

# Clinical utility and patient considerations in the use of ofatumumab in chronic lymphocytic leukemia

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**Abstract:** Treatment aim for chronic lymphocytic leukemia has been radically changed over the past years from providing only a palliative approach to reaching disease eradication and improving survival. Ofatumumab is a monoclonal humanized antibody with peculiar in vitro and in vivo properties, at present approved for double fludarabine and alemtuzumab refractory chronic lymphocytic leukemia. Its efficacy in this subset of patients, who typically have an unfavorable prognosis, facilitated its use in different Phase II and III trials. Ofatumumab as single agent or combined with chemotherapeutic or biologic agents, led to sundry results in the setting of both previously treated or untreated patients. Its role in maintenance therapy is also under investigation. Further advances concerning ofatumumab administration as first line therapy in combination with chlorambucil, came recently from the COMPLEMENT 1 study. Results from this trial will open the door to new perspectives of its use in treatment-naïve patients. Ofatumumab was well tolerated in almost all the studies, with the main adverse events relating mostly to infusion reaction. Hematologic toxicity, especially neutropenia, was also common. A significant improvement in patients' quality of life was reported following ofatumumab treatment and this was mainly due to its effect on constitutional symptoms. Nevertheless, some concerns remain regarding the long-term efficacy of the drug in terms of response duration and survival. The real strength of this drug needs to be confirmed by further studies and direct comparative trials.

**Keywords:** ofatumumab, chronic lymphocytic leukemia, refractory, alemtuzumab, fludarabine, high risk

## Introduction

Treatment of chronic lymphocytic leukemia (CLL), has represented a curious paradigm over the past decade, as therapy aim has radically changed from providing pure symptom palliation, to reaching complete and even molecular remission and improving survival.

Considering the fact that the majority of CLL population is represented by a median age of 72 years<sup>1</sup> and that most of the patients will encounter multiple lines of therapy during disease course, the need for drugs other than chemotherapy has become a priority during the last few years.

The advent of monoclonal antibodies (MoAb), in view of their fully biological and targeted mechanism of action, represents important progress in this direction.

The first of this category to obtain the US Food and Drug Administration (FDA) regular approval for the treatment of first or subsequent line of CLL was alemtuzumab, a humanized MoAb that recognizes CD52. Alemtuzumab induced responses in 34%

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of fludarabine refractory patients and showed efficacy also in those with TP53 abnormalities.<sup>2,3</sup> Moreover, as a single-agent it demonstrated efficacy in naïve patients too, with a significant improvement in the overall response rate (ORR), complete response rate, and progression free survival (PFS) when compared to chlorambucil.<sup>4</sup> Major alemtuzumab limits are represented by lack of efficacy in bulky disease, deep immunosuppression, and risk of opportunistic infections.<sup>5</sup>

CD20 is a cell surface molecule, highly expressed in B-cells. Its role is mainly represented in the production of T-cell-independent antibody response and B-lymphocyte activation. CD20 is exclusively expressed in B-cells, and the antigen is not shed or internalized in the remaining cells. Moreover, CD20 is not present on stem cells, precursor cells, or plasma cells.<sup>6</sup> These characteristics make CD20 a suitable therapeutic target for B-cell malignancies and its related therapeutic application opened the door for a radical change in CLL treatment.

Rituximab is a chimeric anti CD20 MoAb. Direct signaling, complement dependent cellular cytotoxicity (CDC), and antibody dependent cellular cytotoxicity, all appear to play a role in its efficacy.<sup>7</sup>

Obviously, the possibility to use a single biological drug for the treatment of CLL, represents a desirable hope for a chronic disease. In CLL, however, CD20 expression is dim and this could explain the weak results obtained with rituximab in monotherapy in relapsed or refractory cases.<sup>8,9</sup> In this setting, a partial response of 10%–15% could be improved to 30% with the adjustment of rituximab dose.<sup>10</sup> The same schedules, applied to another subset of untreated population, led to an increased response rate of 58%.<sup>11</sup>

As a natural consequence of the enhanced activity demonstrated in non-Hodgkin lymphomas (NHL) by the addition of rituximab to chemotherapy,<sup>12–14</sup> the next step was the addition of rituximab to chemotherapy also in CLL.

The combination of rituximab and fludarabine plus cyclophosphamide (FCR), has been established as the gold standard regimen for young and fit CLL patients due to its significant improvement of PFS and overall survival (OS) in this category both for previously treated and untreated patients.<sup>15,16</sup>

Moreover, considering the need to cover a more representative elderly CLL population with comorbidities, further studies tested the efficacy and tolerability of rituximab combined with more manageable chemotherapeutic agents such as chlorambucil, pentostatin, cladribine or bendamustine.<sup>17–23</sup>

Despite a clear benefit of rituximab addition, in terms of response quality and PFS, all patients are expected to relapse

after a rituximab containing regimen. Therefore, there still remains a significant unmet need in CLL.

Furthermore, given the well-known genetic and clinical variability of CLL, a limited group of patients can be defined as “high risk” and will be mostly doomed to fail under standard therapeutic approaches. Those categories are represented by patients with unfavorable cytogenetic or molecular features, as well as patients who are resistant to a fludarabine or alemtuzumab based therapy.<sup>24–26</sup>

Ofatumumab is a fully human IgG1-type anti CD20 MoAb. Its ability to bind to both the small and large loop of the membrane antigen CD20 allows a prolonged dissociation rate. Compared to rituximab, ofatumumab is able to produce a greater CDC activity with a similar antibody dependent cellular cytotoxicity activity, especially in low CD20-expressing CLL cells.<sup>27–29</sup> Furthermore, complement cascade seems to be easily activated by ofatumumab due to its binding avidity to C1q.<sup>30</sup>

Lastly, ofatumumab demonstrated to be effective in purging CD20 positive CLL cells even in those patients resistant to rituximab, both in vitro and in vivo.<sup>31</sup>

Such a promising drug profile led to a high expectancy related to ofatumumab offered to those patients with worst clinical disease features, in particular fludarabine-refractoriness and those carrying a 17p deletion. In fact, despite the demonstrated efficacy of alemtuzumab offered to this population, this anti-CD52 antibody is unable to produce results in patients with bulky disease.<sup>5,32</sup>

## **Ofatumumab in relapsed/ refractory CLL**

### **Single agent ofatumumab**

The first clinical study evaluating ofatumumab efficacy and tolerability was performed by Coiffier et al,<sup>33</sup> who reported the experience with 33 patients in the Hx-CD20-402 dose escalation study. Three cohorts of patients were selected with different ofatumumab dosages (maximum dose 500 mg in cohort A; 1,000 mg in B, and 2,000 mg in C), established based on previous drug experience in follicular lymphoma. Patients received four weekly infusions with a starting dose of 100, 300, and 500 mg respectively.

Although most of the study population belonged to a low-intermediate Rai-Binet risk stage (84% Rai I–II; 88% Binet A–B), the majority of them were heavily pretreated with a median number of three prior therapies.

Ofatumumab used as a single agent, showed efficacy with 13/14 responding patients belonging to cohort C. Median PFS resulted approximately 3 months, but with a median time to next treatment of 1 year. Objective responses were rapid

with a large portion of patients on the 2,000 mg schedule, showing halving of lymph node size from week 4 and then gradually progressing. An encouraging improvement in baseline cytopenia was also observed. The lack of biologic patient characterization probably represented a main limitation of the study, particularly considering proof of a drug's *in vitro* activity on P53 mutant CLL cells.

Based on those encouraging results, a dose of 2,000 mg was chosen for the subsequent Phase II trial (406 Study) with ofatumumab monotherapy. After a planned interim analysis on 138 patients, final results from the study have recently been published.<sup>34,35</sup> Irrespective of the prior use of rituximab, a large cohort of heavily pretreated CLL patients were categorized as fludarabine-alemtuzumab refractory (FA-ref; 95 at the final analysis) and bulky-fludarabine refractory (BF-ref; 112 at the final analysis). Only 51% of patients could complete the planned 12 infusions even though the majority received at least eight doses. Ofatumumab alone showed impressive results in terms of response rate and survival, when compared with the historical data regarding both intensive regimen or other MoAb alone in fludarabine refractory patients. In the last report from the final analysis, 49% and 43% of responses were observed in FA-ref and BF-ref, respectively. Ofatumumab demonstrated to be active in cytopenias with a large proportion of cases improving baseline hemoglobin and platelet values. Even in those subjects who didn't obtain an objective response, a significant improvement of constitutional symptoms was recorded. As confirmed in the final results, among all the examined baseline features, only those carrying 17p deletion obtained a significant lower ORR (30% vs 53% in 17p deletion;  $P=0.0055$ ). According to the interim analysis, OS was significantly increased ( $\geq 10$  months) in responders with a median not reached in both FA- and BF-ref groups. Median response duration resulted in 7.1 and 5.6 months respectively in FA- and BF-ref. Finally, in the updated results, demographic and disease baseline features combined with ofatumumab pharmacokinetic parameter values were examined by univariable and multivariable analyses. These results demonstrated a main relevance of disease burden and individual factors, rather than ofatumumab exposure, on clinical outcomes. Authors conclude that patients with a high disease burden may benefit from ofatumumab dose intensity and maintenance strategy.

A retrospective analysis from the same authors,<sup>36</sup> evaluated the impact of previous rituximab treatment on the response achievement to ofatumumab. Among 206 patients, 117 received prior therapy with rituximab either alone or in combination. ORR results were 43% for those who previously

received rituximab and 53% for those who were rituximab naïve. A longer median PFS was described in rituximab naïve cases with no significant difference in median OS. A longer time from last rituximab scheme (irrespective of combination, response status or time to progression [TTP]), resulted in significant survival improvement. In summary, ofatumumab was effective irrespective of prior rituximab exposure.

## Combination therapy

Recently, GIMEMA reported the results of a multicenter Phase II study with ofatumumab used in combination with bendamustine in pretreated patients.<sup>37</sup> Among 47 patients with a median of 66 years, 17 (35%) were aged  $\geq 70$ ; eleven (22%) presented an unfavorable TP53 disruption status detected either by cytogenetic or molecular analysis. Most of them were previously treated with a fludarabine-based regimen. ORR was 72% with 17% of complete remissions (CRs). Five patients (11%) progressed. After a median follow-up of 24.2 months median OS and PFS results were 83.6% and 49.6% respectively with a median PFS of 23.6 months. Even when combined with chemotherapy, treatment was well tolerated by almost all patients completing the six planned courses. Five disease-related deaths occurred after therapy (CLL progression in three cases, Richter syndrome in two).

The Phase II study OMB115991,<sup>38</sup> reported the use of the same combination both in previously treated and untreated cases. Among those who relapsed, median age was 68 years, 41% of cases presented an adverse 17p/11q deletion and 72% an unmutated IgHV status. Overall response result was 74%, with 11% CRs. No patients reached molecular negativity. Median duration of the study (8.7 months) was too short to interpret follow-up data.

A smaller experience with ten cases was reported by Ujjani et al<sup>39</sup> with an inferior ORR of 40%. This trial was prematurely discontinued due to an expected excess of toxicity including development of three cases of Richter syndrome.

The use of ofatumumab combined with non-chemotherapeutic agents has also been investigated. The association of ofatumumab administered at a lower dose of 1,000 mg, with high dose corticosteroids such as methylprednisolone (mPDN)<sup>40</sup> or dexamethasone (DMS)<sup>41</sup> was tested in 21 and 33 patients respectively. One third of the patients in the study on mPDN and 42% on DMS presented an adverse cytogenetic feature (17p or 11q deletion). Despite a considerable response rate, only a low percentage of patients obtained a CR. PFS result was 10 months in both studies. A favorable median OS of 34 months was achieved

in association with DMS while it was not reached after 31 months with mPDN.

Lenalidomide with its complex immunomodulatory action and synergic action when combined with rituximab,<sup>42</sup> also represents a potentially tempting association drug. Its use in combination with ofatumumab was feasible and led to a response in half of the 21 subjects enrolled in a Phase II trial.<sup>43</sup> Such a response rate seems more consistent considering the elevated presence of patients with unfavorable pretreatment characteristics (52% had a Rai IV stage, 86% an unmutated IgHV status, 29% a 17p deletion, and 33% an 11q deletion). A median survival of 21.5 months result was satisfactory and authors continue to speculate regarding the possible use of longer lenalidomide maintenance or an ofatumumab dose intensity.

## Observational retrospective studies

Outside of clinical trials, efficacy and tolerability of ofatumumab were retrospectively analyzed in different reports. Despite the limits of a retrospective analysis, these reports avail themselves of real life experiences.

Moreno et al,<sup>44</sup> reported the data from 103 CLL patients treated with ofatumumab outside of clinical trials. Median age was relatively young and the majority showed an advanced symptomatic disease. Twenty-two among 53 patients were categorized as FA-ref; 22/50 as BF-ref. Median number of administered cycles was nine; in 13% of cases, another agent was added to ofatumumab (mostly chlorambucil or corticosteroids). Overall response results were 22% with 4% of CR. None of those classified as a high cytogenetic risk reached a durable response. After a median follow-up of 9.4 months, median PFS and OS were 5 and 11 months respectively. Advanced clinical stage, treatment failure, and prior fludarabine refractoriness was significantly associated with a shorter OS. This series can be reasonably considered as a high risk, mainly due to the elevated number of patients with fludarabine refractory disease status, significant number of prior lines of therapy (median four), and presence of patients who received allografts (13%). Applied to “real life” ofatumumab as monotherapy obtained half of the response rate previously reported by Coiffier et al<sup>33</sup> and Wierda et al<sup>34</sup> was confirmed to be effective in improving cytopenias; and showed similar PFS and OS to those reported previously.

Data from 27 patients from the UK compassionate program had been extrapolated before ERIC report.<sup>45</sup> ORR (48%) reproduced data from Wierda et al,<sup>34</sup> but with a disappointing time to next treatment of 5.5 months. Four among six cases

carrying a 17p deletion obtained a response; interestingly, in two patients achieving a CR, a prolonged response duration of 18 months was seen. As previously reported, ofatumumab led to a meaningful improvement of hemoglobin/platelets values.

Lastly, data from French Early Access Program<sup>46</sup> were published, from a heavily pretreated (median six lines of previous therapy) cohort of patients. In the French series, responses were similar to those previously reported, while survival data were slightly inferior. In 17p cases, ofatumumab was shown to achieve sustained responses.

## Ofatumumab in treatment-naïve patients Single agent ofatumumab

While FCR combination is widely approved as the best initial therapy for young, fit patients,<sup>15,16</sup> there is still a significant unmet need regarding older fit and unfit population. Moreover, not all the patients are able to tolerate FCR and a subset of high risk population is destined to fail with this combination.<sup>47,48</sup>

Due to its pharmacodynamic properties, its ability to overcome rituximab resistance, and its low toxicity profile, ofatumumab alone or in combination could represent a potentially effective treatment in different subsets of CLL populations.

Ofatumumab administered with the classical single agent schedule, has been offered as first line treatment in elderly or young patients who declined fludarabine.<sup>49</sup> First response evaluation was performed after 8 weeks. Patients with progressive disease were excluded from the protocol, while the rest were considered eligible to receive ofatumumab as maintenance therapy for 2 years. At the interim analysis on 42 subjects, all but one patient were included in the maintenance phase with a partial response (PR) in 13 (44%) cases, and stable disease in the remaining 16 (53%).

Preliminary results from ofatumumab as first line treatment on an intentionally selected unfit population were presented by MD Anderson Cancer Center (MDACC).<sup>50</sup> Patients with other malignancies were also included. Ofatumumab was administered at a lower dosage of 1,000 mg to the first eight patients, then increased to 2,000 mg. Eighteen patients participated in the study; nine among the 13 evaluable, obtained a response with three CRs. At a median follow-up of 24 months, six patients remained progression-free and seven needed further treatment after a median of 15 months.

Again MDACC investigated the potential role of premature ofatumumab therapy used with the aim to delay time to



first chemo-immunotherapy.<sup>51</sup> Only patients not presenting an International Workshop on Chronic Lymphocytic Leukemia/National Cancer Institute Working Group (IWCLL/NCI-WG) treatment indication and carrying an adverse laboratory or biological feature could be included in the study. Median age was 59 years old; half of the cases presented an unmutated IgHV status, and 34% an unfavorable 17p or 11q deletion. Eight ofatumumab infusions were administered weekly. After a median follow-up of 4.7 months, one third of the 18 evaluable patients presented with stable disease, the remaining 12 reached a response. Three patients progressed at 18.8, 14.1, and 3.2 months from start of treatment. Interestingly, none of the patients with 17p/11q deletion progressed. Authors speculated on the potential ofatumumab benefit of first-treatment delay.

## Combination therapy

Promising results were provided by a Phase II study exploring the combination of alemtuzumab and ofatumumab.<sup>52</sup> At the interim analysis, 31 patients with a median age of 63 years were evaluated. Protocol was amended after two cases (one fatal) of prolonged cytopenia and patients were allowed to prematurely withhold alemtuzumab or ofatumumab as soon as they achieved minimal residual disease (MRD) marrow negativity, complete nodal remission or in case of low marrow cellularity. Response depth was highlighted by a third of patients meeting early response criteria after a median of 10 weeks' alemtuzumab and five ofatumumab administrations. All patients but one reached a response, with 42% CR. Almost half of the cases (13 in CR and four in PR), achieved MRD negative status.

In 2010, Hallek et al demonstrated with the Phase III randomized CLL8 trial, a clear advantage in terms of response rate achievement and survival, of chemo-immunotherapy with FCR in comparison with fludarabine and cyclophosphamide (FC) chemotherapy in CLL treatment-naïve patients.<sup>16</sup>

Taking into account those results, the first study combining ofatumumab with FC backbone as first line treatment, was conducted by Wierda et al as a Phase II trial.<sup>53</sup> Two cohorts of patients were treated with FC combination added to ofatumumab 500 or 1,000 mg for up to six cycles. Complete remission rate (CRR) was the primary end point of the trial and did not differ between the 500 mg (32%) and 1,000 mg (50%) cohort with a statistical trend in favor of the latter.

The trial enrolled a CLL population significantly younger than usual (median age 56 years). Fludarabine, cyclophosphamide, and ofatumumab (FCO) combination, even with ofatumumab 1,000 mg, failed to demonstrate a

clear superiority compared to historical data from FCR, in terms of both CRR (50% vs 44%) and ORR (73% vs 90%). Patients with 17p deletion, (8/61) and also those who could benefit by the addition of ofatumumab, showed a similar poor outcome as those with the same chromosomal features treated with FCR.

Authors correlate those results with the higher risk profile of their population in respect to CLL8 study. Interestingly, the use of the higher ofatumumab dosage of 1,000 mg, did not translate to an excess of either hematological or non-hematological toxicity.

With the aim of reducing chemo-related toxicity observed when an MoAb with FC was applied, two Phase II trials explored the combination of pentostatin (a purine analog with a higher tolerability compared to fludarabine) with cyclophosphamide and ofatumumab (PCO).<sup>54,55</sup> PCO combination, first described by Shanafelt et al in 48 patients,<sup>54</sup> showed an overall response of 96% with almost half of the responders presenting complete remission. Seven among the 38 examined cases, obtained a negative MRD. These data are comparable to those reported with the same pentostatin combination added to rituximab (PCR); nevertheless PCO led to a longer time to retreatment with 86% of patients free from a new therapy at 24 months (68% at 24 months with combination of PCR). Another Phase II trial using the same PCO schedule adopted by the Mayo Clinic, was conducted by a north Italian group for CLL.<sup>55</sup> This was targeted specifically to the elderly who represented the group mainly exposed to the toxicity of FCR. Also when used in a population with a median age of 72 years, PCO demonstrated efficacy inducing 89% response with 51% complete remission. Moreover, 19 among the 24 tested for MRD, resulted in an MRD negative status. As previously reported, the attainment of a profound response translated to a longer PFS, estimated as 74% at 24 months.

The older population was also the target of the COMPLETE 1 trial<sup>56</sup> which compared chlorambucil added to ofatumumab with chlorambucil alone as front line therapy in patients not suitable for fludarabine-based treatment. This large Phase III trial randomized 447 patients with a median age of 69. The arm of chemo-immunotherapy compared to the arm of chlorambucil alone showed a significantly higher CRR (12% vs 1%), and longer PFS (22 vs 13 months). Only patients in CR were tested from peripheral blood for minimal residual disease and the result was negative in 8% of patients treated with ofatumumab vs a single case in the chemotherapy arm. Moreover, patients with 17p deletion assigned to chlorambucil plus ofatumumab showed a not

statistically significant improvement in PFS in comparison with those with 17p deletion in chlorambucil arm. With a median follow-up of 29 months, median survival has not yet been reached in both groups. It is however important to note that chemo-immunotherapy did not show an advantage in terms of survival compared to chlorambucil. Grade 3–4 adverse events (AEs) did not differ between the two populations.

Finally, OMB115991 study<sup>38</sup> evaluated ofatumumab combined with bendamustine in previously untreated patients not suitable for a fludarabine-based treatment. In 44 patients with a median age of 62 years old, ORR resulted in 95% with 48% CRs. Notably, among those with a CR, 56% reached MRD eradication. Median time to response was less than 1 month. After a median study duration of 8.5 months, follow-up data

were not yet ready to be interpreted. All the details regarding the mentioned studies are summarized in Table 1.

## Maintenance

Recent data from GEN416 study<sup>57</sup> were aimed to inquire into the efficacy of ofatumumab retreatment and maintenance. Authors extrapolated a subset of 29 patients from the 406 Study.<sup>34</sup> Patients who obtained at least a stable disease with the previous ofatumumab treatment, and then afterwards relapsed or progressed, were eligible for retreatment with eight weekly ofatumumab infusions; in those with disease control, monthly maintenance therapy up to 2 years was administered. It is important to note that patients who underwent a containing regimen during the time between treatment and retreatment, were not excluded from the study.

**Table 1** Clinical trials in previously untreated patients

Authors	Schedule	Patient number	ORR (%) (CRR %)	Follow-up (mo)	PFS (mo)	OS (mo)
Flinn et al 2012 <sup>49</sup>	O: 300 mg D1; 2,000 mg D8; 2,000 mg weekly for 6 w; 2,000 mg monthly for 4 mo	42	44 (0)	NR	NR	NR
Ciccione et al 2014 <sup>50</sup>	O: 300 mg D1; 2,000 mg D8; D15; D22 (C1); 2,000 mg monthly for 12 mo (O: 1,000 mg for the first 8 patients)	13	69 (23)	24	46%	92%
Jain et al 2013 <sup>51</sup>	O: 300 mg D1, 1,000 mg weekly for 7 w	18	67 (17)	7.6	83%	100%
Ma et al 2014 <sup>52</sup>	Alem 3 times/w up to 18 w O: (from w3): 300 mg D1; 2,000 mg q2 w doses week 2 to week 8 (early interruption based on response assessment)	31	97 (42)	NR	90%	94%
Wierda et al 2011 <sup>53</sup>	F: 25 mg/m <sup>2</sup> D2–4 C: 250 mg/m <sup>2</sup> D2–4 + O: 300 mg D1C1 → 500 mg D1C2–6 vs O: 300 mg D1C1 → 1,000 mg D1C2–6 q28 × 6 cycles	31 vs 30	77 (32) vs 73 (50)	8	nr	nr
Shanafelt et al 2013 <sup>54</sup>	P: 2 mg/m <sup>2</sup> C: 600 mg/m <sup>2</sup> O: C1 300 mg D1; 1,000 mg D8 C2–6 1,000 mg D1 q21 × 6 cycles	48	96 (46)	24 (living patients)	nr (TTR)	42 patients alive at 24 months
Montillo et al 2015 <sup>55</sup>	P: 2mg/m <sup>2</sup> C: 600 mg/m <sup>2</sup> O: C1 300 mg D1; 1,000 mg D8 C2–6 1,000 mg D1 q21 × 6 cycles	47	89 (51)	22	nr	nr
Hillman et al 2015 <sup>56</sup>	Chl: 10 mg/m <sup>2</sup> D1–7 q 28 up to 12 cycles vs O: C1 300 mg D1; 1,000 mg D8 C2–6 1,000 mg D1 + Chl: 10 mg/m <sup>2</sup> D1–7 q28 up to 12 cycles	226 vs 221	69 (1) vs 82 (14)	29	13 vs 22	nr nr
Offner et al 2014 <sup>38</sup>	O: 300 mg C1D1, 1,000 mg C1D8; 1,000 mg D1C2 to C6 B: 90 mg/m <sup>2</sup> D1–2 C1 → 6	44	95 (48)	8.5	NR	NR

**Abbreviations:** O, ofatumumab; Alem, alemtuzumab; F, fludarabine; C, cyclophosphamide; P, pentostatin; Chl, chlorambucil; B, bendamustine; ORR, overall response rate; CR, complete response; CRR, complete remission rate; PFS, progression free survival; OS, overall survival; mo, month(s); NR, not reported; nr, not reached; D, day; w, week(s); TTR, time to retreatment; C, cycle.

Overall, 45% of cases showed a response with ofatumumab retreatment, mainly among the FA-ref group. Responses were rapid ( $\leq 2$  months in median) and durable (median 24.1 months). Response duration favorably compared with that of the 406 Study (6.8 months), while PFS and time to next treatment (TTNT) were comparable. Median survival results were 18 months in FA-ref and 11.3 months in BF-ref group. Considering that this population consisted of patients who lost or never obtained the initial response to ofatumumab, survival data reflect what was already highlighted in the final analysis from the 406 Study. In the 406 Study, indeed, median OS was significantly longer among responding patients compared with non-responders (FA-ref: 24.9 months vs 9.9 months and BF-ref 28.9 months vs 15.5 months [ $P=0.0154$ ]).

Finally, PROLONG is the first study specifically focused on the possible role of ofatumumab as maintenance therapy.<sup>58</sup> A large cohort of 474 patients responding to a second or third line of treatment, were randomized to receive ofatumumab as maintenance therapy up to 2 years vs observation. The two groups were stratified according to number and type of prior therapies and the achieved response. After a median follow-up of 26 months, ofatumumab prolonged therapy achieved the primary end point, PFS being significantly longer than in the observation arm (28.6 months vs 15.2 respectively). This translated to a significant time of 10 months longer to next treatment in the maintenance group. Ofatumumab seemed to be an active and well tolerated treatment. Further information to establish its role as maintenance therapy is warranted.

## Toxicity

Overall, ofatumumab demonstrated to be well tolerated both when used alone or in combination. Similarly to rituximab most of the reported AEs in different studies are infusion-related and include chills, fever, rash, fatigue, gastrointestinal symptoms, and sweating. In the majority of them, AEs were limited to the first–second course and categorized as grade 1–2.

In the Phase I–II study by Coiffier,<sup>33</sup> maximum ofatumumab tolerated dose was not reached. In 27 patients, 246 AEs were registered and almost all categorized as grade 1 or 2. All patients but one were able to complete treatment. In this last case, acute cytolytic hepatitis considered related to the study drug led to treatment discontinuation after the first infusion. One fatal AE was reported and it was due to one of the four (12%) grade 3–4 infections reported.

Notably, 3% of patients developed B-hepatitis reactivation (HBre). Both patients presenting with hepatitis B surface antigen and antibody to hepatitis B core antigen who received rituximab or ofatumumab, were more susceptible to HBre. The

rate of HBre following anti-CD20 antibody has been reported at 16.9%, and seroreversion rate of 20%–40%. The majority occurred up to 12 months after cessation of B-cell depleting drugs indicating the potency of the immunosuppressive effect of this drug class and the prolonged immune reconstitution phase. Taking into account these potentially fatal complications, in 2013 the FDA issued a Drug Safety Communication in order to advise clinicians to closely monitor and administer anti-viral prophylaxis to those patients presenting with hepatitis B surface antigen and antibody to hepatitis B core antigen.<sup>59</sup>

Infusion reactions are the most common AE; Wierda et al<sup>34</sup> reported 43% infusion reaction during the first ofatumumab administration decreasing to 6% at the last one.

Regarding hematologic toxicity, five (15%) patients developed cytopenia during the dose finding study (thrombocytopenia in three cases, neutropenia in two). No cases of thrombocytopenia were reported by Wierda et al<sup>34</sup> at the interim analysis, while in total 13 (20%) patients presented with grade 3–4 neutropenia.

One patient in each of the above mentioned studies developed hemolytic anemia. It is worth noting that in both cases, patients were previously treated with fludarabine.

In the interim analysis from Wierda et al,<sup>34</sup> infections were also commonly reported in 67% of patients with 26% of these categorized as grade 3–4 and leading to death in eight cases. As well as with rituximab,<sup>60</sup> one case of progressive multifocal leukoencephalopathy was described with ofatumumab. No formation of anti-ofatumumab antibodies was noticed.

Infusion reactions occurred even during retreatment and maintenance, as GEN416 study<sup>57</sup> reported infusion related AEs in 72% of patients. Also in this setting most of those were limited to the first or second administration. Nearly one third of patients had an infection leading to death, in three cases due to pneumonia. Infection rate was comparable to the one reported in the original trial.

Nevertheless, the use of ofatumumab as exclusive maintenance therapy did not demonstrate additional toxicity as the rate of overall AEs, infections, and death did not significantly differ from observation group in the PROLONG study.<sup>58</sup>

The addition of ofatumumab to bendamustine, did not translate to unexpected toxicity as reported by Cortelezzi et al.<sup>37</sup> Infusion-related AEs were common during the first infusion, none of these reaching grade 4. Nearly 80% of patients experienced at least one grade 3–4 hematological AE, neutropenia being the most common. Three patients died during study treatment, two following an infection.

In a smaller study with ten patients, even using the same ofatumumab-bendamustine combination, Ujiani et al<sup>39</sup> could

not reproduce the same tolerability. The same schedule of bendamustine and ofatumumab in this case led to a premature discontinuation of the study because of an excess of unexpected toxicity comprising infusion-related reactions, infection, and neurotoxicity.

In addition to infusion reactions, grade 3–5 infections were described in 33% of patients treated with DMS and ofatumumab.<sup>41</sup> This probably reflected the high immunosuppression given by the addition of steroids and anti-CD20 antibody in a setting of pretreated fragile patients.

Obviously, the same good tolerability reported in pretreated patients, was reproduced with treatment-naïve patients.

Even when added to purine analog-based combinations, ofatumumab did not increase treatment toxicity. This was particularly evident in the O-FC study,<sup>53</sup> where the two cohorts of patients receiving two different ofatumumab doses, did not show difference in terms of hematologic toxicity or need for dose reduction/treatment discontinuation.

Moreover the anti-CD20 antibody was shown to be well tolerated even in the specific setting of elderly and/or unfit.<sup>50,55,56</sup> Except for infusion reaction, ofatumumab is feasible even in frail patients and chemo-immunotherapy in COMPLEMENT 1 showed to be comparable to chlorambucil as monotherapy in terms of grade 3–4 events.

It is important to highlight that the results of ofatumumab offered to a “non-trial-selected”, “real life” population, confirmed the excellent tolerability and manageability of this MoAb.<sup>44–46</sup> Nevertheless, also in the series provided by ERIC observational study,<sup>44</sup> 2/103 patients developed progressive multifocal leukoencephalopathy diagnosed at 6 and 11 months after starting therapy. Both cases received extensive prior therapy including fludarabine, rituximab, and alemtuzumab. As previously reported in literature,<sup>60–62</sup> the use of ofatumumab in the setting of significant immunodeficiency can be more easily associated with such an uncommon neurological manifestation.

Overall it is reasonable to think that the evidence of infections with ofatumumab could be mainly correlated with the condition of a heavily pretreated disease itself, mostly with highly immunosuppressive agents, as all the series included patients with two or more previous lines of therapy.

## Discussion

A retrospective single-institution analysis conducted by MDACC, focused on the poor outcome of double or BF-patients. Patients treated with different salvage approaches, including MoAb, single-agent cytotoxic drugs

or intensive chemotherapy combination, obtained a 23% response rate. Thirteen percent of early deaths and 54% risk of major infection were described. OS survival result was 9 months. A lack of response and shorter survival (6 months median) was observed in those receiving a chemo-free regimen.<sup>62</sup> Taking into account historical results, ofatumumab as a single agent definitely showed satisfactory responses in this subset. Moreover, ofatumumab's feasibility and low toxicity rate was underlined by almost all the trials employing this MoAb, as well as in the daily-life setting. Furthermore, it is important to stress the activity of ofatumumab on constitutional-symptom improvement even in those cases not presenting an objective response.<sup>34</sup> This of course translates in a gain in patients' daily-life quality. Nevertheless, at the last American Society of Hematology meeting, Österborg et al presented the results from the Phase III study OMB114242.<sup>26</sup> One hundred and twenty patients with bulky fludarabine disease, were randomly assigned to ofatumumab (79 cases) vs physician's choice therapy (43 cases). Ofatumumab as salvage treatment, in this selected high-risk population, obtained a 37% ORR, a slightly inferior result than the pivotal trials. Furthermore, ofatumumab did not meet the primary end point of the study, not being able to demonstrate a clear superiority in respect to best available therapies in terms of PFS (5.4 months with ofatumumab vs 3.6 months with physician's choice).

The lack of a satisfactory prolongation of PFS and OS, represents the most evident single agent ofatumumab limitation.

In the setting of previously treated cases, ofatumumab used as monotherapy showed a significant number of responses but limited PFS ranging between 5 and 11 months (Table 2). Certainly, the population considered in the different studies was selected among those with very few treatment options. Moreover, in most of the above studies, patients received an extensive number of prior therapies before ofatumumab; so probably patients included in these trials were more likely to carry or have acquired adverse biological features.

The advent of new targeted therapies offered a radical change in CLL treatment scenery. The first open-label Phase III study directly comparing ofatumumab and ibrutinib,<sup>64</sup> showed a clear superiority of the latter in terms of responses and survival. Furthermore, ibrutinib, as well as other small molecules, was able to abrogate the negative impact 17p deletion. The addition of ofatumumab to the new targeted therapies could represent a promising association. This was recently proved by a Phase 1b/2 study in which ofatumumab combined with ibrutinib, led to a response up



**Table 2** Clinical trials in relapsed/refractory patients

Authors	Schedule	Patient number	ORR (%) (CRR %)	Follow-up (mo)	PFS (mo)	OS (mo)
Coiffier et al 2008 <sup>33</sup>	O: 100 mg → 500 mg	3	33	NR	2.6	NR
	O: 300 mg → 1,000 mg	3	0		2.5	
	O: 500 mg → 2,000 mg For 4 infusions	27	50		4.4	
Wierda et al 2010 <sup>34</sup>	O: 300 mg D1; 2,000 mg D8; 2,000 mg weekly for 6 w; 2,000 mg monthly for 4 mo	59	57	NR	5.7	13.7
	FA-ref BF-ref	79	48		5.9	15.4
Cortelezzi et al 2014 <sup>37</sup>	O: 300 mg CID1, 1,000 mg CID8; 1,000 mg DIC2 → 6 B: 70 mg/m <sup>2</sup> D1–2 C1 to C6	47	72 (17)	24.2	49.6%	83.6%
Offner et al 2014 <sup>38</sup>	O: 300 mg CID1, 1,000 mg CID8; 1,000 mg DIC2 → 6 B: 70 mg/m <sup>2</sup> D1–2 C1 → 6	53	74 (11)	8.7	nr	nr
Castro et al 2014 <sup>40</sup>	O: 300 mg D1; 1,000 mg weekly for 12 w mPDN 1,000 mg/m <sup>2</sup> D1–3 q28	21	81 (5)	31	9.9	nr
Doubek et al 2015 <sup>41</sup>	O: CID1: 300 mg, D8, 15, 22: 2,000 mg; C2–6 D1, 8, 15, 22: 1,000 mg Dexa D1 → 4; 15 → 18 C1 → C6	33	67 (15)	NR	11	34
Costa et al 2015 <sup>43</sup>	O: C1 → 6 2,000 mg D1 lenalidomide 10 mg D8 → 28 q28 for 6 C	21	47.6	16.1	nr	21.5
Byrd et al 2014 <sup>64</sup>	O: 300 mg D1; 2,000 mg D8; 2,000 mg weekly for 6 w; 2,000 mg monthly for 4 mo vs ibrutinib 420 mg daily	196 vs 195	4 (0) vs 43 (0)	9.4	8.1 mo vs nr	nr in both (at 12 mo 81 vs 90%)
Jagłowski et al 2015 <sup>65</sup>	O: 300 mg w5; 2,000 mg w6; 2,000 mg weekly for 6 w; 2,000 mg monthly for 4 mo + ibrutinib 420 mg daily from D1	27 20 24	100 79 71	12.5	nr (at 12 mo 88.7%) nr (at 12 mo 85%) nr (at 12 mo 75%)	nr (at 12 mo 92.3%) nr (at 12 mo 85%) nr (at 12 mo 87.5)
	O: starting from w1 D2 + ibrutinib 420 mg daily from w1 D1					
	O: starting from w1 D1 + ibrutinib 420 mg daily from w9					
Moreno et al 2015 <sup>44</sup>	Observational	103	22 (3)	9.4	5	11
Chowdhury et al 2011 <sup>45</sup>	Observational	27	48 (11)	8	5.5 (TTNT)	nr
Dupuis et al 2015 <sup>46</sup>	Observational	30	47 (13)	8	4.3	8.3

**Abbreviations:** O, ofatumumab; B, bendamustine; Dexa, dexamethasone; mPDN, methylprednisolone; FA-ref, fludarabine refractory; BF-ref, bulky-fludarabine refractory; ORR, overall response rate; CR, complete response; CRR, complete remission rate; PFS, progression free survival; OS, overall survival; mo, month(s); NR, not reported; nr, not reached; D, day; w, week(s); C, cycle; TTNT, time to next treatment.

to 100%, 79%, and 71% in high-risk CLL patients treated respectively with ibrutinib lead-in (group 1), concurrent start (group 2), and ofatumumab led-in (group 3) schedule. The high ORR was not affected by high risk factors such as 17p deletion, unmutated IgHV or high B2MG value. Estimated 12 months PFS resulted in 89%, 85%, and 75% of patients respectively.<sup>65</sup> These results appear to be superior compared to ibrutinib as single agent and comparable to ibrutinib combined with rituximab.<sup>66,67</sup> The importance of a prompt start of ibrutinib was evidenced by the higher response rate in groups 1 and 2 and the evidence of progression in four patients belonging to group 3 waiting to receive ibrutinib.

Currently, FCR remains the gold-standard treatment for young, fit patients, as in this setting ofatumumab failed to demonstrate a superiority in respect to rituximab.<sup>16,53</sup> A further analysis of the CLL8 trial investigated the influence of the novel gene mutations in response to FCR. Notably, patients carrying NOTCH1 mutation did not seem to benefit from rituximab addition nor did they have clinical and MRD response, nor prolonged PFS and OS.<sup>48</sup>

The reduced efficacy of rituximab in patients with NOTCH1 mutation has also been proven in the setting of consolidation/maintenance therapy. Similarly to that previously reported, NOTCH1 mutation was predictive of

a reduced response rate, response duration, and OS after prolonged rituximab therapy when compared with NOTCH1 wild-type patients.<sup>68</sup>

This clinical resistance has been recently demonstrated also *in vitro*. In a subset of NOTCH1 mutated CLL cases, cells carrying the mutation showed lower CD20 levels, in part due to histone deacetylase-dependent repression mechanisms. As expected, rituximab CDC-induced lysis was reduced.<sup>69</sup>

Taking into account that complement dependent cytotoxicity, as with rituximab, represents the main ofatumumab mechanism of action, an inferior ofatumumab activity has also been demonstrated in NOTCH1 mutated patients in the COMPLEMENT 1 study. In fact, despite the clear superiority of ofatumumab plus chlorambucil in NOTCH1 wild-type patients, those cases carrying the mutation were associated with a shorter PFS exclusively in the chemo-immunotherapy arm, with no impact on PFS in single agent chlorambucil treatment.<sup>70</sup> This evidence, suggesting the role of NOTCH1 mutation as a predictive marker of a reduced ofatumumab advantage, should guide its rational use.

It is noteworthy that, although most CLL patients are represented by subjects older than 65 years, there is still a significant lack of treatment options for this population. The good tolerability of ofatumumab used both alone or in combination, allowed its use in elderly fit and unfit patients. In these subsets indeed, ofatumumab was able to overcome rituximab-based combination in terms of response quality and survival. Even MRD negativity, which represents a desirable goal in fit patients treated with intensive therapies, became an achievable target following ofatumumab-based combinations.<sup>55,56</sup> However, the role of obinutuzumab is also emerging. Obinutuzumab (but not rituximab) combined with chlorambucil, demonstrated a survival advantage compared to chlorambucil alone.<sup>71</sup> The same result was not obtained with the addition of ofatumumab to chlorambucil.<sup>56</sup> In this series indeed, chemo-immunotherapy did not confer a survival advantage in respect to chlorambucil alone. Direct-comparison studies will be required to demonstrate a clinical advance of one MoAb over the others.

Lastly, up to now the role of ofatumumab as maintenance therapy is still not clear. A longer follow-up will be essential to better define if a real benefit could be added by the use of ofatumumab in this setting.

## Conclusion

The efficacy of ofatumumab *in vivo* seemed to be quite disappointing when compared to its *in vitro* properties;

this notwithstanding, there is still a large scope for its use. Considering that most of the clinical trials employing ofatumumab have been applied in the setting of high risk CLL, the replacement of this MoAb in a different treatment time, such as earlier disease phases or front line, would seem a reasonable option. Furthermore, taking into account the data concerning ofatumumab activity *in vitro* and *in vivo*,<sup>46,72,73</sup> its use in association with new targeted therapies could represent a promising scenario in those patients with p53 abnormalities. Therefore, more studies would be advisable to explore such combinations in previously treated or untreated 17p deleted patients.

Further prospective and randomized studies are required to better clarify ofatumumab's ability in combination treatments for untreated patients, maintenance therapy, or at an earlier stage in the course of the disease.

## Disclosure

The authors report no conflicts of interest.

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