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Preventing stem cell transplantation-associated viral infections using T-cell therapy

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Abstract

Hematopoietic stem cell transplantation is the treatment of choice for many hematologic malignancies and genetic diseases. However, viral infections continue to account for substantial post-transplant morbidity and mortality. While antiviral drugs are available against some viruses, they are associated with significant side effects and are frequently ineffective. This review focuses on the immunotherapeutic strategies that have been used to prevent and treat infections over the past 20 years and outlines different refinements that have been introduced with the goal of moving this therapy beyond specialized academic centers.

Keywords

adoptive immunotherapy; hematopoietic stem cell transplantation; T cells; viral infections

Background

Hematopoietic stem cell transplantation (HSCT) can be curative for a variety of malignant and nonmalignant hematologic conditions and congenital diseases [1–5]. However, serious viral infections remain a major cause of morbidity and are responsible for mortality in up to 39% [6–9]. An increasing array of viruses have been implicated in post-transplant infectious complications, due in part to more comprehensive patient screening using improved detection methods, but also to the extension of this therapeutic modality to higher-risk patients (i.e., individuals without a human leukocyte antigen [HLA]-matched sibling donor) who receive more extensively manipulated products and/or prolonged immunosuppression. Thus, reactivation of latent viruses including cytomegalovirus (CMV; 20–68%) [10,11], Epstein-Barr virus (EBV; 0.5–29%) [12], herpes simplex virus (HSV 1/2; 80%) [12], human herpes-virus 6 (HHV-6; 33–48%) [11,13], varicella zoster virus (10–68%) [12], human herpes-virus 7 (HHV-7; 10–57%) [11,14] and BK virus (BKV; 10–25%) [15,16] are frequent, while infections associated with an array of community-acquired respiratory viruses, including influenza (1.3–44%) [17], para-influenza (2–7%) [18,19],

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metapneumovirus (2.5–9%) [20], Adenovirus (AdV; 6–28%) [21] and respiratory syncytial virus (RSV; 0.3–12) [18,19] are increasingly reported [22–25]. T-cell therapies that restore virus-specific immunity in the HSCT setting are a viable alternative to the traditional and often toxic antiviral drugs. Here, we explore the immunotherapeutic strategies currently used to provide immediate and long-term antiviral protection to adult- and pediatric-HSCT patients.

Donor lymphocyte infusion

The first adoptive T-cell transfer approach utilized in the allogeneic HSCT setting involved the adoptive transfer of unmanipulated donor lymphocytes – termed donor lymphocyte infusions (DLI). DLI therapy was based on the assumption that unmanipulated lymphocytes isolated from seropositive donors should contain populations of virus-specific T cells that were able to expand *in vivo* and provide antiviral protection. DLIs have proven effective in treating EBV-associated post-transplant lymphoproliferative disease (EBV-PTLD) [26], an AdV urinary tract infection [27], CMV reactivation [28], HHV-6 encephalopathy [29] and persistent RSV pneumonia [30]. However, the efficacy of this approach is limited by the low circulating frequency of T cells directed against many acute viruses, while the substantially higher frequency of alloreactive T cells within the infused product significantly increases the risk of causing graft versus host disease (GvHD). Thus, in order to preserve the benefits and minimize the risks associated with DLI infusions, techniques to selectively deplete alloreactive T cells or to induce anergy have been investigated.

Selective ex vivo allodepletion

Ex vivo allodepletion involves the selective removal of T cells with alloreactive potential prior to adoptive transfer. In order to identify this particular T-cell subset, donor T cells are first exposed to recipient-derived antigen-presenting cells (APCs) including peripheral blood mononuclear cells (PBMCs), activated T cells, EBV-transformed lymphoblastoid cell lines (EBV-LCL), dendritic cells (DCs) and/or fibroblasts [31–35]. Subsequently, cells that are alloactivated upregulate markers such as CD25, CD69, CD71, CD134, CD137 and HLA-DR, and proliferate, allowing their physical removal with magnetic beads, apoptosis-inducing chemotherapy, immunotoxins or photodynamic purging [33,35–42]. To date, only anti-CD25-conjugated immunotoxins and photodynamic purging have been used clinically.

Montagna and colleagues depleted alloreactive T cells using RFT5-SMPT-dgA – an anti-CD25 murine monoclonal antibody (RFT5 IgG1) coupled to the deglycosylated ricin A chain (dgA) via the cross-linker 4-succinimidyloxycarbonyl- α -methyl- α -(2-pyridyldithiotoluene) (SMPT). In preclinical studies, Montagna *et al.* demonstrated that the co-culture of patient PBMCs with irradiated haploidentical (Haplo) donor PBMCs followed by RFT5-SMPT-dgA exposure resulted in cell populations that retained virus-specific precursors but exhibited diminished cytolytic activity against patient bone marrow [31]. Based on these results, Andre-Schmutz and colleagues performed a Phase I/II clinical study in which 1–8 × 10⁵ allodepleted T cells/ kg were infused to 15 pediatric Haplo or matched unrelated donor (MUD) HSCT recipients between days 15–47 post-transplant. Despite the absence of GvHD prophylaxis, no cases of grade III/IV GvHD were reported, comparing favorably with a rate of 40% grade II acute (a) GvHD in patients who were infused with just 1 × 10⁵

unmanipulated DLI cells/kg [43,44]. Furthermore, the infused cells appeared to provide antiviral protection. In patients with active infections, immune reconstitution was accelerated (absolute T-cell numbers of > 1.0×10^9 /l within 4 weeks) and three patients with active CMV, a patient with persistent AdV and one with refractory EBV-PTLD were able to clear their infections post-infusion [33]. Allodepletion with RFT5-SMPT-dgA has also been used in the adult HSCT setting by Solomon and colleagues, who infused 16 recipients of matched-related donor (MRD) transplants (following reduced intensity conditioning) with a median of 1×10^8 allodepleted donor T cells/kg (range $0.2-1.5 \times 10^8$ /kg). aGvHD (grade I–IV) occurred in $46 \pm 13\%$, but the rate of grade III/IV GvHD ($12 \pm 8\%$) was reduced when compared with a rate of 34% reported in previous studies [45]. Finally, our group has also investigated the safety and antiviral activity of allodepleted T cells and has demonstrated that a dose of 1×10^5 cells/kg was required to promote antiviral immune reconstitution *in vivo* [37].

An alternative method of allodepletion is photodynamic purging, which involves the exposure of alloactivated cells to a phototoxic dye [4, 5-dibromorhodamine 123 (TH9402)]. While the dye permeates both activated and nonactivated cells, it is selectively retained in the activated subset due to inactivation of the multidrug-resistance pump p-glycoprotein (MDR1). This confers cells with sensitivity to visible light (514 nm), which induces mitochondrial oxidation and cell death [46]. To assess the potency of this approach clinically, Mielke and colleagues infused 24 HLA-identical sibling HSCT recipients (17-74 years) with 5×10^6 photodepleted-donor T cells/kg on the day of transplantation. Engraftment was rapid for all patients, but unfortunately the incidence of both acute and chronic (c) GvHD was high $(38 \pm 10\% \text{ probability of developing aGvHD [grade II-IV] and})$ $65 \pm 11\%$ cGvHD). Furthermore, complications associated with viral (20/24 patients reactivated CMV, two patients developed BK-associated hemorrhagic cystitis, AdV [n = 2]and BK + AdV [n = 1] and a patient died of RSV pneumonitis), bacterial and invasive fungal infections were both unexpectedly frequent and severe resulting in early termination of the trial [47]. Further investigation indicated that the high GvHD rates were likely due to the poor alloactivation achieved in the matched-sibling setting, while the photodepletion process preferentially depleted CD4⁺ and CD8⁺ memory T cells, including populations responsible for providing protection from infection [48]. Thus in ongoing studies, photodepletion is being utilized only in the Haplo setting and preliminary results are encouraging with only 2 of 12 patients developing aGVHD (grade I) [49,50].

Overall, these studies demonstrate that adoptive transfer of allodepleted T cells is a feasible means of hastening immune reconstitution and preventing/ treating viral infections. However, the efficiency of allodepletion varies, impacting *in vivo* safety, antiviral control and the incidence of GvHD.

Induction of anergy

An alternate strategy to neutralize alloantigen-specific T cells is to render them anergic. This approach relies on the requirement of T cells for both an HLA-restricted, antigen-specific signal and a second costimulatory signal to become activated and proliferate. Thus anergy can be induced by blocking the interaction between CD28 (on T cells) and B7–1 (CD80) and

B7–2 (CD86) on APCs. The first clinical Phase I studies to exploit this method were performed by Davies *et al.* in 24 pediatric and adult patients with high-risk hematologic malignancies or bone marrow failure who received a Haplo HSCT [51]. Patients received bone marrow grafts containing a median of 29×10^6 CD3⁺ T cells/kg (range 7–129 × 10^6 /kg) following *ex vivo* treatment with CTLA4-Ig (n = 19) or anti-B7–1 and B7–2 antibodies (n = 5). Only 5 of 21 evaluable patients developed grade III (n = 4) or IV (n = 1) aGvHD and a patient developed cGvHD, which was substantially lower than that of historical controls [52]. In addition, the majority of infused patients had CD4⁺ and CD8⁺ counts >200/µl by 4 months. These reconstituting cells included virus-specific precursors, as shown by the detection of CMV and EBV pentamer-positive populations. Indeed, of five patients who reactivated CMV, four cleared the virus within 3 days of receiving antiviral medication [51,53].

Suicide genes

Administering donor T cells that have been transgenically modified to express a 'suicide gene' that can be triggered *in vivo* in the event of GvHD is an alternative to *ex vivo* allodepletion. Although a variety of suicide systems have been tested preclinically, only HSV thymidine kinase (HSV-tk) and inducible caspase 9 (iC9) have been utilized in the clinic.

HSV-tk modified T cells are able to phosphorylate the nontoxic prodrug ganciclovir into ganciclovir monophosphate, which is converted to a triphosphate form by cellular kinases and incorporated into DNA resulting in chain termination and cell death. The HSV-tk/ ganciclovir system also causes cell death by inducing expression of the CD95 receptor, which self-aggregates, leading to the formation of a death-inducing signaling complex [54].

In a prospective nonrandomized multicenter Phase I/II trial, 50 high-risk leukemia patients aged 17-66 years received a Haplo HSCT, followed, in 28 subjects, by 1-4 monthly infusions of HSV-tk cells (range $0.9-40 \times 10^6$ /kg) from day 28 post-transplant. The HSV-tk cell infusions were well tolerated and administration of cell doses of 0.9×10^6 /kg supported post-transplant immune reconstitution (defined as circulating CD3⁺ T-cell numbers of greater than or equal to 100 cells/µL detected on two consecutive occasions), which occurred in a median of 23 days post-T-cell administration. Indeed, CMV- and EBVspecific immunity in infused patients could be detected within 3 months post-transplant. Interestingly, after a first wave of circulating tk^+ cells, the majority of T cells supporting long-term immune reconstitution did not carry the suicide gene and displayed a naive phenotype suggesting that the HSV-tk infusions were able to drive the recovery of thymic activity in adults. Of the 11 individuals who developed aGvHD (grade I- IV) and cGvHD and received ganciclovir, all exhibited a significant reduction (p = 0.005) in the circulating frequency of tk-directed T cells with resolution of GvHD, showing the transgene was functional. Notably, in these patients, the frequency of CD3⁺ HSV-tk negative lymphocytes was unaffected (p = 0.14) and virus-specific T-cell activity was not diminished. These data suggest that in patients with GvHD but without a viral infection, activation of the suicide switch results in the selective depletion of alloreactive cells but leaves the virus-reactive population untouched [55,56].

Despite these results, there are some concerns with the HSV-tk platform. Cell killing is restricted to dividing cells and induction of cell death may require several days, both factors that limit the clinical benefit of this approach. Furthermore, dependence on ganciclovir as a prodrug precludes its use as treatment of post-HSCT viral infections. Finally, the virus-derived HSV-tk gene can be immunogenic, leading to the unintentional elimination of engineered T cells especially in relatively immunocompetent recipients. Indeed, in the aforementioned trial, all seven of the patients infused late (range 24–278 weeks) after HSCT developed a tk-directed CD8⁺ T-cell response [57].

Our group has utilized the iC9 suicide gene system. In this platform, iC9 is activated by administration of a chemical inducer of dimerization (CID) – a bio-inert small molecule - which leads to rapid cell death (92.9 \pm 3.8% cell death, 24 h post-CID) [58]. Ten Haplo HSCT recipients (5–18 years) were infused with iC9 T cells (range $0.1-1 \times 10^7$ cells/kg) 30–90 days post-transplant. Four patients developed grade I/ II GvHD, but following a single infusion of CID, the circulating frequency of transgenic cells decreased by >90% within 30 min of drug administration. GvHD rapidly resolved without recurrence, despite subsequent re-expansion of residual transgenic T cells [59]. Remarkably, the residual transgenic cells were capable of providing antiviral benefit as demonstrated in three patients whose endogenous virus-specific T cells controlled CMV reactivation (n = 2) and an AdV infection (n = 1). Furthermore, like HSV-tk infusions, iC9 T cells appeared to promote endogenous immune reconstitution as evidenced by the detection of naive CD4⁺ T cells of thymic origin with no evidence of an immune response against the transgenic cells [60].

Regulatory T-cell (Treg) infusion

Infusion of Tregs has also been proposed as an immunotherapeutic approach to suppress alloactivity in HSCT patients. This work was founded on the observation that patients who received a graft from donors with low absolute Treg numbers (median 8 cells/µl, range 3–19) were at greater risk of developing GvHD than those receiving grafts from donors with a higher number of Tregs (median 13 cells/µl, range 8–39). Subsequently, Rezvani and colleagues postulated that the selective expansion and infusion of donor Tregs at the time of transplant could reduce the risk of GvHD, without affecting virus-specific T-cell activity [61].

Brunstein *et al.* established a method for positively selecting Tregs from cryopreserved third party partially HLA matched (4–6/6 HLA match) umbilical cord blood (UCB) using clinical grade anti-CD25-conjugated magnetic microbeads. Following selection, cells were expanded (18 ± 1 days) *ex vivo* in the presence of anti-CD3/CD28 antibody-coated beads and IL2, which resulted in a median 211-fold increase in cells (range 13–1796) containing a median of 1.058×10^9 (range $7.4 \times 10^7 - 1.26 \times 10^{10}$) CD4⁺/CD25⁺ cells. The suppressive effects of the resultant products was demonstrated in a mixed lymphocyte reaction with a 1:4 ratio (Treg: DC/T cell) resulting in 86% suppression of proliferation (range 39–95%). Subsequently, this approach was tested clinically in a Phase I clinical trial where 23 recipients (median age 52 years) of double UCB transplants received either one (day +1 – n = 5) or two (days +1 and +15 – n = 18) infusions of third party-derived UCB Tregs at doses ranging from $1-30 \times 10^5$ /kg. In historical controls who did not receive Tregs, the incidence

of grade II-IV aGVHD was 61%. Here, the rate of GvHD was reduced to 43% and despite the potential for indiscriminate Treg-associated immunosuppressive effects, the risk of viral infections did not increase. On the contrary, there was a reduced risk of infection (35 vs 45% in the control group) [62]. Di Ianni and colleagues also evaluated the activity of adoptively transferred Tregs. In their initial study, 28 Haplo HSCT recipients received both a DLI product (range $0.5-2 \times 10^6$ cells/kg) and fresh Tregs (2–4 × 10⁶ cells/kg), which were isolated from a donor apheresis product by first depleting CD8⁺ and CD19⁺ cells followed by selection of CD25⁺ cells. The Tregs appeared to protect against GvHD, since only 2 of 26 evaluable patients developed aGVHD (grade II) and both were among the five that received the highest DLI dose. Moreover, the cell infusions were associated with accelerated-immune reconstitution with pathogen-specific CD4⁺ and CD8⁺ T cells detected as early as 2 months post-transplant compared with the previously reported 9–12 month timeframe. The infusions also correlated with a reduced incidence of CMV reactivation/ disease relative to conventional Haplo HSCT recipients (n = 150) [63,64]. These studies suggest that adoptive immunotherapy with Tregs can limit allore-activity without compromising immune reconstitution and antigen-specific T-cell responses.

Direct infusion of virus-specific T cells

An alternative strategy to provide post-HSCT antiviral protection is with the infusion of virus-specific T cells (VST) that have been either isolated directly from peripheral blood or selectively expanded *ex vivo* to enrich for virus-directed populations with a consequent reduction in residual alloreactive T cells.

Direct isolation of virus-specific T cells

To date, two direct selection methods have been tested clinically – multimer selection and IFN- γ capture. Multimer selection relies on the ability of T cells to bind to a complex of peptide-loaded HLA molecules via their T-cell receptor, while the IFN- γ capture technique isolates T cells based on their ability to secrete IFN- γ following antigen stimulation (Table 1).

Multimer selection—Multimers are complexes of peptide-loaded HLA molecules labeled with a fluorescent tag or magnetic bead that can be used to specifically select T cells reactive against the presented peptide. Cobbold and colleagues were the first to use this approach clinically to treat CMV reactivations post-transplant. Using a panel of CD8⁺ tetramers (complexes of four peptide-loaded HLA molecules) directed against pp65 (HLA A1, A2, A35 and B7) and IE-1 (HLA B8) peptides, they selected CMV-directed T-cell precursors from donor peripheral blood. These cells were infused to nine recipients of MRD (n = 6) or MUD (n = 3) transplants with CMV viremia within 4 h of selection. Despite the low number of exclusively CD8⁺ T cells infused (median of 8.6×10^3 tetramer-selected T cells/kg; range 1.2×10^3 – 3.3×10^4 /kg), CMV-specific T cells became detectable in all patients within 10 days of transfer, expanded by up to 250-fold *in vivo*, and cleared infection in eight of nine cases [65].

Uhlin and colleagues subsequently used higher avidity pentamers (complexes of five HLA molecules) directed to a panel of HLA-A1, A2, B7 and B35 peptides from EBV, CMV and

AdV to select VSTs from frozen donor grafts (n = 2) or haploidentical third party peripheral blood (n = 6). The selected cells (range $0.8-24.6 \times 10^4$ cells/kg) were safely infused to eight HSCT patients (age 0.5–59 years) with EBV-PTLD (n = 1), CMV reactivation (n = 6) or AdV infection (n = 1). Post-transfer the infused cells were detected as early as day +1 and persisted for up to 76 days in five of six evaluated patients. Furthermore, the infusions were associated with clinical benefit; within 2 weeks, there was a decrease of viral titers in both patients who received donor-derived cells and in four of the six patients treated with third party cells [66].

Tetramers/pentamers bind to T cells irreversibly, giving rise to multiple concerns about their clinical use, including their potential for inducing immune responses or *in vivo* toxicity. Furthermore, a number of groups have reported changes in T-cell phenotype following multimer engagement, with the induction of tolerance or even apoptosis [67–69]. To address these concerns, Knabel and colleagues developed a 'next generation' multimeric complex called the streptamer [69]. Streptamers can be easily dissociated from the T-cell receptor by addition of D-biotin, which causes the multimers to monomerize and detach from T cells within seconds, leaving the selected population pheno-typically and functionally identical to unmanipulated cells [70] (Figure 1A).

Clinically, donor-derived streptamer-selected T cells have been used to treat two adult MUD HSCT recipients with refractory CMV infections. Patient 1 received a total of 2.2×10^5 HLA B7⁺/CMV pp65-specific CD8⁺ T-cells/kg and patient 2 was infused with 0.37×10^5 HLA-A24⁺/CMVpp65-specific CD8⁺ T-cells/kg. After a single infusion, the frequency of CMV-specific CD8⁺CD45RA⁺CCR7-effector T cells increased from 0% to a maximum of 27.1% of all T cells in patient I and from 0.03 to 0.48% in patient 2. These T cells were donor-derived and did not result from endogenous reconstitution, as demonstrated by analysis of donor chimerism, T-cell receptor excision circles and V β -spectratyping by PCR. The infusions were safe and resulted in a persistent clearance of CMV antigenemia [71].

To preclinically investigate the minimum number of cells required for *in vivo* benefit Stemberger and colleagues used a murine *Listeria monocytogenes* infection model to demonstrate that even a single epitope-specific CD8⁺ T-cell was able to proliferate and differentiate into effector and central-memory T-cell subsets that could provide pathogenspecific effects [72,73]. Subsequently, the same group administered 3750 and 5130 cells per kilogram, respectively to two pediatric Haplo HSCT recipients with refractory CMV. The infused cells became detectable in the periphery 32 and 7 days post-infusion, respectively and transitioned from a less differentiated (CCR7⁺/CD45RA⁻ = 14.5%) to a mature differentiated effector population (CCR7-/CD45RA⁺ = 71.4%) *in vivo*, with a concurrent decrease in both patients' viral load [73].

These studies demonstrate the feasibility, efficacy and safety of multimer-selected cells *in vivo*. However, this approach can only be applied to immunologically well-characterized viruses, and to date has been restricted to CD8⁺ T cells.

IFN-\gamma capture—The IFN- γ capture approach selects T cells (both CD4⁺ and CD8⁺) based on their ability to secrete effector cytokines in response to antigen stimulation, and thus is an

approach that is neither peptide nor HLA restricted. (Figure 1B) This strategy has been successfully used to both prevent and treat AdV, CMV and EBV infections in HSCT recipients. Feuchtinger and colleagues first used IFN-y-captured AdV-directed T cells to treat nine pediatric (age 1-11 years) recipients of MUD (n = 3), mismatched (MM)UD (n = 4), MRD (n = 1) and Haplo (n = 1) transplants, all of whom had systemic AdV infections (n = 2) or disease (n = 7). The isolated cells, which represented a mix of $CD4^+$ (63 ± 10%) and $CD8^+$ (29 ± 8%) T cells, were infused between days 40–378 post-transplant at doses ranging from 1.2 to 50×10^3 cells/kg. The infusions were well tolerated with a single report of skin cGvHD aggravation and expanded in vivo, controlling infections/disease in five of six evaluable patients [74]. The same group also treated 18 patients (age 0.4 months to 59 years) with refractory CMV infections (n = 10) or disease (n = 8) after MRD, Haplo, MUD or MMUD HSCT using the same method. T cells reactive against pp65 were infused (mean $21.3 \pm 38.8 \times 10^3$ CD3/kg) at 19–308 days post-transplant with no side effects or GvHD. The infused cells expanded in vivo and overall 15 of 18 patients cleared or had a significant reduction (>1log) in their viral loads post-infusion, including two patients with encephalitis [75]. Peggs and colleagues reported similar success when using CMV-captured T cells prophylactically (n = 8) or pre-emptively (n = 11) in a Phase I/II clinical trial. In this study, despite the infusion of small cell numbers (median 2840 and 630 CMV-specific CD4⁺ and CD8⁺ cells/kg, respectively), the infused cells provided antiviral protection; only one of the prophylactically-infused patients required antiviral drugs within the next 6 months. Furthermore, 9 of 11 pre-emptively infused patients required brief antiviral drug administration (11–33 days), with no instances of reactivation [76].

EBV reactivations were also sensitive to this therapy, as shown by Moosmann *et al.* who used EBV-peptide pools containing 23 epitope peptides from 11 EBV antigens (five latent, four early lytic and two late lytic) to stimulate EBV-specific T cells from donor-peripheral blood leukaphereses. Six adults with biopsy-proven EBV-PTLD that was unresponsive to conventional treatment received a single dose of selected cells $(0.4–9.7 \times 10^4 \text{ cells/kg})$. In three patients with early-stage disease, the transferred cells expanded *in vivo* as detected by pentamer staining and IFN- γ ELISPOT, and produced complete remissions. However, the profile in three patients with late-stage PTLD and multi-organ failure was different, with no T-cell expansion detected and no clinical response [77]. Finally, Icheva and colleagues targeted the universally-expressed latent protein EBNA-1 and infused reactive T cells (mean 5794 CD3⁺ cells/kg) to ten pediatric and adult patients (age 2–51 years) with refractory EBV viremia or PTLD 59–413 days post-HSCT. They observed *in vivo* expansion of transferred cells in eight of ten patients and of these, seven had clinical and virologic responses, defined as decrease of viral load greater than one log and resolution of PTLD [78].

These studies demonstrate that IFN- γ capture can successfully select polyclonal T cells used to prevent or treat even refractory AdV, CMV and EBV infections post-HSCT. However, similar to multimer selection, this method can only be applied to viruses with a high frequency of circulating specific T cells and to viruses for which immunogenic/protective antigens have been identified.

Ex vivo expanded virus-specific T cells

An alternative strategy to prepare a virus-enriched T-cell fraction is to specifically expand this population *ex vivo* by repetitive stimulation with antigen-loaded APCs, resulting in the loss of cells with alloreactive potential (Table 2).

Cytomegalovirus—CMV was the first virus to be specifically targeted using *ex vivo* expanded T cells. Walter and colleagues used fibroblasts infected with the AD169 strain of CMV to stimulate CD8-enriched PBMCs, which were further expanded with either anti-CD3 antibody or CMV-infected fibroblasts and autologous-feeder cells. The resulting VSTs were administered prophylactically to 14 HLA-identical HSCT recipients in four weekly escalating doses $(3.3 \times 10^7 \text{ to } 1 \times 10^9/\text{m}^2)$ starting 30–40 days post-transplant with no infusion-related toxicity noted. Only mild GvHD (grade I/II) was reported in just three patients, but remarkably none of the infused patients developed CMV viremia or disease. All 14 patients also demonstrated an increase in the circulating frequency of CMV-specific T cells post-infusion. However, in patients without endogenous CMV-specific CD4⁺ T cells, CD8⁺ T cell numbers rapidly declined, highlighting the importance of the helper T-cell subset in vivo [79]. Subsequently, Einsele and colleagues used an alternative method to prepare polyclonal CMV-directed VSTs. They incubated donor-derived PBMCs with CMV lysate for 10 days, followed by restimulation with CMV antigen and irradiated autologous feeder cells. After four stimulations, the final product contained both virus-specific IFN-yproducing CD4⁺ (mean 77 \pm 10%) and CD8⁺ (mean 6 \pm 3%) T cells, and lacked alloreactivity even when donor and recipient were mismatched on three HLA alleles. Eight recipients of MRD, MMRD, MUD or MMUD transplants with ganciclovir-resistant CMV were treated with a single dose of 10⁷ CMV-specific T cells/m² a median of 120 days post-HSCT (range 79-429 days). The infusions were well tolerated with no reported GvHD. All seven evaluable patients had a significant reduction (greater than one log) in CMV-DNA, which was durable in five. In two patients with the highest viral loads, the decrease in CMV-DNA was transient. However, a second infusion was sufficient to produce sustained viral clearance in a patient while the other patient refused a second infusion and eventually succumbed to his/her infection [80].

In a Phase II/III study, Peggs and colleagues administered CMV-STs prophylactically or pre-emptively to high risk HSCT recipients. In those infused prophylactically the cells appeared to be protective since the incidence of CMV was reduced compared with historical controls. Furthermore, in patients who received pre-emptive T-cell therapy the infused T cells expanded substantially *in vivo* producing viral clearance in all [81]. Finally, Blyth and colleagues reported the results of a Phase II clinical trial where 50 allogeneic transplant recipients (age 4–68 years) were pro-phylactically infused with 2×10^7 cells/m² CMV-ST lines generated with DCs pulsed with the HLA-A2-restricted CMV pp65 peptide NLV (n = 10) or transfected with an adenoviral vector genetically modified to express pp65 (n = 40). Although infusions did not reduce the frequency of CMV reactivations both the percentage of patients requiring antiviral drugs and the duration of treatment was decreased in the study population (17 vs 36% and 3.4 vs 8.9 days, respectively) [82]. The data from these studies suggest that CMV-specific T cells (STs) mediate direct antiviral effects but also reduce the

requirement for antiviral therapy with a corresponding reduction in drug and diseaseassociated morbidity and in transplant costs.

EBV—EBV was first targeted immunotherapeutically by our group using donor-derived T cells expanded using autologous EBV-LCLs as APCs. EBV-LCLs are particularly good APCs in this setting, since the transformed B cells express the same antigen profile as the malignant cells, thereby maximizing the therapeutic benefit of the expanded T-cell lines [83]. To date, 114 transplant recipients (age 5 months to 38 years, mean 8.4 years) have been infused with EBV-STs to prevent (n = 101-n = 90 T-cell depleted HSCT; n = 11 high risk of lymphoprolipherative disease) or treat biopsy-proven or probable EBV-PTLD (n = 13). None of the patients infused prophylactically developed EBV-PTLD, compared with an incidence of 11% in a historical control cohort. Furthermore, the first 26 patients received VSTs that were genetically marked with the neomycin-resistance gene, which allowed us to track the cells for up to 9 years post-infusion and demonstrated that adoptively transferred cells can acquire a memory phenotype and, like natural memory EBV T cells, are able to survive long-term and expand upon antigen stimulation. Finally, of 13 patients with proven or probable disease at the time of EBV-ST treatment, 11 had complete and sustained clinical responses [84–87].

These results have been recapitulated at numerous other centers including Memorial Sloan Kettering [88], the Karolinska Institute [89] and University of Pavia [90]. However, the rare treatment failures have also taught important lessons. For example, Dubrovina and colleagues reported on three non-responding patients who were infused with T-cell lines that recognized donor-derived LCLs transformed with the EBV B-95 laboratory-strain virus but not the strain of EBV expressed by the patients' tumor [88]. Our group reported a similar phenomenon in a patient who failed to respond to EBV-STs and was later found to harbor a tumor virus with a deletion in the EBNA-3B gene that removed the immunodominant HLA-A11 epitopes exclusively targeted by the infused line [91]. These cases highlight the importance of infusing polyclonal T cells reactive against multiple antigens/epitopes expressed by the endogenous tumor to ensure clinical benefit.

Multivirus-specific T cells—In an attempt to target not one but multiple clinically problematic viruses simultaneously, our group developed a strategy to generate bi- and trivirus-directed VSTs with specificity for Adv+EBV (from CMV sero-negative donors) or AdV+EBV+CMV. Multispecific T cells were activated using monocytes transduced with a chimeric Ad5f35-null vector (for bivirus) or Ad5f35pp65 followed by weekly stimulation with autologous EBV-LCLs transduced with the same vector. (Figure 2A) The bi- and trivirus lines were polyclonal and showed activity against all the target viruses based on IFN- γ ELISPOT and cytotoxicity assays, though in the trivirus products the CMV-specific fraction was dominant [92,93].

In total, 24 patients (age 1–63 years) were safely infused with 5×10^6 to 1.35×10^8 multivirus-directed T cells/m² between days 35 and 150 post MRD, MMRD, MUD or Haplo transplant. Monitoring the impact that infusions had on virus-specific immune reconstitution, we saw two patterns emerging. For the latent EBV and CMV viruses, there was an increase in the precursor frequency of reactive cells irrespective of whether patients

were infused prophylactivally or therapeutically. However, for AdV, we detected an increase in specific cells only in patients with active infections, highlighting the importance of antigenic stimulation for *in vivo* expansion. Of note, none of the infused patients developed *de novo* AdV infections, compared with an incidence of 68% in similar pediatric transplant recipients in the absence of VST therapy [94], suggesting that the infused cells can persist, expand following stimulation and provide long-term protection against adenoviral disease. These multispecific cells were also associated with clinical benefit, inducing partial or complete responses in three patients with CMV reactivation (including one refractory case), six patients with EBV (including a patient with EBV-PTLD) and five patients with AdV infections [92,93].

To reduce manufacturing time and avoid the use of live virus or viral vectors, we next investigated whether viral antigen-encoding DNA plasmids could be used to expand antigen-specific T cells. Using the AMAXA nucleofection system, plasmid-nucleofected DCs were used to activate antigen-specific T-cell populations with trivirus specificity. In a total of 17 days we were consistently able to generate VSTs with similar phenotypic, specificity and functional profiles as traditionally generated trivirus-directed T cells [95]. These cells were safe when infused in ten patients with CMV (n = 5), EBV (n = 4) and AdV (n = 5) infections and produced complete responses in 80%, including in all four patients with dual infections [96].

More recently, we extended the spectrum of antigens targeted using a single T-cell line to include not only EBV, CMV, AdV but also BKV and HHV6. In this study, the manufacturing process was further simplified by substituting clinical grade pepmixes (15mer peptides overlapping by 11aa) for plasmids, which allowed the direct stimulation of PBMCs for antigen activation followed by 10 days in a G-Rex device [97,98] (Figure 2B). The clinical multivirus (m) VSTs produced were a mix of CD4⁺ (57 \pm 2%) and CD8⁺ (35 \pm 2%) cells with specificity that reflected donor serostatus - for example, donors that were CMV seronegative lacked a CMV-reactive T-cell component, etc. Nevertheless, the majority of the lines generated recognized at least three viruses. Eleven lines were administered to recipients of MRD (n = 5), MUD (n = 3), MMUD (n = 2) or Haplo (n = 1) transplants at doses ranging from 0.5×10^7 to 2×10^7 cells/m² either as prophylaxis (n = 3) or to treat one to four viral infections (n = 8). Despite receiving just a single *in vitro* stimulation, the infused mVSTs exhibited a safety profile similar to more extensively expanded T-cell products. Furthermore, the cells produced clinical responses in all patients with EBV (n = 5), CMV (n = 3), HHV-6 (n = 2) and AdV (n = 1) infections/reactivations, while six of seven patients with BKV infections had a complete or partial response (defined as a reduction of >50% in the baseline-viral load with improvement of clinical signs/symptoms). These responses included all four patients with symptomatic tissue involvement (EBV-PTLD [n = 1] and BKV-cystitis [n = 3] [99]. These data demonstrate that production of broad spectrum VSTs is feasible and can provide safe and effective antiviral protection.

VST generation from seronegative donors

The above sections illustrate the relative simplicity associated with preparing virus-specific T cells from seropositive donors. However, the isolation of such cells from either

seronegative donors or UCB is substantially more challenging given the low circulating precursor frequency of virus-reactive cells and their naïve phenotype. Hence, to activate and expand these naive cells *in vitro*, one requires professional APCs as well as potent, Th1-polarizing cytokines, such as IL-7, IL-15 and IL-12 [100,101]. Nevertheless, to test whether such VSTs can prevent/treat post-transplant infections, Hanley and colleagues generated trivirus VSTs (CMV, EBV and AdV) from the 20% fraction of the cord blood unit, and infused these into seven cord blood transplant recipients at doses ranging from $0.5-2.5 \times 10^7$ cells/m². The infusions were well tolerated, with no early or subsequent GvHD, and protective in all five patients who were treated prophylactically. The two remaining patients had a CMV and AdV infection, respectively, and both were able to clear the viruses, even though a second VST infusion was required for the patient with CMV [102,103]. Thus, early reports suggest that these UCB-derived VSTs can promote virus-directed immune reconstitution *in vivo*.

Third-party banks

Despite the safety profile and clear clinical benefit associated with adoptively transferred VSTs, this therapy is still restricted to select academic centers with specialized good manufacturing practice infrastructure and expertise. The requirement for individualized products is problematic when the transplant donor is seronegative or in cases of urgent need. To address these issues, the utility of banks of 'off the shelf' VSTs generated from transplant-eligible donors has been investigated. However, while this source is immediately available, one must also consider the potentially greater risk of GvHD associated with the infusion of lines that are mismatched at one or more HLA loci. Nevertheless, Haque and colleagues generated and tested the activity of third-party EBV-STs in 31 solid organ transplant and two HSCT recipients with refractory biopsy-proven EBV-PTLD. The lines for infusion were chosen on the basis of HLA matching and *in vitro* cytotoxicity of EBV. Patients received products that were matched at two to five HLA alleles in four weekly doses of 2×10^6 cells/kg. The infusions were well tolerated in these refractory patients and resulted in clinical responses in 64% at 5 weeks and 52% at 6 months. Subsequent analysis demonstrated that the level of HLA matching correlated with outcomes at 6 months and overall better response rates (p = 0.048) [104]. In a follow-up study, Vickers and colleagues infused lines matched at 3/10 to 9/10 alleles to 11 patients with EBV-PTLD post solid organ transplant (n = 5) or HSCT (n = 8). Eight of ten evaluable patients achieved a complete remission, including four patients with CNS disease. O'Reilly and colleagues also reported results from five patients with EBV-PTLD who were treated with third-party lines, four of whom were complete responders [105].

Finally, our group has utilized banks of T cells with specificity for CMV, EBV, and AdV to treat patients with refractory CMV, AdV and/or EBV infections post-HSCT. Eighty two HSCT transplant recipients were screened for participation on the study and a suitable line - based on overall level of HLA match and the presence of T-cell activity against the infecting virus through a shared HLA allele - was identified for 74 individuals from a bank of just 32 products. Of these, a total of 18 lines were infused to 50 patients with severe, or refractory infections (CMV [n = 23], AdV [n = 18], and EBV-PTLD [n = 9]) after marrow (n = 14), peripheral blood (n = 21) or cord blood (n = 15) transplant. Despite the low level of HLA

matching (range 1/6 to 4/6 matched alleles), *de novo* GVHD occurred in only two patients and was grade I in both cases. We achieved responses for all three viruses targeted with a cumulative response rate at 6 weeks postinfusion of 74%; 74%, 78% and 67% for CMV, AdV and EBV, respectively, the majority of which were durable [106]. Overall, these studies demonstrate that despite initial concerns, infusions of third-party VSTs are safe and clinically beneficial, justifying broader implementation of this approach (Table 3) [107].

VSTs as a platform for genetic modification

The major causes of post-transplant morbidity and mortality are relapse and infection. Since donor-derived VSTs are able to expand and persist long-term without inducing GvHD, our group has recently also explored the possibility of providing dual antiviral and antitumor activity by genetically modifying VSTs to express a tumor-targeted chimeric antigen receptor (CAR). Indeed, Cruz and colleagues modified trivirus-specific T cells with a CAR directed against CD19 and administered these to eight patients with CD19 positive B-cell malignancies (age 9-59 years) post MRD or MUD transplant. There were no infusionrelated toxicities and no GvHD. Since the cells were gene-modified, quantitative PCR was used to track the transgenic populations, which persisted for a median of 8 weeks in blood and up to 9 weeks at disease sites, and in two evaluable patients correlated with objective clinical responses. Moreover, CD19. CAR-VSTs retained antiviral activity in vivo, since two patients who reactivated EBV had a concomitant increase in the frequency of circulating EBV-specific precursors by IFN-y ELISPOT and of the CD19.CAR O-PCR signal, suggesting virus-induced expansion. Thus, this pilot study demonstrates the feasibility of conferring VSTs with the ability to target tumor-expressed antigens and provides preliminary evidence supporting the use of a single product to prevent both relapse and infection [108].

Conclusion & future perspective

Viral infections are an increasing cause of morbidity and mortality following HSCT. T-cell therapy to reconstitute antiviral immunity is beyond doubt a clinically safe and effective treatment strategy. Using rapid isolation techniques, it is possible to select virus-specific populations that can be infused within hours, while expansion protocols can be utilized to prepare cell banks for infusion in the donor-specific and third-party setting. Finally, since current mVST manufacturing platforms can accommodate additional specificities, one can now consider extending immunotherapeutic protection to other clinically problematic viruses including JC, HHV7, influenza, parainfluenza, human metapneumovirus, coronavirus, RSV and bocavirus to provide broad spectrum antiviral protection.

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- Virus-specific T cells are crucial for providing protection against viral infections post allogeneic hematopoietic stem cell transplantation.
- Infusion of donor lymphocyte infusion can provide antiviral benefit, but with a coincident risk of graft versus host disease (GvHD) due to the presence of alloreactive T cells.
- The risk of GvHD can be mitigated by inactivating alloreactive T cells or infusing selected virus-specific T cells.
- Broad spectrum antiviral protection can be provided by a single T-cell line with simultaneous specificity for multiple viruses.
- T cells can be banked and administered as an 'off the shelf product to partially human leukocyte antigen-matched recipients to provide clinical benefit.



Figure 1. Direct isolation of virus-specific T cells

(A) Streptamer selection. (B) IFN- γ capture.

MHC: Major histocompatibility complex; TCR: T-cell receptor; VST: Virus-specific T cell.

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Figure 2. Generation of ex vivo expanded virus-specific T cells

(A) Our original manufacturing procedure for generating AdV, EBV and CMV-specific VSTs. (B) Our current method for generating multivirus-directed VSTs. Ad5f35pp65: Adeniviral vector encoding for the CMV antigen pp65; AdV: Adenovirus; BKV: BK virus; EBV-LCLs: EBV-transformed lymphoblastoid cell lines; HHV-6: Human herpesvirus 6; PBMC: Peripheral blood mononuclear cell; VST: Virus-specific T cell.

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Table 1

Clinical trials using directly isolated virus-specific T cells.

	Target virus(es)	Stimulus	Cell dose (cells/kg)	Timing of infusion (days post-HSCT)	Prophylaxis or treatment (number of patients)	Clinical outcomes
Multimer selection	CMV	pp65, IE1	$\begin{array}{c} 1.2\times10^33.3\\ \times10^4\end{array}$	18–247	Treatment (9)	8CR 1 PR [65]
	EBV	LMP2, BMLF1	$0.824.6\times10^4$	-7-305	Treatment (1)	1/1 CR of EBV/PTLD
	ADV	Hexon			Treatment (1)	NR of AdV infection
	CMV	pp65			Treatment (6)	4/6 CR, 1/6 PR and 1/6 NR of CMV infection [66]
	CMV	pp65	$0.372.2\times10^5$	Unavailable	Treatment (2)	2 CR [71]
	CMV	pp65	3750–5130	15-150	Treatment (2)	2 CR [73]
IFN-y capture	AdV	Adenoviral antigen type C	$1.2-50 imes 10^3$	40-378	Treatment (9)	3 CR 2 PR 1 NR [74]
	CMV	pp65	$1.2 - 166 \times 10^{3}$	19–308	Treatment (18)	9 CR 6 PR 3 NR [75]
	EBV	LMP2, EBNA1, EBNA3A, EBNA3B, EBNA3C, BZLF1, BRLF1, BMLF1, BHRF1, BLLF1, BNRF1	0.4 – 9.7×10^4	47–93	Treatment (6)	3 CR 3 NR [77]
	CMV	pp65	1×10^4	18–63	Prophylaxis (7)	0/7 required antiviral medication
					Pre-emptive treatment (11)	11/11 CR[76]
	EBV	EBNAI	148–53796	59-413	Treatment (10)	6 CR 1 PR 3 NR [78]

Adv: Adenovirus, CR: Complete response; NR: No response; PR: Partial response; PTLD: Post-transplant lymphoproliterative disease.

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Table 2

Clinical trials using ex vivo expanded virus-specific T cells.

Target virus(es)	Stimulus	Cell dose	Timing of Infusion (days post HSCT)	Prophylaxis or treatment (number of patients)	Clinical outcomes
CMV	AD169 strain of CMV	$33 \times 10^{6} 1 \times 10^{9} \text{/m}^2$	30-40	Prophylaxis (14)	No CMV infections [79]
CMV	CMV lysate	$1 imes 10^7 / m^2$	79-479	Treatment (8)	6 CR 1 PR 1 NR [80]
CMV	CMV lysate	$0.6-1 imes 10^5/\mathrm{kg}$	21–34	Prophylaxis (10) Treatment (20)	23 responded to VSTs with antivirals [81]
CMV	pp65	$2 \times 10^7 / m^2$	29–115	Prophylaxis (50)	26 patients developed CMV infections 9 required antivitrals 1 CMV-related death [82]
EBV	EBV B95–8 strain	$2\times 10^71\times 10^8\text{/m}^2$	Unavailable	Prophylaxis (101) Treatment (13)	No new EBV infections 11 CR 2 deaths [85,87,109,110]
EBV	EBV B95–8 strain	$2\text{-}4\times 10^7\text{/}m^2$	31–148	Treatment (6)	5 displayed a decrease in viral load 1 EBV related death [89]
EBV	EBV B95–8 strain	1 dose of 5 \times 10 ⁶ /kg and 1–4 doses of 1–2 \times 10 ⁶ /kg	unavailable	Treatment (4)	3 CR 1 death of multiorgan deficiency [90]
EBV	EBV B95–8 strain	$0.2-9 imes 10^{6}/kg$	64-247	Treatment (19)	13 CR 1 EBV-related death [88]
EBV	EBV B95–8 strain	$5{\times}~10^{6}{-}1\times10^{8}/m^{2}$	35-150	Prophylaxis (10)	3/3 CR of EBV infection/PTLD
AdV	Ad5f35pp65 vector			AdV treatment (1)	3/3 CR of CMV infection
CMV					6/6 CR of AdV infection/disease [92]
EBV	EBV B95–8 strain	$5{\times}~10^{6}{-}1.35 \times 10^{8}{/}m^{2}$	40-150	Prophylaxis (9)	No new EBV or AdV infections
AdV	Ad5f35 ^{null} vector			EBV treatment (3) AdV treatment (2)	3 CR 2 CR [93]
EBV	BZLF1	$5{\times}~10^{6}{-}2\times10^{7}/m^{2}$	28 days- 4 years	EBV treatment (4)	3 CR
AdV	Hexon, Penton			AdV treatment (5)	5CR
CMV	IE1, pp65			CMV treatment (5)	4 CR, 1 patient with persistent colitis proceeded with colectomy [95]
EBV	EBNA1, LMP2, BZLF1	$5{\times}~10^{6}{-}2\times10^{7}/m^{2}$	38–139	Prophylaxis (3)	No viral infections in the prophylaxis arm

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Target virus(es)	Stimulus	Cell dose	Timing of Infusion (days post HSCT)	Prophylaxis or treatment (number of patients)	Clinical outcomes
AdV	Hexon, Penton			EBV treatment (5)	5 CR
CMV	IE1, pp65			AdV treatment (1)	1 CR
BKV	LT, VP1			CMV treatment (3)	2 CR, 1 PR
9-VHH	U11, U14, U90			BKV treatment (7)	5 CR, 1 PR, 1 NR
				HHV-6 treatment (2)	2 CR [99]

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BKV: BK virus; CR: Complete response: HHV 6: Human herpesvirus 6; NR: No response; PR: Partial response; VST: Virus-specific T cells.

Clinical trials using third party virus-specific T cells.

	get virus	Stimulus	Patients infused	Cell dose	Timing of infusion (days post HSCT)	Clinical outcome
Multimer selection EBV		pp65	1	$0.8{-}24.6\times10^4$	-7-305	1 CR
AdV		Hexon	1			1 NR
CMV	1	LMP2, BMLF1	4			2/4 CR, 1/4 PR, 1/4NR [66]
Ex vivo expansion EBV		EBV B95–8 strain	2	$2 \times 10^6/\text{kg} \times 4 \text{ doses}$	Unavailable	2 CR of EBV-PTLD [104]
EBV		EBV B95–8 strain	7	$5-9 imes 10^{6}{ m kg}$	277–347	2 CR of EBV- PTLD [107]
EBV		EBV B95–8 strain	19	$0.2-9 imes 10^{6}/\mathrm{kg}$	64–247 (median 91)	13 CR 6 NR [88]
EBV		EBV B95–8 strain	6	$2\times10^71\times10^8\text{/m}^2$	Unavailable	2/9 CR, 4/9 PR, 3/9 NR of EBV infection/ PTLD
AdV		Ad5f35pp65 vector	23			9/23 CR, 8/23 PR, 6/23 NR of CMV Infection
CMV	~		18			7/18 CR, 7/18 PR, 4/18 NR of AdV infection/disease [106]
EBV		EBV B95–8 strain	11	$1-2 \times 10^{6}$ /kg ×4 doses	Unavailable	8 CR 1 PR 2 NR [105]