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The risk of serious infection with biologics in treating patients with rheumatoid arthritis: A Systematic Review and Meta-analysis

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Abstract

Background—Serious infections are a major concern for patients considering treatments for rheumatoid arthritis (RA). Evidence is inconsistent on whether biologics are associated with an increased risk of serious infection compared to traditional disease-modifying anti-rheumatic drugs (DMARDs).

Methods—A systematic literature search was undertaken using MEDLINE, EMBASE, Cochrane Central Register of Controlled Trials, and www.clinicaltrials.gov from inception through February 11, 2014. Search terms included biologics, rheumatoid arthritis and their synonyms. Trials were eligible for inclusion if they included any of the biologics and reported serious infections. The risk of bias was assessed using the Cochrane Risk of Bias Tool. We conducted a Bayesian network meta-analysis, using a binomial likelihood model, of published trials to assess the risk of serious infections of biologics in RA patients, compared to traditional DMARDs.

Findings—The systematic review identified 106 trials that included RA patients on biologic and reported on serious infections. Compared to traditional DMARDs, standard-dose biologic (odds ratio [OR], 1.31; 95% credible interval [CrI], 1.09 to 1.58) and high-dose biologic (OR, 1.90; 95% CrI, 1.50 to 2.39) were associated with an increased risk of serious infections, while low-dose biologics (OR, 0.93; 95% CrI, 0.65 to 1.33) were not. The risk was lower in patients who were methotrexate naïve compared with traditional DMARD- or anti-TNF-biologic-experienced. The absolute increase in the number of serious infections per 1000 patients treated each year compared to traditional DMARDs ranged from 6 for standard-dose biologic to 55 for combination biologic therapy.

Interpretation—Standard-dose and high-dose biologics (with/without traditional DMARDs) are associated with an increase in serious infections compared to traditional DMARDs in RA, while low-dose biologics are not. Clinicians should discuss the balance between benefit and harm with the individual RA patient before initiating biologic therapy.

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Keywords

rheumatoid arthritis; serious infection; harms; biologics; anti-TNF biologic; non-TNF biologic; methotrexate; DMARD; network meta-analysis; NMA; systematic review; meta analysis

INTRODUCTION

Biologics are a breakthrough new class of disease-modifying treatment options for rheumatoid arthritis (RA), with large clinical and radiographic improvements.¹² Nine biologics are now approved for RA by the U.S. Food and Drug Administration and European Medicines Agency. Biologics are used to treat moderate to severe RA in patients

who have not responded adequately to traditional DMARDs such as methotrexate (MTX).^{3,4} Infections, and in particular serious infections, are one of the greatest worries for patients considering biologics.

There is debate over whether biologic therapies are associated with serious infectious in patients with RA, the magnitude of this risk, and whether the risk varies among subpopulations of patients within RA.⁵ The clinical perception leans towards a belief that serious infection is an issue but this is not backed-up by consistent research evidence. The confusion lies in the four published systematic reviews with meta-analyses⁶⁻⁹ on the risk of serious infection with biologics in patients with RA. The first meta-analysis⁹, that included only three of the currently used biologics in only 9 trials, found an association, but the next three meta-analyses in RA including more biologics and a far greater sample size⁶⁻⁸ failed to find any association of standard-dose biologics with an increased risk of serious infections. Further, discordant results have also been reported for non-randomized studies assessing the risk of serious infection in RA,¹⁰⁻¹⁶ with some studies showing an association¹⁴⁻¹⁶ and others showing no association.¹⁰⁻¹³ Accordingly, there has been debate around the risk of serious infection with biologics in RA. Several-fold more trials are now available to perform a conclusive study to address this question. As well, all four meta-analyses in RA patients⁶⁻⁹ were limited in that they restricted the patient population (e.g., MTX-naïve patients),⁸ only considered a few biologics in their analyses,⁶⁻⁹ consisted primarily of studies which were more than a decade old,⁹ or failed to integrate findings across low, standard or high-dose biologics (i.e., conducted analyses separately).⁶⁻⁹ Availability of more robust evidence is critical for the development of RA treatment guidelines, which have been predominantly based on observational studies in the past.³

The objective of our study was to compare the risk of serious infections with biologics to non-biologic traditional DMARDs for the treatment of RA and subpopulations within RA using network meta-analysis (NMA) to synthesize data from randomized controlled trials (RCTs).

METHODS

A systematic review that included both a traditional meta-analysis and NMA was conducted to assess the risk of serious infection comparing biologics with each other, placebo or a control treatment (traditional DMARDs or their combinations) in RA. NMA considers direct and indirect evidence on the benefits and harms among multiple treatments simultaneously, whereas traditional meta-analysis only considers direct evidence between two treatment strategies.⁶ This systematic review, meta-analysis and NMA was performed according to the guidance specified in the Cochrane Handbook for Intervention Reviews,¹⁷ ISPOR NMA Guidance^{18,19} and the preferred reporting items for systematic reviews and meta-analyses (PRISMA) statement.²⁰

We included RCTs in adults with RA treated with any of the nine biologics approved for the treatment of RA, alone or in combination as compared to each other, placebo or traditional DMARD (or DMARD combinations). Biologics included tumor necrosis factor blockers (etanercept, adalimumab, infliximab, golimumab, certolizumab pegol), interleukin (IL)-1

antagonist (anakinra), IL-6 antagonist (tocilizumab), anti-CD28 (abatacept), and anti-B cell (rituximab) biologic in any dose. The comparator was placebo, traditional DMARDs (including MTX, alone or in combination) or another biologic. We included tofacitinib doses as separate nodes in the network to improve precision of effect estimates for biologics (i.e. by borrowing strength from indirect evidence) and facilitate future updates of this review but do not report findings for tofacitinib at this time for many reasons (**Appendix 1**). Serious infection was the outcome of interest, defined as serious infection in each study (mostly included infections associated with death, hospitalization, or the use of intravenous antibiotics).

Search and Systematic Review Methods

A Cochrane librarian (TR) performed a literature search (**Appendix 2**) and retrieved published trials of biologics or tofacitinib based on the above criteria in: a] the Cochrane Central Register of Controlled Trials (CENTRAL, via the Cochrane Library), Medline (from 1946), and EMBASE databases (from 1947) up to February 11, 2014; b] data from the two previously published Cochrane systematic reviews of biologics^{21,22}; c] data from two reviews comparing traditional DMARD monotherapy with traditional DMARD combination therapies,^{23,24} and d] through a search of the www.clinicaltrials.gov website. The search protocols for both Cochrane reviews are accessible online.^{21,22} Search terms included biologics, rheumatoid arthritis and their synonyms (**Appendix 2**). Studies were eligible for inclusion if they included any of the biologics and reported serious infections; no restrictions were applied by the length of follow-up. Two reviewers assessed titles and abstracts (SN, MT), full text articles (SN, TC) and extracted the data (SN, MT) independently; any disagreements were resolved by consensus and when needed, by a third reviewer (JS). Data on serious infections and the total number of patients in each treatment arm and key patient and study characteristics (**Appendix 3**) were extracted using a standardized data abstraction sheet. The risk of bias was assessed using the Cochrane Risk of Bias Tool.²⁵

Statistical Methods

The odds ratio (OR) of serious infection was the primary measure of treatment effect. Absolute risk differences per 1,000 patients treated were also calculated using the mean annualized baseline risk of serious infection in traditional DMARD arms of included studies greater than 6 months in duration. We conducted traditional meta-analyses, cumulative meta-analyses (meta-analyses over time), and Bayesian NMA. Traditional and cumulative meta-analyses (comparing standard-dose (approved) biologic versus traditional DMARD) were conducted using Comprehensive Meta-analysis (BioStat, Englewood, US). The Mantel Haenszel method using a fixed effects model and an adjusted continuity correction factor centered around 0.5 to handle zero cells.²⁶

Bayesian NMA were conducted using WinBUGS software (MRC Biostatistics Unit, Cambridge, UK).²⁷ A binomial likelihood model,²⁸ which allows for the use of multi-arm trials, was used for Bayesian NMA because many studies included multi-arms trials. Both fixed and random-effects NMA were conducted, although the random-effects model with an informative prior²⁹ on the between study variance was used for the primary analysis. Point estimates and 95% credible intervals (CrI) for ORs were derived using Markov Chain Monte

Carlo methods. We assessed model fit and inconsistency³⁰ using standard approaches (**Appendix 3**).

For traditional and cumulative meta-analyses, we used the standard-doses of the biologics, provided in **Appendix 3**. For the NMA, we included all doses (low, standard, high) of biologics. Pre-specified study and patient characteristics were assessed to ensure similarity and to investigate the potential impact of heterogeneity on effect estimates (**Appendix 3**). In particular, we stratified results by the following pre-defined populations: MTX-naïve, MTX-experienced, and anti-TNF-biologic-experienced. We also conducted numerous sensitivity analyses related to methods for handling zero events.²⁶

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RESULTS

Study characteristics of included trials

We identified 106 randomized trials published between 1992 and 2014 involving 42,330 RA patients (**Figure 1, Appendix 4**). There were 24 (23%), 71 (67%), 11 (10%) studies conducted in patients who were MTX-naïve, traditional DMARD-experienced, and anti-TNF-biologic-experienced, respectively (**Table 1; Figure 2**). Study and patient characteristics, overall and for each of these three populations, are summarized in **Table 1**. Treatment duration ranged from 2-36 months, and the mean RA duration ranged from 0.1-13.5 years (**Table 1**). RCTs reported serious infection on an intention-to-treat (ITT; 70%) or modified ITT (30%) basis. Detailed characteristics of included studies are summarized in **Appendix 4** and the risk of bias assessment in **Appendix 5**.

Traditional Meta-Analysis – Standard-dose biologics

There were 59 trials comparing standard-dose biologic +/-traditional DMARD. Of the 59 trials, 53 (89%) reported at least one serious infection in the study. There were a total of 525 serious infections among the 59 trials, involving 68 comparisons of standard-dose biologic +/- traditional DMARD (342 events) with traditional DMARD monotherapy(183 events). A significant increase in serious infections with biologics was found (OR, 1.27; 95% CI, 1.05 to 1.52; p=0.012) (**Figure 3**). The risk of serious infections with biologics varied depending on previous treatment experience, being statistically significantly higher in MTX-experienced, but not statistically significant in patients who were MTX-naïveor anti-TNF-biologic-experienced (**Figure 3**).

Stratified analyses adjusting for differences in other patient and study-level characteristics were conducted and are presented in **Appendix 6**. Aclinically important and statistically significantly higher risk of serious infections with biologic compared to traditional DMARDs was also seen in: duration of follow-up 6-12 months; biologic when used in combination with traditional DMARDs; established RA (2 to 10 years of disease duration);

studies published between 2000 and 2004; studies with a low risk of bias; and when the comparator was traditional DMARD plus placebo (**Appendix 6**). The results did not vary substantively when different statistical models were used (**Appendix 7**). Detailed findings from the traditional meta-analysis are reported in **Appendix 5** and **5a**.

Cumulative Meta-Analysis – Standard-dose biologics

Cumulative meta-analysis (**Figure 4**) showed that an increased risk of serious infection associated with using standard-dose biologic became evident in 2004, when 5,537 patients had been randomized and 129 events had occurred (OR, 1.63; 95% CI, 1.08 to 2.45; $p=0.02$). Subsequent trials increased the number of patients to 22,608 and the number of events to 525 for this treatment comparison. This resulted in a reduction in the odds ratio with a narrowing of CI (OR, 1.27; 95% CI, 1.05 to 1.52; $p=0.012$), although the point estimate remained above 1 for years following 2004 and very similar from 2007 onwards.

Network meta-analysis

Standard-dose biologics +/- traditional DMARD (OR, 1.31; 95% CrI, 1.09 to 1.58) were associated with an increased risk of serious infection (**Figure 3, Appendix 8, 9 and 9a**). High-dose biologics +/- traditional DMARD (OR, 1.90; 95% CrI, 1.50 to 2.39) and combination biologic therapy (OR, 4.14; 95% CrI, 1.87 to 9.05) were associated with an increased risk of serious infection while low-dose biologics +/- traditional DMARD (OR, 0.93; 95% CrI, 0.65 to 1.33) were not. These findings aligned with traditional meta-analyses (**Appendix 10**).

There were differences observed among the *a priori defined* RA populations. In patients who are MTX-naïve, standard-dose biologics +/- traditional DMARD (OR, 1.08; 95% CrI, 0.75 to 1.53) and high-dose biologics +/- traditional DMARD (OR, 1.73; 95% CrI 0.89 to 3.52) were not associated with a statistically significant increase in risk of serious infection (**Figure 3**). In contrast, in MTX-experienced patients, standard-dose biologics +/- traditional DMARD (OR, 1.48; 95% CrI, 1.17 to 1.90) and high-dose biologics +/- traditional DMARD (OR, 2.07; 95% CrI, 1.57 to 2.74) were associated with an increased risk of serious infections. Information on combination biologic therapy was only available for MTX-experienced and anti-TNF-biologic-experienced patients and was associated with a significant increase in serious infections in both patient groups (**Figure 3**).

Absolute risk of serious infection

In patients using traditional DMARDs, the median absolute annual risk of a serious infection reported was approximately 2% or 20 per 1000 patients treated each year. The absolute increase in the number of serious infections compared to traditional DMARDs was: 6 per 1000 for standard-dose biologic therapy +/- traditional DMARD, 17 per 1000 for high-dose biologic therapy +/- traditional DMARD, and 55 per 1000 for combination biologic therapy.

DISCUSSION

There is uncertainty around the risk of serious infection of biologic therapies in RA and the magnitude of effect. Although the first meta-analysis showed an association, when more

trials were completed, three subsequent meta-analyses found that standard-dose biologics were not associated with an increased risk of serious infection compared with traditional DMARDs. Now that there is evidence from 42,330 patients with RA from 106 RCTs, this increased sample size provides a more precise estimate of an increased risk of serious infection. To the best of our knowledge, this is the most comprehensive meta-analysis of RCTs on the risk of serious infections in RA, adhering to recommended PRISMA reporting standards.²⁰ Our analysis greatly exceeds the sample size in the largest meta-analysis of 18 RCTs conducted in RA to date (N=8,808)⁷ by >5 times and includes 88 more RCTs (**Appendix 11**). We included data from nine biologics; reported detailed stratified analyses; integrated findings for all doses of biologics; presented findings on both the relative and absolute scale, and tested the robustness of findings with sensitivity analyses (see appendices).

We found standard-dose, high-dose, and combination biologics (with/without DMARDs) are associated with more serious infections compared to traditional DMARDs. Our comprehensive study investigated biologic dose in RA in more detail than previous studies (**Appendix 11**). Bongartz et al.⁹ found that two of the three biologics studied (infliximab, adalimumab) were associated with significantly increased odds of serious infections (OR, 2.0; 95% CI, 1.3 to 3.1), compared to placebo in 9 trials up to 2005 including 5,005 patients. In contrast, several recent meta-analyses including more biologics and more RCTs reported different findings.⁶⁻⁸ Salliot et al.⁷ examined 12 RCTs up to 2007 (N=6,879) and reported that the risk of serious infections with rituximab and abatacept did not differ from placebo, but was significantly higher with high-doses of anakinra versus low-dose anakinra (OR, 9.63; 95% CI, 1.31 to 70.91) and versus placebo (OR, 3.40; 95% CI 1.11 to 10.46, respectively). Leombruno et al.⁶ analyzed 18 RCTs of three anti-TNF biologics up to 2007 (N=8,808) and found no significant increase in serious infections (OR, 1.21; 95% CI, 0.89 to 1.63), but found higher risk in patients receiving 2-3 times higher than recommended doses of anti-TNF biologic in unadjusted and pooled meta-analysis, but not in exposure-adjusted analyses. Thompson et al.⁸ included 6 RCTs of five anti-TNF biologics in early RA up to 2009 (N=3,419) and found no significant increase in odds of serious infections with biologics compared to MTX (OR, 1.28; 95% CI, 0.82 to 2.00).

Our findings focus solely on results reported in RCTs. These studies are often limited in that elderly and high-risk patients are often underrepresented, and that treatments are often compared with placebo as opposed to active treatments. Indeed, the RCTs included in our analysis were largely compared with placebo. Accordingly, our risk estimates mostly represent biologics + DMARD versus DMARD comparisons. However, “no treatment” may not be considered a realistic comparator in clinical practice. As such, we conducted several analyses where we compared biologics with combination or triple DMARD therapy. For these analyses, the odds ratio was slightly higher (**Appendix 6, 9 and 10**) but more uncertain because this comparison was only based on data from 4 recent RCTs comparing biologics plus DMARD with combination or triple DMARD therapy. However, the majority of these trials for this comparison did indeed report a higher number of serious infections among the biologic group. Complementary evidence to meta-analyses of RCTs is provided by non-randomized studies. A recent review has summarized the range of effect estimates

reported in non-randomized studies, where effect estimates for biologics versus DMARDs have ranged from 1.0 to 2.2.³¹ While there have been differences among non-randomized studies, these studies have reported that there is an association with infection that is higher early in the course of treatment, but that declines with time.^{31,32} However, the latter finding should be interpreted with caution – studies investigating the long-term use of DMARD treatment are limited to highly selected populations who are adherent and responding well to DMARDs.

These findings have practical implications. The benefits of biologic therapy for patients with RA are well known, and now these patients, at time of decision-making regarding treatment with biologics, can consider these benefits alongside the absolute risk increase of serious infections with biologic therapy (6 per 1000 for standard-dose biologic and 17 per 1000 for high-dose biologic therapy). Clinical guidelines should also reflect this finding that this risk differs by several patient characteristics, such as previous DMARD exposure, concurrent use of traditional DMARD or not, established vs. early RA, is important information that should also be discussed.

Our study findings must be interpreted considering the following limitations. Our analysis includes studies, which span a 15-year period. Patients enrolled in early studies may differ from those included in more recent studies. We conducted a sensitivity analysis investigating this issue. We found that the point estimate for the odds ratio remained above unity over the 15-year period (**Appendix 6**) but decreased from 1995-1999 (OR, 1.59; 95% CrI, 0.29 to 8.79) to 2010-2014 (OR, 1.11; 95% CrI, 0.76 to 1.62). It is unclear whether the decrease in relative effect is evidence that the risk of biologics causing serious infections is declining over time or attributable to changes in regions where recent trials were performed or duration of placebo application among included studies (i.e., increased use of rescue medications for placebo arm); slight change in the inclusion/exclusion criteria of included RCTs may have occurred over time including that greater proportion of RCTs in recent years excluded patients with positive TB tests. Future research is needed in this area.

There are a number of other limitations, which warrant consideration. We observed variability across studies in terms of duration of RA, duration of follow-up and other covariates (**Appendix 12**). Therefore, we report findings for a number of sub-groups of patients to allow comparisons across patient groups (**Figure 3; Appendices 6 and 8**). Second, meta-analyses and NMA of less frequent outcomes are more challenging due to the inherent difficulties in handling of zero cells. To manage this issue, we conducted a number of analyses using different statistical models and assumptions.²⁶ Results were consistent using alternative approaches (**Appendix 7**). Most studies presented the data using ITT or modified ITT, rather than as-treated analyses, which may underestimate the serious infection risk. In addition, withdrawals were labeled due to adverse events, but not serious infections and some patients may have discontinued biologic before these qualified for serious infections. However the magnitude is likely small, given the low number of withdrawals and crossovers reported. Data on compliance with drugs was not reported in most RCTs; however, these expensive drugs are usually dispensed and adherence recorded as part of the RCT conduct. Finally, our analyses only incorporate published data. Future work should

focus on integrating more unpublished data⁵ if it becomes available. The lack of detailed patient level data, particularly on steroid use, also limits interpretation of these analyses.

CONCLUSIONS

Standard-dose and high-dose biologics (with/without DMARDs) are associated with an increase in serious infections compared to traditional DMARDs in RA, while low-dose biologics are not. This new knowledge, when balanced against the demonstrated clinically important benefits of biologics, will help patients and their physicians make evidence-based decisions that align with their values, preferences and tolerance of risks of harm and benefits.

PANEL: RESEARCH IN CONTEXT

Systematic review

We searched Cochrane Central Register of Controlled Trials, Medline, and EMBASE databases up to February 11, 2014. We also did a search of ClinicalTrials.gov to identify relevant studies. We included randomized controlled trials in adults with rheumatoid arthritis treated with any of the nine biologics approved for the treatment of rheumatoid arthritis, used alone or in combination as compared to each other, placebo or traditional DMARD (or DMARD combinations). We extracted data on the risk of serious infection from included studies. We assessed the quality of identified studies using the Cochrane Risk of Bias Tool.

Interpretation

This meta-analysis is the first to include all nine biologics and integrate findings for all doses of biologics. Our analysis includes 88 more studies than the most recent meta-analysis on this topic, thereby improving the power to find a difference in the risk of serious infections compared to traditional DMARDs. We also stratify results by the various rheumatoid arthritis populations. We show that standard-dose, high-dose and combination biologics (with/without DMARDs) are associated with an increase in serious infections compared to traditional DMARDs in rheumatoid arthritis, while low-dose biologics are not. Our findings offer clinicians a more comprehensive picture of the risk of serious infection among biologics and will help patients and their physicians make evidence-based decisions that align with their values, preferences and tolerance of risks of harm and benefits when using biologics.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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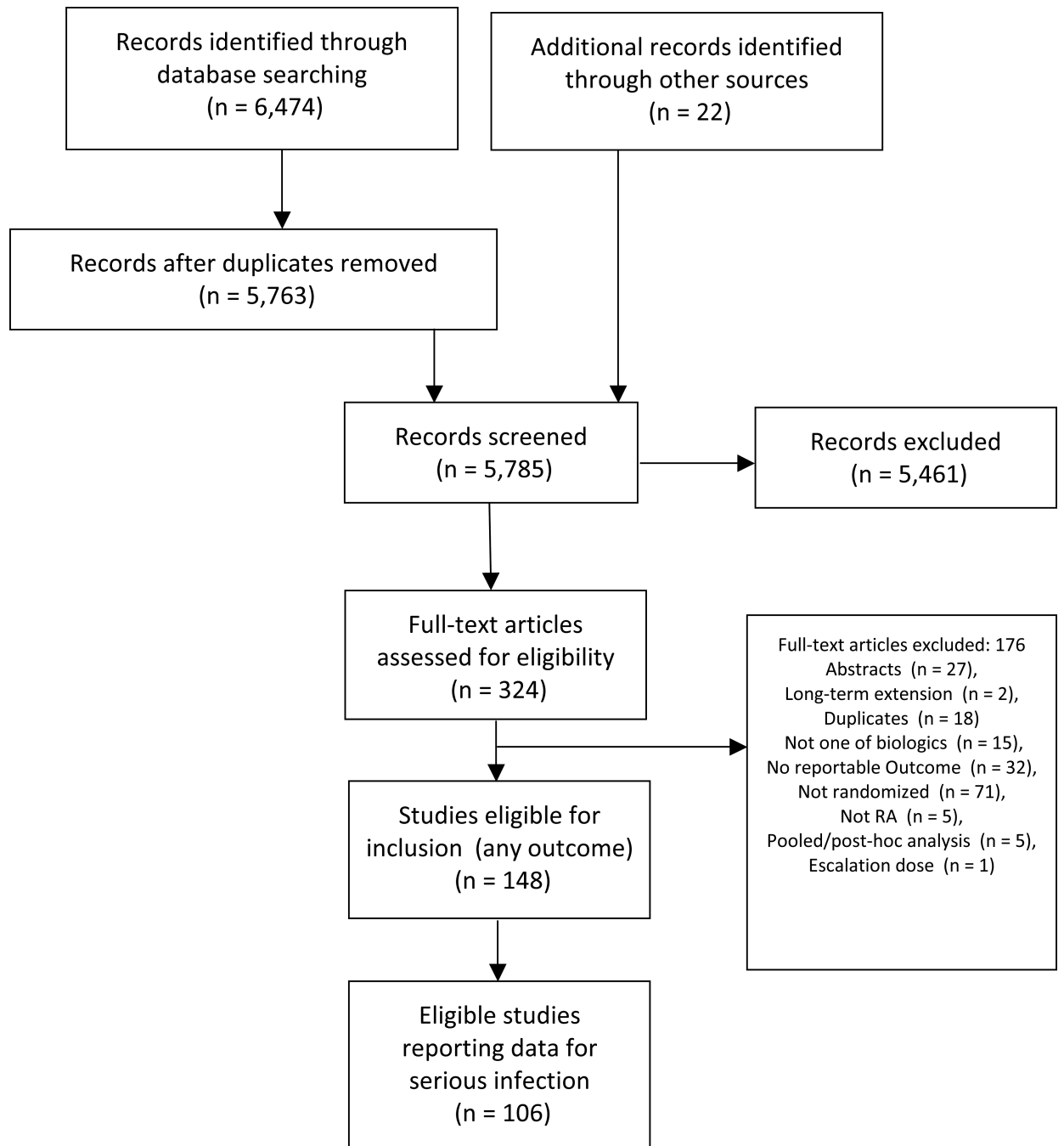


Figure 1.
PRISMA Diagram of selection of studies

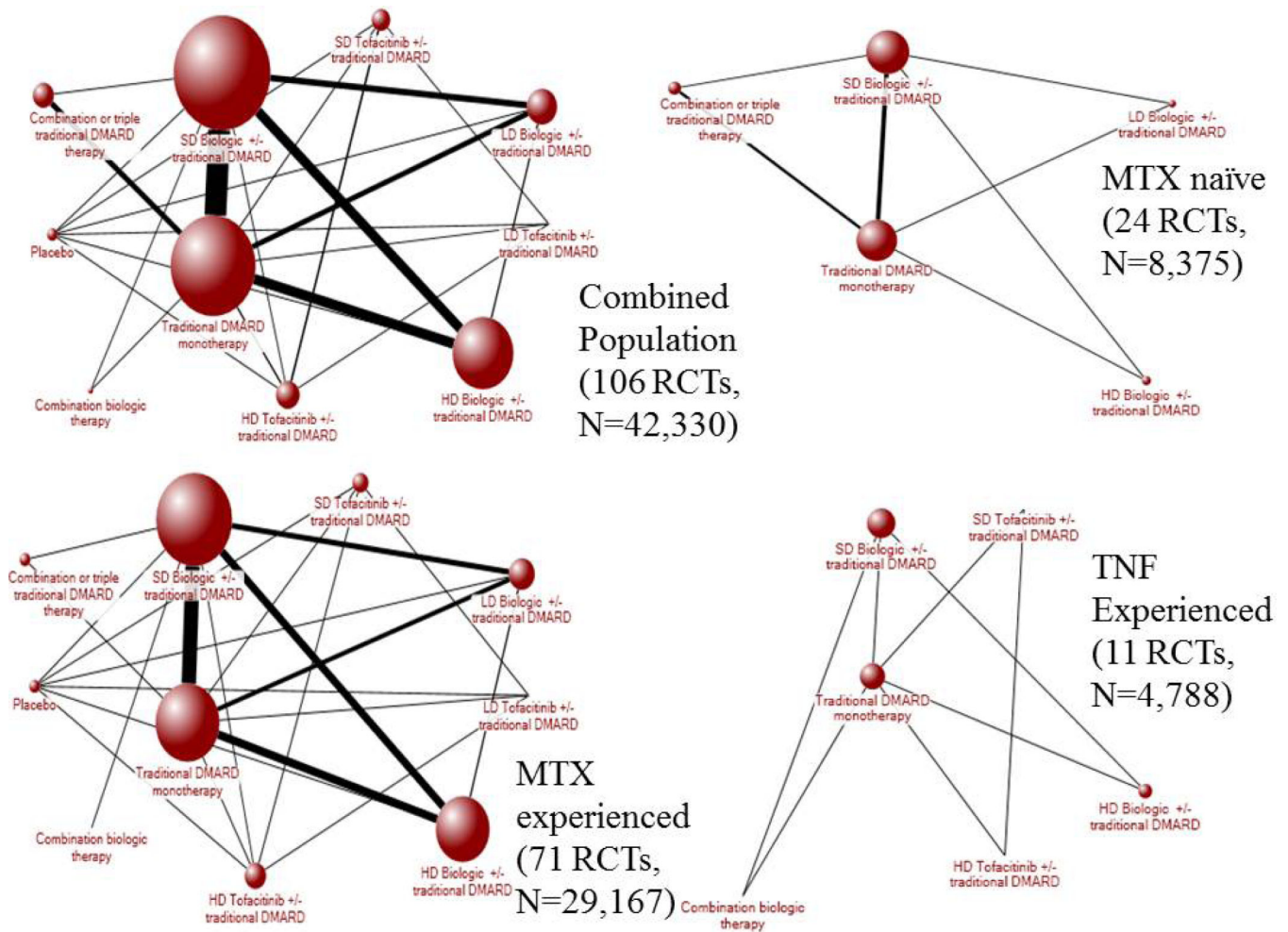


Figure 2. Evidence networks for serious infection among populations. The width of the lines is proportional to the number of randomized controlled trials comparing each pair of treatments, and the size of each treatment node is proportional to the number of randomized participants (sample size). DMARD= disease-modifying anti-rheumatic drugs; MTX= methotrexate; RCT= randomized controlled trial

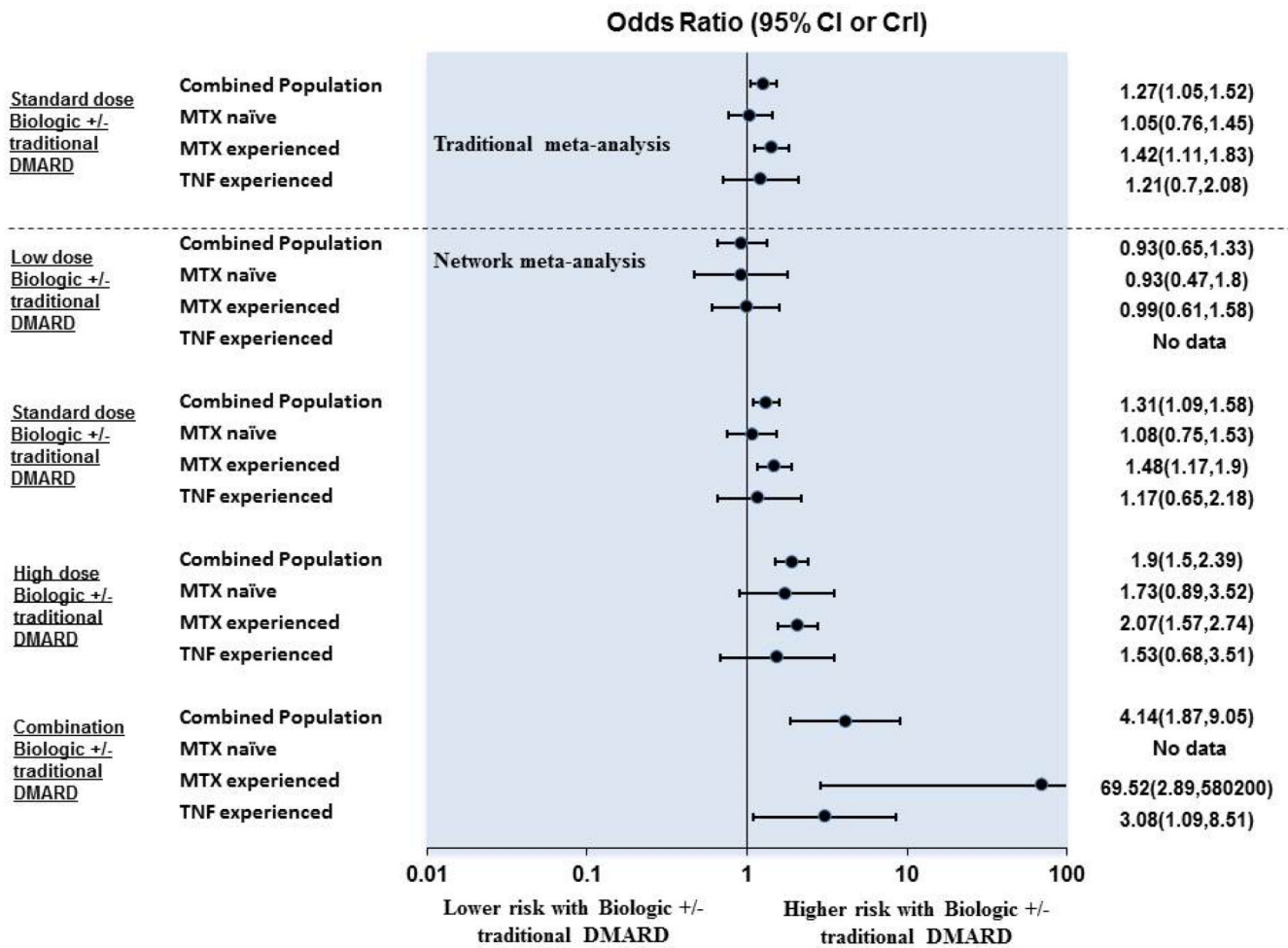


Figure 3. Summary of findings from traditional meta-analysis and network meta-analysis for serious infection among populations compared with traditional DMARD monotherapy. CI= confidence interval; CrI= Credible interval; DMARD= disease-modifying anti-rheumatic drugs; RCT= randomized controlled trial

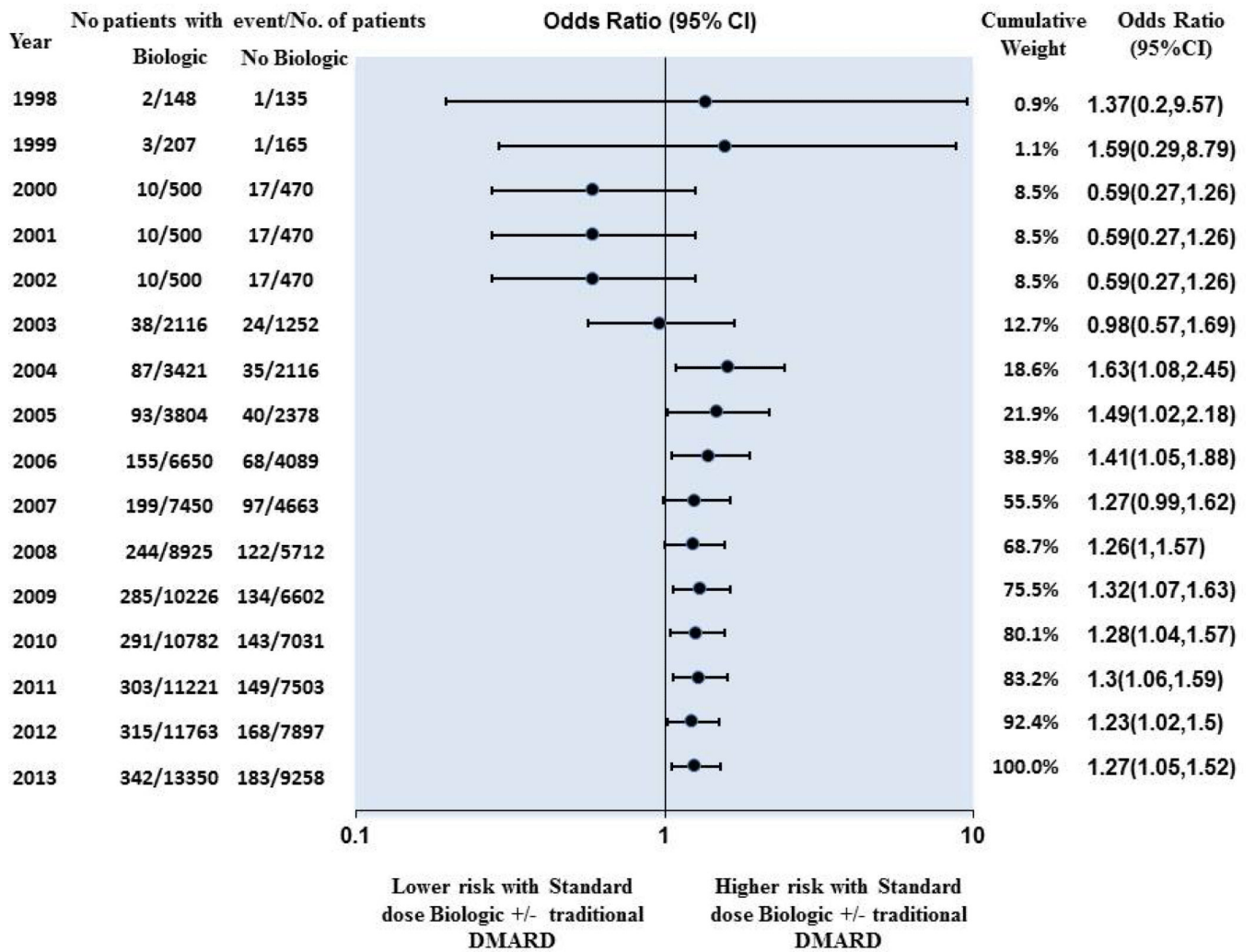


Figure 4. Cumulative meta-analysis – Risk of serious infection among patients using standard dose biologics +/- traditional DMARD compared with traditional DMARD monotherapy
 DMARDs= disease-modifying anti-rheumatic drugs; RCT= randomized controlled trial

Table 1

Summary of patient and study characteristics among populations of patients with rheumatoid arthritis

	<u>All Populations</u>	<u>Traditional DMARD naive</u>	<u>Traditional DMARD experienced</u>	<u>TNF Experienced</u>
Number of trials	106 (100%)	24 (22.6%)	71 (67%)	11 (10.4%)
No. of patients in trials	42,330 (100%)	8,375 (19.8%)	29,167 (68.9%)	4,788 (11.3%)
No. of patients with serious infection	965 (100%)	227 (23.5%)	646 (66.9%)	92 (9.5%)
Median year of Publication (range)	2008 (1992-2013)	2006 (1992-2013)	2008 (1994-2013)	2008 (2005-2013)
No. of treatment nodes	10	5	10	6
No. of 2-arm trials	63	19	38	6
No. of multi-arm trials	43	5	33	5
Mean follow-up duration, months (range)	9 (1,60)	13.1 (3,24)	8 (1,60)	6.3 (2,12)
Trials with duration \geq 12 months	33 (31.1%)	17 (70.8%)	18 (25.4%)	2 (18.2%)
Mean RA duration, years (range)	6.9 (0.1,13.5)	0.7 (0.1,3.5)	8.5 (2.2,13.5)	10.8 (6.4,12.9)
Mean annualized baseline risk of serious infection in traditional DMARDs	2% (0%, 9.2%)	2% (0%, 9.2%)	2% (0%, 8%)	2.4% (0%, 4.5%)

DMARD= disease-modifying anti-rheumatic drugs; MTX=methotrexate; RCT=randomized controlled trial; TNF= Tumor necrosis factor

* Only included trials greater than 6 months in duration for calculation