

Effects of Positive Airway Pressure on Patients with Obstructive Sleep Apnea during Acute Ascent to Altitude

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Abstract

Rationale: In acute ascent to altitude, untreated obstructive sleep apnea (OSA) is often replaced with central sleep apnea (CSA). In patients with obstructive sleep apnea who travel to altitude, it is unknown whether their home positive airway pressure (PAP) settings are sufficient to treat their obstructive sleep apnea, or altitude-associated central sleep apnea.

Methods: Ten participants with positive airway pressure–treated obstructive sleep apnea, who reside at 1,320 m altitude, underwent polysomnography on their home positive airway pressure settings at 1,320 m and at a simulated altitude of 2,750 m in a hypobaric chamber. Six of the participants were subsequently studied without positive airway pressure at 2,750 m.

Measurements and Main Results: At 1,320 m, all participants' sleep apnea was controlled with positive airway pressure on home settings; at 2,750, no participants' sleep apnea was controlled. At

higher altitude, the apnea–hypopnea index was higher (11 vs. 2 events/h; $P < 0.01$), mostly due to hypopneas (10.5 vs. 2 events/h; $P < 0.01$). Mean oxygen saturations were lower (88 vs. 93%; $P < 0.01$) and total sleep time was diminished (349 vs. 393 min; $P = 0.03$). Four of six participants without positive airway pressure at 2,750 m required supplemental oxygen to prevent sustained oxygen saturation (as determined by pulse oximetry) less than 80%. Positive airway pressure also was associated with reduced central sleep apnea (0 vs. 1; $P = 0.03$), improved sleep time (358 vs. 292 min; $P = 0.06$), and improved sleep efficiency (78 vs. 63%; $P = 0.04$).

Conclusions: Acute altitude exposure in patients with obstructive sleep apnea treated with positive airway pressure is associated with hypoxemia, decreased sleep time, and increased frequency of hypopneas compared with baseline altitude. Application of positive airway pressure at altitude is associated with decreased central sleep apnea and increased sleep efficiency.

Keywords: sleep apnea; altitude; mountain sickness

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Obstructive sleep apnea (OSA) is common, affecting approximately 20% of adults in the United States (1). Despite their OSA, many patients stay active and enjoy recreational activity at altitude, often spending nights at altitude. Mountain resort towns in the western United States attract more than 30 million visitors annually, many of whom

are from lower elevations. These visitors sleep at 2,000–3,000 m above sea level, with no acclimatization. This scenario also occurs with tourism to South America and Asia, with several large cities situated 3,000 m above sea level (2). Patients with OSA and receiving positive airway pressure (PAP) treatment are encouraged to

continue PAP therapy whenever they travel. However, the effects of high altitude on OSA with PAP are not well understood, and the treatment of OSA at altitude has not been established (3).

At simulated high altitude, severe OSA not treated with PAP is completely replaced by severe central sleep apnea (CSA) (4). The

partial pressure of oxygen of inspired air (P_{iO_2}) decreases and hypoxemia increases with ascent (2, 5, 6). Altitude exposure in untreated patients with OSA worsens hypoxemia and increases sleep-related breathing disturbances due to frequent central apnea or hypopnea (7). One of the key physiological traits of sleep apnea is the presence of a hypersensitive ventilatory control system (high loop gain), characterized by a large ventilatory response to a change in ventilation. This effect is expected to be more pronounced at altitude (8), and may be mitigated to an extent in patients who have acclimatized to altitude (9, 10).

Ascent to high altitude may cause acute mountain sickness or sleep-disordered breathing. High-altitude pulmonary and cerebral edema, the more severe forms of acute mountain sickness, occur less often. Obese individuals have an increased risk of acute mountain sickness when exposed to a simulated altitude of 3,658 m (12,000 ft) for 24 hours (11). This may be partly related to greater nocturnal desaturation with altitude exposure. There are few prospective data on the effect of PAP treatment on high-altitude illness in patients with OSA.

Several Salt Lake City residents with OSA frequently recreate in the nearby mountains, sleeping at high altitude (2,500–3,000 m above sea level). The effect of acute ascent to altitude on these individuals is unknown. We sought to observe changes in sleep and respiratory parameters measured by polysomnography (PSG), in a hypobaric chamber set to a pressure simulating 2,750 m (545 mm Hg). We sought to test two hypotheses in patients with baseline OSA: first, there is more CSA at high altitude than at resident altitude with PAP treatment; and second, at high altitude, PAP treatment is associated with less CSA and less mountain sickness compared with no PAP treatment.

Methods

We performed a nonblinded prospective study of 10 adult volunteers less than 60 years of age, with a known history of PAP-treated OSA. This study employed a crossover design, wherein participants were randomized to undergo full PSG while using PAP in a hypobaric chamber (545 mm Hg) simulating 2,750 m (9,022 ft) or at ambient barometric pressure (645 mm Hg)

simulating 1,320 m (4,330 ft). After a 1- to 2-week washout period, participants were studied at the alternate barometric pressure. Participants used their own PAP machines and usual settings during the washout period, in which they slept at home. Six of 10 participants underwent additional PSG at the simulated altitude of 2,750 m without PAP (Figure 1).

Setting and Participants

This study was approved by the Institutional Review Board of Intermountain Medical Center (Murray, UT) with written informed consent obtained from all participants. The study was performed in Salt Lake City, Utah (elevation, 1,320 m; 645 mm Hg). We used a Fink hypobaric and hyperbaric chamber (DL-8; Fink Engineering, Warana, QLD, Australia) to simulate high altitude (Figure 2). On study nights under high-altitude conditions, the barometric pressure was decreased to 545 mm Hg, which is equivalent to an altitude of 2,750 m. Under the simulated high-altitude conditions, the fraction of inspired oxygen, temperature, and humidity in the chamber were monitored continuously, and maintained at ambient levels. The chamber was operated by certified hyperbaric technologists, and participants were monitored continuously by medical staff.

Participants were recruited by a local sleep medicine clinic. Inclusion criteria

required good PAP compliance, defined as use of PAP for a minimum of 5 hours per night, 6 days/week, and at least 3 months duration and well-controlled OSA with PAP as evidenced by an apnea-hypopnea index (AHI) less than 10 on PAP. All participants resided at an altitude of approximately 1,320 m. We excluded participants with any of the following: unstable cardiovascular disease; severe obstructive and/or restrictive lung disease; chronic hypoxemia requiring supplemental oxygen; an established diagnosis of central sleep apnea, complex apnea, or mixed sleep apnea; cigarette smoking; the routine use of opioid or central nervous system depressive medications; a history of barotrauma or air pressure-related ear issues; or a history of high-altitude pulmonary edema or cerebral edema. Participants were advised to continue their regular medications throughout the study period. An advanced health care provider was at the bedside to oversee participant safety during the study. In the event that nocturnal oxygen saturation as measured by pulse oximetry (Sp_{O_2}) persisted below 80% for longer than 1 minute, we provided supplemental oxygen for participant safety.

Study Sequences and Interventions

Participants were randomized to two different study sequences: Half the participants underwent the first PSG at an

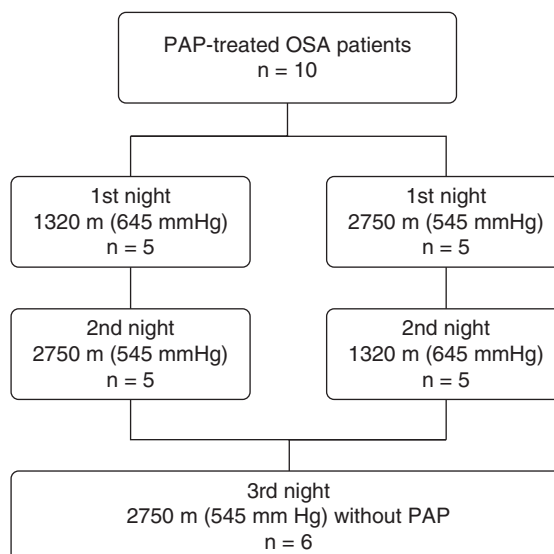


Figure 1. Study design. Ten patients were randomized to two different study sequences A and B in the multiplace hyperbaric chamber. Six patients had the additional third night study at atmospheric pressure of 545 mm Hg in a hypobaric chamber without PAP. OSA = obstructive sleep apnea; PAP = positive airway pressure.



Figure 2. A multiplace hyperbaric/hypobaric chamber. Participants slept in a flat hospital bed placed in the chamber.

ambient atmospheric pressure of 645 mm Hg and the second PSG at an atmospheric pressure of 545 mm Hg in a hypobaric chamber. The remaining participants underwent the same studies in reverse order. Participants used fixed-pressure PAP for both of these PSGs, although two participants received 3-cm H₂O expiratory pressure relief. No participant used an autotitrating machine. All PAP machines had pressure-compensating features, which should allow for adequate performance at simulated altitude (12). Participants were not blinded to the atmospheric pressure during the PSGs. The additional, third PSG at an atmospheric pressure of 545 mm Hg without PAP occurred after the primary study sequence among participants who volunteered for the third PSG. Participants who volunteered for the third PSG used their PAP therapy every night except for the night of the PSG. All PSG interpreters were blinded to atmospheric pressure conditions under which the study was performed.

Outcomes

PSG was performed from approximately 22:00 to 6:00 according to standard technique (Easy III; Cadwell, Kennewick, WA) (13). Electrophysiological sleep parameters included the following: frontal (F4/M1, F3/M2), central (C4/M1, C3/M2), and occipital (O2/M1, O1/M2) electroencephalogram (EEG), right and left electrooculogram (EOG), and submental electromyogram (EMG). Periodic limb movements were monitored by

measurement of anterior tibialis (EMG). Cardiac rhythm was continuously recorded (ECG). Video recording was continuous. Data were manually scored in 30-second epochs for sleep stages, using the 2013 AASM (American Academy of Sleep Medicine) Manual for the Scoring of Sleep and Associated Events, version 2.0. Airflow was detected by air pressure transducer and oronasal thermal airflow. Respiratory effort was determined by measurement of chest and abdomen motion. Sp_O₂ was measured by oximetry, based on AASM criteria at a 2-second or 4-beat averaging sampling rate, using a finger probe.

We defined acute mountain sickness (AMS) according to the published Lake Louise criteria (14). Each participant completed an AMS self-report questionnaire on the following morning at each altitude. The questionnaire includes items for symptoms of headache, gastrointestinal symptoms, fatigue or weakness, dizziness or lightheadedness, and difficulty sleeping. Symptoms were graded on a scale from 0 to 3, with 0 representing no symptoms; 1, mild symptoms; 2, moderate symptoms; and 3, severe symptoms. A score of 15 is the maximal score possible.

Statistical Analysis

We describe central tendencies of the data using medians (with interquartile ranges) as our data were not normally distributed. We used the Wilcoxon signed-rank test for comparisons of continuous data. A two-tailed *P* value not exceeding 0.05 was considered statistically significant. The primary outcome

was the AHI. Power analysis and sample size were calculated using data from a previous study (4). Nine participants would sufficiently power the study ($\beta = 0.80$) to detect minimally important differences in primary outcome ($\alpha = 0.05$).

Results

Ten participants completed PSGs on PAP at both low (1,320 m) and high (2,750 m) altitudes (Table 1). The median age was 51 years (interquartile range, 48–59), with a median body mass index of 37.5 kg/m² (26–44). The median PAP setting was 10 cm H₂O (10–13), with two participants receiving 3-cm H₂O expiratory pressure relief. After the second PSG, all participants were offered the opportunity to participate in a third PSG at an altitude of 2,750 m in a chamber without PAP. Six participants completed the additional third PSG, and four of six participants required supplemental oxygen because of moderate to severe hypoxemia (Table 2).

Polysomnography Data

When participants wore their home PAP at 1,320 m, their sleep apnea was well controlled, with an AHI of 2 events/hour. At 2,750 m, the total AHI increased significantly (11 events/h), which was related mainly to an increase in hypopnea events (Table 1). Neither central nor obstructive apnea increased at 2,750 m. Two participants required supplemental oxygen at 2,750 m because of moderate hypoxemia. At high altitude, mean Sp_O₂ was 2% lower while awake and 5% while asleep. Participants also spent nearly 30 minutes with Sp_O₂ below 90% during sleep at higher altitude, in contrast to less than 1 minute at the lower altitude. Although there was a total of 44 minutes less sleep time at 2,750 m, the other indicators of sleep quality (REM %, arousal index, sleep efficiency) did not significantly differ from those at 1,320 m.

To evaluate the effects of altitude on patients who had their PAP withdrawn, six participants had the additional, third PSG at 2,750 m without PAP (Table 2). Four participants required supplemental oxygen because of moderate to severe hypoxemia, compared with one participant who needed supplemental oxygen with PAP. Central sleep apneas significantly increased in participants not using PAP at 2,750 m

Table 1. Comparison of sleep and acute mountain sickness data at altitudes of 1,320 and 2,750 m

	1,320 m	2,750 m	P Value
AHI, events/h	2.0 (1.75–6.0)	11 (5.25–19)	<0.01
AHI non-REM, events/h	2 (0.75–3.25)	8 (3.5–19.25)	<0.01
AHI REM, events/h	5 (2.25–15)	21 (11.75–32.25)	<0.01
Central apnea index, events/h	0 (0–0)	0 (0–1)	0.29
Obstructive apnea index, events/h	0 (0–0)	0 (0–1)	0.08
Hypopnea index, events/h	2 (1–5.75)	10.5 (5.25–15)	<0.01
Mean Sp _O ₂ (wake), %	98 (97–99)	96 (94–98)	0.007
Mean Sp _O ₂ (TST), %	93 (92–94)	88 (81–89)	0.004
Low Sp _O ₂ (TST), %	88 (86–90)	79 (75–81)	0.005
Total sleep time Sp _O ₂ < 90%, min	0.1 (0–2.0)	29.2 (9.5–211.1)	0.005
Total sleep time, min	393 (346–427)	349 (322–391)	0.03
Sleep efficiency, %	84 (76.8–89.3)	78.5 (76.3–84.3)	0.24
REM time, min	47 (32.9–70.5)	37.3 (27.3–55)	0.07
Non-REM time, min	340 (311–370)	309 (285–334)	0.046
REM percentage, %	12 (9.5–17.3)	11 (8.5–14.3)	0.31
Arousal index, 1/h	14 (5.5–20.8)	10.5 (5.8–22.3)	0.84
AMS score	1 (0–2)	0.5 (0–1.5)	1.00

Definition of abbreviations: AHI = apnea-hypopnea index; AMS = acute mountain sickness; Sp_O₂ = oxygen saturation as measured by pulse oximetry; TST = total sleep time.

Data are presented as median (quartiles).

Two patients received supplemental oxygen during sleep at 2,750 m because of severe hypoxemia.

(AHI, 1 vs. 0; *P* = 0.03). This tendency was nonsignificantly stronger among the participants who did not require supplemental oxygen without PAP (AHI, 11.5 vs. 2; *P* = 0.18). Although it is indiscernible from Table 2, hypoxemia was worse in participants without PAP, as the majority of those participants required

supplemental oxygen. At 2,750 m, sleep quality was significantly worse without PAP (Table 2).

Acute Mountain Sickness Evaluation

AMS score did not differ at 1,320 and 2,750 m with PAP. However, at 2,750 m, the AMS score was significantly worse in participants

Table 2. Comparison of sleep and acute mountain sickness data at an altitude of 2,750 m with and without positive airway pressure

	With PAP (n = 6)	Without PAP (n = 6)	P Value
AHI, events/h	11 (9–14.5)	12.5 (5.5–40.8)	0.44
AHI non-REM, events/h	8 (7–12.5)	12.5 (4–41.3)	0.95
AHI REM, events/h	28 (19.3–43.3)	16.5 (0–37.3)	0.53
Central apnea index, events/h	0 (0–1.8)	1 (0.8–6.3)	0.03
Obstructive apnea index, events/h	0 (0–1)	1.5 (0–6.8)	0.11
Hypopnea index, events/h	10.5 (8.3–13.5)	11.5 (4.3–27.5)	0.46
Mean Sp _O ₂ (wake), %	97 (94–98)	96 (94–98)	0.91
Mean Sp _O ₂ (TST), %	84 (85–90)	85 (84–87)	0.29
Low Sp _O ₂ (TST), %	77 (74–80)	77 (73–79)	0.51
Total sleep time Sp _O ₂ < 90%, min	100 (13–346)	251 (160–285)	0.35
Total sleep time, min	358 (311–401)	292 (250–331)	0.06
Sleep efficiency, %	77.5 (71–85.3)	62.5 (50.3–77.8)	0.04
REM time, min	27.8 (25.9–55)	12.3 (0–30.6)	0.06
Non-REM time, min	324 (284–358)	277 (249–307)	0.06
REM percentage, %	9 (7–12.5)	4.5 (0–9.3)	0.06
Arousal index, 1/h	10.5 (6.6–23)	11.5 (6.3–25)	0.60
AMS score	0.5 (0–1.5)	3.5 (2.8–5.3)	0.03

Definition of abbreviations: AHI = apnea-hypopnea index; AMS = acute mountain sickness; PAP = positive airway pressure; Sp_O₂ = oxygen saturation as measured by pulse oximetry; TST = total sleep time.

Data are presented as median (quartiles).

Four patients without PAP received supplemental oxygen during sleep because of severe hypoxemia.

without PAP. Symptoms of headache, fatigue or weakness, and difficulty sleeping were more frequently reported without PAP at 2,750 m.

Discussion

We evaluated the effect of PAP on sleep and breathing disturbances in participants with OSA both on and off PAP therapy during acute exposure to moderate altitude. All participants' sleep apnea was controlled at 1,320 m with PAP. At an altitude of 2,750 m, no participant's sleep apnea was controlled on home settings, and two participants required supplemental oxygen to prevent sustained hypoxemia below 80%. Sleep-disordered breathing and hypoxemia were markedly abnormal in PAP-withdrawn participants at 2,750 m, with more than half requiring supplemental oxygen.

Our study demonstrated that although overall respiratory disturbances at altitude were not significantly changed, participants had more hypopneas. We did not differentiate between central hypopnea and obstructive hypopnea events in our study, as there is no universally accepted method to do so noninvasively. Central sleep apnea developed more frequently when participants did not wear PAP at moderate altitude, a finding previously observed by Burgess and colleagues and Nussbaumer-Ochsner and colleagues (Figure 3) (4, 7). The use of PAP mitigated the central sleep apnea. The increase in central sleep apnea in the PAP-withdrawn group suggests that participants residing at 1,320 m are not fully acclimatized to sleeping at 2,750 m. Acute altitude exposure caused worsening hypoxemia during sleep. Both hypoxemia and AMS score were significantly worse when participants did not wear a PAP mask.

Both Burgess and Nussbaumer-Ochsner observed that at high altitude, severe OSA not treated with PAP is completely replaced by severe CSA, worsens hypoxemia, and increases sleep-related breathing disturbances due to frequent central apnea/hypopnea (4, 7). Our study demonstrated similar findings, but our participants who experienced altitude without PAP had less development of central apnea events than in previous studies, perhaps in part due to the administration of supplemental oxygen (4, 7). Participants in the Burgess study

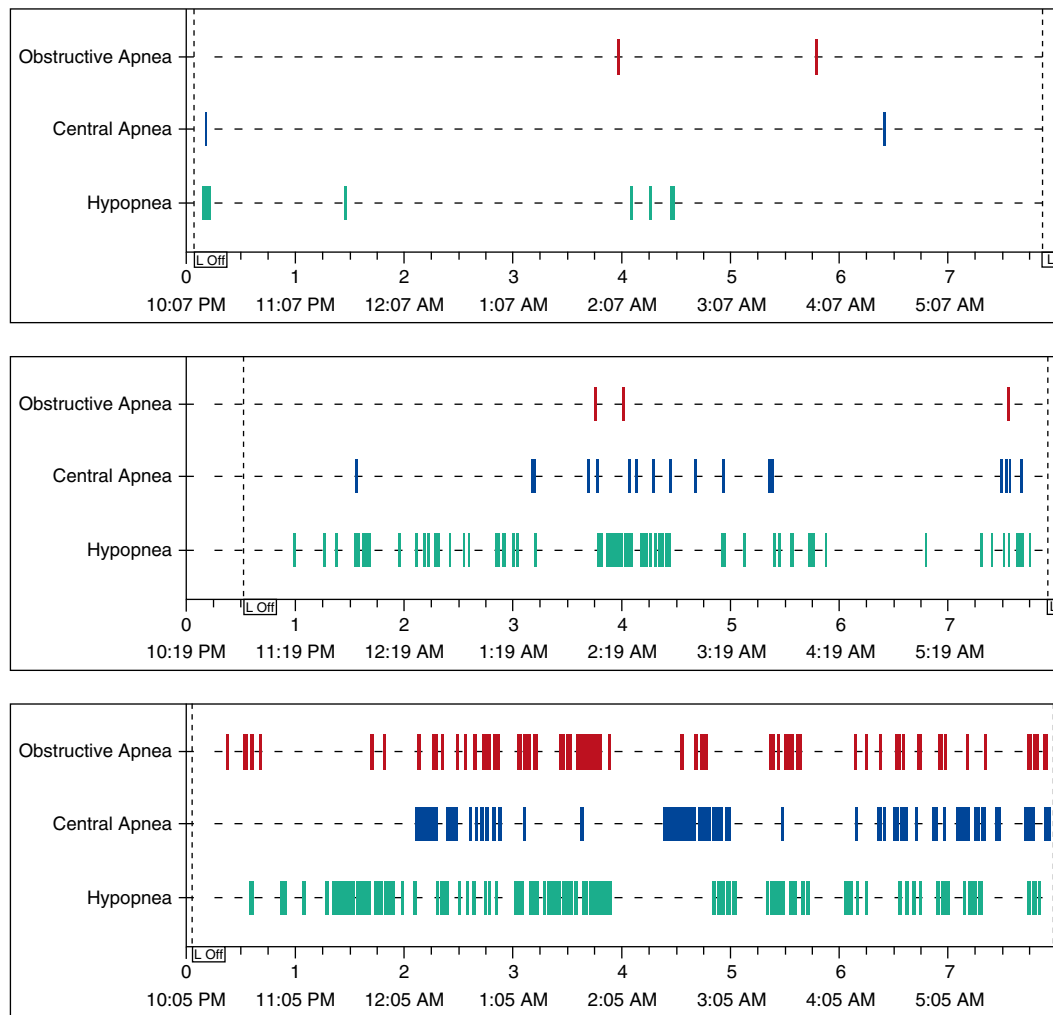


Figure 3. Polysomnography data from a representative participant studied on 10 cm H₂O positive airway pressure (PAP) at 1,320 m (top) and at 2,750 m (middle). The participant was also studied at 2,750 m without PAP (bottom). Sleep apnea appears well controlled at 1,320 m, but not at 2,750 m. At 2,750 m, application of PAP resulted in fewer central apnea events as well as fewer obstructive apnea events. For all three studies, the participant did not receive supplemental oxygen. L Off = lights off.

were exposed to simulated altitude over a 4-hour period before the 8-hour sleep study, to allow for greater hypoxic stimulus. Our study did not have a similar period. The magnitude of feedback control, or “loop gain,” is a major determinant of CSA, and with an increase in loop gain there is a resulting increase in CSA index (15–17). Periodic breathing decreases with acclimatization to moderate altitude. Reite and colleagues reported a drop in CSA after 12 days of acclimatization to 4,301 m, and White and colleagues reported similar findings after 7 days at 4,300 m (9, 10). We speculate that our participants who lived at about 1,320 m may have partial acclimatization to 2,750 m.

To our knowledge, our study is the first that specifically evaluates the effects of PAP

on sleep and breathing disturbances in individuals with OSA who continue their PAP therapy during acute exposure to moderate altitude. It provides several findings that are clinically relevant for individuals with OSA traveling to altitude. First, the data demonstrate that home PAP settings were unable to control participants’ sleep apnea at altitude. Second, the AMS score was significantly worse without PAP at 2,750 m. Symptoms of headache, fatigue or weakness, and difficulty sleeping were more frequently reported in participants without PAP. Last, application of PAP appears to mitigate some of the sleep-disordered breathing and hypoxemia that occur with altitude. Our study supports findings from prior studies that suggest that

individuals with OSA ascending to altitude are inadequately treated with either the same or minimally increased PAP baseline settings. One study that monitored patients with PAP-treated OSA at altitude demonstrated that CSA was worse at higher altitudes (18). This study occurred with a 3-day period in which patients might have had some acclimatization. Another study demonstrated improved AHI in patients who resided at high altitudes with PAP-treated OSA and who descended to lower altitudes (19).

It is no surprise that Sp_{O₂} is significantly lower at 2,750 m than at 1,320 m. However, the participants who received PAP at altitude had much higher Sp_{O₂} than the participants who did not receive PAP at

altitude. Four of six participants required supplemental oxygen because of severe hypoxemia at 2,750 m without PAP. It appears that PAP not only treats underlying OSA but also maintains higher Sp_{O_2} . A common fallacy is to attribute the increased Sp_{O_2} to the increased pressure of inspired oxygen supplied by the PAP. Dalton's law of partial pressures indicates that an increase of 10 cm H_2O in airway pressure increases the PI_{O_2} by only 1.5 mm Hg. It is more likely that PAP reduces the ventilation-perfusion mismatch and improves Pa_{O_2} by increasing the end-expiratory lung volume and by increasing alveolar size and reopening unstable alveolar units (20).

It is unsurprising that the AMS score was unchanged in PAP-treated participants after only one night at 2,750 m, as it is a relatively low altitude for AMS to occur. The difference in AMS score at 2,750 m between PAP-treated and PAP-withdrawn participants may not necessarily indicate mountain sickness, as several of the symptoms (headache, insomnia, fatigue) may overlap with those of sleep apnea.

Individuals with OSA who travel to altitude often have questions for their physician about PAP therapy. On the basis of the results of this study, it appears that it is important to recommend PAP adherence to individuals with OSA who travel to and sleep at higher altitudes. It appears that

baseline PAP settings may be insufficient to eliminate hypopneas and may result in higher AHI and less total sleep time. It is unknown how much additional PAP is required to treat hypopnea. Although we speculate that variable pressure or auto-PAP devices might adequately treat the hypopnea at altitude, we are unable to make inferences about this, as all participants in our study used fixed-pressure PAP devices. Similarly, all participants used continuous PAP (two participants had expiratory pressure release), so we were unable to make inferences from our study on whether or not bilevel PAP would mitigate altitude-induced CSA. Given the lack of access to multiplace hypobaric chambers, it is impractical to have every patient with OSA who wishes to travel to altitude perform PSG in a hypobaric chamber. Therefore, decisions regarding escalation of PAP therapy for such a patient may need to be made with limited data.

Our study has several limitations that should be acknowledged. We studied participants with OSA who reside at about 1,320 m altitude, rather than those who reside at sea level. We speculate that the effects we describe may be greater in residents who reside at sea level, although this is unknown. Only 6 of 10 participants had the third PSG (2,750 m without PAP). Although we detected significant differences in the third PSG, the number was too small to detect minimally important differences in

the primary outcome. Our study may have underestimated the true severity of untreated OSA at altitude, as participants withdrew from PAP therapy for only one night, while a longer withdrawal period may have demonstrated worse OSA. Four of six participants undergoing the third PSG required supplemental oxygen because of severe hypoxemia, which may have altered the degree of development of central apneas. Supplemental oxygen has the effect of eliminating nocturnal hypoxemia. As a result, the sequence of hyperpnea, ventilator overshoot, hypocapnia, and central apnea is prevented in individuals receiving supplemental oxygen. Participants were not blinded to the simulated altitude of the PSG, which may have had some effect on self-reported measures, such as AMS score. Although the participants were not blinded, the scoring interpreters of the PSG were blinded to the simulated altitude.

Conclusions

Acute altitude exposure in individuals with PAP-treated OSA had worsened hypoxemia during sleep, and increased hypopnea, suggesting that baseline PAP settings are insufficient at altitude. Application of PAP appears to reduce hypoxemia, hypopnea, and central apnea at altitude. ■

Author disclosures are available with the text of this article at www.atsjournals.org.

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