ORIGINAL ARTICLE

Hepato-pancreatectomy: how morbid? Results from the national surgical quality improvement project

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Abstract

Background: Simultaneous resection of both the liver and the pancreas carries significant complexity. The objective of this study was to investigate peri-operative outcomes after a synchronous hepatectomy and pancreatectomy (SHP).

Methods: The American College of Surgeons National Surgical Quality Improvement Project database was queried to identify patients who underwent SHP. Resections were categorized as '< hemihepatectomy', '≥ hemihepatectomy' (hemihepatectomy and trisectionectomy), 'PD' (pancreaticoduodenectomy and total pancreatectomy) and 'distal' (distal pancreatectomy and enucleation).

Results: From 2005 to 2013, 480 patients underwent SHP. Patients were stratified based on the extent of resection: '< hemihepatectomy + distal (n = 224)', ' \geq hemihepatectomy + distal' (n = 49), '< hemihepatectomy + PD' (n = 83) and ' \geq hemihepatectomy + PD' (n = 24). Although the first three groups had a reasonable and comparable safety profile (morbidity 33–51% and mortality 0–6.6%), the ' \geq hemihepatectomy + PD' group was associated with an 87.5% morbidity (organ space infection 58.3%, re-intubation 12.5%, reoperation 25% and septic shock 25%), 8.3% 30-day mortality and 18.2% in-hospital mortality.

Conclusions: A synchronous hemihepatectomy (or trisectionectomy) with PD remains a highly morbid combination and should be reserved for patients who have undergone extremely cautious selection.

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Introduction

Hepatic and pancreatic resections are complex operations requiring considerable expertise. Early attempts at liver resection were fraught with mortality rates up to 20% owing to parenchymal haemorrhage and liver failure.¹ However, advancements in peri-operative anaesthetic management,² surgical technique (including portal vein embolization),³ and post-operative care have resulted in substantial improvements in morbidity and mortality, with less than 5% mortality associated with a major hepatectomy in several recent studies.^{4–9} Similarly, for pancreaticoduodenectomy (PD) while morbidity remains considerably high (41-52%),^{10–12} mortality has improved from 25% to 1.7% in the last few decades.^{11–16}

What remains controversial is the safety of synchronous hepatectomy with pancreatectomy (SHP). Most studies

evaluating outcomes of SHP are limited to single institution series. The first Japanese study on 'hepato-pancreaticoduodenectomy' reported a 79% morbidity and 24% mortality in 24 patients with advanced hepatobiliary cancers.¹⁷ However, more recent Japanese studies have shown a modest improvement in post-operative morbidity and mortality after SHP.^{18,19} Ebata et al.¹⁸ demonstrated a temporal reduction in mortality from 31% in the 1980s to 14% in the 2000s. While the short- and long-term outcomes of SHP have been extensively studied in Asia, there is a paucity of literature on outcomes of SHP in the West. In 2004, Memorial Sloan Kettering reported an overall post-operative morbidity of 47% and post-operative mortality of 18% in 17 patients who underwent SHP for advanced hepatobiliary cancers.²⁰ More recently, Hemming et al. revealed an overall morbidity of 35% and no peri-operative deaths in 40 patients undergoing SHP.²¹ However, owing to the small size and heterogeneous mix of surgical procedures in these single-institution studies, the experience of SHP in the West remains limited. Thus, the objective of this study was to

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	<hemi-hepatectomy + Distal (<i>n</i> = 224)</hemi-hepatectomy 	≥Hemi-hepatectomy + Distal (<i>n</i> = 49)	<hemi-hepatectomy + PD (n = 183)</hemi-hepatectomy 	≥Hemi-hepatectomy + PD (<i>n</i> = 24)	P-value
Age	58 (21–84)	58 (20–86)	64 (25–88)	53 (26–78)	0.001
Race					
White	170 (75.9)	35 (71.4)	144 (78.7)	17 (70.8)	0.460
Black	26 (11.6)	5 (10.2)	12 (6.6)	2 (8.3)	
Asian	9 (4.0)	3 (6.1)	14 (7.7)	2 (8.3)	
Other	19 (8.5)	6 (12.2)	13 (7.1) 3 (12.5)		
Female	129 (57.6)	24 (49)	191 (55.2)	9 (37.5)	0.232
BMI	28 (16–68)	26 (17–40)	27 (17–50)	26 (17–40)	0.071
Any Comorbidity (n = 380)	45 (26.5)	6 (16.2)	37 (24.5)	3 (15.8)	0.528
Smoker	27 (12.1)	7 (14.3)	32 (17.5)	1 (4.2)	0.213
Diabetes	43 (19.2)	3 (6.1)	35 (19)	3 (12.5)	0.114
COPD	5 (2.2)	2 (4.1)	7 (3.8)	0 (0)	0.652
HTN on medication	104 (46.4)	14 (28.6)	91 (49.7)	6 (25)	0.011
TIA (n = 273)	2 (1.6)	0 (0)	0 (0)	0 (0)	0.656
CVA (n-273)	1 (0.8)	0 (00	2 (1.9)	0 (0)	0.766
Sepsis	4 (1.8)	3 (6.1)	6 (3.3)	0 (0)	0.303
Pre-operative chemotherapy (n = 272)	5 (4.0)	3 (10)	8 (7.8)	1 (6.3)	0.423
Pre-operative Radiotherapy (n = 272)	4 (3.2)	0 (0)	3 (1.9)	0 (0)	0.695
Creatinine	0.8 (0.3–2.4)	0.9 (0.4–1.6)	0.8 (0.3–2.43)	0.84 (0.6–1.3)	0.472
Albumin	3.9 (1–5.1)	3.9 (2–4.8)	3.8 (1.6–5)	3.8 (2.1–5.1)	0.109
Bilirubin	0.6 (0.1–2.2)	0.6 (0.1–8.9)	0.6 (0.6–11.5)	0.7 (0.1–8.9)	<0.001
Platelet	228 (40–588)	225 (106–428)	250 (23–620)	278 (166–590)	0.011
INR	1 (0.8–1.9)	1 (0.8–1.7)	1 (0.74–9.1)	1 (0.91–1.2)	0.878
ASA Class > 3	158 (70.5)	37 (75.5)	126 (68.9)	20 (83.3)	0.442

Table 1 Clinical characteristics

ASA, American Society of Anesthesiologist; TIA, transient ischaemic attack; HTN, hypertension; COPD, chronic obstructive pulmonary disease; BMI, body mass index; CVA, cerebral vascular attack; INR, International normalized ratio.

determine the short-term outcomes of SHP in North America using the American College of Surgeons National Surgical Quality Improvement Program (ACS-NSQIP).

Patients and methods

The ACS-NSQIP Participant Use File was queried to identify all patients who underwent any hepatic or pancreatic resections from 1 January 2005 to 31 December 2013. The ACS-NSQIP is a nationally validated, outcomes-based and risk-adjusted programme used to measure and assess the quality of surgical care in participating institutions. Initially introduced in 1991 to assess post-operative morbidity and mortality in the Veteran Affairs (VA) Health System, the success of the VA-NSQIP sub-

sequently led to the expansion of NSQIP into the private sector. Currently, there are 569 sites enrolled in ACS-NSQIP, which represents 10% of 5686 hospitals in the United States. Trained and certified surgical clinical nurse reviewers prospectively collect and report pre-, intra- and post-operative outcomes on each patient into an electronic clinical registry.

Current procedural terminology (CPT) codes were used to determine cases in which patients underwent both hepatic and pancreatic resections. CPT code 47120 (partial lobectomy) was classified as '< hemihepatectomy'. CPT codes 47122 (trisegmentectomy), 47125 (total left lobectomy) and 47130 (total right lobectomy) were classified as ' \geq hemihepatectomy'. CPT codes 48120 (enucleation), 48140 (distal pancreatectomy), 48145 (distal pancreatectomy with pancreatojejunostomy) and

48146 (near total distal pancreatectomy) were classified as 'distal pancreatectomy'. CPT codes 48150, 48152, 48153, 48154 (different versions of pancreaticoduodenectomy) and 48155 (total pancreatectomy) were categorized as 'PD'. Patients who underwent wedge biopsy of the liver (CPT 47100) were not included in the study.

Categorical variables were presented as observed counts and percentages and compared using Pearson's chi-square and Fisher's exact test, where applicable. Continuous variables were presented as the median and range compared using the Student's *t*-test for parametric variables and Wilcoxon's ranksum test for non-parametric variables. Statistical analyses were performed using SPSS version 22.0 (IBM, Chicago, IL, USA). A two-sided *P*-value of < 0.05 was considered statistically significant.

Results

Of the 48 568 hepatic and pancreatic resections performed in participating ACS-NSQIP hospitals from 2005 to 2013, 480 (1%) resections were SHP. Patients were stratified into four groups based on the extent of resection: < hemihepatectomy with distal, \geq hemihepatectomy with distal, < hemihepatectomy with PD and \geq hemihepatectomy with PD. Patients who were selected to undergo \geq hemihepatectomy with PD were younger than patients in the other three groups. Otherwise, there were no significant differences in pre-operative clinical characteristics among the four groups (Table 1). Pre-operative indications for SHP based on the International Statistical Classification of Diseases (ICD-9) codes are shown in Table 2. Of the 480 patients in the entire cohort, 31% of SHP cases were performed for pancreatic malignancy (not otherwise specified), 21% specifically for neuroendocrine tumours, 13% for secondary liver cancers and 8% for cholangiocarcinoma or gallbladder cancer.

Figure 1 demonstrates the rates of post-operative complications based on the extent of resection. As extent of resection increased, post-operative morbidity was appreciably higher (33%, 51%, 51.4% and 87.5%; P < 0.001), the operative time was longer (270, 341, 385 and 461 min; P < 0.001), as was the hospital length of stay (7.5, 8, 9 and 14 days; P < 0.001). Rates of organ space infection (P < 0.001), post-operative bleeding requiring a blood transfusion (P = 0.018), systemic sepsis (P = 0.003), septic shock (P = 0.001) and re-operation (P = 0.019) were significantly higher as an extent of the resection increased. The in-hospital mortality rates increased significantly with extent of resection (2.4%, 0%, 6.4% and 18.2%; P < 0.001), whereas the corresponding increase in 30-day mortality only approached statistical significance (2.7%, 0%, 6.6% and 8.3%, P = 0.054) (Fig. 2).

Discussion

Synchronous hepatectomy and PD is a procedure that is rarely performed, accounting for only 1% of all hepatic and pancreatic cases in the United States. Worldwide, there are fewer than 400 cases reported from the East^{17,19,22–34} and 100 cases from

 Table 2 Pre-operative diagnoses stratified by extent of hepato-pancreatectomy

	<hemi-hepatectomy + Distal (n = 224)</hemi-hepatectomy 	≥Hemi-hepatectomy + Distal (<i>n</i> = 49)	<hemi-hepatectomy + PD (n = 183)</hemi-hepatectomy 	≥Hemi-hepatectomy + PD (<i>n</i> = 24)	Total (%)
Pancreatic malignancy	52 (23.2)	11 (22.4)	83 (45.4)	4 (16.7)	150 (31)
Neuroendocrine tumour	54 (24.2)	15 (30.6)	19 (10.4)	5 (20.8)	93 (19.3)
Secondary liver malignancy	40 (17.9)	11 (22.4)	13 (7.1)	0 (0)	64 (13.3)
Benign pancreatic disease	19 (8.5)	0 (0)	5 (2.7)	0 (0)	24 (5)
Bile duct malignancy	2 (0.9)	2 (4.1)	7 (3.8)	10 (41.7)	21 (4.4)
Gastric malignancy	17 (7.6)	1 (2.0)	1 (0.5)	0 (0)	19 (4)
Gallbladder malignancy	0 (0)	1 (2.0)	14 (7.7)	1 (4.2)	16 (3.3)
Colorectal malignancy	6 (2.7)	0 (0)	7 (3.8)	1 (4.2)	14 (2.9)
Ampullary malignancy	0 (0)	0 (0)	11 (6.0)	0 (0)	11 (2.3)
Duodenal malignancy	2 (0.9)	0 (0)	6 (3.3)	2 (8.3)	10 (2.1)
Primary liver malignancy	4 (1.8)	2 (4.1)	3 (1.6)	1 (4.2)	10 (2.1)
Retroperitoneal tumour	8 (3.6)	0 (0)	4 (2.2)	0 (0)	12 (1.5)
Benign biliary disease	4 (1.8)	1 (2.0)	1 (0.5)	0 (0)	6 (1.3)
Adrenal malignancy	1 (0.4)	0 (0)	0 (0)	0 (0)	1 (0.2)
Ovarian malignancy	1 (0.4)	0 (0)	0 (0)	0 (0)	1 (0.2)
Other	14 (6.3)	5 (10.2)	9 (4.9)	0 (0)	28 (5.8)
Total	224	49	183	24	480

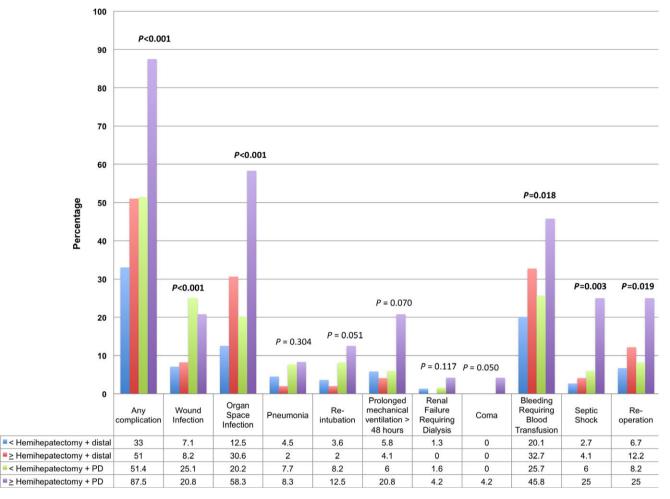


Figure 1 Morbidity of the entire cohort stratified by extent of resection

the West^{20,21,35–39} in the last 25 years. In the present study of 480 patients treated in North America, more extensive resections correlated with higher morbidity, longer hospital stay and longer operative time. More specifically, \geq hemihepatectomy (i.e. lobectomy or trisectionectomy) with PD was associated with profoundly higher morbidity of 87% and in-hospital mortality of 18.2%. In contrast, we found that PD with \leq hemihepatectomy was associated with a complication rate of 51.4% and in-hospital mortality rate of 6.4%.

A literature review of morbidity and mortality after being combined PD with \geq hemihepatectomy reveals variable shortterm results. Two studies from North America have described outcomes after hepato-pancreaticoduodenectomy. A small study of six patients revealed a morbidity of 83% and mortality of 50%.²⁰ In contrast, another study of 40 patients who underwent SHP, in general, demonstrated an overall mortality of 0% and morbidity of 35% for the entire cohort; however, the exact number of patients who actually underwent \geq hemihepatectomy with PD could not be discerned. The Asian experience for hepatopancreaticoduodenectomy is more extensive and is summarized in Table 3. The morbidity rates ranged from 57% to 95% while the mortality rates ranged from 0% to 31%. This wide variation in mortality rates in Asian centres merits further attention. A careful review of these studies shows that the use of pre-operative portal vein embolization (PVE) prior to hepato-pancreaticoduodenectomy is related to lower mortality rates in the range of 0 to 5%.^{18,30,31,33,34} In contrast, in the two studies that did not employ PVE, the mortality rates were significantly higher (21% to 31%).^{19,32} Although cross-study comparisons are limited to securing definitive conclusions, it appears that pre-operative portal vein embolization can be an effective way to decrease post-operative liver failure and mortality after SHP.

Long-term survival outcomes after SHP for advanced hepatobiliary malignancies remain unclear. A review of Table 3 shows SHP for gallbladder cancer is associated with 5-year survival rates between 0% and 25%,^{19,32–34} whereas SHP for cholangiocarcinoma has been associated with 5-year survival rates ranging from 12% to 54%.^{19,30,32,34} In contrast, SHP for more indolent malignancies such as neuroendocrine tumors is associated with more favourable 5-year survival rates ranging

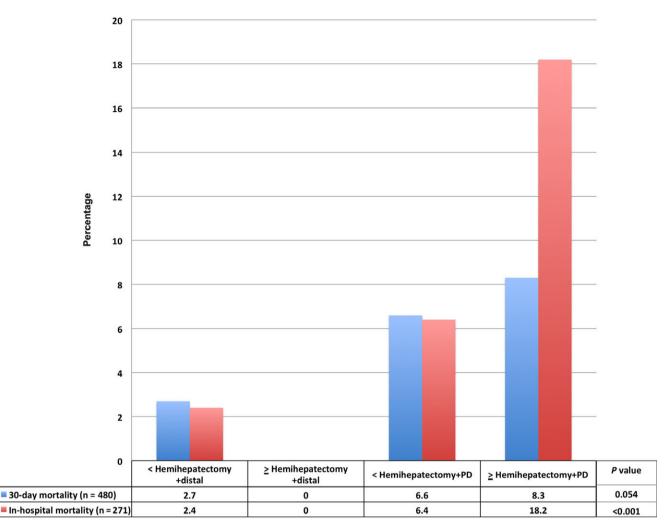




Table 3 Selected publications reporting outcomes of hepato-pancreaticoduodenectomy

Series	Number of patients	Morbidity	Mortality	Fraction of patients who underwent preoperative PVE	5 year OS
Miwa et al. ³³	26	30.8%	0%	77%	25% (GBC) 51.9% (BDC)
Wakai <i>et al.</i> ¹⁹	28	82%	21%	0	9% (GBC) 12%(BDC)
Kaneoka et al.31	14	57%	0%	43%	50%
Ebata et al.30	78	77%	2.4%	79%	54% (BDC)
Lim et al. ³²	23	91%	31%	0	10% (GBC) 32.3% (BDC)
Sakamoto et al.34	19	95%	5%	89%	0% (GBC) 53% (BDC)

PVE, portal vein embolization; GBC, gallbladder cancer; BDC, bile duct cancer; OS, overall survival.

from 64% to 69%.^{35,40} The superior long-term outcomes associated with aggressive resection of neuroendocrine tumours may justify performing SHP in this group. Given the lack of favourable long-term survival outcomes, selection of patients

with gallbladder cancer and cholangiocarcinoma for hepatopancreaticoduodenectomy remains extremely challenging.

The present study has several important limitations. First, ACS-NSQIP is a national clinical registry that obtains only

30-day post-operative outcomes. This limits our ability to detect mortality after 30 days, particularly as 90-day mortality is currently considered a more reliable metric of surgical outcomes.⁴¹ Second, ACS-NSQIP lacks detailed pathology data (exact diagnosis, margin, grade and lymph node status) as well as long-term oncologic data (recurrence and survival) that would have provided further insight into the selection of patients for this extensive procedure. Third, specific hepatectomy and pancreatectomy complications could not be deciphered using the ACS-NSQIP database. For example, although 'organ space infection' is available in the database, it remains unclear if this complication is representative of a pancreatic fistula, bile leak or another source of intra-abdominal abscess. Along the same lines, post-hepatectomy liver failure is not captured in the ACS-NSQIP database. Lastly, although PVE appears critical in decreasing mortality after hepato-pancreaticoduodenectomy, we could not assess the effect of PVE using ACS-NSQIP. Nevertheless, the use of this large and multi-institutional registry provides useful and generalizable insight on the outcomes of SHP in North American patients.

In conclusion, less extensive combined pancreatic and hepatic resections can be performed with reasonable morbidity and mortality, with the exception of combined PD with hemihepatectomy, which is associated with almost universal morbidity (87%) and considerable in-hospital mortality (18%). Patients should be selected for this extensive procedure with extreme caution when surgical candidacy is robust, and the long-term oncological benefit is anticipated to outweigh the peri-operative risks significantly. This appears to be the case more commonly for patients with a pancreatic neuroendocrine tumour with liver metastases and less so for patients with biliary tract adenocarcinoma. Furthermore, although not supported directly from our data, a review of the literature suggests that pre-operative PVE might decrease the incidence of mortality after hepato-pancreaticoduodenectomy. The role of PVE in reducing post-operative mortality in this setting warrants further investigation.

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Conflict of interest

None declared.

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