

# Recurrent vascular events in lacunar stroke patients with metabolic syndrome and/or diabetes

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## ABSTRACT

**Objectives:** We used a prospective clinical trial to examine the risks conferred by metabolic syndrome (METS) and diabetes mellitus (DM) to recurrent strokes in the Secondary Prevention of Small Subcortical Strokes (SPS3) study cohort.

**Methods:** The SPS3 trial enrolled 3,020 patients with lacunar strokes. Participants were stratified into groups of METS only, DM only, both, or neither using American Heart Association/National Heart, Lung, and Blood Institute and World Health Organization guidelines. Annualized event rates of strokes, myocardial infarction (MI), and all-cause mortality were calculated, and hazard ratios (HRs) referencing the “neither” group were computed, controlling for significantly associated baseline characteristics.

**Results:** Among 2,999 participants, 25% had METS only, 6% had DM only, 32% had both conditions, and 37% had neither. Over a median of 3.8 years of follow-up, there were 274 recurrent strokes (240 ischemic, 34 hemorrhagic) and 74 MIs; among the 240 ischemic strokes, 134 (56%) were lacunar. The rates of any recurrent stroke (HR 1.7, 95% confidence interval [CI] 1.3–2.3) or lacunar stroke (HR 2.4, 95% CI 1.5–3.7) were significantly higher for those with concurrent METS and DM compared with those who had neither. Risk of incident MI was higher in participants with DM (HR 2.8, 95% CI 1.1–7.0) or concurrent DM and METS (HR 2.6, 95% CI 1.4–4.9).

**Conclusion:** METS and DM were significant comorbid conditions in lacunar stroke patients and they were associated with stroke recurrence. In patients with lacunar infarcts, a vigilant approach to prevent development of DM in those with METS may be a potential strategy to reduce recurrent strokes. *Neurology*® 2015;85:935–941

## GLOSSARY

**AP** = antiplatelet; **BP** = blood pressure; **CI** = confidence interval; **CVD** = cardiovascular disease; **DM** = diabetes mellitus; **HR** = hazard ratio; **METS** = metabolic syndrome; **MI** = myocardial infarction; **SPS3** = Secondary Prevention of Small Subcortical Strokes; **WMH** = white matter hyperintensities.

The metabolic syndrome (METS) is an interrelated group of risk factors that confers a higher risk of incident diabetes mellitus (DM) and cardiovascular disease (CVD).<sup>1</sup> Several clinical guidelines exist for the diagnosis of METS<sup>2</sup> and all identify a combination of insulin resistance, adiposity, dyslipidemia, and elevated blood pressure (BP). The prevalence of METS increases with age,<sup>3</sup> and across various populations may range from 20% to 50%.<sup>4–6</sup>

METS was originally described as a method to identify nondiabetic populations who were at higher risk of developing incident DM and CVD.<sup>4</sup> However, this definition has evolved over the past decade to also encompass those with diagnosis of DM. Although the inclusion of patients with DM has been accepted into most major definitions,<sup>2,7</sup> this integration has made it difficult to understand the role of METS as an independent risk factor for incident CVD as compared to the risks imparted by DM itself.

Past studies have shown that both DM and METS are associated with higher risk of cardiovascular and cerebrovascular disease but few studies focus on the lacunar stroke subtype.<sup>8–11</sup> Lacunar

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strokes account for approximately 25% of all ischemic strokes, and recurrence risk within 5 years has been reported to be as high as 20%, leading to progressive morbidity.<sup>12,13</sup>

We compared METS in nondiabetics vs DM as risk factors for recurrent cardiovascular and symptomatic cerebrovascular disease among participants with lacunar strokes from the Secondary Prevention of Small Subcortical Strokes (SPS3) trial. We hypothesized that both METS and DM contribute to the risk of recurrent stroke.

**METHODS** The institutional review board–approved SPS3 (NCT00059306) was a randomized, multicenter, clinical trial that enrolled 3,020 patients with symptomatic, MRI-confirmed lacunar strokes from 81 clinical centers in North America, Latin America, and Spain.<sup>14</sup> All patients with recent lacunar stroke (within 6 months) and without surgical ipsilateral carotid artery disease or cardioembolic source were randomized, in a 2-by-2 factorial design, to both an antiplatelet (AP) intervention and one of 2 target levels of systolic BP.<sup>14</sup>

Baseline METS and DM status were determined using American Heart Association/National Heart, Lung, and Blood Institute<sup>2</sup> and World Health Organization guidelines,<sup>15</sup> respectively. Participants were stratified into 4 groups: METS only, DM only, both, or neither. Annualized event rates of strokes, cardiovascular events, and all-cause mortality were calculated; hazard ratios (HRs) were computed relative to the reference group of participants who had neither risk factor, controlling for significantly associated baseline characteristics.

METS was determined by the presence of  $\geq 3$  of the following: prediabetes with fasting blood glucose of 110 to 125 mg/dL; elevated BP ( $\geq 130/\geq 85$  mm Hg) or history of hypertension with antihypertensive medication; increased triglyceride level ( $\geq 150$  mg/dL); reduced high-density lipoprotein level ( $< 40$  mg/dL in men and  $< 50$  mg/dL in women); and abdominal obesity (waist circumference  $\geq 88$  cm in women and  $\geq 102$  cm in men). Of the 3,020 enrolled patients, 2,999 (99.3%) had sufficient data for assessment of METS status. Waist circumference data were not available for 1,654 (55%) of the 3,009 patients. Among these 1,654 participants, 1,243 (75%) met the definition for METS because of the presence of  $\geq 3$  other component risk factors. For 411 patients without waist circumference data and only 2 additional component risk factors, body mass index  $\geq 30$  kg/m<sup>2</sup> was used as a proxy for abdominal obesity.<sup>16</sup> DM was determined by fasting glucose  $\geq 126$  mg/dL, self-reported history of diabetes, or use of hypoglycemic therapy.

Participants who met criteria for METS but did not meet criteria for DM were categorized into the METS only group. Those who met criteria for DM but not METS were categorized into the DM only group. Participants with “both” concurrently fulfilled criteria for METS and DM. Those in the “neither” group did not meet criteria for either condition.

**Covariates.** Dyslipidemia was defined by self-report of dyslipidemia and/or treatment with lipid-lowering drug. Regular alcohol use was defined as  $\geq 7$  alcoholic drinks a week; patients with alcohol abuse by history were excluded from enrollment. Regular exercise was defined as exercise  $\geq 3$  times a week. Ischemic heart disease was defined as any confirmed history of myocardial infarction (MI), definite/atypical angina, or

revascularization procedure.<sup>17</sup> White matter hyperintensities (WMH) were evaluated visually using the age-related white matter changes score by 4 independent readers who were blinded to clinical information.<sup>18</sup>

**Outcomes.** Recurrent stroke was defined by the presence of a focal neurologic deficit persisting for more than 24 hours ascertained by clinical evaluation with supplemental noncontrast head CT or brain MRI. MI events were defined by ECG and cardiac enzyme criteria.<sup>14</sup> All events were adjudicated by a central committee.

**Statistical analysis.** Baseline characteristics of the study population were compared across the 4 groups (neither, DM only, METS only, and both) using  $\chi^2$  tests of association for categorical variables and analysis of variance for continuous variables. Rates were computed for each group as the number of events divided by the total follow-up time for that group, and this was annualized. Cox proportional hazards models were used to determine the relative stroke rates using the “neither” group as reference in the adjusted models displayed as HRs. The proportional hazards assumption was examined in the model of the primary events. Outcomes examined included any recurrent strokes, lacunar strokes, hemorrhagic strokes, MIs, and all-cause mortality. Interactions between the 4-category METS/DM variable and each of the AP and BP arms were examined for the primary outcome.

**RESULTS** Of the 3,020 participants enrolled at baseline, 2,999 (99%) had available data to determine METS and DM status. Hypertension was the most prevalent METS component observed in 90% of participants, followed by low high-density lipoprotein (56%), abdominal obesity (45%), and elevated triglycerides (44%), while prediabetes was relatively uncommon (12%).

Overall, 25% of the participants met criteria for METS. Only 6% met criteria for DM without METS. The presence of concurrent METS and DM was common with a prevalence of 32%. The remaining 37% participants (“neither” group) did not meet criteria for METS or DM (table 1).

**Study sample.** The baseline characteristics across the 4 study groups are provided in table 1. We observed significant regional differences between Latin America, North America, and Spain such that participants from Spain had lower prevalence of traditional stroke risk factors with 41% of participants having neither DM nor METS. Active smokers were less likely than former or nonsmokers to have concurrent DM and METS and were more likely to have neither condition. Participants with regular alcohol intake ( $\geq 7$  drinks/wk) were also more likely to have neither risk factor (55%) compared to the study average (37%). Those with DM and METS were more likely to report a history of ischemic heart disease compared with other participants.

**Baseline lacunar infarct characteristics.** Pure motor lacunar stroke was the single most common clinical syndrome in 33% (989/2,997) followed by sensorimotor stroke in 31% (927/2,997); the remaining

**Table 1** Baseline population characteristics and prevalence of METS and DM

	Neither	METS only	DM only	Both	p Value <sup>a</sup>
<b>Total (2,999)</b>	1,120 (37)	758 (25)	174 (6)	947 (32)	
<b>Average age, y (SD)</b>	65.2 (11.6)	61.6 (10.4)	64.6 (10.5)	62.1 (9.7)	<0.0001
<b>Sex</b>					
<b>Male (1,888)</b>	725 (38)	455 (24)	141 (7)	567 (30)	<0.0001
<b>Female (1,111)</b>	395 (36)	303 (27)	33 (3)	380 (34)	
<b>Ethnic group</b>					
<b>White (1,522)</b>	632 (42)	394 (26)	73 (5)	423 (28)	<0.0001
<b>Hispanic (914)</b>	272 (30)	244 (27)	67 (7)	331 (36)	
<b>Black (492)</b>	191 (39)	107 (22)	31 (6)	163 (33)	
<b>Other (71)</b>	25 (35)	13 (18)	3 (4)	30 (42)	
<b>Region</b>					
<b>Latin America (693)</b>	226 (33)	200 (29)	57 (8)	210 (30)	0.0001
<b>North America (1,947)</b>	748 (38)	487 (25)	90 (5)	622 (32)	
<b>Spain (359)</b>	146 (41)	71 (20)	27 (8)	115 (32)	
<b>Smoking</b>					
<b>Never (1,186)</b>	414 (35)	296 (25)	63 (5)	413 (35)	0.0104
<b>Current (614)</b>	259 (42)	169 (28)	33 (5)	153 (25)	
<b>Past (1,199)</b>	447 (37)	293 (24)	78 (7)	381 (32)	
<b>Regular alcohol use (384)</b>	210 (55)	82 (21)	21 (5)	71 (18)	<0.0001
<b>Regular exercise (1,526)</b>	652 (43)	351 (23)	90 (6)	433 (28)	<0.0001
<b>Medical history</b>					
<b>Ischemic HD (314)</b>	75 (24)	71 (23)	22 (7)	146 (46)	<0.0001
<b>Elevated LDL (1,465)</b>	662 (45)	407 (28)	93 (6)	273 (19)	<0.0001

Abbreviations: DM = diabetes mellitus; HD = heart disease; LDL = low-density lipoprotein; METS = metabolic syndrome. Data represent n (%) unless otherwise indicated. Regular alcohol use was defined as  $\geq 7$  drinks per week. Regular exercise was defined as exercise  $\geq 3$  times a week.

<sup>a</sup>Calculated using  $\chi^2$  tests of association for categorical variables or analysis of variance for continuous variables.

36% (1,081/2,997) of participants had clinical stroke syndromes such as ataxic hemiparesis, dysarthria/clumsy-hand syndrome, and hemiballismus (table 2). A significant number of patients had multiple lacunar infarcts noted on MRI at enrollment (39%). Twenty-five percent of participants in the “neither” group had severe WMH compared with only 19% of participants with both DM and METS (table 2).

Over a median of 3.8 years of follow-up, there were 274 recurrent strokes, of which 240 were ischemic and 34 were hemorrhagic (figure). Of the 240 ischemic strokes, 134 (56%) were lacunar. Participants with concurrent DM and METS had significantly higher annual rate of any recurrent stroke (all strokes, including ischemic and hemorrhagic, HR 1.7, 95% confidence interval [CI] 1.3–2.3) and lacunar stroke (HR 2.4, 95% CI 1.5–3.7) relative to those with neither. We did not observe an association with hemorrhagic stroke for any of the groups.

METS alone did not confer a significantly increased risk of incident MI although participants with DM only or DM with concurrent METS did have increased annual event rates (HR 2.8, 95% CI 1.1–7.0 and HR 2.6, 95% CI 1.4–4.5, respectively). A similar result was found for all-cause death with DM (alone or with METS) showing an increased risk (HR 2.7, 95% CI 1.7–4.4, and HR 1.6, 95% CI 1.2–2.4, respectively). There were no differences in terms of single vs dual AP therapy (table e-1 on the *Neurology*<sup>®</sup> Web site at [Neurology.org](http://Neurology.org)) or BP target (table e-2) regarding stroke or MI risk reduction in any of the DM/METS groups.

**DISCUSSION** The SPS3 study comprised patients with recent, MRI-proven lacunar stroke, all of whom were managed with AP and BP therapy. With combined medical therapy, participants with METS only had less risk of stroke recurrence compared with those who had METS and DM.

**Table 2** Lacunar stroke characteristics at enrollment by METS and DM status

	Neither	METS only	DM only	Both	p Value <sup>a</sup>
<b>Clinical syndrome</b>					0.18
Pure motor (989)	383 (39)	260 (26)	59 (6)	287 (29)	
Sensorimotor (927)	335 (36)	235 (25)	43 (5)	314 (34)	
Other (1,081)	400 (37)	263 (24)	72 (7)	346 (32)	
<b>Anatomical location</b>					<0.0001
BG/IC (714)	292 (41)	210 (29)	31 (4)	181 (25)	
CR/centrum semiovale (836)	336 (40)	211 (25)	43 (5)	246 (29)	
Thalamus (672)	246 (37)	171 (25)	32 (5)	233 (35)	
Brainstem/cerebellum (775)	244 (31)	166 (21)	68 (9)	297 (38)	
Multiple lacunar infarcts (1,189)	430 (36)	336 (28)	68 (6)	355 (30)	0.026
<b>White matter disease burden</b>					0.005
Mild (1,483)	510 (34)	398 (27)	90 (6)	485 (33)	
Moderate (828)	308 (37)	197 (24)	52 (6)	271 (33)	
Severe (638)	279 (44)	149 (23)	29 (5)	181 (28)	

Abbreviations: BG = basal ganglia; CR = corona radiata; DM = diabetes mellitus; IC = internal capsule; METS = metabolic syndrome.

Data represent n (%).

<sup>a</sup>Calculated using  $\chi^2$  tests of association.

Participants with DM (with or without METS) were also more likely to have incident MI.

Lacunar strokes comprise approximately one-quarter<sup>9</sup> of all ischemic strokes. Without medical intervention, they are characterized by a high rate of recurrence leading to increasing disability.<sup>12</sup> The SPS3 study is the largest study of lacunar stroke patients within a multiethnic and international population of more than 3,000 participants. It is distinctive as it defines the presence of suspected recent lacunar stroke via requisite MRI rather than relying solely on clinical criteria, which are less specific.<sup>19</sup> The large study size and the rigor in identifying and enrolling patients have allowed us to gain important insights into the underlying risk factors and effectiveness of treatment in patients with lacunar strokes.

METS and DM were found to be frequent comorbid conditions in those with lacunar strokes. In this cohort, 37% had neither DM nor METS, and 32% had both (DM and METS). Although a significant number of patients had METS only (25%), it was quite rare (6%) for participants to have DM in the absence of METS; this matches our observation that those with METS are at high risk of developing DM and that DM is less likely to occur in the absence of associated risk factors.

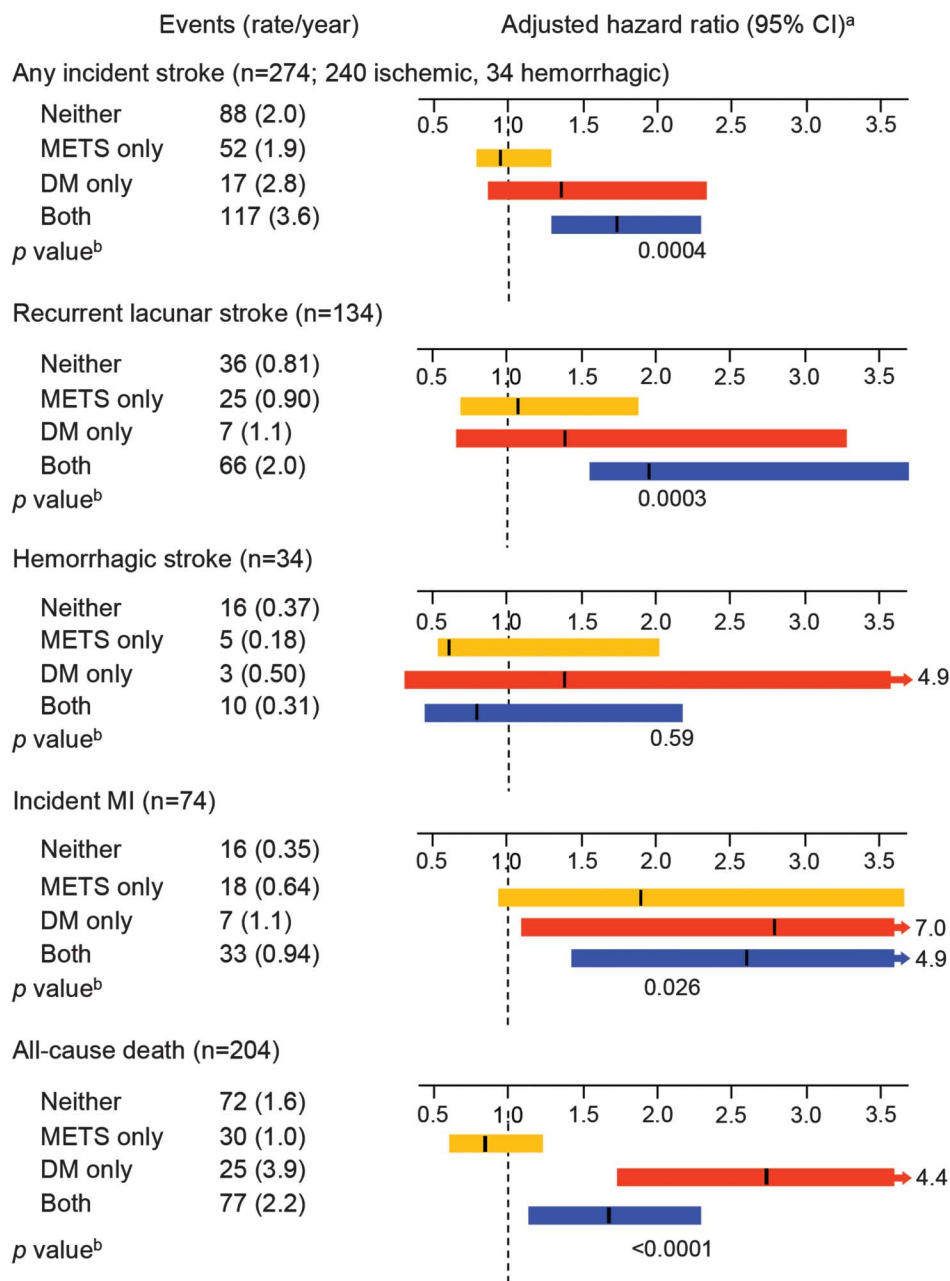
The overall rate of symptomatic recurrent stroke (ischemic and hemorrhagic) in this cohort was 8%, which was considerably lower than prior epidemiology-based studies of lacunar disease with reported recurrence rates of nearly 20%.<sup>12,13</sup> The low recurrence rate seen in

the SPS3 trial was similar to results from other randomized controlled trials assessing the efficacy of secondary prevention,<sup>20,21</sup> providing strong evidence that appropriate medical therapy can lower the overall risk of lacunar stroke recurrence.

The risk of any recurrent stroke and specifically, lacunar stroke, for patients with either METS or DM was not significantly higher than in those with neither condition. Patients with concurrent DM and METS had a higher rate of recurrent strokes (both lacunar and any ischemic stroke) despite undergoing similar medical treatment. DM alone showed a trend suggestive of increased risk of recurrent lacunar stroke but did not reach statistical significance, which may be attributable to small sample size as DM rarely occurred alone, and almost always occurred in conjunction with METS. The physiology underlying the increased risk of recurrent ischemic stroke in diabetics with METS may be attributable to the increased prevalence of intracranial stenosis in this population,<sup>22</sup> which we were not able to examine in this study.

The relationship between METS and DM regarding incident MI differed from that for incident stroke. For those with METS only, the rate of MI was not significantly different from the “neither” group (HR 1.9, 95% CI 0.94–3.8); however, for those with DM, whether with METS (HR 2.6, 95% CI 1.4–4.9) or without (HR 2.8, 95% CI 1.1–7.0), the risk of MI was significantly higher. DM has been linked to a higher burden of underlying coronary artery disease<sup>23,24</sup> and a chronic prothrombotic state<sup>25</sup> that

**Figure** Event rates and hazard ratios by METS and DM status



CI = confidence interval; DM = diabetes mellitus; METS = metabolic syndrome; MI = myocardial infarction. <sup>a</sup>Controlled for all variables significant in tables 1 and 2. <sup>b</sup>Calculated by Wald test for overall differences.

may be the underlying reason for the increased risk of MI in diabetics.

In the SPS3 population, the risk of recurrent lacunar stroke for participants with METS appears to be reduced by combined AP therapy and BP control. Medical therapy seemed less effective for MI and recurrent stroke prevention in those with concurrent METS and DM. Neither dual AP nor lower BP target provided additional benefit in stroke or MI risk reduction in any of the groups (tables e-1 and e-2).

Although SPS3 represents an ideal patient population in which to examine underlying pathophysiology

of lacunar strokes, there may be some limitations to the generalizability of the data to the entire ischemic stroke population. Patients with multiple stroke subtypes, such as those with cortical or large subcortical strokes, or ipsilateral carotid disease were excluded from the study. This selection bias may be the underlying reason why active smokers (table 1) were less likely than former/nonsmokers to have concurrent DM and METS and why those with neither risk factor had more severe WMH than those with concurrent DM and METS (table 2). There was also a relative lack of ethnically Asian participants (1.4%



of total enrolled), which may limit applicability to this population, particularly since Asians tend to have differences in stroke risk factors compared with other ethnicities.<sup>26,27</sup> In addition, this is a post hoc analysis of a randomized controlled trial, and as such, there may be residual confounding in the relationships between METS, DM, and recurrent events.

Nevertheless, the results from our study suggest that it would be important for clinicians to identify patients at high risk of developing DM (such as those with METS). Initiating preventive strategies may prove to be an effective measure to stroke and MI prevention in patients with METS who are at risk of developing DM. These strategies need to be examined in further clinical trials.

### AUTHOR CONTRIBUTIONS

Study concept and design: Helena Lau, Shuhan Zhu, Leslie A. McClure, Oscar Benevente, Aleksandra Pikula. Acquisition of data: Leslie A. McClure, Helena Lau, Carole White, Oscar Benevente. Analysis and interpretation of data: Leslie A. McClure, Shuhan Zhu, Helena Lau, Jose R. Romero, Carole White, Viken Babikian, Thanh Nguyen, Oscar Benevente, Carlos S. Kase, Aleksandra Pikula. Critical revision of the manuscript for important intellectual content: all authors. Statistical analysis: Leslie A. McClure. Study supervision: Aleksandra Pikula.

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### DISCLOSURE

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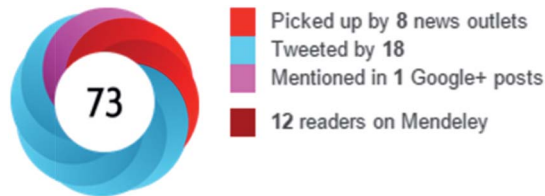
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