

# **HHS Public Access**

Author manuscript *Am J Med.* Author manuscript; available in PMC 2016 October 01.

Published in final edited form as:

Am J Med. 2015 October ; 128(10): 1126-1137.e1. doi:10.1016/j.amjmed.2015.05.026.

## A Meta-Analysis Of Sleep-Promoting Interventions During Critical Illness

Chithra Poongkunran, MD<sup>1</sup>, Santosh G. John, MD<sup>1</sup>, Arun S. Kannan, MD<sup>1</sup>, Safal Shetty,  $MD^{1,2}$ , Christian Bime,  $MD^{1,2,3}$ , and Sairam Parthasarathy,  $MD^{1,2,3}$ 

<sup>1</sup>Department of Medicine, University of Arizona, Tucson, AZ

<sup>2</sup>Division of Pulmonary, Critical Care, and Sleep Medicine, University of Arizona, Tucson, AZ

<sup>3</sup>Arizona Respiratory Center, University of Arizona, Tucson, AZ

## Abstract

**Background**—Sleep quality and quantity are severely reduced in critically ill patients receiving mechanical ventilation with potential for adverse consequences. Our objective was to synthesize the randomized controlled trials (RCTs) that measured the efficacy of sleep-promoting interventions on sleep quality and quantity in critically ill patients.

**Methods**—We included RCTs that objectively measured sleep with electroencephalography or its derivatives and excluded observational studies and those that measured sleep by subjective reports. The research was performed according to PRISMA guidelines.

**Results**—Of 6,022 studies identified, 13 studies met eligibility criteria involving 296 critically-ill patients. Eight trials looked at different modes of mechanical ventilation as sleep interventions, and the remaining five involved pharmacological, non-pharmacological, or environmental interventions. Meta-analysis of the studies revealed that sleep-promoting interventions improved sleep quantity (pooled standardized mean of differences [SMD] 0.37, 95% CI: 0.05, 0.69; P=0.02) and sleep quality through reduction in sleep fragmentation (SMD -0.31; 95% CI -0.60, -0.01; P=0.04). Subgroup analysis revealed that timed-modes of ventilation improved sleep quantity when compared to spontaneous-modes of ventilation (SMD 0.45, 95% CI 0.10, 0.81; P=0.01). Non-mechanical ventilation interventions tended to improve sleep quantity (SMD 0.65; 95% CI; -0.03, 1.33; P=0.06) and tended to reduce sleep fragmentation (SMD -0.29; 95% CI -0.61, 0.03; P=0.07).

**Corresponding Author:** Sairam Parthasarathy, MD, Associate Professor of Medicine, University of Arizona, 1501 N. Campbell Avenue, AHSC Rm 2342D, Tucson, Arizona, USA Zip 85724. Ph: 520-626-6109; Fax: 520-626-1876; spartha@arc.arizona.edu.

**Publisher's Disclaimer:** This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final citable form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

Conflict of Interest: Drs. Chithra Poongkunran, Santosh G. John, Arun S. Kannan, Safal Shetty, MD and Christian Bime, MD do not have any conflicts of interest to disclose.

Authorship credit: Conceived and designed the experiments (CP, SGJ, ASK, SS, SP), Analyzed the data (CP, SGJ, ASK, SS, SP), Interpretation of data (CP, SGJ, ASK, SS, CB, SP), contributed reagents/materials/analysis tools (CP, SGJ, ASK, SS, CB, SP), drafted the article or revised it critically for important intellectual content (CP, SGJ, ASK, SS, CB, SP), final approval of the version to be published (CP, SGJ, ASK, SS, CB, SP). Sairam Parthasarathy, MD [Corresponding author] had full access to all the data in the study and had final responsibility for the decision to submit for publication.

**Conclusions**—The synthesized evidence suggests that both mechanical ventilation and nonmechanical ventilation-based therapies improve sleep quantity and quality in critically ill patients but the clinical significance is unclear. In the future, adequately-powered multi-center RCTs involving pharmacological interventions to promote sleep in critically ill patients are warranted.

#### MeSH terms

sleep; critical illness; artificial respiration; Hypnotics and Sedatives; polysomnography; critical care; Positive-Pressure Respiration

## Introduction

Sleep quality and quantity are severely reduced in critically ill patients with potential for adverse consequences<sup>1-5</sup>. In critically ill patients, lack of sleep may contribute to delirium and agitation and in healthy volunteers cause immune dysregulation and negative nitrogen balance<sup>4,6-8</sup>. In community-dwelling participants, lack of sleep has been associated with allcause mortality<sup>9–15</sup>. Although abnormalities of sleep are extremely common in critically ill patients, the mechanisms are not well understood<sup>4</sup>. Intervention-based studies in critically ill patients can elucidate the mechanistic basis of sleep derangements and are direly needed. However, there is a paucity of such intervention-based mechanistic studies for sleep promotion in critically ill patients due to the arduous nature of conducting such interventionbased experiments; difficulties in surrogate consenting; and collecting electroencephalography signals in an artefact-ridden intensive care unit (ICU) environment<sup>4</sup>. Even the few randomized controlled trials (RCTs) of sleep in the ICU are limited by small sample size. Nevertheless, they were rigorous in study-design and conduct while exploring the effect of mechanical ventilation, pharmacological, environmental, and other nonpharmacological interventions on sleep in critically ill patients<sup>16–19</sup>. A meta-analysis, by combining such smaller RCTs could increase the overall power to estimate the efficacy of sleep promoting interventions during critical illness. Such an undertaking could help us better understand the mechanistic underpinnings of sleep derangements during critical illness, and ultimately inform future adequately-powered trials aimed at improving sleep and consequent patient-outcomes in critically ill patients.

Our primary objective was to synthesize the RCTs that measured the efficacy of sleeppromoting interventions on sleep quality and quantity in critically ill patients. Our secondary objective was to understand the treatment effects of sleep-promoting interventions that were categorized by mechanical ventilation versus other interventions.

#### Methods

#### Data source and searches

We conducted an electronic search of the literature in Medline, Cochrane central, Dynamed from 1966 to August 2014. We then updated the search in October 2014. We used a combination of MESH subheadings and keywords (sleep, sleep interventions, critical illness, mechanical ventilation, randomized controlled trials). We used "sleep AND critical illness" "sleep AND mechanical ventilation"

"sleep interventions AND critical illness" as well the above four combinations with "OR randomized controlled trials" with exploded search terms. We limited the entire list to studies published until October 2014 but there were no limits to age of the studies. We reviewed the bibliographies of the included studies and previous reviews to identify additional citations. The research was guided by an extraction protocol that followed PRISMA guidelines (Preferred Reporting Items for Systematic Reviews and Meta-

Analyses)<sup>20</sup>.

#### Definitions

Operational definitions of outcome variables were as follows: (a) Sleep quantity was defined as sleep efficiency which is time spent asleep expressed as a percentage of total recording time. (b) Sleep quality was defined as sleep fragmentation measured as arousals and awakenings per hour of sleep. (c) Information of proportion of time spent in various sleep stages were also extracted when available and proportioned into various non-rapid eye movement (stage N1, N2, slow wave sleep) and rapid eye movement (REM) sleep. Explanatory variables were interventions that were categorized into changes (or intervention) made to mechanical ventilation (mode of ventilation), pharmacological therapy (sedatives type or infusion method), environmental (noise reduction or music), non-pharmacological (such as massage) interventions.

## **Eligibility criteria**

We included intervention-based studies if they were RCTs and objectively measured sleep in critically ill patients. We excluded observational studies and those that measured sleep without electroencephalography (EEG) or its derivatives. *A priori* we decided not to include articles that measured sleep through subjective reports, nursing assessments, or actigraphy due to known reservations about their test characteristics<sup>21</sup>. We included Bispectral index or fast fourier transformation of EEG signals because such automatically processed signals have good reproducibility characteristics and that there was a paucity of RCTs in this area of study identified through an iterative process<sup>22</sup>. The search was limited to RCTs that were published in English and studied human subjects.

#### Data extraction and quality assessment

One study team member (CP) reviewed all included papers (n=13) and abstracted all of the relevant data from them into formatted Windows Excel database. To validate the abstraction process, the other two study team members (SGJ, ASK) each reviewed a randomly selected sample so that at least two study members had abstracted each included paper. A third study member (SP) reviewed extracted data from all of the papers in order to identify differences in the abstraction between previous abstractions and resolve discrepancies by consensus. Data were extracted from each selected article using formatted Windows Excel database. Disagreement between the extracting investigators was resolved by consensus. We rated the study quality using United States Preventive Services Task Force (USPTF) criteria (Table 1)<sup>23</sup>.

#### Data synthesis and analysis

We conducted a meta-analysis assuming random effects on sleep quantity and quality that provided enough detail to calculate standardized mean differences (SMD; n= 13) (RevMan, Version 5.3.5 Copenhagen, Denmark). Two studies had three study arms each and therefore the comparisons of the experimental groups versus the control or usual group were used and identified as such. Sensitivity analysis by both including and excluding the duplicate representation of the control (or usual care) arms of these two studies were performed. Considering that some of the studies reported medians and inter-quartile range, we calculated standard deviation from the inter-quartile range and used the median as the mean<sup>24</sup>.

Risk for bias in individual studies was assessed by gauging selection bias (random sequence generation and allocation concealment), performance bias (blinding of participants), detection bias (outcome assessors), attrition bias (incomplete outcome data), and selective reporting or other bias. Risk for bias across studies (publication bias) was performed by making funnel plots and using the Begg and Mazumdar test<sup>25</sup> (SPSS version 22, IBM SPSS, Armonk, NY). We also performed meta-analysis of subgroups involving studies that employed mechanical ventilation versus non-mechanical ventilation interventions, timed versus spontaneous mode of ventilation, and performed sensitivity analysis by including and excluding studies with large effect size that may be unduly influencing the meta-analysis.

## Results

Of the 6,022 studies that were screened and assessed for eligibility, 29 studies were included in the qualitative analysis that eventually yielded 13 RCTs (with 296 patients) which were then included in the meta-analysis (Figure 1; PRISMA compliant flow-diagram). All of the 13 studies qualified as level I according to USPTF hierarchy of study design<sup>23</sup>. Eight trials looked at different modes of mechanical ventilations as sleep-promoting interventions, and the remaining five involved pharmacological, non-pharmacological, or environmental interventions<sup>16,19,26–37</sup>. For each of the 13 RCTs, patient characteristics, intervention, comparators, outcomes, and study design are provided in Table 1. The risk of bias within each study is provided in Table 2.

Sleep-promoting interventions, that was mediated by changes to mechanical ventilation or other sleep promotion therapies (environmental, pharmacological, or non-pharmacological), increased sleep quantity as measured by sleep efficiency (figure 2). There was high heterogeneity among these studies ( $I^2 = 62\%$ ; P=0.0007). Sensitivity analysis performed by removal of study by Oto 2011<sup>19</sup> removed the heterogeneity ( $I^2=26\%$ ; P=0.17) but did not materially change the results (SMD 0.26, 95% CI 0.03, 0.49; P=0.02). Sleep-promoting interventions improved sleep quality by reducing sleep fragmentation (figure 3). There was moderate heterogeneity among these studies ( $I^2 = 49\%$ ; P=0.02). Sensitivity analysis performed by removal of study by Parthasarathy 2002<sup>32</sup> significantly reduced heterogeneity ( $I^2=0\%$ ; P=0.98), but did not materially change the results (SMD –0.19, 95% CI –0.39, 0.02; P=0.08).

## Subgroup and sensitivity analysis

Change to mechanical ventilation modes in eight RCTs tended to improve sleep quantity by increasing sleep efficiency (figure 4). There was no heterogeneity among these studies (P=0.11). Change in mechanical ventilation modes tended to improve sleep quality by decreasing sleep fragmentation (figure 5). There was significant heterogeneity among these studies ( $I^2=68\%$ ). Sensitivity analysis performed by removal of study by Parthasarathy 2002 significantly reduced heterogeneity ( $I^2=0\%$ ; P=0.98) but made the results for improvement in sleep quality non-significant (P=0.43).

Subgroup comparison of four RCTs that compared timed versus spontaneous modes of ventilation was undertaken (figure 6 and 7). Timed-mode of mechanical ventilation improved sleep quantity when compared to spontaneous mode of ventilation (figure 6). There was no heterogeneity among these studies ( $I^2=12\%$ ; P=0.3). Timed-mode of mechanical ventilation did not influence sleep quality measured as sleep fragmentation (figure 7). There was significant heterogeneity among these studies ( $I^2 = 87\%$ ; P<0.0001). Sensitivity analysis performed by removal of one study Parthasarathy 2002<sup>32</sup> did not change the results materially.

Subgroup comparison of five RCTs that compared environmental, pharmacological or nonpharmacological methods to promote sleep was undertaken (figure 8 and 9; Table 1). Nonmechanical ventilation-based interventions improved sleep quantity. There was significant heterogeneity among these studies (figure 8). Removal of one study Oto 2011<sup>19</sup> removed the heterogeneity (I<sup>2</sup>=10%; P=0.35), but did not change the results significantly (SMD 0.32, -0.01, 0.65; P=0.06). Non-mechanical ventilation-based interventions tended to improve sleep quality (figure 9). There was significant heterogeneity among these studies.

There were two studies (Cabello 2008 and Richards 1998) that had three arms in the RCT<sup>16,33</sup>. In the presented comparisons, for each study, the control arms were included twice. Sensitivity analysis was performed by removing these studies from the meta-analysis. Such sensitivity analysis did not materially change the results. Furthermore, stratification of results by proportion of time spent in various sleep stages (stage N1, N2, slow wave sleep, REM) revealed small effects on slow wave sleep (web-only supplementary material [eTable 1]).

#### Assessment of bias

By *a priori* design, we only chose RCTs for this meta-analysis. Therefore the within study bias was minimal (Table 2). Bias across studies was assessed by funnel plots (figure 10). There was no evidence for publication bias assessed by Begg and Mazumdar's test<sup>25</sup> (Kendall's tau b=0.12; P=0.52).

## Discussion

To our knowledge this is the first meta-analysis that assessed the efficacy of sleeppromotion in critically ill patients. Several general observations of the main findings can be made. First, sleep-promoting interventions improved both sleep quantity and quality in critically ill patients, but the effect size was small with heterogeneity across studies. Second,

both mechanical ventilation-based as well as non-mechanical ventilation-based interventions improved sleep quantity and quality in critically ill patients, but the effect size of non-mechanical ventilation appeared to be larger than that of mechanical ventilation-based interventions. Third, timed-mode of mechanical ventilation improved sleep quantity when compared to spontaneous mode of ventilation. Fourth, the sleep-promoting interventions were heterogeneous in nature requiring us to collapse the interventions by mechanistic approach (such as mechanical ventilation, environmental, pharmacological and non-pharmacological interventions). Fifth, although the studies were of good quality with low risk for bias within such studies (table 2), these studies were limited by small sample size and generalization was limited due to lack of any multi-center studies. Sixth, although sleep quality and quantity are important outcomes, these RCTs did not uniformly focus on the effect of sleep on other important patient outcomes – such as delirium, duration of hospitalization, or mortality – despite the existence of sound rationale for such a potential impact<sup>9–14,18,38–40</sup>. Lastly, there was no evidence for publication bias across studies (figure 10).

Sleep quality and quantity are severely reduced in critically ill patients with potential for adverse consequences<sup>1-5</sup>. In community-dwelling participants, poor sleep quantity and quality due to chronic insomnia has been independently associated with all-cause and cardiopulmonary mortality<sup>9–14</sup>. Some of the mechanistic basis for such an association may be mediated by systemic inflammation<sup>14</sup>. Such a mechanistic pathway is supported by controlled experiments in healthy volunteers that revealed elevation in pro-inflammatory cytokines following sleep  $loss^{41}$ . Although, there is rich evolving body of work on the effect of sleep quality and quantity on well-being in ambulatory patients and population-based studies, there is a paucity of sleep research in critically ill patients. Conceivably, critically ill patients may be even more susceptible to the harmful effects of poor sleep than ambulatory patients and community-dwelling participants. In our meta-analysis, we were able to find only 13 small RCTs conducted prior to October 2014 that undertook rigorous sleep measurement methodology without any time restrictions to the age of these studies. A paucity of such intervention-based mechanistic studies for sleep promotion in critically ill patients may be due to the arduous nature of conducting such intervention-based studies in critically ill patients<sup>4</sup>. Even these RCTs of sleep in the ICU were limited by small sample size in individual studies with a maximum of 24 patients per study arm. Nevertheless, they were rigorous in study-design and measurement methodology while they explored the effect of mechanical ventilation, pharmacological, environmental, and other non-pharmacological interventions on sleep in critically ill patients<sup>16–19</sup>. Our meta-analysis, by combining 13 such smaller RCTs increased the overall power to estimate the efficacy of sleep-promoting interventions during critical illness with a cumulative sample size of 296 critically ill patients. Such an undertaking was quite revealing and we discuss the findings here along with caveats and other limitations.

Sleep-promoting interventions improved both sleep quantity and quality in critically ill patients, but the effect size was small and heterogeneous. We performed sensitivity analysis to reduce the heterogeneity and found that sleep quantity and to some extent sleep quality is indeed modifiable in critically ill patients. We noticed that the effect size although small, was relatively larger for non-mechanical ventilation-based interventions than mechanical

ventilation-based approaches for promoting sleep, with pharmacological interventions manifesting the *greatest* effect size. We were limited by the heterogeneous nature of the sleep-promoting interventions but handled this problem by performing subgroup analysis by mechanistic approach of the sleep interventions. This is a limitation of our study, but again highlights the need for a uniform intervention in a larger adequately powered study. An additional observation was the lack of sufficient head-to-head studies of sedative agents in improving sleep quantity and quality. Additionally, the critically ill patient sub-populations were heterogeneous and variably involved patients with exacerbations of chronic obstructive pulmonary disease, heart failure, Acute Respiratory Distress Syndrome, or pneumonia. Considering the greater effect of non-mechanical ventilation-based interventions, the lack of head-to-head studies of pharmacological agents, and inhomogeneous patient population and interventions, there is clearly an identifiable knowledge gap for performing RCTs with pharmacological interventions (with active control group) to promote sleep quality and quantity in a homogenous group of critically ill patients.

In subgroup analysis, timed mode of ventilation was better than spontaneous mode of ventilation in improving sleep quantity and quality in critically ill patients (figures 6 and 7). This is in line with findings in ambulatory patients with sleep-disordered breathing who manifested better sleep quality with a back-up respiratory rate due to reduction in respiratory events and improvement in pattern of breathing<sup>29</sup>. Despite the small number of studies in this sub-group analysis, the findings were homogeneous. Future studies that test other non-mechanical ventilation based interventions need to control for such a potential confounder.

In conclusion, sleep promoting-interventions, both timed mode of mechanical ventilation and non-mechanical ventilation-based therapies, can improve sleep quantity and quality in critically ill patients but the effect size was small and heterogeneous with unclear clinical significance. We believe that these findings provide rationale for performing larger, multicenter, adequately-powered trials for promoting sleep in critically ill patients. Specifically, there is identifiable knowledge gap for performing a pharmacological intervention (with active control group) to promote sleep quality and quantity in a homogenous group of critically ill patients. Such studies should be adequately powered to measure important patient-outcomes that are mechanistically downstream to sleep – such as delirium, systemic inflammation, duration of hospitalization, or even mortality – while carefully measuring the mediating effects of sleep.

## **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

Dr. Parthasarathy reports grants from NIH/NHLBI, grants from Patient Centered Outcomes Research Institute, grants from US Department of Defense, grants from NIH (National Cancer Institute) NCI, grants from US Department of Army, grants from Johrei Institute, personal fees from American Academy of Sleep Medicine, personal fees from American College of Chest Physicians, non-financial support from National Center for Sleep Disorders Research of the NIH (NHLBI), personal fees from USMLEWorld Inc., personal fees from UpToDate Inc., personal fees from Philips-Respironics, Inc., grants from Younes Sleep Technologies, Ltd., grants from Niveus Medical Inc., grants from Philips-Respironics, Inc., outside the submitted work; In addition, Dr. Parthasarathy has a

patent UA 14-018 U.S.S.N. 61/884,654; PTAS 502570970 (Home breathing device) pending. The above-mentioned conflicts including the patent are unrelated to the topic of this paper.

**Funding support**: This work was supported by the National Institutes of Health (NIH) Grants (5R01HL095748) and PCORI grant (IHS-1306-2505) to S.P. During the writing of this manuscript S.P was supported by NIH grants HL095799 and CA184920. The funding institutions did not have any role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

## References

- Drouot X, Cabello B, d'Ortho MP, et al. Sleep in the intensive care unit. Sleep Med Rev. 2008; 12:391–403. [PubMed: 18502155]
- 2. Weinhouse GL, Watson PL. Sedation and sleep disturbances in the ICU. Crit Care Clin. 2009; 25:539–549. ix. [PubMed: 19576529]
- 3. Weinhouse GL, Schwab RJ. Sleep in the critically ill patient. Sleep. 2006; 29:707–716. [PubMed: 16774162]
- Parthasarathy S, Tobin MJ. Sleep in the intensive care unit. Intensive Care Med. 2004; 30:197–206. [PubMed: 14564378]
- 5. Cooper AB, Gabor JY, Hanly PJ. Sleep in the critically ill patient. Semin Respir Crit Care Med. 2001; 22:153–164. [PubMed: 16088670]
- Dinges DF, Douglas SD, Hamarman S, et al. Sleep deprivation and human immune function. Adv Neuroimmunol. 1995; 5:97–110. [PubMed: 7496616]
- Dinges DF, Douglas SD, Zaugg L, et al. Leukocytosis and natural killer cell function parallel neurobehavioral fatigue induced by 64 hours of sleep deprivation. J Clin Invest. 1994; 93:1930– 1939. [PubMed: 7910171]
- Scrimshaw NS, Habicht JP, Pellet P, et al. Effects of sleep deprivation and reversal of diurnal activity on protein metabolism of young men. Am J Clin Nutr. 1966; 19:313–319. [PubMed: 5923588]
- Suzuki E, Yorifuji T, Ueshima K, et al. Sleep duration, sleep quality and cardiovascular disease mortality among the elderly: a population-based cohort study. Prev Med. 2009; 49:135–141. [PubMed: 19573557]
- 10. Rod NH, Vahtera J, Westerlund H, et al. Sleep disturbances and cause-specific mortality: Results from the GAZEL cohort study. Am J Epidemiol. 2011; 173:300–309. [PubMed: 21193534]
- Almeida OP, Alfonso H, Yeap BB, et al. Complaints of difficulty to fall asleep increase the risk of depression in later life: the health in men study. J Affect Disord. 2011; 134:208–216. [PubMed: 21680026]
- Vgontzas AN, Liao D, Pejovic S, et al. Insomnia with short sleep duration and mortality: the Penn State cohort. Sleep. 2010; 33:1159–1164. [PubMed: 20857861]
- 13. Li Y, Zhang X, Winkelman JW, et al. The Association between Insomnia Symptoms and Mortality: A Prospective Study of US Men. Circulation. 2013
- 14. Parthasarathy S, Vasquez MM, Halonen M, et al. Persistent Insomnia Is Associated With Mortality Risk. Am J Med. 2014
- Alvarez GG, Ayas NT. The impact of daily sleep duration on health: a review of the literature. Prog Cardiovasc Nurs. 2004; 19:56–59. [PubMed: 15133379]
- Cabello B, Thille AW, Drouot X, et al. Sleep quality in mechanically ventilated patients: comparison of three ventilatory modes. Crit Care Med. 2008; 36:1749–1755. [PubMed: 18496373]
- Hardin KA. Sleep in the ICU: potential mechanisms and clinical implications. Chest. 2009; 136:284–294. [PubMed: 19584211]
- Kamdar BB, Needham DM, Collop NA. Sleep Deprivation in Critical Illness: Its Role in Physical and Psychological Recovery. J Intensive Care Med. 2011
- Oto J, Yamamoto K, Koike S, et al. Effect of daily sedative interruption on sleep stages of mechanically ventilated patients receiving midazolam by infusion. Anaesth Intensive Care. 2011; 39:392–400. [PubMed: 21675058]

- Moher D, Liberati A, Tetzlaff J, et al. Preferred reporting items for systematic reviews and metaanalyses: the PRISMA statement. Ann Intern Med. 2009; 151:264–269. W264. [PubMed: 19622511]
- Beecroft JM, Ward M, Younes M, et al. Sleep monitoring in the intensive care unit: comparison of nurse assessment, actigraphy and polysomnography. Intensive Care Med. 2008; 34:2076–2083. [PubMed: 18521566]
- Ambrogio C, Koebnick J, Quan SF, et al. Assessment of sleep in ventilator-supported critically III patients. Sleep. 2008; 31:1559–1568. [PubMed: 19014076]
- 23. Harris RP, Helfand M, Woolf SH, et al. Current methods of the US Preventive Services Task Force: a review of the process. Am J Prev Med. 2001; 20:21–35. [PubMed: 11306229]
- 24. Higgins JPT, Green S. Cochrane Handbook for Systematic Reviews of Interventions. The Cochrane Collaboration. 2011 Version 5.1.0.
- 25. Begg CB, Mazumdar M. Operating characteristics of a rank correlation test for publication bias. Biometrics. 1994; 50:1088–1101. [PubMed: 7786990]
- Alexopoulou C, Kondili E, Plataki M, et al. Patient-ventilator synchrony and sleep quality with proportional assist and pressure support ventilation. Intensive Care Med. 2013; 39:1040–1047. [PubMed: 23417203]
- Bosma K, Ferreyra G, Ambrogio C, et al. Patient-ventilator interaction and sleep in mechanically ventilated patients: pressure support versus proportional assist ventilation. Crit Care Med. 2007; 35:1048–1054. [PubMed: 17334259]
- Bourne RS, Mills GH, Minelli C. Melatonin therapy to improve nocturnal sleep in critically ill patients: encouraging results from a small randomised controlled trial. Crit Care. 2008; 12:R52. [PubMed: 18423009]
- Contal O, Adler D, Borel JC, et al. Impact of different backup respiratory rates on the efficacy of noninvasive positive pressure ventilation in obesity hypoventilation syndrome: a randomized trial. Chest. 2013; 143:37–46. [PubMed: 22556317]
- Cordoba-Izquierdo A, Drouot X, Thille AW, et al. Sleep in hypercapnic critical care patients under noninvasive ventilation: conventional versus dedicated ventilators. Crit Care Med. 2013; 41:60– 68. [PubMed: 23222258]
- Kondili E, Alexopoulou C, Xirouchaki N, et al. Effects of propofol on sleep quality in mechanically ventilated critically ill patients: a physiological study. Intensive Care Med. 2012; 38:1640–1646. [PubMed: 22752356]
- Parthasarathy S, Tobin MJ. Effect of ventilator mode on sleep quality in critically ill patients. Am J Respir Crit Care Med. 2002; 166:1423–1429. [PubMed: 12406837]
- Richards KC. Effect of a back massage and relaxation intervention on sleep in critically ill patients. Am J Crit Care. 1998; 7:288–299. [PubMed: 9656043]
- Roche-Campo F, Thille AW, Drouot X, et al. Comparison of sleep quality with mechanical versus spontaneous ventilation during weaning of critically III tracheostomized patients. Crit Care Med. 2013; 41:1637–1644. [PubMed: 23507721]
- 35. Su CP, Lai HL, Chang ET, et al. A randomized controlled trial of the effects of listening to noncommercial music on quality of nocturnal sleep and relaxation indices in patients in medical intensive care unit. J Adv Nurs. 2013; 69:1377–1389. [PubMed: 22931483]
- 36. Toublanc B, Rose D, Glerant JC, et al. Assist-control ventilation vs. low levels of pressure support ventilation on sleep quality in intubated ICU patients. Intensive Care Med. 2007; 33:1148–1154. [PubMed: 17492431]
- Andrejak C, Monconduit J, Rose D, et al. Does using pressure-controlled ventilation to rest respiratory muscles improve sleep in ICU patients? Respir Med. 2013; 107:534–541. [PubMed: 23391488]
- Helton MC, Gordon SH, Nunnery SL. The correlation between sleep deprivation and the intensive care unit syndrome. Heart Lung. 1980; 9:464–468. [PubMed: 6901518]
- Sanders RD, Maze M. Contribution of sedative-hypnotic agents to delirium via modulation of the sleep pathway. Can J Anaesth. 2011; 58:149–156. [PubMed: 21170622]
- 40. Vacas S, Degos V, Feng X, et al. The neuroinflammatory response of postoperative cognitive decline. Br Med Bull. 2013; 106:161–178. [PubMed: 23558082]

 Shearer WT, Reuben JM, Mullington JM, et al. Soluble TNF-alpha receptor 1 and IL-6 plasma levels in humans subjected to the sleep deprivation model of spaceflight. J Allergy Clin Immunol. 2001; 107:165–170. [PubMed: 11150007]

## **Clinical Significance**

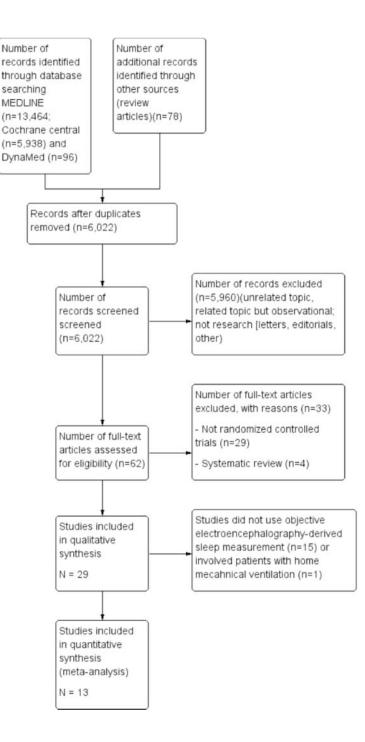
Sleep-promoting interventions improved sleep quantity in critically ill patients.

Timed-modes improved sleep quantity when compared to spontaneous-modes of ventilation

Effect size of sleep promotion interventions was small and heterogeneous in the critically ill.

Effect size of non-mechanical ventilation was larger than mechanical ventilationbased interventions.

Author Manuscript



#### Figure 1.

PRISMA compliant flow chart summarizing the number of abstracts and papers reviewed and the reasons for excluding them from the meta-analysis.

	Expe	erimen	ital	С	ontrol			Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Alexopoulou 2013	26.9	36.2	13	50.8	39.6	13	6.6%	-0.61 [-1.40, 0.18]	
Andrejak 2013	61	25	13	39	29	13	6.5%	0.79 [-0.02, 1.59]	
Bosma 2007	60	23	13	58	25	13	6.7%	0.08 [-0.69, 0.85]	
Bourne 2008	0.39	0.58	12	0.26	0.39	12	6.5%	0.25 [-0.55, 1.06]	
Cabello 2008a	44	37.7	15	58	28.1	15	7.0%	-0.41 [-1.13, 0.31]	
Cabello 2008b	63	37.7	15	58	28.1	15	7.1%	0.15 [-0.57, 0.86]	
Cordoba-Izquierdo 2013	59	20.7	12	54	9.6	12	6.5%	0.30 [-0.51, 1.10]	
Kondilli 2012	76.3	54.8	13	62.6	58.2	13	6.7%	0.23 [-0.54, 1.01]	
Oto 2011	97.1	4.3	11	51.3	17.3	11	3.5%	3.50 [2.08, 4.91]	
Parthasarathy 2002	81	7	11	75	5	11	5.9%	0.95 [0.06, 1.84]	
Richards 1998	69.9	16.1	28	62.8	24.5	17	7.8%	0.35 [-0.25, 0.96]	+
Richards 1998B	77.5	11.1	24	62.8	24.5	17	7.6%	0.81 [0.16, 1.46]	
Roche-Campo 2013	61	26.6	16	44	40	16	7.2%	0.49 [-0.22, 1.19]	+
Su 2012	35.5	14	14	39.1	15.3	14	6.9%	-0.24 [-0.98, 0.51]	
Toublanc 2007	65	25	20	50	35	20	7.7%	0.48 [-0.15, 1.11]	<u>+-</u>
Total (95% CI)			230			212	100.0%	0.37 [0.05, 0.69]	•
Heterogeneity: Tau <sup>2</sup> = 0.25	5; Chi <sup>2</sup> =	37.32,	df = 14	(P = 0.	.0007)	<sup>2</sup> = 62	2%	3	
Test for overall effect: Z =				85					-4 -2 0 2 4 Favours [control] Favours [experimental]
									Greater
									Sleep Efficiency

#### Figure 2.

Forest plot for sleep efficiency during sleep-promoting intervention (mechanical ventilation and non-mechanical ventilation). The size of the box reflects the study's relative weight based on the standard error. The diamond indicates the 95 percent confidence interval of the summary estimate. Sleep promotion interventions improved sleep efficiency in the 13 randomized controlled trials. There was high heterogeneity among these studies ( $I^2 = 62\%$ ). Sensitivity analysis performed by removal of study by Oto 2011<sup>19</sup> did not materially change the results (SMD 0.26, 95% CI 0.03, 0.49; P=0.02) but significantly reduced heterogeneity ( $I^2=26\%$ ).

	Expe	erimen	tal	С	ontrol			Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Alexopoulou 2013	18.8	16	13	18.1	11.7	13	7.6%	0.05 [-0.72, 0.82]	
Andrejak 2013	0	0	0	0	0	0		Not estimable	
Bosma 2007	14.5	29.6	13	19.5	57.6	13	7.6%	-0.11 [-0.88, 0.66]	
Bourne 2008	720	80	12	774	80	12	7.0%	-0.65 [-1.48, 0.17]	
Cabello 2008a	28	26.6	15	30	17.7	15	8.2%	-0.09 [-0.80, 0.63]	
Cabello 2008b	23	17.7	15	30	17.7	15	8.1%	-0.38 [-1.11, 0.34]	
Cordoba-Izquierdo 2013	24	16.3	12	28	14.1	12	7.2%	-0.25 [-1.06, 0.55]	
Kondilli 2012	4.8	10.6	13	8.1	10.6	13	7.5%	-0.30 [-1.08, 0.47]	
Oto 2011	2.2	2.1	11	3.4	3.3	11	6.8%	-0.42 [-1.26, 0.43]	
Parthasarathy 2002	54	7	11	79	7	11	3.4%	-3.44 [-4.83, -2.04]	
Richards 1998	20.9	15.4	28	21.6	14.4	17	9.5%	-0.05 [-0.65, 0.56]	
Richards 1998B	18.5	8.6	24	21.6	14.4	17	9.2%	-0.27 [-0.89, 0.36]	
Roche-Campo 2013	25	18.5	16	23	17.7	16	8.4%	0.11 [-0.59, 0.80]	
Su 2012	0	0	14	0	0	14		Not estimable	
Toublanc 2007	6.5	4.9	20	7.1	5	20	9.3%	-0.12 [-0.74, 0.50]	
Total (95% CI)			217			199	100.0%	-0.31 [-0.60, -0.01]	•
Heterogeneity: Tau <sup>2</sup> = 0.14	4: Chi <sup>2</sup> =	23.71.	df = 12	P = 0	.02): l <sup>2</sup>	= 49%			
Test for overall effect: Z =									-4 -2 0 2 4
									Favours [control] Favours [experimental]
									Sleep Fragmentation

## Figure 3.

Forest plot for sleep fragmentation (arousals and awakenings) during sleep-promoting intervention (mechanical ventilation and non-mechanical ventilation). Sleep promotion interventions improved sleep quality through reduction in sleep fragmentation in the 13 randomized controlled trials. There was moderate heterogeneity among these studies ( $I^2 = 49\%$ ). Sensitivity analysis performed by removal of study by Parthasarathy 2002<sup>32</sup> did not materially change the results (SMD –0.19, 95% CI –0.39, 0.02; P=0.08) but significantly reduced heterogeneity ( $I^2$ =0%). Explanation of symbols is provided in legend of figure 2.

	Expe	erimen	tal	С	ontrol			Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Alexopoulou 2013	26.9	36.2	13	50.8	39.6	13	10.5%	-0.61 [-1.40, 0.18]	
Andrejak 2013	61	25	13	39	29	13	10.3%	0.79 [-0.02, 1.59]	
Bosma 2007	60	23	13	58	25	13	10.8%	0.08 [-0.69, 0.85]	
Cabello 2008a	44	37.7	15	58	28.1	15	11.7%	-0.41 [-1.13, 0.31]	
Cabello 2008b	63	37.7	15	58	28.1	15	11.8%	0.15 [-0.57, 0.86]	
Cordoba-Izquierdo 2013	59	20.7	12	54	9.6	12	10.2%	0.30 [-0.51, 1.10]	
Parthasarathy 2002 81 7 11 75 5   Roche-Campo 2013 61 26.6 16 44 40							8.9%	0.95 [0.06, 1.84]	
Roche-Campo 2013	16	44	40	16	12.1%	0.49 [-0.22, 1.19]			
Toublanc 2007	65	25	20	50	35	20	13.7%	0.48 [-0.15, 1.11]	
Total (95% CI)			128			128	100.0%	0.24 [-0.09, 0.56]	•
Heterogeneity: Tau <sup>2</sup> = 0.09	9; Chi² =	13.05,	df = 8	(P = 0.1	1);  ² =	= 39%		-	-4 -2 0 2 4
Test for overall effect: Z =	1.44 (P =	= 0.15)							Favors Control Favors Experimental
									Greater
									Sleep Efficiency

## Figure 4.

Forest plot for sleep efficiency during intervention accomplished by adjusting mechanical ventilation modality. Change in mechanical ventilation modes tended to improve sleep quantity by increasing sleep efficiency. There was no heterogeneity among these studies. Explanation of symbols is provided in legend of figure 2.

		erimen			ontrol			Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Alexopoulou 2013	18.8	16	13	18.1	11.7	13	12.8%	0.05 [-0.72, 0.82]	
Andrejak 2013	0	0	0	0	0	0		Not estimable	
Bosma 2007	14.5	29.6	13	19.5	57.6	13	12.8%	-0.11 [-0.88, 0.66]	
Cabello 2008a	28	26.6	15	30	17.7	15	13.4%	-0.09 [-0.80, 0.63]	
Cabello 2008b	23	17.7	15	30	17.7	15	13.3%	-0.38 [-1.11, 0.34]	
Cordoba-Izquierdo 2013	24	16.3	12	28	14.1	12	12.4%	-0.25 [-1.06, 0.55]	
Parthasarathy 2002	54	7	11	79	7	11	7.4%	-3.44 [-4.83, -2.04]	
Roche-Campo 2013	25	18.5	16	23	17.7	16	13.6%	0.11 [-0.59, 0.80]	
Toublanc 2007	6.5	4.9	20	7.1	5	20	14.4%	-0.12 [-0.74, 0.50]	
Total (95% CI)			115			115	100.0%	-0.36 [-0.84, 0.13]	•
Heterogeneity: Tau <sup>2</sup> = 0.3	3; Chi <sup>2</sup> =	22.18,	df = 7	(P = 0.0	02); l <sup>2</sup>	= 68%		lis or list	
Test for overall effect: Z =	1.44 (P =	= 0.15)			50				-4 -2 0 2 4 Favors Control Favors Experimental
									4
									Greater
									Sleep Fragmentation

## Figure 5.

Forest plot for sleep fragmentation during intervention accomplished by adjusting mechanical ventilation modality. Change in mechanical ventilation modes tended to improve sleep quality by decreasing sleep fragmentation. There was significant heterogeneity among these studies. Sensitivity analysis performed by removal of study by Parthasarathy  $2002^{32}$  made the results non-significant (P=0.43) and significantly reduced heterogeneity (I<sup>2</sup>=0%; P=0.98). Explanation of symbols is provided in legend of figure 2.

Page 17

	Tim	ed-Mo	de	Sponta	neous-N	lode	:	Std. Mean Difference	Std. Mean Difference				
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI				
Andrejak 2013	61	25	13	39	29	13	17.3%	0.79 [-0.02, 1.59]			-		
Cabello 2008a	58	28.1	15	44	37.7	15	20.8%	0.41 [-0.31, 1.13]			+-		
Cabello 2008b	58	28.1	15	63	37.7	15	21.2%	-0.15 [-0.86, 0.57]					
Parthasarathy 2002	81	7	11	75	5	11	14.4%	0.95 [0.06, 1.84]					
Toublanc 2007	65	25	20	50	35	20	26.4%	0.48 [-0.15, 1.11]			-	-	
Total (95% CI)			74			74	100.0%	0.45 [0.10, 0.81]			•		
Heterogeneity: Tau <sup>2</sup> =	0.02; Cł	ni² = 4.	56, df =	4 (P = 0.3	34);  ² = '	12%			+	<u> </u>	<u> </u>		<u> </u>
Test for overall effect:	Z = 2.52	2 (P = 0	0.01)						-4 Spo	-2 ontaneous-M	ode Tim	ed-Mode	4
									Greater				
										Sleep	Efficie	ncy	

## Figure 6.

Forest plot for sleep efficiency during intervention accomplished by timed versus spontaneous modes of mechanical ventilation. Timed-mode of mechanical ventilation improved sleep quantity when compared to spontaneous mode of ventilation. There was no heterogeneity among these studies ( $I^2 = 12\%$ ). Explanation of symbols is provided in legend of figure 2.

	Time	ed-Mo	de	Sponta	neous-N	lode		Std. Mean Difference	Std. Mean Difference					
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	CI IV, Random, 95% CI					
Cabello 2008a	30	17.7	15	28	26.6	15	26.4%	0.09 [-0.63, 0.80]						
Cabello 2008b	30	177	15	23	17.7	15	26.4%	0.05 [-0.66, 0.77]						
Parthasarathy 2002	54	7	11	79	7	11	20.0%	-3.44 [-4.83, -2.04]						
Toublanc 2007	7.1	5	20	6.5	4.9	20	27.2%	0.12 [-0.50, 0.74]	-					
Total (95% CI)			61			61	100.0%	-0.62 [-1.71, 0.47]	•					
Heterogeneity: Tau <sup>2</sup> =	= 1.04; Ch	ni² = 22	2.67, df :	= 3 (P < 0	.0001); l	² = 87%		-						
Test for overall effect:	Z = 1.11	(P = (	).27)						-4 -2 0 2 4 Spontaneous-Mode Timed-Mode					
								4	Greater					
									Sleep Fragmentation					

#### Figure 7.

Forest plot for sleep fragmentation during intervention accomplished by timed versus spontaneous modes of mechanical ventilation. Timed-mode of mechanical ventilation did not improve sleep quality measured as sleep fragmentation. There was significant heterogeneity among these studies ( $I^2 = 87\%$ ). Sensitivity analysis performed by removal of one study by Parthasarathy 2002<sup>32</sup> did not change the results materially. Explanation of symbols is provided in legend of figure 2.

	Expe	erimen	tal	С	ontrol		5	Std. Mean Difference	Std. Mean Difference
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	IV, Random, 95% CI	IV, Random, 95% CI
Bourne 2008	0.39	0.58	12	0.26	0.39	12	16.9%	0.25 [-0.55, 1.06]	
Kondilli 2012	76.3	54.8	13	62.6	58.2	13	17.2%	0.23 [-0.54, 1.01]	
Oto 2011	97.1	4.3	11	51.3	17.3	11	11.3%	3.50 [2.08, 4.91]	
Richards 1998	69.9	16.1	28	62.8	24.5	17	18.8%	0.35 [-0.25, 0.96]	+
Richards 1998B	77.5	11.1	24	62.8	24.5	17	18.4%	0.81 [0.16, 1.46]	- <u>-</u>
Su 2012	35.5	14	14	39.1	15.3	14	17.5%	-0.24 [-0.98, 0.51]	
Total (95% CI)			102			84	100.0%	0.65 [-0.03, 1.33]	◆
Heterogeneity: Tau <sup>2</sup> =	= 0.54; Cł	ni² = 22	.90, df :	= 5 (P =	0.000	(4);  ² =	78%	-	
Test for overall effect:	Z = 1.88	(P = 0	.06)						-4 -2 0 2 4 Favours [control] Favours [Experimental]
									Greater
									Sleep Efficiency

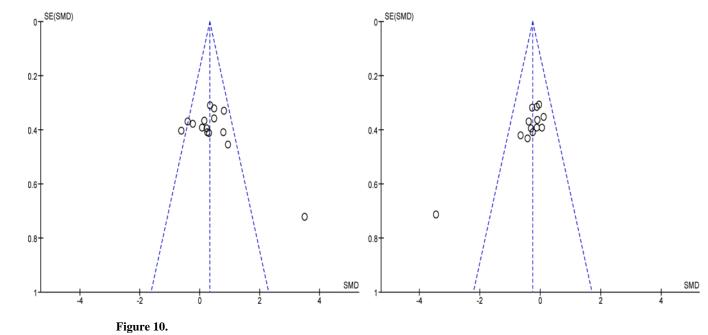
## Figure 8.

Forest plot for sleep quantity during non-mechanical ventilation-based interventions that included pharmacological, non-pharmacological, or environmental interventions. Non-mechanical ventilation-based interventions improved sleep quantity. There was significant heterogeneity among these studies. Explanation of symbols is provided in legend of figure 2.

	Expe	rimen	tal	C	ontrol		3	Std. Mean Difference	Std. Mean Difference		
Study or Subgroup	Mean	SD	Total	Mean	SD	Total	Weight	leight IV, Random, 95% Cl IV, Random, 95% Cl			
Bourne 2008	720	80	12	774	80	12	14.9%	-0.65 [-1.48, 0.17]			
Kondilli 2012	4.8	10.6	13	8.1	10.6	13	16.9%	-0.30 [-1.08, 0.47]			
Oto 2011	2.2	2.1	11	3.4	3.3	11	14.2%	-0.42 [-1.26, 0.43]			
Richards 1998	20.9	15.4	28	21.6	14.4	17	27.9%	-0.05 [-0.65, 0.56]			
Richards 1998B	18.5	8.6	24	21.6	14.4	17	26.0%	-0.27 [-0.89, 0.36]			
Su 2012	0	0	14	0	0	14		Not estimable			
Total (95% CI)			102			84	100.0%	-0.29 [-0.61, 0.03]	•		
Heterogeneity: Tau <sup>2</sup> =	0.00; Ch	i² = 1.4	46, df =	4 (P =	0.83);	<sup>2</sup> = 0%					
Test for overall effect: 2	Z = 1.78	(P = 0	.07)						-4 -2 0 2 4 Favours [control] Favours [experimental]		
									Greater Sleep Fragmentation		

## Figure 9.

Forest plot for sleep fragmentation during non-mechanical ventilation-based interventions that included pharmacological, non-pharmacological, or environmental interventions. Non-mechanical ventilation-based interventions improved sleep quality by reducing sleep fragmentation. There was no heterogeneity among these studies ( $I^2 = 0\%$ ). Explanation of symbols is provided in legend of figure 2.



Funnel plots for sleep efficiency (left panel) and sleep fragmentation (right panel) during sleep-promoting interventions. There was no evidence for publication bias.

	Quality scores*	Study design	Participants	Intervention	Control	Outcome measure	Comment
Alexopoulou 2013 <sup>26</sup>	-	RCT, cross-over	Intubated, COPD, n=13	PAV	PSV	PSG-derived Sleep efficiency Sleep fragmentation index	PAV failed to improve sleep in mechanically ventilated patients
Andrejak 2013 <sup>37</sup>	-	RCT, cross-over	Intubated; n=35 (n=9 discarded)	PCV	PSV	PSG-derived Sleep efficiency Sleep fragmentation index	Sleep quantity and quality were significantly miproved with PCV compared to low-PSV
	-	RCT, cross-over	Intubated; n=16 (n=3 discarded)	PAV	VSq	PSG-derived Sleep efficiency Sleep fragmentation index	PAV resulted in fewer patient- ventilator asynchronies and better sleep quality.
Bourne 2008 <sup>28</sup>	-	RCT	Tracheostomized patients undergoing weaning (n=24)	Melatonin (10 mg)	Placebo	Bispectral index (time spent <80; sleep efficiency); area under curve (sleep quality)	Melatonin use was associated with increased nocturnal sleep efficiency over 4 nights
Cabello 2008 <sup>16</sup>	-	RCT, cross over, 3 arms	Intubated and tracheostomized patients (n=15)	Clinically adjusted PSV (Cabello 2008a) or automatically adjusted PSV (Cabello 2008b)	ACV	PSG-derived Sleep efficiency Sleep fragmentation index	The ventilatory mode did not influence sleep pattern, arousals and awakenings.
Cordoba-Izquierdo 2013 <sup>30</sup>	-	RCT	Non-invasive ventilation; n=25 (n=1 discarded)	Dedicated ICU ventilator	Conventional noninvasive ventilator	PSG-derived (Sleep efficiency and Sleep fragmentation index)	There were no observed differences in sleep quality corresponding to the type of ventilator used despite

Am J Med. Author manuscript; available in PMC 2016 October 01.

Poongkunran et al.

Table 1

Author Manuscript

Author Manuscript Author Manuscript

Author Manuscript

Study	Quality scores*	Study design	Participants	Intervention	Control	Outcome measure	Comment	
							differences in patient-ventilator as differences in patiend-ventilator as differences in patiend-ventilator as differences in patiend-ventilator as	ventilator as ventilator as ventilator as ventilator as
Kondilli 2012 <sup>31</sup>	-	RCT, cross-over	Invasive ventilation; n=13 (n=1 discarded)	Propofol	No propofol	PSG-derived (Sleep efficiency and Sleep fragmentation index)	In critically ill patients ventilated on assisted modes, propofol administration to achieve the recommended level of sedation suppresses the REM sleep stage and worsens the poor sleep quality of these patients.	
Oto 2011 <sup>19</sup>	-	RCT	Invasive ventilation; n=22	Continuous infusion	Daily interruption of sedation	PSG-derived (Sleep efficiency and Sleep fragmentation index)	In the continuous infusion group, sleep efficiency was greater and sleep fragmentation when compared to group with daily sedation interruption.	
Parthasarathy 2002 <sup>32</sup>	-	RCT, cross-over	Intubated patients, n=11	ACV	PSV	PSG-derived (Sleep efficiency and Sleep fragmentation index)	PSV was associated with sleep fragmentation when compared to ACV	
Richards 1998 <sup>33</sup>	-	RCT, cross- over, 3 arms	Critically ill men admitted to ICU (n=71); 2 subjects were excluded	Two of 3 arms back massage (n=24)	Usual care (n=17)(Richa rds 1998) or music & relaxation (n=28)(Richa rds 2008b)	PSG-derived (Sleep efficiency and Sleep fragmentation index)	Back massage was useful in promoting sleep in critically ill older men	

Author Manuscript

Author Manuscript

	~		I
Comment	Sleep quality was similar with or without the ventilator. Sleep quantity was higher during mechanical ventilation.	Greater amount of slow wave sleep in the music group	ACV was significantly associated with a better sleep quality than those recorded during PSV
Outcome measure Comment	PSG-derived (Sleep efficiency and Sleep fragmentation index)	PSG-derived sleep efficiency (sleep fragmentation was not measured)	PSG-derived (Sleep efficiency and Sleep fragmentation index)
Control	Spontaneous ventilation (control)	Usual care	PSV
Intervention	VSq	Music therapy	ACV
Participants	Tracheostomized patients undergoing weaning from mechanical ventilation (n=16)	28 patients in a medical ICU	Intubated patients (n=22) (2 patients were discarded)
Study design	RCT, cross-over	RCT	RCT, cross-over
Quality scores*	-	Ι	-
Study	Roche-Campo 2013 <sup>34</sup>	Su 2012 <sup>35</sup>	Toublanc 2007 <sup>36</sup>

PAV = proportional assist ventilation; PSV = pressure support ventilation; COPD = chronic obstructive pulmonary disease; RCT = randomized controlled trial; PSG = polysomnography; ICU = Intensive care unit. USPTF Hierarchy of research design with range of I, II-1, II-2, II-3, and III (with I being best and defined as, "Evidence obtained from at least one properly randomized controlled trial").

#### Table 2

## Risk of Bias Summary

	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Alexopoulou 2013	•				•	•	•
Andrejak 2013	•	•	•	•	•	•	•
Bosma 2007	•			•	•	•	•
Bourne 2008	•		•	•	•		•
Cabello 2008a	•	•		٠	•	•	•
Cabello 2008b	•	•		•	•	•	•
Cordoba-Izquierdo 2013			•	•	•	•	•
Kondilli 2012	•		•	•	•	•	•
Oto 2011	•	•	•		•	•	•
Parthasarathy 2002	•	•	•	•	•	•	•
Richards 1998	•		•	•	•	•	•
Richards 1998B	•		•	•	•	•	•
Roche-Campo 2013	•		•	•	•	•	•
Su 2012	•	•	•	•	•	•	•
Toublanc 2007	•	•	٠	٠	٠	٠	•

Green symbols signify low risk for bias, blank spaces signify unclear risk for bias, and red symbols signify high risk for bias. Last name of first author and year of publication are provided. See table 1 for PICOS information and reference number for the publications.