

COMMENTARY

Chemotherapy-Induced Peripheral Neurotoxicity and Ototoxicity: New Paradigms for Translational Genomics

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In view of advances in early detection and treatment, the 5-year relative survival rate for all cancer patients combined is now approximately 66%. As a result, there are more than 13.7 million cancer survivors in the United States, with this number increasing by 2% annually. For many patients, improvements in survival have been countered by therapy-associated adverse effects that may seriously impair long-term functional status, workplace productivity, and quality of life. Approximately 20% to 40% of cancer patients given neurotoxic chemotherapy develop chemotherapy-induced peripheral neurotoxicity (CIPN), which represents one of the most common and potentially permanent nonhematologic side effects of chemotherapy. Permanent bilateral hearing loss and/or tinnitus can result from several ototoxic therapies, including cisplatin- or carboplatin-based chemotherapy. CIPN and ototoxicity represent important challenges because of the lack of means for effective prevention, mitigation, or a priori identification of high-risk patients, and few studies have applied modern genomic approaches to understand underlying mechanisms/pathways. Translational genomics, including cell-based models, now offer opportunities to make inroads for the first time to develop preventive and interventional strategies for CIPN, ototoxicity, and other treatment-related complications. This commentary provides current perspective on a successful research strategy, with a focus on cisplatin, developed by an experienced, transdisciplinary group of researchers and clinicians, representing pharmacogenomics, statistical genetics, neurology, hearing science, medical oncology, epidemiology, and cancer survivorship. Principles outlined herein are applicable to the construction of research programs in translational genomics with strong clinical relevance and highlight unprecedented opportunities to understand, prevent, and treat long-term treatment-related morbidities.

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In view of advances in early detection, supportive care, and treatment, the 5-year relative survival rate for all cancer patients combined is now approximately 66% (1). As a result, there are more than 13.7 million cancer survivors, comprising approximately 4% of the United States population (2), with this number expected to increase by 2% annually (3). For many patients, these marked improvements in survival have been countered by serious therapy-associated adverse effects. These include sequelae that not only may be fatal [eg, second malignant neoplasms (4)] but also those that may impair long-term functional status, workplace productivity, and quality of life such as chemotherapy-induced peripheral neurotoxicity (CIPN) and permanent bilateral hearing loss (5).

CIPN is one of the most common and potentially permanent side effects of modern chemotherapy, second only in frequency to hematopoietic toxicity (6). Approximately 20% to 40% of cancer patients treated with neurotoxic chemotherapy develop CIPN (7). For painful neuropathies, most drugs fall short of providing adequate relief (7,8). CIPN represents an important challenge because of the lack of treatment that can effectively prevent or mitigate this adverse drug effect (9,10). Management is further complicated by the lack of reliable means to identify at-risk patients. CIPN may

develop as a consequence of treatment with platinum analogs (cisplatin, oxaliplatin, carboplatin), taxanes (paclitaxel, docetaxel), vinca alkaloids (vincristine), thalidomide, epothilones, and bortezomib (10). Differences in structural and mechanistic properties between various chemotherapeutic agents contribute to variations in clinical presentation (11). Mechanisms underlying CIPN remain largely unclear (11) and include damage to neuronal cell bodies in the dorsal root ganglion and axonal toxicity through transport deficits or energy failure [reviewed in (10)]. Whereas CIPN may be reversible for some cytotoxic drugs (eg, taxanes), for other chemotherapeutic agents (eg, cisplatin), the persistence of CIPN in long-term cancer survivors is well-documented (12–14). In a recent review of CIPN (10), incidence rates also varied greatly between studies. These wide ranges likely reflect not only differences in study populations, drug-related factors (eg, dose intensity), and potential confounders but also genetic susceptibility (15). CIPN associated with all major groups of neurotoxic drugs was recently reviewed by Argyriou et al. (10).

Hearing loss can result from the use of several potentially ototoxic therapies used in cancer treatment, including cisplatin- or carboplatin-based chemotherapy and cranial radiotherapy (16). Cisplatin results in serious, permanent, bilateral sensorineural

hearing loss in 19% to 77% of patients, with 19% to 42% developing permanent tinnitus (13). Cisplatin is one of the most ototoxic drugs in clinical use and is estimated by Mukherjea et al. (17) to result in hearing loss in approximately half a million new US cancer patients each year. Cisplatin-induced hearing loss is especially detrimental in children because even minor compromises in hearing can adversely impact academic and social development (18). Achievement of low blood concentrations of cisplatin several hours after infusion through intense intravenous hydration can minimize ototoxicity, but to our knowledge no drugs have been approved by the US Food and Drug Administration to prevent cisplatin ototoxicity during curative cancer treatment (19,20). Amifostine initially showed encouraging results for ovarian cancer (21) but was not found to lessen the risk of hearing loss in pediatric studies (22,23). A recent Cochrane analysis (24) of possible medical interventions for the prevention of platinum-induced hearing loss in children with cancer also found no evidence of an effect for amifostine, but methodologic limitations of the reviewed studies were noted.

Although inroads have been made into the description of risk factors for CIPN and iatrogenic hearing loss, few studies have applied modern genomic approaches (25) to further our understanding of associated genetic variants and underlying mechanisms. An in-depth understanding of pathways for CIPN and ototoxicity could provide the basis for the development of preventive and interventional strategies (5). The purpose of this commentary is to provide perspective on an approach intended to facilitate a comprehensive understanding of molecular pathways for CIPN and ototoxicity in cancer survivors. The current perspective represents 4 years of thoughtful deliberations, meetings, and discussions by an interdisciplinary group of experts in neurology, hearing science, pharmacogenomics, statistical genetics, medical oncology, radiation oncology, epidemiology, and survivorship research. This comprehensive approach was recently highlighted as one model for translational research at a National Cancer Institute–sponsored workshop (5) and is described here to foster the development of other programs in translational genomics with strong clinical relevance for cancer survivors. We review our rationale for the choice of cisplatin as a prototype drug to drive forward translational genomic research in CIPN and iatrogenic ototoxicity, summarize recent research progress in these areas to date, describe epidemiologic and genomic approaches, and highlight opportunities for the cross-validation of results using preclinical cell-based model systems.

Methodologic Considerations in Translational Genomics

Translational genomics in cancer survivorship relies on the integration of classic epidemiologic study design [reviewed in (26)] with modern genomic technologies. Investigations of CIPN and drug-induced ototoxicity also invoke principles used in traditional pharmacogenomic studies, such as uniformity of drug exposure (27). For these studies, typically germline DNA is collected for phenotyped patients given a specified drug regimen. Genetic variation is then compared between patients who do and do not develop the toxicity. The achievement of inroads into understanding genetic mechanisms for treatment-related morbidities in cancer survivors

builds on this model and adapts it to considerably more complex environments, with various considerations described below.

Selection of Cytotoxic Drug to Study

Of chemotherapeutic agents in use today, to our knowledge only cisplatin is associated with both CIPN and permanent bilateral sensorineural hearing loss. Cisplatin also causes irreversible tinnitus. A heavy metal, cisplatin provides the prototype drug for a class of compounds known as the platinating agents. Cisplatin was first introduced into clinical oncology in 1977 (28), but the remarkable ability of cytotoxic drugs such as this to cure patients with selected disseminated cancers remains featured on the National Cancer Institute's List of Provocative Questions (29). Overall, platinum compounds now represent one of the most widely used and successful groups of cytotoxic drugs worldwide. Each year more than 5.8 million patients worldwide are diagnosed with cancers (colon, rectum, cervix, endometrium, bladder, stomach, head and neck, lung, esophagus, pancreas, osteosarcoma, ovary, testis) for which first-line therapy potentially includes platinating agents (30,31). Platinum now also shows promise for triple-negative breast cancer (32–35). In view of their effectiveness, platinum compounds, predominantly cisplatin, are used to treat a number of childhood cancers, including neuroblastoma, osteosarcoma, hepatoblastoma, germ cell tumors, and brain tumors. Despite more than 30 years of clinical use (28), there are few means of identifying patients at risk for developing important platinum toxicities, and the extent to which underlying molecular pathways for various side effects may differ or overlap is not known.

Cisplatin-Induced Peripheral Neurotoxicity

Cisplatin-induced peripheral neurotoxicity is due largely to toxic effects on the dorsal root ganglia (36), although other mechanisms may be involved (37–39). The dorsal root ganglion and peripheral nerves are especially vulnerable to platinum accumulation (40–42), and the severity of symptoms is also associated with long-term serum cisplatin levels (14). Cisplatin-generated overproduction of reactive oxygen species may contribute in part to cisplatin neurotoxicity, which is reviewed by Argyriou et al. (10). The incidence and severity of long-term paresthesias are determined mainly by cumulative cisplatin dose (38,43), as well as dose intensity (13), and affect 14% to 57% of cancer survivors (median follow-up = 5–12 years; range = 1–28 years) (13,43–50). The wide range of percentages reflects not only differences in dose and dose intensity but also the influence of underlying medical conditions (eg, diabetes) and the use of other neurotoxic drugs, as well as genetic susceptibility. In a systematic review of 16 randomized trials for nine potential chemoprotectant reagents (acetylcysteine, amifostine, calcium, magnesium, diethyldithiocarbamate, glutathione, Org 2766, oxycarbazepine, and vitamin E), Albers et al. (9) concluded that insufficient evidence existed that any of these agents could either prevent or limit the neurotoxicity of platinating drugs. To our knowledge, there are no US Food and Drug Administration–approved drugs to either prevent or treat CIPN.

Few studies have addressed genetic susceptibility to long-term cisplatin neurotoxicity (51). An early study by Oldenburg et al. (51) focused on glutathione-S-transferases (*GSTs*), which are expressed in dorsal root ganglia and may protect against cisplatin-generated

overproduction of reactive oxygen species. Among 238 testicular cancer survivors, self-reported neurotoxicity using the validated Scale for Chemotherapy-Induced Long-Term Neurotoxicity (52) was assessed according to functional polymorphisms in *GSTP1* and *GSTM1* in lymphocyte DNA (51). The 37 patients expressing *GSTP1-GG* were statistically significantly less symptomatic for distal paresthesias (hands: odds ratio [OR] = 0.46, 95% confidence interval [CI] = 0.22 to 0.96; feet: OR = 0.42, 95% CI = 0.20 to 0.88) compared with those with *GSTP1-AA* and *GSTP1-AG* (51). Other study comparisons were in terms of the total Scale for Chemotherapy-Induced Long-Term Neurotoxicity score, which also includes self-reported hearing loss and tinnitus. An investigation of polymorphisms in *GSTs* and several DNA repair genes in 104 ovarian cancer patients given cisplatin and cyclophosphamide found that neuropathy (measured in terms of National Cancer Institute criteria that were not further specified) was less frequent among women with the *GSTM*-null (OR = 0.37; 95% CI = 0.15 to 0.92) or *GSTM3* AGG/AGG (OR = 0.34; 95% CI = 0.12 to 0.96) genotypes (53). To our knowledge, and according to a recent review (54), there have been no other pharmacogenomic studies of CIPN in which cisplatin was given alone or in combination with a non-neurotoxic chemotherapeutic agent.

Whereas genomic studies targeted toward cisplatin-related CIPN have been limited, a comprehensive genome-wide association study (GWAS) approach was recently applied by Baldwin et al. (25) to investigate paclitaxel-induced CIPN among 855 breast cancer patients administered single-agent paclitaxel in CALGB 40101. A single nucleotide polymorphism in *FGD4* was associated with the onset of sensory peripheral neuropathy (rs10771973; hazard ratio [HR] = 1.57; 95% CI = 1.30 to 1.91) and replicated in European and black cohorts (25). *FGD4* is a causal gene for the inherited peripheral neuropathy syndrome, Charcot-Marie-Tooth disease. In a separate study, genotyping of 214 patients treated with paclitaxel demonstrated that *TUBB2A* promoter polymorphisms located at -101 and -112 were associated with decreased paclitaxel neuropathy risk (HR = 0.64; 95% CI = 0.43 to 0.96; $P = .03$) (55). In preliminary data, Beutler et al. (56) identified two possible hereditary neuropathy genes (*ARHGEF* [OR = 3.62] and *PRX* [OR = 6.4]) as candidate genes using patient-reported outcome data and exome sequencing analysis in 73 women with paclitaxel-related CIPN and 46 control subjects. These results support the hypothesis that paclitaxel-associated CIPN and hereditary neuropathy might share genetic roots in a subset of patients.

Cisplatin-Induced Ototoxicity

Cisplatin-induced ototoxicity is associated with cumulative dose and dose intensity (13,57–59), although considerable interindividual variability exists (51,58–60). Studies of long-term cisplatin ototoxicity that include audiometry (median follow-up = 4–6 years; range = 1–13 years) (61,62) show altered hearing thresholds in 28% to 77% of patients. Although cisplatin hearing loss initially involves higher frequencies, it eventually affects a broader range, particularly those critical for speech perception (63). In a study of 1713 adult survivors of childhood cancer, hearing loss was detected in 62.1% of those given either cisplatin, carboplatin, or radiation doses to the ear of >30 Gy, although estimates were not stratified by treatment type (64). Long-term tinnitus after cisplatin affects 19% to 42% of patients (13).

Cisplatin ototoxicity can result from the overproduction of reactive oxygen species in the cochlea (58), causing irreversible free radical-related apoptosis of outer hair cells, spiral ganglion cells, and the stria vascularis (58,65). Data from animal models suggest that upregulation of antioxidant pathway activity, such as glutathione-S-transferases (*GST*) that are expressed in the mammalian cochlea (66), may help protect against ototoxicity (67). Oldenburg et al. (59) studied genotypes of *GSTM1*, *GSTT1*, and *GSTP1* in 173 cisplatin-treated long-term testicular cancer survivors. Risk of hearing impairment was 4.21-fold (95% CI = 1.99 to 8.88) higher in testicular cancer survivors with ¹⁰⁵Ile/¹⁰⁵Ile-*GSTP1* or ¹⁰⁵Val/¹⁰⁵Ile-*GSTP1* compared with those with ¹⁰⁵Val/¹⁰⁵Val-*GSTP1*. Two combined genotypes were related to hearing loss. Pattern 1 (“*GSTT1* positive, *GSTM1* positive, ¹⁰⁵Ile/¹⁰⁵Ile-*GSTP1*”) was associated with 2.76-fold (95% CI = 1.35 to 5.64) higher risk of hearing impairment. Pattern 2 (“*GSTT1* positive, *GSTM1* positive, ¹⁰⁵Val/¹⁰⁵Val-*GSTP1*”) was related to a 5.35-fold (95% CI = 2.25 to 12.76) increase in protective effect. These results were not confirmed by Ross et al. (60), who examined 220 genes linked to the absorption, distribution, metabolism, and elimination of medications and their metabolites in 176 children with hearing loss after cisplatin-based regimens and found sixfold to 17-fold risks associated with methyltransferase activity (*COMT*, *TPMT*). A report (68) of 213 children from the St. Jude Medulloblastoma 96 and 03 protocols, however, did not replicate the above *TPMT* and *COMT* results, although subsequent analyses by Pussegoda et al. (69) replicated prior findings for *TPMT* (rs12201199; $P = .001$; OR = 6.1) and *ABCC3* (rs1051640; $P = .04$; OR = 1.8). A recent commentary underscored challenges in interpreting evidence for genetic predictors of ototoxicity, including the importance of taking into consideration concomitant medications, population stratification, and other influences (70).

Other candidate gene investigations of long-term cisplatin ototoxicity, restricted to variants in *GSTM3* (71), mitochondrial DNA (72), or *LRP2* (73), were inconclusive and limited to only 20 to 25 patients total with impaired hearing. An investigation of 204 lung cancer patients in China given cisplatin-based chemotherapy suggested that the copper transport protein 1 (*CTR1*) rs10981694 A>C polymorphism might be associated with enhanced ototoxicity, but methods used to evaluate hearing were not described (74). To our knowledge, no other studies address genetic susceptibility to long-term cisplatin ototoxicity in survivors of adult-onset cancer. GWASs of ototoxicity in a single disease treated with uniform therapies will constitute an important next step to further our understanding of the molecular underpinnings of cisplatin ototoxicity.

Epidemiologic Considerations

Several important epidemiologic considerations warrant attention in translational survivorship research, including study design, the selection of an optimal population, and characterization and quantification of exposures of interest and relevant outcomes (5). In addition, careful a priori consideration must be given to potential confounders and effect modifiers to maximize the scientific potential of the investigation to address clinically relevant hypotheses of interest.

Study Design

Classic epidemiologic designs with direct application to survivorship research include the prospective cohort, retrospective cohort, and case-control studies (26). An especially cost- and time-efficient choice for investigations of long-term toxicity is the retrospective cohort study (75,76), which enables the measurement of multiple outcomes (77). This study design also facilitates the later conduct of nested case-control investigations to examine associations of specific endpoints with antecedent treatments (78,79).

Selection of Optimal Population

It has been increasingly recognized that long-term toxicities typically have the most impact on younger cancer patients who receive curative therapy. Several investigations of childhood cancer survivors (80), including the US Childhood Cancer Survivor Study (76), are published or underway. In contrast, despite recommendations urging further studies of patients with adolescent and young adult cancer (aged 18–39 years) (81), few investigations have targeted this age group. The establishment of long-term cancer survivorship studies in young to middle-aged adults is especially important because patients are often cured and thus remain at lifelong risk for the emergence of either the late effects of cancer therapy or the long-term persistence of acute-onset toxicity. Given the deficit of research in this age group, an international consensus conference was convened in 2009 that focused on testicular cancer survivors, with the proceedings published in the *Journal of the National Cancer Institute* (82). Among the many identified unmet research needs in these patients, further study of genetic variants that underlie the long-term effects of cancer treatment was recommended. It was also pointed out that testicular cancer survivors are unique in that they develop both ototoxicity and CIPN after curative chemotherapy after a limited number of cisplatin-based regimens (82) and then gain many decades of life (83). Moreover, cisplatin-based chemotherapy in this population has also been associated with the development of the metabolic syndrome, cardiovascular disease and related vascular phenotypes (84–88), and secondary malignant neoplasms (89–91). Thus, the establishment of a cohort of testicular cancer survivors serves as a future study base for the investigation of genetic variants associated with late-onset cisplatin-associated toxicities that will likely evolve with the aging of these patients (92).

Characterization and Quantification of Exposures of Interest and Outcomes

Whereas GWASs of cancer etiology have typically yielded small odds ratios of 1.05 to 1.4 (93), those reported in pharmacogenomic GWASs of drug toxicity range from fivefold to 1000-fold (genotype RR) (94). Thus, the sixfold to 17-fold risk estimates (60) noted for cisplatin are typical of those found in other pharmacogenomic toxicity studies. These include the 80-fold risk associated with *HLA-B*5701* and flucoxacin-induced liver injury (95), the fivefold risk associated with variation in *ABCG2* and gefitinib-associated diarrhea (94), and the 1023-fold risk of *HLA-B*1502* and carbamazepine-induced Steven's Johnson syndrome (94). These large magnitudes of effect reflect the fact that the specific potent etiologic exposure (ie, drug) is known and appropriately accounted for in the analysis. Thus, it is critical to collect accurate, detailed information on drug dose. For testicular cancer survivors, this task

is simplified given the relatively homogeneous cisplatin-based regimens and number of cycles that most patients receive. These regimens typically include cisplatin, bleomycin, and etoposide (3 cycles) or cisplatin and etoposide (4 cycles). Given the possible influence of one cytotoxic drug on the pharmacokinetic properties of another drug or the effect of drug interactions on endpoints, it is important to obtain detailed information on all drugs administered in chemotherapy regimens. Similar strategies have been successfully applied in analytic studies of therapy-related leukemia after cisplatin-based chemotherapy for either ovarian (96) or testicular (97) cancer. The conduct of studies within cancer centers that use standardized testicular cancer treatment regimens and maintain detailed chemotherapy records increases the availability of detailed information on administered drug dose, schedule, and the dose intensity of each cytotoxic drug.

The choice of measurement instruments for CIPN warrants careful consideration. The selection of validated and reproducible measures of CIPN is critically important (98). Patient self-reports of neurotoxicity symptoms are frequently selected as endpoints, with various instruments critically reviewed by Cavaletti et al. (99). A number of options currently exist, including instruments customized to measure CIPN due to cisplatin (52), oxaliplatin (100), and taxanes (99). A question frequently arises as to whether to incorporate detailed neurologic evaluations into CIPN assessments, and the yield of these studies must be considered in view of the expense and difficulty involved in standardizing detailed neurologic examinations and the issue of patient compliance, especially in large multicenter studies. Moreover, patient-reported outcomes may represent the most pertinent measure of CIPN because they directly address the extent to which symptoms interfere with quality of life rather than rely on the detection of subclinical effects. However, measures of quality of life do not always reflect the underlying severity of neuropathic impairment. The use of two or more validated instruments selected in close collaboration with a study neurologist, reflecting both impairment (eg, the Total Neuropathy score or the abbreviated reduced or clinical version) and quality of life (eg, the disease-specific European Organization for Research and Treatment of Cancer QLQ-Chemotherapy-Induced Peripheral Neuropathy 20) to reliably measure the degree of peripheral neurotoxicity and its impact upon patients, is desirable (98). Such an approach is important to minimize variability that may be introduced into pharmacogenomic studies through a lack of reproducible CIPN endpoints (99).

An objective measure of ototoxicity is air threshold audiometry measured in decibels at 3000 Hz, 4000 Hz, and 6000 Hz, averaged for both ears (59). These frequencies represent the upper limit of the language communication range and are especially vulnerable to cisplatin toxicity. Audiometric results can be compared with normative age-specific values divided into percentiles of normal thresholds in collaboration with the study hearing scientist (101). For analyses, methods similar to those of Brydoy (13) and Oldenburg (59) can be used, whereby patients with bilateral sensorineural hearing impairment of >20 db at 3000 Hz, 4000 Hz, and 6000 Hz are classified as ototoxic, whereas those with normal hearing for their age group are classified as not ototoxic. Cisplatin-related damage is typified by thresholds at 6 to 8 kHz that are worse than lower frequencies (16). Because noise damage shows

hearing thresholds that improve at 6 to 8 kHz, relative to 3 to 4 kHz (102,103), potential noise-induced ototoxicity can be distinguished from cisplatin-induced ototoxicity.

Consideration of Potential Confounders and Effect Modifiers

Potentially important confounders and effect modifiers that might influence the development of CIPN and/or ototoxicity should also be identified and measured. For example, possible neurotoxic risk factors or effect modifiers include diabetes, other metabolic or immunologic conditions, excess alcohol use, smoking, concurrent diseases affecting peripheral nerves, and concomitant medications (54). Possible confounders for ototoxicity include familial hearing disorders, excess alcohol use (104), diabetes (13), tobacco use (13,43,51,61,105), age (43,57,61,106), underlying malignancy [eg, multiple myeloma (107)], and medications such as the aminoglycosides (108). A study limited to survivors of testicular cancer controls for sex, although data collection on race, even in a disease that predominantly affects whites, is important to account for any role of ethnic variation on drug pharmacokinetics or pharmacodynamics.

Leveraging Preclinical Data in Translational Survivorship Research

Many researchers have used cell-based models for pharmacogenomic discovery using International HapMap human Epstein-Barr virus-transformed lymphoblastoid cell lines (LCLs) because these cells provide a cost-effective testing system in which other exposures (eg, concomitant medications) can be controlled (109–111). Using this preclinical model, the degree to which genetics contributes to cisplatin-induced cytotoxicity is 0.32% to 0.45% (112). In addition to heritability estimates, the cell model allows the identification of genetic variants associated with susceptibility to cytotoxic agents (ie, cisplatin). This is because HapMap LCLs include publicly available genotype and sequencing data, which permits GWASs between genetic variants and pharmacologic phenotypes (ie, cytotoxicity, apoptosis) in LCLs after chemotherapy exposure. The LCL model is highly valuable because, in addition to the freely available genomic data, there is baseline expression data using Affymetrix exon array (113), Exiqon miRNA data (114), and Illumina 450K methylation data (115); these resources importantly allow assignment of function to genetic variants associated with pharmacologic phenotypes measured in LCLs such as cisplatin-induced cytotoxicity. Genetic variants that are identified can be evaluated further with studies designed according to whether the single-nucleotide polymorphisms (SNPs) are also associated with mRNA, miRNA, modified cytosines, or proteins. Using population panels of diverse ancestry totaling 608 LCLs, Wheeler et al. (116) performed meta-analyses of more than 3 million SNPs and identified *GSTM1* (glutathione S-transferase mu 1), *GSTT1*, *ERCC2* and *ERCC6* as associated with platinum cytotoxicity.

Analytic Approaches in Pharmacogenomic and Genomic Research

A primary challenge in identifying pharmacogenomic markers from clinical trials is that the most secure inferences require a

homogenous population treated with the same dosage regimen and minimal confounding variables, including other drugs. In clinical oncology care this is difficult, if not impossible, given the diversity of cancer types and also taking into account disease presentations and patient factors. The standard of care for many cancers also changes as new therapies emerge, with the vast majority of patients receiving various drug combinations. A notable exception, as reviewed above, is the ongoing use of only two major cisplatin-based chemotherapy regimens for testicular cancer (82).

Clinical trials frequently provide only short-term patient follow-up. A major goal in cancer survivorship research is to improve the understanding of long-term toxicities. The integration of epidemiologic data with cell-based models for pharmacogenomic marker identification represents a critical step forward in translational medicine to improve the power of discovery research and expand opportunities for replication, validation, and follow-up. For example, Wheeler et al. (27) compared the results of genetic studies of cytotoxicity in LCLs to the results of genome studies conducted in a prospective human clinical trial using the same chemotherapeutic drug. SNPs with nominally statistically significant associations for paclitaxel sensitivity in LCLs were tested for enrichment among those SNPs most strongly related to paclitaxel-induced peripheral neuropathy using novel analytic approaches conditioned on factors likely to influence enrichment (eg, SNP minor allele frequency, distance to nearest gene). Statistically significant enrichment among a relatively large number of top SNPs is consistent with the hypothesis that the cell-based model and the human phenotype (ie, long-term peripheral neuropathy) share at least a proportion of genetic architecture. It should be noted that evidence for shared genetic architecture invariably involves large numbers of SNPs with small effects. Cumulatively, large numbers of common variants with small effects can account for substantial heritability (117); this general model appears to be most consistent with the heritability studies conducted in both preclinical cell-based model systems and investigations of efficacy and common adverse events in data from prospective studies (117).

As described above (27), results of a GWAS of SNPs in LCLs associated with paclitaxel-induced cytotoxicity were compared with results of a GWAS of CIPN in breast cancer patients ($n = 859$) given paclitaxel alone in CALGB 40101. An enrichment of LCL cytotoxicity-associated SNPs in the CIPN-associated SNPs from the clinical trial with concordant allelic direction of effect was observed (empirical $P = .007$). No such enrichment was observed when evaluating either capecitabine- or carboplatin-induced cytotoxicity SNPs, which were tested as negative controls. Of the 24 SNPs that overlapped between the clinical trial ($P < .05$) and the preclinical cytotoxicity study ($P < .001$), 19 of them were expression quantitative trait loci, which represent a statistically significant enrichment of this functional class (empirical $P = .05$). This type of statistically significant LCL enrichment result is a critical observation because it implies that an enhanced understanding of the genetic architecture of cell-based models will inform an understanding of the genetic architecture of CIPN (27). Figure 1 illustrates this type of research strategy. In addition, SNPs associated with CIPN can also be studied for enrichment in diabetes-induced neuropathy to test for common genetic architecture across neuropathy phenotypes generated by different exposures. These

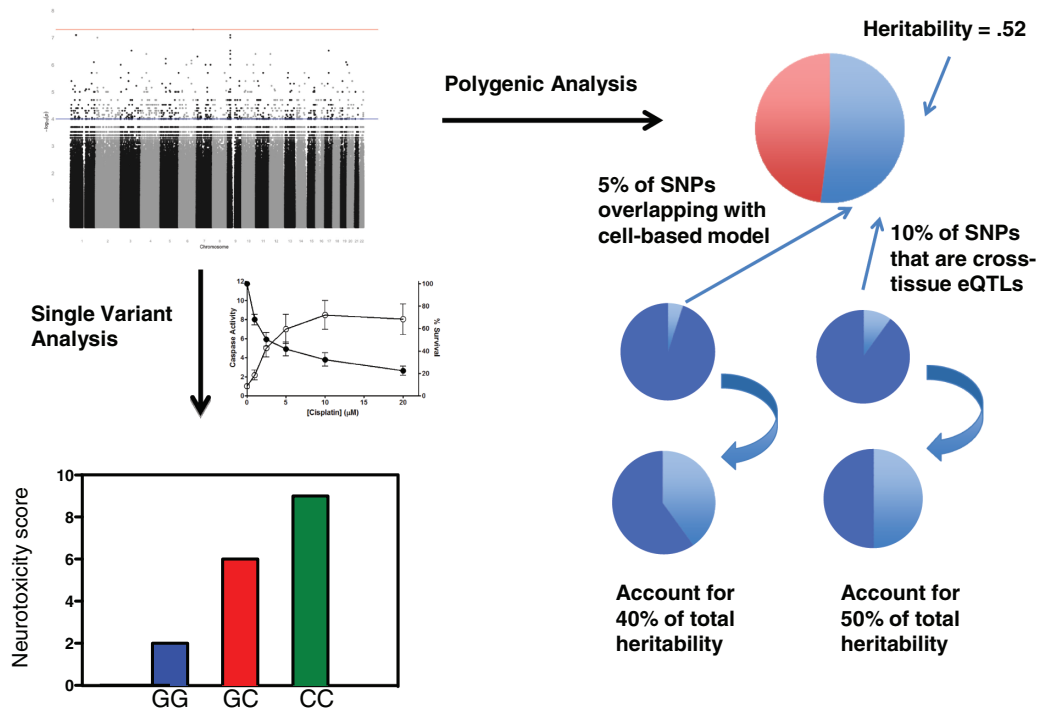


Figure 1. Example of translational genomic approach. After a genome-wide association study of drug-induced neurotoxicity in patients to identify associated single nucleotide polymorphisms (SNPs; **left panel, upper portion**), an enrichment analysis for drug-induced cytotoxicity in lymphoblastoid cell line (LCL) models can be performed (117). This analysis identifies single overlapping SNPs correlated with neurotoxicity in patients and drug sensitivity in

vitro (**left panel, lower portion**). Additional analyses determine the degree of heritability explained by the clinical phenotype (ie, drug-induced neurotoxicity) (**right panel, top portion**). Of SNPs associated with the clinical phenotype, the fraction that overlap with the LCL-based model and the fraction that are cross-tissue expression quantitative trait loci (eQTL) using genome-tissue expression datasets can be estimated (**right panel, bottom portion**) (137).

approaches allow for the prioritization of SNPs and potentially DNA variants for functional studies.

Risk Prediction Modeling and Future Applications

Early results of first generation GWASs appeared to discourage genetic epidemiologists from considering prediction as a primary rationale for genome discovery research. This was because effect sizes for DNA variants meeting genome-wide criteria for statistical significance (5×10^{-8}) were so modest that even dozens of such variants tended to account for only 5% to 10% of the interindividual variation in liability to disease (118,119) and a comparably small fraction of the heritability that was expected based on prior twin and family studies. More recent, it has become clear that appropriately calculating the contribution of all tested genetic variants (rather than only those with established association) can account for a sizable amount of the substantial interindividual variability in disease development. Although the subset of variants identified through GWASs as having genome-wide statistically significant association to disease account for less than 10% of expected heritability (and often less than 5%), it is common for all interrogated variants to account for 50% or more of expected heritability (120).

A variety of approaches to prediction that leverage large-scale genome information and potentially other -omics data are now being developed with meaningful potential impact on patient care because of changing models in how genome information is being collected and used within health-care systems. Point-of-care

genotyping for a single polymorphism, which can cost less than \$500 (121), is now considered standard of care for prescribing a number of drugs (121,122). Similarly, preemptive large-scale genome studies on millions of DNA variants (including many, if not all, of those commonly required for routine pharmacogenetic testing) in certified laboratories are now becoming comparable in cost. Many health-care systems are assessing the cost-effectiveness of routine collection of large-scale genome information for all patients in or entering their systems (122). Costs of sequencing (123) are rapidly decreasing and may, within a couple of years, be less than that for magnetic resonance imaging. Theoretically large-scale genome information can then be generated on each patient shortly after cancer diagnosis, from which these data would inform and optimize treatment decisions.

As an example of the level of prediction that is now possible, Wheeler et al. (124) applied a novel large-scale prediction approach called OmicKriging (which translates similarity in genotype and any other -omic data into phenotypic data similarity to predict complex traits, such as CIPN or ototoxicity) to type 1 diabetes data from the Wellcome Trust Case Control Consortium. The area under the curve for the receiver operator characteristics for the out-of-sample prediction was in the range of 0.7 to 0.8, an area-under-the-curve result that has clear clinical utility. Although we look forward to the day that genomic (and other -omic discoveries) fully illuminate the biology underlying the development of adverse events and efficacy in ways that allow us to improve therapies in more informed ways, medicine benefits even today from the use of biomarker predictors whose biological basis remains poorly

understood. Thus, there is clear value in using every bit of the predictive power that can be drawn from results of early genome studies to improve patient care, even as simultaneously these discoveries enhance an understanding of biology.

Comment

The number of cancer survivors in the United States has steadily increased, and the field of genomic research has also grown rapidly. Commensurately, the cost of genotyping has decreased considerably, with ongoing trends monitored by the National Human Genome Research Institute (123). Whereas, for some early and late effects of cancer and its therapy, preventive and interventional strategies exist, to our knowledge these types of approaches are not yet available for CIPN and ototoxicity. The knowledge gained from application of translational genomics to these toxicities holds considerable promise to improve the quality of life for cancer survivors. Moreover, it is likely that, as we discover in cancer survivors the molecular underpinnings for treatment-associated disorders for which subsequent risks are increased, such as cardiovascular disease (125), metabolic syndrome (126), osteoporosis (127), and second malignant neoplasms (4), these insights may also contribute to our understanding of the general biology of these common diseases in humans. Recent results from the Collaborative Oncological Gene–Environment Study, which showed common pathways between a number of different cancer types (Figure 2) (128), will likely in the future be expanded to show common pathways for a number of disorders, including cardiovascular disease, type 2 diabetes, cancer, and many others. For example, Gadalla

et al. (129) recently demonstrated that patients with myotonic muscular dystrophy (an autosomal-dominant neuromuscular disorder characterized by unstable nucleotide repeat expansions) also have a statistically significantly increased risk of cancer. It is recognized already that long-term inflammation plays a role in many chronic diseases (130,131).

Further research on the contribution of DNA variants to the temporal pattern of toxicities, as noted for the association of *FGD4* with early-onset paclitaxel-related CIPN (25), will also be highly informative. It is already established that increased risks of iatrogenic lung cancer in survivors of Hodgkin lymphoma show markedly different temporal trends depending on whether the antecedent carcinogenic exposure is chemotherapy or radiotherapy (132). Such variability likely reflects the operation of different underlying molecular pathways, as well as the influence of other factors (4). In a similar vein, the comparison of genetic pathways for life-threatening treatment-associated complications [eg, second malignant neoplasms and cardiovascular disease (133,134)] in patients diagnosed with cancer at different ages (ie, childhood vs adult) will also be informative.

The importance of future research among the growing number of cancer survivors worldwide was underscored as early as 1998 by Li and Stovall (135), and since this time the number of survivors has at least tripled and continues to grow (2). Cancer survivors comprise a growing population in the United States, with the management of morbidities in these patients likely to constitute a substantial financial cost to the health-care system. The burden of chronic morbidities in subgroups of cancer survivors for whom risks have been estimated to date is sobering, with the 30-year cumulative incidence of a chronic health condition in US childhood cancer survivors estimated at 62.3%, compared with 36.8% in sibling controls (77). Future research in cancer survivors to optimally manage long-term morbidities (5) will become important not only in terms of patient welfare but also in the control of health-care expenses (136). Given the recent emergence of translational genomics, the scientific community now has an unprecedented opportunity to make major inroads into the prevention and treatment of toxicities that adversely affect short- and long-term patient outcomes (5).

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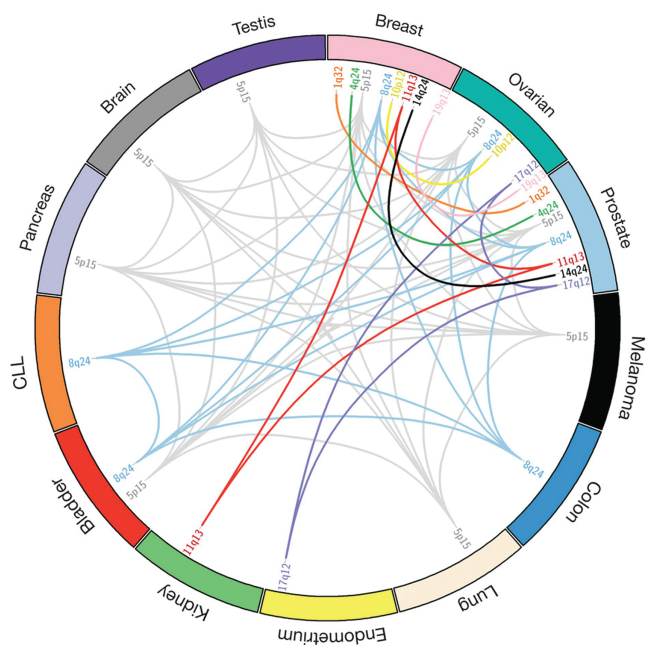


Figure 2. Pleiotropy among different cancers detected by the Collaborative Oncological Gene–Environment Study (COGS) and previous association studies. Risk-associated loci for each cancer are indicated by chromosomal location, and sharing is indicated by **colored lines** connecting different cancers. For example, loci at 8q24 are associated with breast, ovarian, prostate, colon, and bladder cancers and with chronic lymphocytic leukemia (CLL) (**light-blue lines**). Reprinted with permission from Sakoda et al. (128).

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