

Reciprocal Compensation to Changes in Dietary Intake and Energy Expenditure within the Concept of Energy Balance^{1–3}

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ABSTRACT

An imbalance between energy intake and energy expenditure is the primary etiology for excess weight gain. Increased energy expenditure via exercise and energy restriction via diet are commonly used approaches to induce weight loss. Such behavioral interventions, however, have generally resulted in a smaller than expected weight loss, which in part has been attributed to compensatory adaptations in other components contributing to energy balance. Current research points to a loose coupling between energy intake and energy expenditure on a daily basis, and evidence for long-term adaptations has been inconsistent. The lack of conclusive evidence on compensatory adaptations in response to alterations in energy balance can be attributed to differences in intervention type and study population. Physical activity (PA) levels may be reduced in response to aerobic exercise but not in response to resistance exercise. Furthermore, athletic and lean adults have been shown to increase their energy intake in response to exercise, whereas no such response was observed in obese adults. There is also evidence that caloric restriction is associated with a decline in PA. Generally, humans seem to be better equipped to defend against weight loss than avoid weight gain, but results also show a large individual variability. Therefore, individual differences rather than group means should be explored to identify specific characteristics of “compensators” and “noncompensators.” This review emphasizes the need for more research with simultaneous measurements of all major components contributing to energy balance to enhance the understanding of the regulation of energy balance, which is crucial to address the current obesity epidemic. *Adv Nutr* 2015;6:592–9.

Keywords: physical activity, exercise, nonexercise activity thermogenesis, energy intake, caloric restriction, body composition

Introduction

The high prevalence of overweight and obesity is one of the leading future threats to public health (1). Nearly 69% of US adults are overweight (BMI ≥ 25.0 kg/m²), with almost 36% of adults classified as obese (BMI ≥ 30 kg/m²) (2). Excess body weight increases the risk of numerous chronic diseases, including cardiovascular disease, diabetes, many forms of cancer, and musculoskeletal problems (1), and it has been associated with 300,000 deaths/y in the United States (3). The high prevalence of obesity also puts a substantial burden on the health care system because obesity-related conditions are estimated to account for ~7% of total health care costs

or \$117 billion/y in direct and indirect costs in the United States (4).

An imbalance between energy intake and energy expenditure is the primary etiology factor related to excess weight gain, with the major components contributing to energy balance displayed in **Figure 1**. The simple concept of energy balance, however, is highly complex, and the regulation of energy balance is influenced by physiologic and behavioral constraints. Energy intake, e.g., is ultimately determined by eating behavior, which is affected by physiologically driven sensations of hunger and satiety as well as the environment. Similarly, total daily energy expenditure (TDEE)⁴ is the result of an interaction between physiology and behavior, which are both influenced by the environment. Resting metabolic rate (RMR) and thermic effect of food are predominantly determined by physiologic constraints (5, 6). RMR represents the

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⁴ Abbreviations used: NEAT, nonexercise activity thermogenesis; PA, physical activity; RMR, resting metabolic rate; TDEE, total daily energy expenditure.

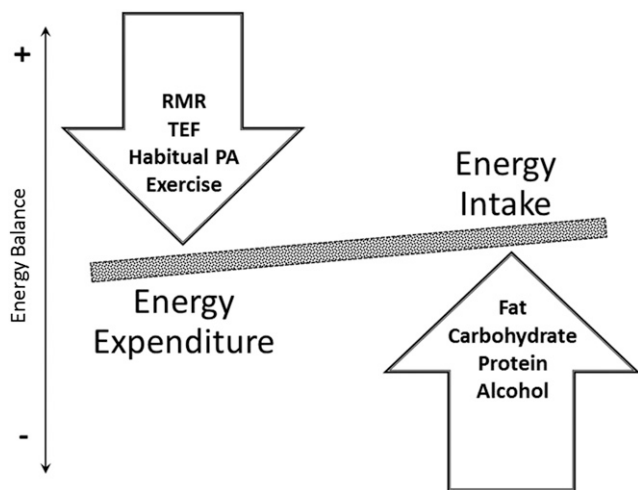


FIGURE 1 Major components contributing to energy balance. PA, physical activity; RMR, resting metabolic rate; TEF, thermic effect of food.

energy required to maintain essential vital functions and constitutes the majority of TDEE in the general population (5). Physical activity (PA), however, is the most variable component of TDEE because it is mainly determined by a person's behavior. In the average population PA constitutes between 25% and 35% of TDEE, but it may be up to 75% in extreme situations of sustained heavy exercise (7). To address differences in the nature of PA, a further differentiation between habitual PA or nonexercise activity thermogenesis (NEAT) and exercise is commonly made. Exercise reflects planned, structured, and repetitive movements, which serve a specific objective (8). NEAT reflects the energy expenditure of all PA other than volitional exercise including activities of daily living, small muscle movements, spontaneous muscle contraction, and postural maintenance (9). Although these components of TDEE are predominantly determined by individual behaviors, up to 57% of the variability in spontaneous PA has been attributed to family membership (10).

Despite the importance of genetics and physiology in the regulation of energy balance, lifestyle changes have been suggested to be the leading contributor to the obesity epidemic (11). Therefore, behavioral interventions such as an increase in energy expenditure, via exercise, and/or a reduction in energy intake are commonly used to induce a negative energy balance, which would initiate weight loss. Such behavioral changes, however, have generally resulted in smaller changes in body weight than what has been expected. This has in part been attributed to reciprocal compensatory adaptations in other components contributing to energy balance—specifically, an increase in dietary intake or a reduction in PA (12). To enhance the efficacy of behavioral interventions for weight loss, a better understanding of compensatory adaptations in response to exercise or dietary restriction is needed. This narrative review describes and evaluates the current evidence on the reciprocal effects of changes in exercise, PA, and dietary intake in adults. Specifically, the effect of exercise on habitual

PA and energy intake, as well as the effect of changes in energy intake on PA, will be examined.

Change in Habitual PA in Response to Alterations in Exercise

Habitual PA has been suggested to be an important component in the regulation of energy balance (13, 14), and differences in habitual PA have been shown to account for differences in weight change in response to weight-loss interventions (9, 15). A reduction in NEAT in response to increased exercise could be a possible explanation for the lack of success in exercise-based weight loss (16), but current evidence on compensatory adaptations in NEAT in response to exercise has been equivocal. Inconsistent results may be caused by differences in study designs because duration of the exercise intervention and age potentially affect exercise-induced adaptations in habitual PA (Table 1).

A single exercise bout does not appear to induce any changes in habitual PA (17–19). Alahmadi et al. (17) actually reported an increase in PA 2 d after a single exercise bout in previously sedentary participants. Habitual PA, however, was reduced in exercise programs lasting up to 4 mo (20–23, 25). Particularly vigorous exercise has been suggested to induce a reduction in habitual PA because of fatigue and discomfort (32). Accordingly, Schutz et al. (20) showed a greater reduction in PA in response to a higher exercise volume. Nevertheless, evidence of the effect of exercise intensity or exercise volume on habitual PA remains inconsistent (14, 33). There was no change in habitual PA in response to interventions lasting ≥ 6 mo (27–31). The lack of a compensatory reduction in habitual PA may be because of an increase in fitness in response to prolonged engagement in an exercise protocol, which would increase exercise tolerance and mitigate the negative effects of exercise on habitual PA (34).

Exercise tolerance may also explain differences in the response to exercise in different age groups. Several studies have shown a reduction in habitual PA in response to aerobic exercise in elderly people (22, 23, 35). However, to my knowledge, no study compared younger and older adults, which limits the ability to examine a potential age effect. An additional consideration may be the type of exercise program; Hunter et al. (36) showed an increase in TDEE and habitual PA in response to resistance exercise in elderly people. This has been attributed to an increase in functional capacity (37). This study, however, estimated habitual PA based on TDEE and RMR, rather than objectively measuring it (36). Most exercise interventions relied on aerobic exercise because of the greater energy expenditure associated with this type of exercise compared with resistance training (37). Therefore, more research is needed on potential differences in the effect of various exercise types on correlates of energy balance.

In addition to potential differences by age and type of exercise, there is also a possible difference in the effect of exercise on habitual PA based on its effect on energy balance. Westerterp (7) argues that habitual PA does not change in response to exercise when energy balance is maintained (i.e., increase in energy intake according to increased energy expenditure);

TABLE 1 Studies examining the effect of exercise on objectively measured habitual PA¹

Reference	Population	Study design	Intervention	Outcome measure	Compensation
Alahmadi et al. (17)	16 Sedentary men (age: 27 ± 3 y; BMI: 30 ± 6)	Crossover	Single aerobic exercise bout (60 min)	Accelerometry	No
Cadieux et al. (18)	16 Sedentary adults (age: 22 ± 3 y; BMI: 23 ± 2)	Crossover	Single aerobic or resistance bout (45 min)	Multisensor device	No
Sim et al. (19)	17 Overweight men (age: 30 ± 8 y; BMI: 28 ± 2)	Crossover	Single moderate or intermittent exercise bout	Accelerometry	No
Schutz et al. (20)	55 Women (age: 34 ± 5 y; BMI: 28 ± 2)	RCT	4 wk Walking (5 times/wk)	Accelerometry	Yes
Colley et al. (21)	13 Overweight women (age: 34 ± 5 y; BMI: 28 ± 2)	Pre-post	8 wk Walking (3–4 times/wk)	Accelerometry	Yes
Meijer et al. (22)	22 Adults (age: 58 ± 3 y; BMI: 25 ± 2)	RCT	12 wk Aerobic + resistance exercise (2 sessions/wk)	Accelerometry	Yes
Meijer et al. (23)	30 Adults (age: 59 ± 4 y; BMI: 31 ± 3)	RCT	12 wk Aerobic + resistance exercise (2 sessions/wk)	Accelerometry	Yes
Di Blasio et al. (24)	34 Postmenopausal women (age: 56 ± 4 y; BMI: 27 ± 4)	Pre-post	13 wk Walking (40–50 min; 4 sessions/wk)	Multisensor device	Inconclusive
Rosenkilde et al. (25)	61 Overweight men (age: 29 ± 6 y; BMI: 28 ± 2)	RCT	13 wk Daily aerobic exercise	Accelerometry	Yes
Van Etten et al. (26)	26 Sedentary men (age: 34 ± 6 y; fat: 23% ± 5%)	RCT	18 wk Resistance exercise (2 sessions/wk)	Accelerometry	No
Turner et al. (27)	54 Sedentary men (age: 54 ± 5 y; BMI: 28 ± 3)	RCT	6 mo Aerobic exercise (2–4 times/wk)	Accelerometry	No
Hollowell et al. (28)	50 Overweight adults (age: 53 ± 7 y; BMI: 30 ± 3)	RCT	8 mo Aerobic exercise 3–5 sessions/wk)	Accelerometry	No
Rangan et al. (29)	82 Overweight adults (age: 50 ± 11 y; BMI: 31 ± 3)	RCT	8 mo Aerobic/resistance exercise (~3 d/wk)	Accelerometry	No
Willis et al. (30)	92 Sedentary adults (age: 18–39; BMI: 25–40)	RCT	10 mo Aerobic exercise (5 sessions/wk)	Accelerometry	No
Meijer et al. (31)	32 Adults (age: 36 ± 4 y; BMI: 23 ± 2)	Pre-post	10 mo Progressive aerobic exercise	Accelerometry	No

¹ PA, physical activity; pre-post, comparison of pre-intervention PA to post-intervention PA; RCT, randomized controlled trial.

however, there is a reduction in habitual PA when exercise induces a negative energy balance. This aspect further underlines the complex interaction of various components contributing to energy balance along with the importance of simultaneous measurements of the various components contributing to energy balance.

Currently, to my knowledge, there is insufficient evidence on hypokinetic effects of exercise. Short-term aerobic exercise interventions potentially induce an incomplete compensatory reduction in habitual PA, particularly in elderly people and less-fit participants. Resistance exercise and a prolonged exercise engagement, on the other hand, were not shown to reduce habitual PA and may actually increase habitual PA as a result of increased fitness and functional capacity.

Change in Energy Intake in Response to Alterations in Exercise

Energy intake has been suggested as the largest source of compensation because it is entirely determined by eating behavior (14). Several reviews have examined the effect of exercise on subsequent energy intake and these should be consulted for more detailed information (38–40). As has been shown for compensatory adaptations in habitual PA, results on adjustments in energy intake in response to exercise have been inconsistent, but some general trends have started to emerge.

The majority of studies have not shown an acute effect of exercise on subsequent energy intake (38,40). Some studies,

however, showed at least a partial compensatory increase in energy intake in the meal after an exercise bout or within a 24-h period (41–49), whereas others reported a decline after a single exercise bout (19, 50–52). The reduction in energy intake after exercise has been attributed to a reduction in appetite, which has been shown, in response to high-intensity aerobic exercise, as a result of a delay in gastric emptying (7, 53, 54). It has also been argued that dietary intake postexercise is driven by the need to restore carbohydrate balance rather than to meet total energy needs (55, 56). This, however, would suggest that higher exercise intensities, which rely predominantly on carbohydrate metabolism, would induce a greater energy intake. Although this hypothesis contradicts the previous statement on an acute reduction in energy intake after exercise bouts of higher intensity, it may affect energy intake over a longer period of time. Alm eras et al. (57), e.g., showed that energy intake is higher in subjects who have lower fat oxidation (i.e., greater reliance on carbohydrates) than in those with higher fat oxidation.

The lack of conclusive evidence on acute effects of exercise on energy intake may also be because of a large daily variability in energy intake (SD of 25%) and energy expenditure (SD of 10%) (58). It further supports the commonly observed loose coupling between energy expenditure and energy intake on a daily basis (39, 58). Energy expenditure and energy intake, however, have been shown to correlate well over a period of 2 wk (59), although there is only a partial compensatory

increase in energy intake (60). In a recent review, 50% of studies examining changes in energy intake in response to an exercise intervention lasting between 2 and 14 d reported at least partial increases in energy intake in response to an exercise intervention (38). Most studies also showed an increase in carbohydrates, and results for changes in fat and protein intake were less consistent, supporting a close regulation of nutrient availability rather than energy balance. In addition to a physiologic drive to increase energy intake, there may also be a psychological component. Participants in an exercise intervention may feel a greater freedom to eat more and may even reward themselves with a higher energy intake after an exercise bout.

Energy intake in response to longer exercise interventions (3–72 wk), however, was generally not increased (38). In fact, to my knowledge, only 2 studies showed a substantial increase in energy intake in response to aerobic exercise lasting >8 wk (15, 61). Nevertheless, Cook and Schoeller (62) suggest a better coupling of energy intake and energy expenditure with higher activity levels. In fact, almost 60 y ago Mayer et al. (63) showed that men in occupations with a least light PA matched their energy intake better to energy expenditure than those in sedentary occupations. This led to a differentiation between a “regulated zone” (i.e., energy intake matches energy expenditure) and an “unregulated zone” (i.e., energy intake exceeds energy expenditure), with the unregulated zone being characterized by low levels of PA (64). Accordingly, athletes have been shown to adjust their dietary intake in response to alterations in training regimen (65, 66). Lean participants have also been shown to increase their energy intake in response to an exercise program, and no compensation was observed in obese adults (67–69). This may be because of a higher need of lean individuals to defend their relatively lower body fat stores than individuals with excess fat (70). A reduction in PA, however, was not associated with a compensatory reduction in energy intake in lean men, resulting in significant weight gain (71).

Overall, there seems to be a loose coupling of daily energy expenditure and energy intake because of the ability of humans to rely on the body’s energy stores (16, 72). The majority of studies showed an incomplete adaptation in energy intake in response to exercise, resulting in a negative energy balance. However, Melzer et al. (73) argue that the mismatch between energy intake and energy expenditure does not continue indefinitely and that at some critical point there would be an increase in energy intake in response to increased energy expenditure. The timeline of this adaptation may be influenced by exercise duration, intensity, or type, along with subject characteristics such as activity level, body composition, or race/ethnicity. Subject characteristics may also affect measurement accuracy of energy intake in free-living situations because the majority of studies relied on self-reported dietary intake, which is subject to bias and provides inaccurate estimations of energy intake (74, 75). These limitations in the currently available literature may have contributed to the lack of compelling evidence for an increase in energy intake in response to alterations in energy expenditure.

Change in PA in Response to Alterations in Energy Intake

A reduction in energy intake, rather than an increase in energy expenditure, may be even more popular to induce a negative energy balance compared with starting an exercise program. Research on the effects of dietary changes on correlates of energy balance predominantly focused on TDEE and the effects on thermic effect of food and RMR rather than PA. Only a few studies considered the effect of dietary changes on objectively measured PA. Caloric restriction was generally associated with a decrease in PA (76–80). In addition, a change in substrate metabolism that favors fat deposition and an increase in appetite have been suggested in response to a diet-induced negative energy balance (81). Once energy balance was re-established PA levels returned back to baseline (76) or even increased during weight-loss maintenance (Table 2) (82, 83).

Results on the effects of overfeeding on PA were less consistent. The majority of the studies reported no change in accelerometer determined PA in response to increased caloric intake (9, 84–86), but there is also research that shows an increase (87) or decrease (88) in PA in response to overfeeding. The decline in PA reported by Pasquet et al. (88) may have been caused by the research setting because the goal of this tradition in an African tribe was to put on fat during the overfeeding period. The observed increase in PA may also be explained by the study population, which consisted of nonobese participants who exercised regularly (87). As was shown in the previous section, active adults may be better able to adjust their behavior in order to maintain energy balance (Table 3).

Taken together, these findings suggest that a reduction in energy intake is associated with a decline in energy expenditure, which, at least partially, offsets the dietary-induced energy deficit. Overfeeding, on the other hand, was generally not associated with compensatory adaptations in PA, resulting in subsequent weight gain.

Conclusions

The limited success of behavioral interventions to induce weight loss on a population level suggests some underlying compensatory mechanisms that offset a negative energy balance induced by exercise or caloric restriction. There appears to be a stronger mechanism to defend against weight loss, and compensatory adaptations seem to be less pronounced to prevent weight gain (72). This phenomenon has been attributed to a genetic drift, which predisposes certain individuals to an increased risk of excess weight gain (89). This hypothesis further accounts for the large variability in individual response rate, which may at least partially explain the inconsistent findings regarding compensatory behavioral adaptations in response to a disruption in energy balance.

Overall, data presented in this review provide limited evidence for behavioral adjustments in response to exercise-based or dietary interventions. The lack of conclusive evidence can be partially attributed to large individual variability in the response to alterations in energy balance and the focus on average values for specific groups, which may not accurately represent the response of an individual (40).

TABLE 2 Studies examining the effect of caloric restriction on objectively measured habitual PA¹

Reference	Population	Study design	Intervention	Outcome measure	Compensation
Bonomi et al. (83)	66 Overweight/obese adults (age: 51 ± 12 y; BMI: 38 ± 7)	Observation (pre-post)	12 wk of 67% caloric restriction	DLW, accelerometry	Increased PA after 2 wk of maintenance
Camps et al. (76)	51 Healthy adults (age: 42 ± 8; BMI: 31 ± 3)	Observation (pre-post)	8 wk very low energy diet (Modifast Nutrition et Sante Benelux; 500 kcal/d)	DLW, accelerometry	Yes (restored after weight loss)
Martin et al. (77) and Redman et al. (79)	48 Overweight adults (age: 37 ± 1 y; BMI: 28 ± 1)	RCT	6 mo of 25% caloric restriction or 890 kcal/d	DLW, sleep EE-PAL	Yes
Martin et al. (78)	105 Adults (mean age: 41 y; BMI: 28 ± 2)	RCT	Up to 12 mo of 10–30% caloric restriction	DLW, accelerometry	Yes
Velthuis-te-Wierik et al. (80)	24 Healthy men (age: 43 ± 5; BMI: 25 ± 2)	Observation (pre-post)	10 wk of caloric restriction (80% of TDEE)	DLW, RMR (PAL)	No; trend for decrease (<i>P</i> = 0.066)

¹ DLW, doubly labeled water; EE, energy expenditure; PA, physical activity; PAL, physical activity level; pre-post, comparison of pre-intervention PA to post-intervention PA; RCT, randomized controlled trial; RMR, resting metabolic rate; TDEE, total daily energy expenditure.

Therefore, a stronger focus on individual differences in response to alterations in PA or dietary intake is warranted (14). Subject characteristics such as age, sex, body weight, or fitness potentially affect compensatory adaptations. It also remains to be determined whether behavioral changes are intentional (i.e., I can eat more because I exercised; I already exercised, so I don't need to move the rest of the day) or not (14). Furthermore, differences in the characteristics of the exercise (e.g., duration, intensity, frequency, and type of exercise) or dietary interventions (e.g., macronutrient content, meal frequency, and timing) could have different effects on energy balance.

Compensatory adaptations are also driven by physiologic responses to alterations in energy balance, but the effect of physiologic changes on specific behaviors may vary considerably among individuals. A negative energy balance has generally been associated with an increased orexigenic drive (90, 91), which would induce an increase in energy intake. Exercise, however, has been associated with increased satiety signaling, which could offset the orexigenic effect of a negative

energy balance (91). These 2 independent processes are regulated by multiple hormones, which potentially lead to individual differences in the response to alterations in energy balance (62). King et al. (91), e.g., showed a greater increase in energy intake in participants who did not lose weight in response to a 12-wk exercise program, suggesting the existence of specific phenotypes that are more or less likely to compensate for changes in energy balance. On the other hand, Manthou et al. (15) argue that a difference in habitual PA is the major contributor to individual variability in weight loss in response to exercise interventions.

To enhance the understanding of the regulation of energy balance, simultaneous objective measurements of all major contributors (i.e., body composition, various components of energy expenditure, and energy intake) are needed (14). Further physiologic and environmental constraints need to be considered when examining the effects of diet and exercise on energy balance. This may help with the identification of specific characteristics that contribute to compensations via dietary intake or PA. A better understanding of specific

TABLE 3 Studies examining the effect of overfeeding on objectively measured habitual PA¹

Reference	Population	Study design	Intervention	Outcome measure	Compensation
Apolzan et al. (87)	25 Healthy adults (age: 18–35 y; BMI: 25 ± 1)	RCT	56 d (140% of energy needs; average intake 954 kcal/d above energy needs)	24-h Calorimetry, accelerometry, DLW	Yes
Diaz et al. (84)	10 Men (age: 30 ± 8 y; BMI: 23 ± 3)	Observation (pre-post)	42 d (150% of energy needs)	DLW, direct calorimetry	No
Joosen et al. (85)	14 Healthy women (age: 25 ± 4 y; BMI 2 ± 2)	Observation (pre-post)	14 d (150% of energy needs)	DLW, accelerometry	No
Levine et al. (9)	16 Nonobese adults (age: 25–36y; BMI: N/A)	Observation (pre-post)	8 wk (1000 kcal/d above energy needs)	DLW, BMR, accelerometry	No
Pasquet et al. (88)	9 Lean men (age: 29 ± 5 y; BMI: 20 ± 2)	Observation (pre-post)	2 mo Traditional overfeeding (3600 kcal/d)	DLW, RMR, PA diary, accelerometry	Reduction in PA
Roberts et al. (86)	7 Healthy men (age: 24 ± 1 y; BMI: 24 ± 1)	Observation (pre-post)	21 d (1000 kcal/d above energy needs)	DLW, accelerometry	No

¹ BMR, basal metabolic rate; DLW, doubly labeled water; PA, physical activity; pre-post, comparison of pre-intervention PA to post-intervention PA; RCT, randomized controlled trial; RMR, resting metabolic rate.

characteristics and settings that contribute to a compensatory response appears to be crucial for the development of successful weight-loss and weight-management interventions. It would also allow for identification of individuals who may require assistance beyond a behavioral intervention to achieve their goals. Perhaps there is no single best approach to target the current obesity epidemic, but a better understanding of the contribution of physiologic, behavioral, and environmental constraints to the regulation of energy balance will help in the development of successful strategies to address this problem.

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