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## **Control of chromatin structure by long noncoding RNA**

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## **Abstract**

Long noncoding RNA (lncRNA) is a pivotal factor regulating various aspects of genome activity. Genome regulation via DNA methylation and posttranslational histone modifications is a welldocumented function of lncRNA in plants, fungi, and animals. Here, we summarize evidence showing that lncRNA also controls chromatin structure including nucleosome positioning and chromosome looping. We focus on data from plant experimental systems, discussed in the context of other eukaryotes. We explain the mechanisms of lncRNA-controlled chromatin remodeling and the implications of the functional interplay between noncoding transcription and several different chromatin remodelers. We propose that the unique properties of RNA make it suitable for controlling chromatin modifications and structure.

### **Keywords**

chromatin remodeling; nucleosome; chromosome looping; RNA Polymerase V

## **Noncoding RNA and chromatin**

Regulation of genome activity is one of the most fundamental processes in living organisms. Many different genomic functions are tightly controlled; the most notable being gene expression and genome integrity. One class of prominent factors controlling genomes are noncoding RNAs, which can be further grouped into small RNAs such as miRNAs, siRNAs, or piRNAs (reviewed in [1]), or categorized as long noncoding RNAs (lncRNAs) [2]. LncRNAs are typically defined as long, functional ribonucleic acids that do not encode proteins or function independent of potentially encoded polypeptides. However, the definition of lncRNAs remains controversial. Indeed, early reports of up to 90% of the eukaryotic genomes that give rise to RNA [3] did not provide much evidence of lncRNAs being functional [4], suggesting that a significant fraction of RNAs produced outside of coding regions originate from transcriptional noise or artefacts of sensitive detection methods. Therefore, an RNA should only be categorized as a lncRNA if there is evidence of functionality meeting at least the "causal role" criteria [5]. The definition of lncRNA also requires it to work independently of its coding potential. This is important because RNAs

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assumed to be noncoding may encode polypeptides [6] and messenger RNAs may have functions independent of encoded proteins [7]. Moreover, various lncRNAs often share no common evolutionary origins, biological functions, or molecular mechanisms. Therefore, the term "lncRNA" should be used cautiously to avoid implying mechanistic, functional or evolutionary conservation.

There are numerous, characterized lncRNAs with various well-documented functions and this number is quickly growing. lncRNAs have been shown to control genome activity on the chromatin level across the eukaryotic kingdom [2,8]. For instance, the mammalian *Xist*  RNA controls chromatin-mediated inactivation of the X chromosome [9], while the lncRNA *HOTAIR* recruits chromatin-modifying enzymes and mediates histone modifications on specific target loci in mammals [10]. Moreover, a class of lncRNAs produced by RNA Polymerase V in plants is essential for the process of RNA-mediated transcriptional gene silencing (TGS, see Glossary) [11–13]. These and other well-studied regulatory lncRNAs are not evolutionarily conserved but share a common mode of action by controlling DNA methylation and posttranslational histone modifications [2,8].

In this review, we discuss evidence that lncRNAs control genome activity by affecting chromatin structure, which includes nucleosome positioning and chromosome looping. We focus on data obtained in plant model systems, where a unique genetic toolset allows for gaining deep mechanistic insights into functions of specific classes of lncRNAs. We present recent advances made in plants in the context of related processes across other eukaryotes to provide a broader overview of lncRNA effects on chromatin structure. We discuss how functional interplay between noncoding transcription and several chromatin remodelers allows the establishment of a repressed chromatin status. Finally, we propose that unique properties of RNA make it a versatile factor controlling structure and function of chromatin.

## **RNA-directed DNA methylation**

RNA-mediated Transcriptional Gene Silencing (TGS) is a conserved process where small RNA (sRNA) and lncRNA direct repressive chromatin modifications to specific regions in the genomes (reviewed in [14]). This occurs by the recruitment of DNA methyltransferases and histone modifying enzymes, which mediate DNA methylation and repressive histone modifications, respectively [14]. However, accumulating evidence suggests that TGS also works by controlling chromatin structure, including chromatin remodeling and chromosome looping. TGS has been studied extensively in *S. pombe* and *Arabidopsis thaliana*. Both model systems provide comprehensive toolsets, which make TGS highly traceable and allow for deep mechanistic insights to be gained.

In plants, RNA-mediated TGS is also known as RNA-directed DNA Methylation (RdDM; reviewed in detail in [15]). One of its most striking features is the involvement of two specialized RNA polymerases Pol IV and Pol V. Pol IV is responsible for siRNA biogenesis and is believed to produce precursor transcripts [16,17]. These single stranded RNAs are converted to a double-stranded form by an RNA-dependent RNA polymerase and then processed into siRNAs by Dicer [18,19]. By contrast, Pol V is believed to produce scaffold transcripts, which provide binding sites for several RNA-binding proteins that recruit

chromatin-modifying enzymes [11,20–26]. RNA produced by Pol V or the process of transcription itself is likely to be functionally relevant since mutations in Pol V subunits cause loss of transcriptional gene silencing [20,21]. Pol V transcripts are also believed to not encode proteins [11]. Even if they do, they seem to work independent of any coding potential as shown by a strong overlap between Pol V binding to chromatin and Pol Vdependent repressive chromatin modifications [24,27,28]. Given these characteristics, scaffold transcripts produced by Pol V are considered lncRNA.

Despite the lack of sequence conservation, lncRNAs produced by Pol V share several similarities with scaffold transcripts produced by Pol II during TGS in *S. pombe* and arguably also by the piRNA pathway in metazoans [14,29]. The most important similarity is the ability to provide binding sites for sRNA-directed silencing complexes [30]. Moreover, these lncRNAs display comparable functional associations with key proteins involved in TGS including Dicer, Argonaute, and chromatin-modifying enzymes, as well as the ability to transcribe heterochromatic sequences, which are generally presumed to be refractory to transcription [14,29]. RdDM also has several unique features specific to plants that have allowed several pioneering discoveries. Indeed, because Pol IV and Pol V are not essential for viability in *Arabidopsis thaliana* [16,17,20,21], specific classes of lncRNAs can be eliminated by mutating unique subunits of these RNA polymerases [11,19]. This approach can be used to distinguish lncRNAs from mRNAs, to determine which chromatin modifiers are recruited by lncRNAs and to identify chromatin modifications, which are directed by lncRNAs.

Chromatin modifications established by RdDM in plants and TGS pathways in other organisms include methylation of lysine 9 of histone H3 and/or DNA methylation [14]. There is, however, a category of chromatin-related mechanisms that affect transcription in a fundamentally different way from DNA methylation or posttranslational histone modifications. These processes affect structural aspects of chromatin, which have the potential to directly affect transcription factor binding and RNA polymerase activity without the involvement of reader proteins. They include nucleosome positioning and chromosome looping. We refer to these levels of chromatin organization as chromatin structure.

## **lncRNA controls nucleosome positioning**

#### **Recruitment of chromatin remodelers by lncRNA in Arabidopsis**

One of the documented functions of TGS in controlling chromatin structure is nucleosome positioning. Nucleosomes are the fundamental unit of chromatin whereby DNA is wrapped around histone octamers. Tight interaction with histone cores can strongly affect DNA accessibility. Moreover, nucleosome positioning is a critical factor in controlling gene expression, and it is determined by a combination of local DNA features and active remodeling [31,32]. Modulation of nucleosome positioning by lncRNA employs various molecular mechanisms from the recruitment of ATP-dependent remodelers through the negative regulation of chromatin remodeling to transcription-mediated nucleosome stabilization.

In *Arabidopsis*, lncRNA produced by Pol V serves as a binding scaffold for several RNAbinding proteins including INVOLVED IN DE NOVO 2 (IDN2). This protein was discovered in forward genetic screens and was shown to be required for RdDM [33,34]. IDN2 physically interacts with SWI3B, a core subunit of the SWI/SNF complex [25], which is a putative ATP-dependent chromatin remodeler [35]. This interaction guides the SWI/SNF complex to loci transcribed by Pol V, where, in turn, specific nucleosomes are stabilized [25] (Fig. 1, top). This way, lncRNA produced by Pol V in *Arabidopsis* is involved in active nucleosome positioning.

Recruitment of the SWI/SNF complex to loci targeted by RdDM is also likely to involve additional lncRNA-binding proteins. IDN2 binding to lncRNA has been shown to require the prior presence of ARGONAUTE4 (AGO4) [26], which is the primary Argonaute involved in RdDM in *Arabidopsis* [36]. AGO4 incorporates siRNAs, which provide it with sequence specificity towards particular genomic regions, possibly by base pairing between siRNA and lncRNA [24,37]. Because SWI3B is recruited by IDN2 [25], SWI/SNF binding to RdDM targets may likely require AGO4 and siRNA. Another lncRNA-binding protein involved in RdDM is SUPPRESSOR OF TY INSERTION 5 - LIKE (SPT5L) [38,39], which binds silenced loci in parallel to AGO4 [23]. Although the function of SPT5L and its effects on IDN2 binding to lncRNA remain unknown, it is required for transcriptional silencing at least at a subset of RdDM targets [23,38,39]. This suggests that SPT5L may also be involved in SWI/SNF recruitment to chromatin. Similarly, a maize homolog of the RNAdependent RNA polymerase, which is required for siRNA production, has been shown to affect nucleosome positioning on specific loci [40]. Although there is no evidence of RdDM-mediated recruitment of SWI/SNF in maize, this further suggests that additional RdDM components are involved in nucleosome positioning.

#### **Recruitment of chromatin remodelers by lncRNA in S. pombe**

A similar mechanism exists in *S. pombe*, where pericentromeric and other heterochromatic regions are transcribed and these transcripts are bound by Seb1, a homolog of the conserved RNA-binding protein Nrd1 [41]. Seb1 in turn recruits the SHREC complex, which contains the putative Snf2 chromatin remodeler Mit1 and is required for proper nucleosome positioning [41– 43]. SHREC eliminates nucleosome-free regions and establishes dimethylation of lysine 9 of histone H3 (H3K9me2) [41,44,45]. Thereby, sites of transcription initiation may become inaccessible and Pol II association may be inhibited [44]. Together, these results indicate that in fission yeast lncRNA controls nucleosome positioning by recruiting ATP-dependent chromatin remodeling factors. This mechanism is similar to nucleosome positioning in plant RdDM, where a chromatin remodeler is recruited by heterochromatic lncRNA. An important difference is that SHREC recruitment does not involve siRNAs or Argonaute and appears to work in parallel to RNAi [41].

Although several lines of evidence show that lncRNAs control nucleosome positioning in various organisms, it remains unknown if recruitment of chromatin remodelers is the primary mechanism responsible for this phenomenon. lncRNA-mediated transcriptional silencing is known to direct DNA methylation and H3K9me2 [14]. These repressive chromatin modifications may then be recognized by reader proteins, which in turn could

recruit chromatin remodelers. Such an alternative scenario has been shown in fission yeast where the SHREC complex is recruited not only by lncRNAs but also by HP1 [42]. Similarly, in *Arabidopsis*, a lncRNA-directed DNA methyltransferase has been shown to affect at least a subset of nucleosomes [25]. This finding suggests that nucleosome positioning may be controlled not only by proteins directly recruited by lncRNAs but also by lncRNA-mediated chromatin modifications.

#### **Other ways lncRNA may affect nucleosome positioning**

While nucleosome positioning in TGS requires lncRNA to recruit ATP-dependent chromatin remodelers, additional mechanisms have emerged that point to lncRNAs that are evolutionarily and functionally unrelated to those involved in TGS. lncRNAs not involved in TGS can direct nucleosome positioning through negative regulation of ATP-dependent chromatin remodelers and transcriptional interference. Indeed, the human SChLAP1 lncRNA, which is overexpressed in a subset of cancer cells, physically interacts with a subunit of SWI/SNF and prevents or weakens SWI/SNF binding to chromatin, causing widespread changes in gene expression [46]. lncRNA may also mediate co-transcriptional nucleosome positioning. The *SER3* gene in yeast is controlled in this manner, and transcription of the intergenic noncoding transcript *SRG1*, which overlaps the *SER3*  promoter [47], causes the deposition of nucleosomes along its sequence and represses *SER3*  [48].

Together, these data indicate that lncRNA is capable of controlling more than DNA methylation and histone modifications, as it can also use a variety of mechanisms to regulate nucleosome positioning. lncRNA-mediated control of nucleosome positioning has been reported in plants, fungi, and animals, which indicates that it may be a broad function of various lncRNAs.

## **Conflict between silencing and silencers**

#### **Nucleosomes and transcription feedback**

lncRNAs may position nucleosomes, which in turn, might repress mRNA production. However, this lncRNA-mediated nucleosome positioning may repress noncoding transcription itself. The same logic applies to all repressive events on chromatin and constitutes a well recognized conflict between silencing and *cis*-acting silencers [49]. It implies a negative feedback between silencing and silencers, which is not compatible with maintenance of transcriptional silencing. In the case of DNA methylation and histone modifications, repressive marks are recognized by reader proteins, which affect the ability of Pol II to transcribe mRNAs. The conflict between silencing and silencer lncRNA is resolved if the RNA polymerase, which produces lncRNAs, is not functionally connected to repressive reader proteins. This scenario is predicted for Pol IV and Pol V in plants, which are able to transcribe methylated DNA [19,27]. However, much less is known about Pol IImediated noncoding transcription on silenced regions in *S. pombe*.

Nonetheless an alternative explanation has been proposed where variation of chromatin modifications during the cell cycle provides a window of opportunity for Pol II to transcribe silenced loci [50,51].

Nucleosome positioning is fundamentally different than DNA methylation or repressive histone modifications because it is more transient and provides a direct steric hindrance to transcription over well-positioned nucleosomes. Therefore, transcription of *cis*-acting lncRNAs, which mediate nucleosome positioning, is expected to require active removal of nucleosomes prior to every round of transcription. Evidence of such mechanisms exists in *A. thaliana*, where two putative ATP-dependent chromatin remodelers have been genetically identified to work upstream of Pol IV and Pol V.

#### **Nucleosome positioning upstream of lncRNA production**

CLASSY1 is a SNF2 protein that is required for Pol IV-dependent production of siRNA [52]. It physically interacts with Pol IV and is believed to be necessary for Pol IV transcription [19,53,54]. DRD1 is a related protein [55] and is required for Pol V binding to chromatin and transcription [11]. Existence of these putative chromatin remodelers suggests that production of lncRNAs by Pol IV and Pol V requires active remodeling of nucleosomes, which is essential for preventing negative feedback between silencing and *cis*-acting silencers (Fig. 1, bottom). How CLASSY1 and DRD1 exactly work, remains unknown. Their chromatin remodeling activities were predicted based on their amino acid sequences without biochemical corroboration. Both CLASSY1 and DRD1 belong to the Rad54-like group of Snf2 ATPases, which has been implicated in homologous recombination [35], suggesting that RdDM may involve single-stranded DNA [55,56]. It is also unknown if CLASSY1 and DRD1 are required for initiation or elongation of transcription.

Both CLASSY1 and DRD1 have been shown to associate with other proteins required for noncoding transcription. CLASSY1 associates with SHH1, which binds histone H3 tails containing repressive posttranslational modifications (methylated H3K9 and unmethylated H3K4) [57]. DRD1 is a subunit of the DDR complex, which also includes the hinge domain protein DMS3 [58], and another protein, RDM1 [59,60]. The DDR complex has been shown to associate with two catalytically inactive SET-domain proteins (SUVH2 and SUVH9), which bind methylated DNA [61–64]. Identification of these protein-protein interactions and subsequent ChIP studies [28,57,64] suggest that noncoding transcription by Pol IV and Pol V requires recognition of pre-existing repressive chromatin modifications followed by nucleosome remodeling.

It is intriguing that production of lncRNA requires both the recognition of repressive chromatin marks and nucleosome remodeling. Control of Pol IV and Pol V transcription by pre-existing chromatin modifications allows RdDM to work in a positive feedback loop, where RNA-mediated establishment of H3K9me2 and DNA methylation further enhance lncRNA production and silencing [57,64]. In contrast, nucleosome remodeling upstream of Pol IV and Pol V is likely to prevent a negative feedback loop between lncRNA-mediated nucleosome positioning by SWI/SNF and noncoding transcription (Fig. 2).

A similar positive feedback loop mechanism exists in *S. pombe* TGS, where binding of the RITS complex to chromatin requires not only an interaction with scaffold RNA [30] but also recognition of methylated H3K9 by the chromodomain of Chp1 [65,66]. It is, however, unclear if there are any mechanisms preventing a negative feedback between RNA-directed

nucleosome positioning and scaffold RNA production in *S. pombe*, comparable to the events in *Arabidopsis*.

Together, the literature discussed above indicates that the presence of a lncRNA-controlled nucleosome positioning mechanism in plant RdDM is accompanied by nucleosome remodeling upstream of lncRNA production. It is likely that this is necessary to prevent a negative feedback loop between silencing and silencers. Although there is no evidence yet of similar processes in other organisms, it could be a more general feature of RNA-mediated silencing pathways.

## **lncRNA controls chromosome looping**

lncRNA may also control other important aspects of chromatin structure such as chromosome looping. A compelling example of such looping in plants has been observed for the *APOLO* lncRNA, which controls the expression of PID, a transporter of the plant hormone auxin [67]. The *APOLO* locus is transcribed by Pol V and targeted by RdDM. When the *APOLO* locus is repressed (transcribed by Pol V but not by Pol II), it loops to *PID*  and causes its transcriptional repression. However, when Pol V transcription is lost, *APOLO*  is transcribed by Pol II and its looping to *PID* is inhibited, causing the activation of *PID*  [67]. This indicates that lncRNA produced by Pol V is involved in establishing a chromosome loop. Although the exact mechanism of this looping event remains unknown, one could speculate that nascent lncRNA produced from *APOLO* physically interacts with *PID* and brings *APOLO* and *PID* together. This is supported by lncRNA interacting with chromatin at both loci. Alternatively, RNA-mediated chromatin modifications could directly affect chromosome looping.

Similar effects of lncRNA on chromosome looping have been documented in mammals, where enhancers are transcribed and give rise to enhancer RNAs (eRNAs) [68,69]. These eRNAs control gene expression [70], possibly by affecting looping between enhancers and promoters [70,71]. Such a mechanism has been shown for genes controlled by the mammalian transcription factor ER-α [72]. Upon activation, ER-α binds enhancers and causes an increase in eRNA production. eRNAs mediate chromosome looping between enhancers and target promoters, thereby increasing the transcription of target genes [72]. eRNA may directly contribute to looping by interacting with proteins bound to target promoters. This was shown for the eRNA *ncRNA-a7,* which physically interacts with the Mediator complex. This interaction mediates enhancer-promoter looping and causes the activation of target genes [73].

The functional relationship between lncRNA and chromosome looping is probably much more complex than the effects described above. lncRNAs unrelated to eRNAs have also been shown to mediate long range chromosomal interactions [74,75] and to negatively control loop formation [76]. Moreover, the expression of lncRNA itself may be controlled by chromosome looping [77], and chromosome looping may control the spreading of lncRNA throughout the chromosomes, as has been proposed for *Xist* [78].

Together, these data show that lncRNA may affect chromatin structure beyond nucleosome positioning – by mediating three-dimensional organization of chromosomes. Different

classes of lncRNAs in various organisms control chromosome looping using different mechanisms, suggesting a broader role of lncRNA in controlling chromatin structure.

## **Concluding remarks**

The sheer variety of different mechanisms used by lncRNAs to control chromatin structure raises an important question. Are any aspects of this process mechanistically conserved? These mechanisms appear to be conserved only when lncRNAs share a common evolutionary origin. Informative examples are scaffold transcripts in plant RdDM and *S. pombe* TGS, which both originate from an ancestral RNAi pathway.

Although processes involving unrelated lncRNAs usually share little mechanistic conservation, they tend to follow some general rules, possibly indicating convergent evolution. These rules likely reflect the fundamental properties of RNA, which make lncRNAs such versatile molecules. One of those features is that, while still being transcribed, RNA may be used to recognize and bind specific genomic loci [2] (Figure 3a). Nascent RNA may serve as a more universal binding platform than DNA on regions where repressive chromatin modifications are actively established. Once lncRNA is produced, protein binding to this RNA should not be affected by chromatin modifications or structure. Thereby, efficient noncoding transcription may be sufficient to avoid a negative feedback between silencing and silencers. Examples of lncRNA following this general rule include scaffold transcripts in TGS and enhancer RNAs.

RNA has another unique property – it combines nucleotide sequence with the ability to acquire complex structures. Nucleotide sequence may allow binding to RNA or DNA by base pairing. Such a binding mechanism has a clear advantage over complex sequencespecific DNA-or RNA-binding protein domains. The ability of RNA to form complex structures may also allow structure-based interactions with proteins. Combining sequenceand structure-based interactions may be a versatile mechanism for guiding proteins to DNA in *trans*, targeting proteins to RNA or bringing two genomic regions together (Fig. 3b). Such a mechanism has been recently suggested to be involved in class switch recombination [79].

In summary, accumulating evidence identifies lncRNA as an important factor controlling chromatin structure. Therefore, elucidating mechanisms used by lncRNA in controlling chromatin structure has become an exciting and important goal for future research (Outstanding questions box). Considering that lncRNA is potentially mechanistically versatile, it will be especially interesting to discover if lncRNA may use its unique properties to affect chromatin in ways that would be much harder for proteins to achieve.

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## **Glossary**



- **•** Do chromatin modifications such as DNA methylation and posttranslational histone modifications affect nucleosome positioning?
- **•** Does ATP-dependent chromatin remodeling affect DNA methylation and posttranslational histone modifications?
- **•** Is the ability to recruit ATP-dependent chromatin remodelers or to modulate their activity a general feature of lncRNA?
- **•** Is lncRNA-mediated nucleosome positioning usually accompanied by the removal of nucleosomes prior to non-coding transcription?
- **•** Is the control of chromosome looping a general mechanism contributing to gene regulation by several classes of lncRNA?
- **•** Are any other structural features of chromatin controlled by lncRNA?

#### **Trends box**

- **•** Long noncoding RNA (lncRNA) modulates genome activity not only by affecting DNA\ methylation and postranslational histone modifications but also by controlling chromatin structure.
- **•** Plant model systems offer a unique genetic toolset for studying lncRNA and chromatin structure. These tools include a multitude of viable mutants and a specialized RNA polymerase producing scaffold lncRNA.
- **•** Various lncRNAs have been shown to control nucleosome positioning and chromosome looping in plants and other eukaryotes.
- **•** Noncoding transcription involved in transcriptional gene silencing in plants cooperates with at least two putative ATP-dependent chromatin remodelers.
- Unique features of RNA make it especially suited for controlling chromatin structure.



#### **Figure 1.**

Mechanisms preventing negative feedback between non-coding transcription and lncRNAmediated nucleosome positioning. Pol V produces lncRNA, which serves as a binding scaffold for RNA-binding proteins including IDN2 (top). IDN2 recruits the SWI/SNF chromatin remodeling complex, which positions nucleosomes. Positioned nucleosomes contribute to repression of Pol II transcription. In a subsequent round of transcription (bottom) the previously positioned nucleosome could prevent Pol V from transcribing and reinforcing silencing. The RDD complex, which includes the putative chromatin remodeler DRD1, is proposed to remove the nucleosome and facilitate Pol V transcription, thereby preventing negative feedback between lncRNA and lncRNA-mediated nucleosome positioning.



## **Figure 2.**

Feedback between non-coding transcription and chromatin in TGS. lncRNA mediates repressive chromatin modifications (DNA methylation and H3K9me2), which facilitate noncoding transcription. Therefore, lncRNA-mediated repressive chromatin modifications are maintained by a positive feedback loop. lncRNA-mediated nucleosome positioning creates a physical obstacle to subsequent rounds of transcription. This way, there is negative feedback between non-coding transcription and nucleosome positioning, which could prevent efficient silencing. Additional chromatin remodelers are presumed to prevent this negative feedback and facilitate efficient silencing.



#### **Figure 3.**

Proposed unique features that make lncRNA a versatile factor in controlling chromatin structure.

(a) lncRNA may work in *cis* by staying attached to the transcribing RNA polymerase. Nascent lncRNA provides a binding site for RNA binding proteins. RNA-binding proteins interact with lncRNA by a sequence- or structure-specific mechanism.

(b) lncRNA may work in *trans* by combining nucleotide sequence and complex threedimensional structure. Sequence complementarity may facilitate lncRNA binding to specific loci in the genome. A complex three-dimensional structure may allow interaction with proteins that are recruited to chromatin or mediate chromosome looping.