

# Collection-limited theory interprets the extraordinary response of single semiconductor organic solar cells

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The bulk heterojunction (BHJ) organic photovoltaic (OPV) architecture has dominated the literature due to its ability to be implemented in devices with relatively high efficiency values. However, a simpler device architecture based on a single organic semiconductor (SS-OPV) offers several advantages: it obviates the need to control the highly system-dependent nanoscale BHJ morphology, and therefore, would allow the use of broader range of organic semiconductors. Unfortunately, the photocurrent in standard SS-OPV devices is typically very low, which generally is attributed to inefficient charge separation of the photogenerated excitons. Here we show that the short-circuit current density from SS-OPV devices can be enhanced significantly (~100-fold) through the use of inverted device configurations, relative to a standard OPV device architecture. This result suggests that charge generation may not be the performance bottleneck in OPV device operation. Instead, poor charge collection, caused by defect-induced electric field screening, is most likely the primary performance bottleneck in regular-geometry SS-OPV cells. We justify this hypothesis by: (i) detailed numerical simulations, (ii) electrical characterization experiments of functional SS-OPV devices using multiple polymers as active layer materials, and (iii) impedance spectroscopy measurements. Furthermore, we show that the collection-limited photocurrent theory consistently interprets typical characteristics of regular SS-OPV devices. These insights should encourage the design and OPV implementation of high-purity, high-mobility polymers, and other soft materials that have shown promise in organic field-effect transistor applications, but have not performed well in BHJ OPV devices, wherein they adopt less-than-ideal nanostructures when blended with electron-accepting materials.

exciton | charge collection | organic photovoltaic | solar cell | organic defects

The photovoltaic properties of semiconducting polymers arranged in a simple metal–polymer–metal sandwich structure were first demonstrated in 1993 by several groups (1–3). The efficiency values of these early photovoltaic (PV) cells were minuscule mainly because of the poor short-circuit current densities ( $J_{sc} \sim 10 \mu\text{A cm}^{-2}$ ) under standard 1 sun illumination. By definition,

$$J_{sc} \approx J_{ph}(V=0) = \eta_{ex}\eta_C J_{max}, \quad [1]$$

where  $J_{ph}(V)$  is the voltage-dependent photocurrent,  $\eta_{ex}$  is the exciton diffusion-dissociation (or free-charge generation) efficiency,  $\eta_C$  is the charge (free-carrier) collection efficiency, and  $J_{max}$  is the maximum current density obtained by integrating absorption spectra ( $J_{max} \sim 10\text{--}20 \text{ mA cm}^{-2}$  for typical semi-conducting polymers). The difference between  $J_{sc}$  and  $J_{max}$  was attributed to an inefficient  $\eta_{ex}$  (<0.1%) arising from ultrashort exciton diffusion lengths (~10–15 nm) in semiconducting polymers and was eventually interpreted by Onsager's theory of geminate pair recombination (4–6). This classical interpretation assumes, on the other hand, that  $\eta_C \sim 100\%$ .

To improve  $\eta_{ex}$ , Heeger and co-workers (7) and Holmes and co-workers (8) introduced the concept of bulk heterojunction (BHJ)

devices in 1995. Here, the active layer consists of two organic semiconductors: an electron-donating, hole-conducting (p-type) semiconducting polymer blended with an electron-accepting, electron-conducting (n-type) organic semiconductor. It was suggested that the interpenetrating bicontinuous morphology creates a distributed p–n heterojunction throughout the absorbing semiconducting active layer. This heterojunction (HJ) between the electron-donating and electron-accepting materials is able to dissociate excitons before they recombine, and therefore one expects and observes a higher  $\eta_{ex}$  and an improved  $J_{sc}$ . Once the nanostructure of the donor–acceptor blend was optimized by controlling the casting solution, deposition protocols, and postprocessing conditions, the BHJ-OPV led to the highest-performing polymer-based OPV devices ever reported in the literature (9–13). In fact, a number of groups have achieved  $J_{sc} \sim J_{max}$  (14), implying nearly perfect exciton dissociation ( $\eta_{ex} \approx 1$ ) and charge collection ( $\eta_C \approx 1$ ).

It has long been recognized, however, that despite a high  $J_{sc}$ , a number of critical (and possibly fundamental) limitations of the BHJ concept will prevent the approach from ever achieving efficiency and reliability comparable to highly efficient inorganic solar cells. First, the energy band discontinuity ( $\Delta E_c$ ) at the HJ directly translates to an irretrievable loss of the open-circuit voltage,  $V_{oc}$  (15, 16), because electrons and holes recombine at the donor–acceptor interface (i.e., the cross-gap at the HJ) at energies lower than the optical gap of donor or acceptor (17). Second, the efficiencies of BHJ solar cells appear to be highly sensitive to the processing conditions [e.g., solution composition (18) and postprocessing annealing conditions (19)] and failure

## Significance

We demonstrate that, instead of the charge generation mechanism, charge collection can readily explain the bottleneck toward higher efficiency single organic semiconductor based OPVs (SS-OPVs). This change in archetype has the potential to transform the design rules for materials used in OPV devices and would inspire searches for a completely different set of polymers for OPV cells. Furthermore, we believe that our findings will broaden the understanding of the physics of charge transport and the impact of charged defect states in organic electronic devices. Hence, this work should have deep and immediate impact on the chemists, materials scientists, and device physicists in the field and would be of broad interest to the organic electronics community.

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to achieve optimum morphology is reflected in very poor device efficiency (20, 21). Finally, because the activation energy of phase segregation is relatively small, the nanostructure continues to evolve (degrade) under standard operating conditions (22), shortening the useful lifetime of OPV technologies.

These fundamental limitations of BHJ-OPV devices suggest that the original SS-OPV concept should be reconsidered; specifically, the physical origin of the poor  $J_{sc}$  should be explained in much fuller detail. In this effort, we show that the main reason for poor  $J_{ph}$  in SS-OPV devices is the poor charge collection property ( $\eta_C$ ) of the organic film. We establish this hypothesis by demonstrating a 100-fold improvement in  $J_{sc}$  of SS-OPV devices made of the commonly used semiconducting polymers poly[2-methoxy-5-(2-ethylhexyloxy)-1,4-phenylenevinylene] (MEH-PPV), poly(3-hexylthiophene) (P3HT), and poly[5,5'-bis(3-dodecyl-2-thienyl)-2,2'-bithiophene] (PQT-12) with inverted electrode configurations. This dramatic improvement in  $J_{sc}$  cannot be interpreted by the classical view of poor field-dependent  $\eta_{ex}$  in polymers. Furthermore, we used impedance spectroscopy and detailed optoelectronic numerical simulations to develop an alternate collection-limited model of OPV. The model allows us to reinterpret the classical experiments and help resolve a number of anomalous SS-OPV experiments (e.g., efficiency gain at lower intensity, voltage dependence of the reverse  $J_{ph}$ ). Importantly, the numerical model, based exclusively on free-carrier transport, explains how the optoelectronic interplay defines the unconventional features in the external quantum efficiency (EQE) spectrum; indeed, the match between theory and experiments is remarkable. Bias-dependent EQE measurements further confirm a high rate of charge generation. Therefore, the proposed theory encourages the development of organic semiconductors with improved charge collection properties for highly efficient SS-OPVs. Such device configurations will ensure next-generation OPVs free of variability and/or reliability concerns associated with BHJ structures.

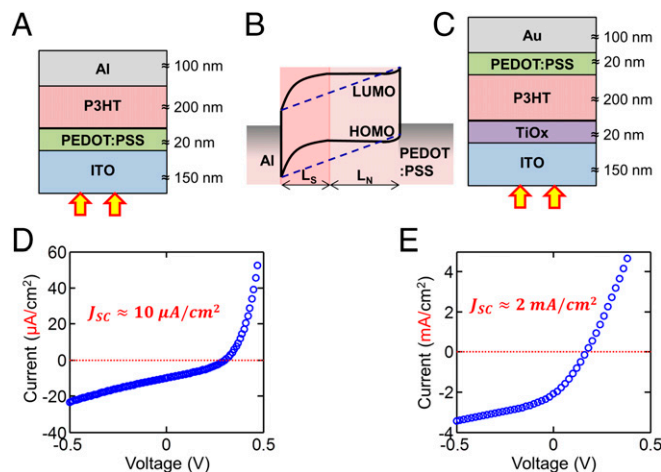
### Key Experiment

The inverted device configuration has been studied widely for BHJ-OPV devices (23–25); however, its impact on SS-OPV cells has not been explored in full. Here, we perform a side-by-side comparison of the photovoltaic response for single polymer-based OPV devices with both the typical and inverted configurations. The device structures and the corresponding J-V characteristics (1 sun illumination) are shown in Fig. 1. This result establishes that there is a significant (~200-fold) improvement in the photocurrent for the inverted device configuration relative to the standard OPV device configuration for an SS-OPV cell. The improvement is highly reproducible and has been observed routinely in the all of the devices fabricated.

### Interpretation of the Key Experiment

We invoke the free-carrier-based collection theory to explain the origin of significant increase of  $J_{sc}$  in the inverted structure. Because the interchange of contacts affects charge collection efficiency, one must reexamine the presumption that  $\eta_C \sim 100\%$  by examining the field distribution within the polymer ( $\eta_{C,E}$ ) and the alignment of the absorption and the collection zones ( $\eta_G$ ).

**Defect-Induced Band Bending.** The energy bands of an OPV are frequently drawn assuming the metal–insulator–metal (MIM) model, where the active layer is modeled as defect-free intrinsic semiconductor (dashed lines of Fig. 1B) (26). In practice, the impedance spectroscopy data (see Fig. S1) indicate the presence of high density of defect states acting as p-type dopants in the semiconductor (27–30). Under solar illumination, these defects are filled by photoinduced electrons, and they form a space-charge region (with corresponding nonlinear bending of the energy band diagram) near the organic low work function metal (27) (the solid lines of Fig. 1B). The defect-induced band diagram associated with



**Fig. 1.** (A) Device structure of the standard P3HT SS-OPV cell. (B) Energy band diagram of the absorbing layer, assuming MIM model (dashed line) vs. with band bending in the presence of charged defects (solid line). (C) Structure of the inverted SS-OPV with P3HT as the active material. (D and E) Corresponding J-V characteristics (under AM 1.5 illumination) of the standard and inverted devices, respectively.

direct and inverted structures is shown in Fig. 2. The field screening associated with the defects divides the active region into space-charge and field-free regions. This nonlinear band diagram implies  $\eta_C < 1$ , according to the following rationale.

Free-carrier transport in any semiconducting material can be described with the generalized drift-diffusion formalism. The electric field in the flat band region is negligible; therefore, charge transport in the field-free region is dictated exclusively by diffusion of the charges. The charge carrier mobility (or, alternatively, the charge carrier diffusion coefficient) in organic semiconductor is very low; therefore, the carrier diffusion length is small. This makes charge extraction from the neutral region highly inefficient. For example, the electron diffusion length in P3HT is estimated at  $L_{diff} = \sqrt{D\tau_{rec}} = 5$  nm, for an electron mobility  $\mu_e = 10^{-4}$  cm<sup>2</sup> V<sup>-1</sup> · s<sup>-1</sup> and a typical recombination time  $\tau_{rec} \sim 100$  ns. Due to such a low diffusion length, almost all of the photocarriers generated in the neutral region recombine before being collected by the electrodes. Conversely, charge collection in the space-charge region is enhanced greatly due to the presence of built-in electric field given by  $E_{bi} \approx (V_{bi} - V)/L_S$ , where  $V_{bi}$  is the built-in voltage and  $L_S$  is the length of the space-charge region. The electrostatic field drives the charge carriers by drift. This drift length is characterized by  $L_{drift} = \mu E \tau_{rec}$ , which typically is limited by the space-charge length. Thus, the collection length in typical polymer film is given by

$$L_C = \min(L_S, L_{drift}) + L_{diff} \leq L_S + L_{diff}. \quad [2]$$

The corresponding defect-related collection efficiency, assuming uniform generation, is given by

$$\eta_{C,E} = \left(\frac{L_C}{L_{film}}\right) \leq \left(\frac{L_S + L_{diff}}{L_{film}}\right). \quad [3]$$

In the *Supporting Information* (see Fig. S1), we show, by impedance spectroscopy measurements, that  $L_S \leq 30$  nm for a typical P3HT film and  $L_{diff} \approx 5$  nm. Thus, Eq. 3 suggests that  $\eta_{C,E} < 20\%$ , for  $L_{film} = 200$  nm in P3HT.

**Nonuniform Exciton Generation.** If the charge generation is spatially uniform, space-charge-limited collection itself does not explain the 100-fold difference in  $J_{sc}$  between the regular and the



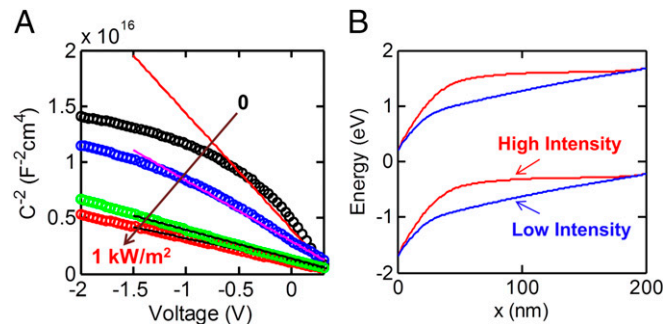


increases with light intensity. Thus, the overall efficiency should increase with intensity, as typically observed in all types of inorganic solar cells. However, as shown in Fig. 3, the  $J_{sc}$  (normalized) and FF of OPV cells decrease sharply with illumination intensity, which in turn reduces the efficiency.

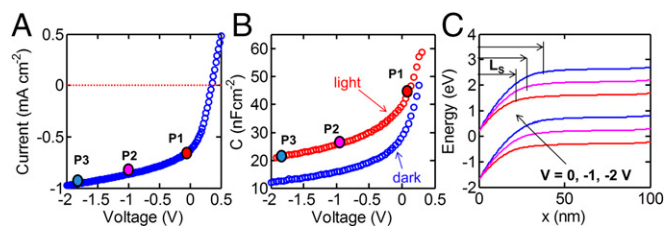
These trends are consistent with the intensity-dependent charge collection efficiency model. In fact, by measuring the intensity-dependent capacitance–voltage (C–V) characteristics, one can determine the modulation of space-charge density and the corresponding change in collection lengths as a function of illumination intensity. In Fig. 4, we plot the measured C–V data as a function of illumination intensity for the same P3HT-based photodiode. From the slope of the Mott–Schottky plot (27), we find that the space-charge density increases with higher illumination intensity. This increase in space charge with illumination suggests that light illumination fills the deep level traps present in the polymeric semiconductor, and thereby increases the space-charge density (the full suite of impedance spectroscopy measurements is shown in the *Supporting Information*). Higher space-charge density screens the electric field within smaller length ( $L_S$ ), thereby reducing the collection efficiency (see Eq. 3,  $\eta_C \propto L_S/L_{film}$ ).

**Voltage-Dependent Reverse Photocurrent.** Unlike BHJ-OPV cells, the  $J_{ph}$  of SS-OPV devices show a very strong voltage dependence, especially in the reverse bias regime (Fig. 5). It is argued that if the primary photoexcitation were free carriers, and if  $G$  is assumed uniform throughout the absorber layer,  $J_{ph}(\propto qGL)$  should be field independent for negative voltages (5, 6, 34). Thus, a field-dependent  $J_{ph}$  is often referred to as an unambiguous signature for the exciton model, and generally explained by the voltage-dependent charge generation (or exciton dissociation) efficiency ( $\eta_{ex}$ ) (5, 6, 34).

In Fig. 5, we provide a simpler explanation for the increase of  $J_{ph}$  in reverse bias, based on voltage-dependent collection efficiency ( $\eta_C$ ), and without invoking any rate-limiting role of excitons. Fig. 5A shows the J–V characteristics for an inverted P3HT-based photodiode for negative applied voltages. Clearly, the magnitude of the current density increases greatly in the negative voltages. Three representative points in the J–V plot are taken to explain such voltage dependence. Fig. 5C shows the active layer band diagram for these three different negative voltages obtained by numerical simulation. The plot illustrates the fact that the space-charge length increases under reverse bias. Because the charge collection length is limited by the space-charge length,  $L_C \approx L_S$ , according to Eq. 3, the collection efficiency,  $\eta_C \propto L_C/L_{film}$ , increases with negative voltages, explaining the increase in  $J_{ph}$ . The voltage-dependent modulation of  $L_S$  is confirmed by the C–V measurement on the photodiode (Fig. 5B). The close agreement between the theory and experiment in Fig. 5 supports our assertion that the voltage-dependent charge collection can consistently



**Fig. 4.** (A) Measured C–V data of the P3HT photodiode with an increasing intensity of incoming radiation. (B) Corresponding energy band diagram of the active layer of the device at low and high light intensities, as calculated using a self-consistent simulation model.



**Fig. 5.** Origin of voltage-dependent photocurrent. (A) Measured light J–V characteristics for an inverted P3HT SS-OPV diode. P1, P2, and P3 are three representative points on the J–V curve. (B) Measured C–V characteristics of the same diode. (C) Corresponding energy band diagrams of the active layer of the device at various reverse biases highlighting the difference in  $L_S$  for points P1, P2, and P3 in the J–V response curve.

interpret electrical performance of single-layer OPVs, and it does so without invoking any rate-limiting role of excitons.

**Wavelength-Dependent Collection.** We have conducted a rigorous optoelectronic numerical study of the inverted P3HT photodiode structure to elucidate the wavelength-dependent carrier collection. In fact, we can reproduce the experimental EQE spectral features based on our model, as shown in Fig. 6. Fig. 6B compares the normalized EQE response obtained from experiments (circles) and simulations (solid line). Next, we will use the proposed theory to explain the wavelength-dependent EQE shape at four representative wavelength positions marked as P1, P2, P3, and P4 in Fig. 6B. The related carrier generation profiles (i.e., the calculated photon absorption profiles) are shown in Fig. 6C, and the numerically found absorption spectrum for the various layers is shown in Fig. 6A.

For the case of point P4 ( $\lambda = 350$  nm), there is very little absorption in P3HT (Fig. 6A and C), as a large portion of the absorption occurs in ITO and  $\text{TiO}_x$  layers. Thus, the current, and hence the EQE, at this wavelength, is very low. Similarly for point P1, the low absorption near the band edge results in a low EQE.

The most interesting information, however, is obtained by comparing case P2 to case P3. The integrated absorption in the P3HT layers for case P3 ( $\lambda \sim 500$  nm) and case P2 ( $\lambda \sim 620$  nm) are comparable (Fig. 6A). The numerical simulation, however, suggests that whereas the integrated generation is similar, the spatial distributions are not. The photogeneration in the space-charge region for case P3 is higher compared with that of case P2, because a greater number of higher energy (i.e., shorter wavelength) photons are absorbed close to the interface inside the space-charge region. As a result, the collection-limited theory anticipates that the EQE for case P3 (shorter wavelength) would be significantly higher than that of case P2 (longer wavelength)—a prediction quantitatively validated by the measured EQE data shown in Fig. 6B. This result directly supports our collection-limited photocurrent model.

## Discussion and Conclusions

We note that, in the inverted configuration, the  $\text{TiO}_x$  buffer layer creates an organic–inorganic hybrid bilayer. It may be argued reasonably that the efficiency gain in the inverted HJ arises from efficient dissociation of the photogenerated excitons by the  $\text{TiO}_x$ /polymer HJ. Here, we address this possibility with voltage-dependent EQE experiment and detailed numerical simulations to demonstrate that this is not necessarily the case. Fig. 7A shows EQE spectrum (at 0 V) (blue) and the EQE spectrum (at  $-1$  V) (red) of the inverted P3HT-based SS-OPV device. The  $\text{EQE}(V = -1 \text{ V})$  is higher than the  $\text{EQE}(V = 0 \text{ V})$  for all wavelengths (i.e., carrier collection increases at all wavelengths for negative bias). However, the more informative value is the ratio of  $\text{EQE}(V = -1 \text{ V})/\text{EQE}(V = 0 \text{ V})$ , as shown in Fig. 7B. Note



should be explored) of active layer polymers. In fact, these results suggest that several materials that are used in high-performance organic field-effect transistors could be implemented in OPV devices immediately as their miscibility and nanostructure with respect to an electron-accepting material (e.g., a fullerene derivative) are no longer of concern. In this way, the production of high-performance, reproducible, and long-lasting OPV devices could be on the near horizon.

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