O (3.0 equiv) gave spirodialin **8** in 47% yield, along with **2b** (30% recovery). We speculate that the N–N bond of **7** could be serving as an oxidant to regenerate the catalyst,<sup>[16]</sup> but we were unable to isolate the reduced form of **7** to confirm this hypothesis.

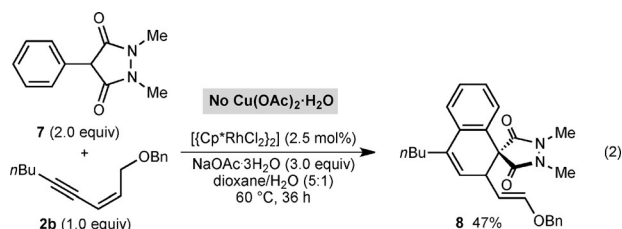


Table 1 presents the results of oxidative annulations of 5-arylbarbituric acids with various 1,3-enynes. No spiroindenes or benzopyrans were detected. 1,3-Enynes **2c** and **2d**, containing protected or unprotected 2-hydroxyethyl groups on the alkyne were tolerated (entries 1 and 2). Use of a methoxy group in the 1,3-enyne in place of a benzyloxy group was also possible (entry 3). With a 5-(4-nitrophenyl)-substituted barbituric acid, oxidative annulations with 1,3-enynes **2a**, **2f**, and **2g** containing various groups *trans* to the alkyne proceeded efficiently to give spirodialins **3n–3p** in 72–95% yield (entries 4–6). As with the corresponding one-carbon annulations,<sup>[7]</sup> the 4-nitrophenyl group favors 1,4-rhodium(III) migration over the formation of spiroindenes [compare with Eq. (1)]. 1,3-Enynes **2h** and **2i** containing cyclic groups were also competent substrates (entries 7 and 8), and spirodialin **3r** was isolated in 57% yield, despite containing a potentially acid-sensitive enol acetal.

Notably, the formation of a highly sterically hindered spirodialin **3s** containing contiguous all-carbon sp<sup>3</sup> quaternary centers from 1,3-enyne **2j** occurred efficiently [Eq. (3)]. The reaction of **1b** with 1,3-enyne **9**, which does not contain any *cis*-allylic hydrogens, led only to the formation of spiroindene **4b** in 82% yield, thus highlighting the importance of this structural feature for [3+3] annulation [Eq. (4)].

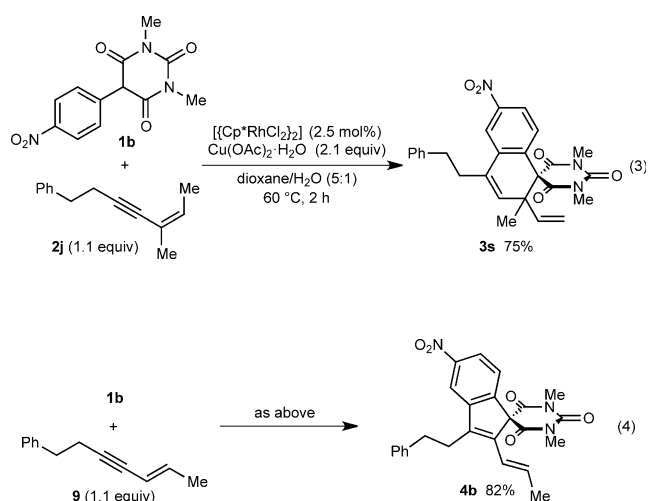
Scheme 3 depicts a possible catalytic cycle for these reactions, using representative substrates **1a** and **2a**. This cycle is similar to that proposed for the one-carbon annulations we described previously.<sup>[7]</sup> Cyclorhodation of **1a** with rhodium diacetate **10** would give rhodacycle **11**. Migratory

**Table 1:** [3+3] Oxidative annulations of various 1,3-enynes.<sup>[a]</sup>

Entry	1,3-Enyne	Product	Yield [%] <sup>[b]</sup>
1	<b>2c</b>	<b>3k</b> R = TBS	60
2	<b>2d</b>	<b>3l</b> R = H	64
3	<b>2e</b>	<b>3m</b>	80
4	<b>2a</b>	<b>3n</b> R = Me	95
5	<b>2f</b>	<b>3o</b> R = Ph	78
6	<b>2g</b>	<b>3p</b> R = H	72
7	<b>2h</b>	<b>3q</b>	86
8	<b>2i</b>	<b>3r</b>	57

[a] Conducted with 0.50 mmol of **1**. [b] Yield of isolated products.

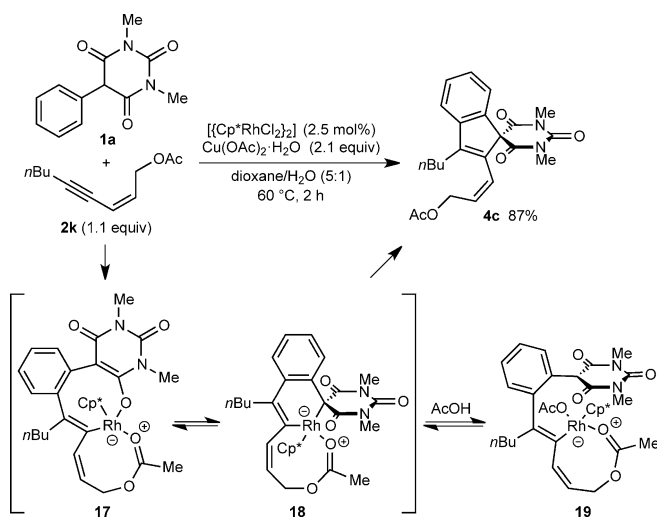
insertion of 1,3-enyne **2a** then provides rhodacycle **12**, which upon reductive elimination would give spiroindene **4a**. However, reversible protonolysis of **12** forms alkenylrhodium species **13**, which can then undergo 1,4-rhodium(III) migra-



**Scheme 3.** Possible catalytic cycle.

tion to form  $\sigma$ -allylrhodium(III) species **14**. This intermediate can lead to  $\pi$ -allylrhodium(III) species **15** by a series of  $\sigma$ - $\pi$ - $\sigma$  interconversions and *E/Z* isomerization. Outer sphere nucleophilic attack of the  $\pi$ -allylrhodium(III) moiety<sup>[17,18]</sup> of **15** by C5 of the barbituric acid then gives spirodialin **3a** and rhodium(I) species **16**, which undergoes Cu(OAc)<sub>2</sub>-promoted oxidation to regenerate **10**. The preference of 5-monosubstituted barbituric acids for *C*-allylation over *O*-allylation has been observed previously in Pd-catalyzed asymmetric allylic alkylations.<sup>[19]</sup> However, an alternative pathway involving an inner-sphere reductive elimination cannot be excluded.

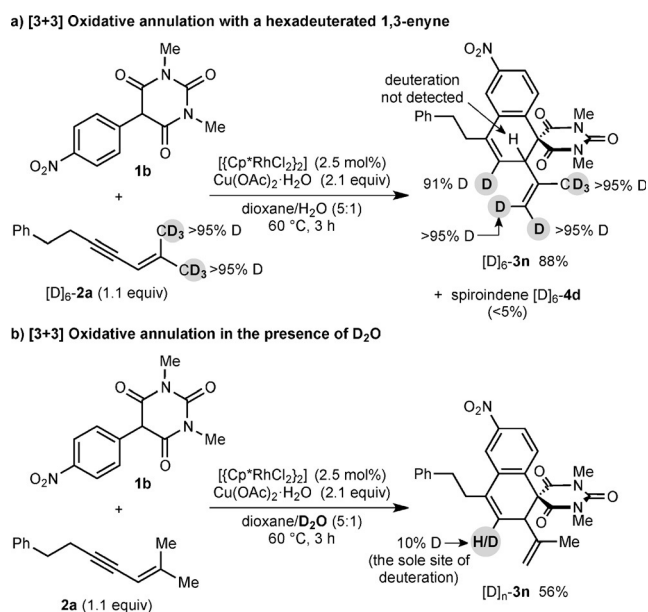
The reaction of **1a** with 1,3-enyne **2k** gave spiroindene **4c** only (Scheme 4), a result that differs from the formation of spirodialins **3b** (Scheme 2) and **3m** (Table 1, entry 3) from 1,3-enynes **2b** and **2e**, respectively. A possible explanation for



**Scheme 4.** Formation of spiroindene **4c** from 1,3-enyne **2k**.

this contrasting behavior might be coordination of the acetoxy group to rhodium, resulting in stabilization of 18-electron intermediates such as rhodacycles **17** and **18** (analogous to **12** in Scheme 3, but the  $\sigma$ -haptomers) or alkenylrhodium species **19**. This stabilization likely disfavors 1,4-rhodium(III) migration and leads instead to reductive elimination from **18** to give **4c**.

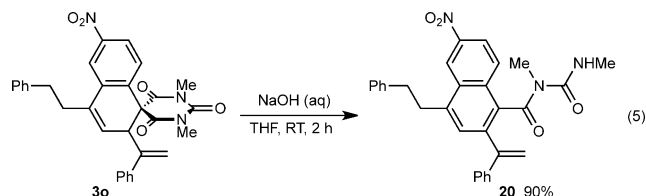
The reaction of **1b** with the hexadeuterated 1,3-enyne  $[D]_6$ -**2a** gave traces of a spiroindene  $[D]_6$ -**4d** (<5%), and spirodialin  $[D]_6$ -**3n** in 88% yield (Scheme 5a), in which incomplete deuterium transfer (91% D) from the *cis*-allylic position of  $[D]_6$ -**2a** to the alkenyl position of the dialin ring of  $[D]_6$ -**3n** was observed. Furthermore, the reaction of **1b** with **2a** in 5:1 dioxane/ $D_2O$  led to 10% deuteration at the same position of  $[D]_n$ -**3n**, with no spiroindene detected (Scheme 5b). These results are similar to the corresponding



**Scheme 5.** Oxidative annulation with a hexadeuterated 1,3-enyne.

experiments with  $[D]_6$ -**2a** in the one-carbon annulations reported previously,<sup>[7]</sup> and are consistent with 1,4-rhodium(III) migration occurring by a concerted metalation-deprotonation/reprotonation sequence (similar to **A** to **C** in Scheme 1b).<sup>[7]</sup>

Although the spirocyclic barbiturates prepared in this study are themselves of interest, they can be transformed into other compounds. For example, treatment of **3o** with aqueous NaOH in THF gave the highly functionalized naphthalene **2o** in 90% yield [Eq. (5)].



In conclusion, we have reported rhodium(III)-catalyzed, all-carbon [3+3] oxidative annulations of 5-arylbarbituric acids and related compounds with 1,3-enynes containing allylic hydrogens *cis* to the alkyne. This new mode of oxidative annulation further demonstrates the power of alkenyl-to-allyl 1,4-rhodium(III) migration in generating electrophilic allylrhodium species for the construction of polycyclic systems. Other applications of this method of allylmetal generation will be reported in due course.

**Keywords:** allylation · C–H activation · enynes · homogeneous catalysis · rhodium

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