

NANO EXPRESS

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Enhanced photoelectrocatalytic performance of α -Fe₂O₃ thin films by surface plasmon resonance of Au nanoparticles coupled with surface passivation by atom layer deposition of Al₂O₃

Yuting Liu^{1,2}, Zhen Xu², Min Yin^{2*}, Haowen Fan¹, Weijie Cheng^{1,2}, Linfeng Lu², Ye Song¹, Jing Ma^{3*} and Xufei Zhu¹

Abstract

The short lifetime of photogenerated charge carriers of hematite (α -Fe₂O₃) thin films strongly hindered the PEC performances. Herein, α -Fe₂O₃ thin films with surface nanowire were synthesized by electrodeposition and post annealing method for photoelectrocatalytic (PEC) water splitting. The thickness of the α -Fe₂O₃ films can be precisely controlled by adjusting the duration of the electrodeposition. The Au nanoparticles (NPs) and Al₂O₃ shell by atom layer deposition were further introduced to modify the photoelectrodes. Different constructions were made with different deposition orders of Au and Al₂O₃ on Fe₂O₃ films. The Fe₂O₃-Au-Al₂O₃ construction shows the best PEC performance with 1.78 times enhancement by localized surface plasmon resonance (LSPR) of NPs in conjunction with surface passivation of Al₂O₃ shells. Numerical simulation was carried out to investigate the promotion mechanisms. The high PEC performance for Fe₂O₃-Au-Al₂O₃ construction electrode could be attributed to the Al₂O₃ intensified LSPR, effective surface passivation by Al₂O₃ coating, and the efficient charge transfer due to the Fe₂O₃-Au Schottky junctions.

Keywords: Hematite; Photoelectrocatalytic water splitting; Surface plasmon resonance; Surface passivation; Atomic layer deposition

Background

Solar water splitting has received great attention because of the potential of the mass production of green and renewable fuel [1–3]. The visible-light-active photocatalysts is envisioned to be successful for this application [4]. α -Fe₂O₃ (hematite) with low band gap ($E_g = \sim 2.2$ eV), natural abundance, low cost, and excellent chemical stability is one of the promising metal oxide semiconductor materials for this application [5]. It has been theoretically predicted that a semiconductor with this band gap can achieve a solar-to-hydrogen efficiency of 16.8 % [6]. However, the reported efficiencies of α -Fe₂O₃ are notoriously

lower than the predicted value, mainly due to the short lifetime of photogenerated charge carriers (<10 ps) [7–9], whereas the absorption depth of 2.2 eV photons (near the band gap) in hematite (118 nm) is much larger than the diffusion distance (2 ~ 4 nm) [10]. In this regard, very thin α -Fe₂O₃ films should be used for facilitating the carriers transport and collection. It is significantly crucial and challenging to make the thin film electrode possess efficient absorption for effective photoelectrocatalytic (PEC) water splitting.

Surface plasmon is an efficient method to localize photon absorption at the semiconductor surface through incorporation of plasmonic metal nanoparticles on the semiconductor electrode [11, 12]. Au is an attractive plasmonic metal for PEC water splitting [13], which cannot only interact with the incident light in visible and infrared region but also act as an electron trap facilitating electron–hole

* Correspondence: yinm@sari.ac.cn; jingma@shu.edu.cn

²Shanghai Advanced Research Institute, Chinese Academy of Sciences, Shanghai 201210, China

³School of Environmental and Chemical Engineering, Shanghai University, Shanghai 200444, China

Full list of author information is available at the end of the article

separation by forming local Schottky junctions. The plasmon resonance frequency and intensity depends on the geometry and distribution engineering of nanoparticles and also the dielectric property of the surrounding medium.

Surface passivation of semiconductors could efficiently reduce the surface charge recombination in semiconductor technology, which is of significant importance on enhanced performances. The atomic layer deposition (ALD) is a common and easy surface modification method that has been employed in solar cells [14, 15], water splitting [16, 17], and solar fuel production [18, 19]. ALD is a stepwise and conformal coating technique with precisely controlled composition and thickness with a few nanometers. Our recent work demonstrated that 0.8 -fold enhancement of photocurrent was achieved by coating TiO₂ nanotubes with Al₂O₃ [17].

Therefore, it is accordingly hopeful to construct novel structured α -Fe₂O₃ electrode with high solar-to-hydrogen efficiency by integrating the surface plasmon resonance and surface passivation on α -Fe₂O₃. In this paper, α -Fe₂O₃ thin films with surface nanowire were realized by an electrodeposition and post thermally annealing process. Further, Au NPs and Al₂O₃ thin layers were loaded on the surface of the α -Fe₂O₃ to explore the PEC performance of α -Fe₂O₃. Different constructions were achieved with different deposition orders of Au and Al₂O₃. Numerical simulations by finite difference time domain (FDTD) method were employed to investigate the effect of Au and Al₂O₃ coating on α -Fe₂O₃ electrodes.

Methods

Synthesis of α -Fe₂O₃

Fluorine-modified tin oxide (FTO)-coated glasses were immersed in isopropanol with saturated KOH solution for 24 h to remove absorbed organics, followed by ultrasonically cleaned in acetone, ethanol, and distilled water successively for 25 min. Fe films were prepared by constant current (20 mA · cm⁻²) electrodeposition on the FTO for 120, 180, and 360 s. The deposition solution consists of 48 g ferrous sulfate (FeSO₄ · 7H₂O, ≥99.0 %, Greagent), 1.2 g ascorbic acid (C₆H₈O₆, ≥99.7 %, Greagent), 0.4 g amidosulfonic acid (H₂NSO₃H, ≥99.0 %, Greagent), 12 g boric acid (H₃BO₃, ≥99.5 %, Greagent), and 800 mL distilled water. Electrodeposition was carried out in a standard three-electrode configuration consisting of a Pt foil counter electrode, an Ag/AgCl reference electrode (saturated by 3 M KCl), and a FTO working electrode. After electrodeposition, the α -Fe₂O₃ films will be formed by post annealing process in the muffle furnace immediately at 150 °C for 2 h then up to 520 °C for 4 h with a heating rate of 2 °C · min⁻¹.

Loading of Au nanoparticles

The Au films were deposited on the Fe₂O₃ electrodes using an ion sputtering equipment (DENTON VACUUM/

DESK V HP) with the current of 30 mA · cm⁻² for different sputtered time (10, 15, 25, 35 s). The vacuum degree during ion sputtering was lower than 0.099 Torr. The Fe₂O₃ electrode modified with nanoparticles (NPs) was denoted as Fe₂O₃-Au. Then Fe₂O₃-Au electrode was annealed in ambient air at 300 °C for 1 h in a rapid thermal annealing furnace to form Au spheres on the surface of Fe₂O₃ [20, 21].

Conformal coating of Al₂O₃

Al₂O₃ shells were conformally coated onto the Fe₂O₃ or Fe₂O₃-Au by ALD processes performed with SUNALETMR-200. Al₂O₃ shells were deposited at 200 °C for 25 cycles using Al(CH₃)₃ and H₂O as precursors with a growth rate of ~1 Å · cycle⁻¹. Thus, a series of composite nanostructures based on Fe₂O₃ films, i.e., Fe₂O₃, Fe₂O₃-Al₂O₃, Fe₂O₃-Au, Fe₂O₃-Au-Al₂O₃, and Fe₂O₃-Al₂O₃-Au had been constructed.

Characterization

The morphology and crystalline structure of the electrodes was characterized by field-emission scanning electron microscope (FESEM, Hitachi S4800) and X-ray diffractometer (XRD, Bruker D8 Discover diffractometer), respectively.

The PEC water splitting performances of the Fe₂O₃ based electrodes were evaluated by AUTOLAB (PGSTAT302N/FRA2) using a three-electrode setup with the Fe₂O₃-based films (1 cm²) as working electrode, Ag/AgCl (3 M KCl) electrode as reference electrode, and a platinum foil as counter electrode following our previous work [22]. Used as the supporting electrolyte was 1 M KOH solution. The Al₂O₃ layer deposited by ALD method is stable in the KOH solution [23]. The photocurrent was measured at an applied potential of 0.4 V vs Ag/AgCl under chopped light irradiation with a Xe lamp (PLS-SXE300UV) coupled with an AM 1.5G filter. The light was achieved irradiated to the backside of the electrodes (FTO side, backside illumination). The electrochemical impedance spectroscopy measurements were performed in dark at open circuit potential over a frequency ranging from 100000 to 0.1 Hz with amplitude of 10 mV. The Mott-Schottky plots were obtained at a fixed frequency of 1 kHz.

Results and discussion

Figure 1a, b shows the digital photographs of Fe film with 180 s electrodeposition and Fe₂O₃ film after annealing process. The Fe film has a matt black color with good uniformity. Annealing treatment yields the formation of oxide film with red color. Figure 1c shows the XRD patterns of the Fe and Fe₂O₃ film. The crystallite diffractions of SnO₂ from FTO were labeled as solid circle. The XRD pattern

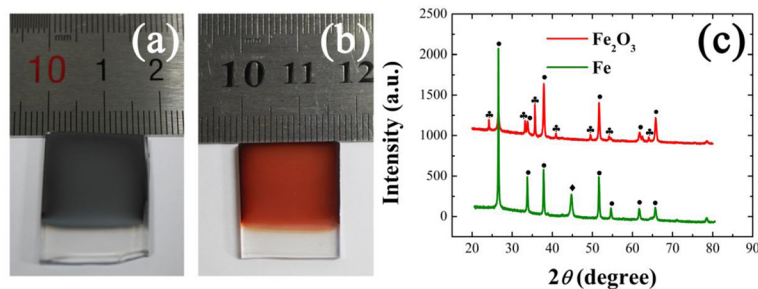


Fig. 1 Digital photographs of **a** Fe and **b** α -Fe₂O₃ films on the FTO glass; **c** XRD patterns of Fe and α -Fe₂O₃ films

of Fe shows the body-centered cubic structure of Fe crystal (JCPDF No. 00-006-0696). The post annealing process converted Fe to hematite structure completely, where the peaks at 35.7°, 33.2°, and 24.2° correspond to the (101), (104), and (012) plane of hematite, respectively (JCPDF No. 33-0664).

Figure 2 shows the top and cross-sectional view of α -Fe₂O₃ on the FTO. The thickness of α -Fe₂O₃ film was increased from 180 to 270 nm with electrodeposition time increase from 120 to 360 s (Fig. 2b, c). The Fe film formation by electrodeposition is a chemical equilibrium between the chemical dissolution of Fe in the acid deposition solution and the deposition of Fe on the FTO, which is accomplished only as the deposition rate is higher than the surface dissolution rate [24]. The nanowire arrays with diameter of \sim 40 nm were found to exist uniformly on the surface of oxide thin film. The formation of surface nanowires is ascribed to the vapor-solid oxidation approach on Fe films, which has been reported to be a useful method for vertical growth of α -Fe₂O₃ nanowires or nanorods [25].

Figure 3 shows the surface morphology of Fe₂O₃-Au electrodes with different Au sputtering time. It was found that the diameter of Au NPs increases with the sputtering time. The Au NPs sputtered for 10 s are not homogeneous distributed with diameter ranging from 2 to 20 nm (see Fig. 3a). The sample with Au sputtered for 15 s shows the best homogeneous distribution of NPs with diameter of 10 ± 1 nm (see Fig. 3b). Some NPs on the nanowires can also be observed. The Fe₂O₃-Au with Au sputtered for 25 s shows the Au diameters with the range of 7–18 nm, while the diameter of sample with Au sputtered for 35 s is up to 40 nm which can be clearly observed in Fig. 3d.

The linear sweeps voltammetry (LSV) curves of the pristine Fe₂O₃ electrodes with varying deposition durations are shown in Fig. 4a. The photocurrent of the Fe₂O₃ electrode with deposition time for 180 s is the highest of all above -0.15 V vs Ag/AgCl. When the deposition time is 120 s, the thickness of film is \sim 180 nm (seen in Fig. 2b) which is too thin to absorb sufficient light. As the deposition time increases to 360 s, the

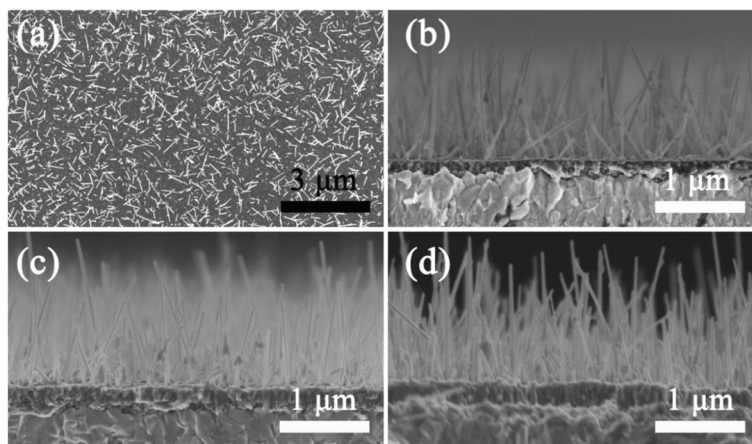


Fig. 2 **a** Top view of α -Fe₂O₃ with electrodeposition time of 180 s; cross-sectional view of α -Fe₂O₃ with different deposition time **b** 120 s; **c** 180 s; **d** 360 s, the electrodeposition current is $20 \text{ mA} \cdot \text{cm}^{-2}$

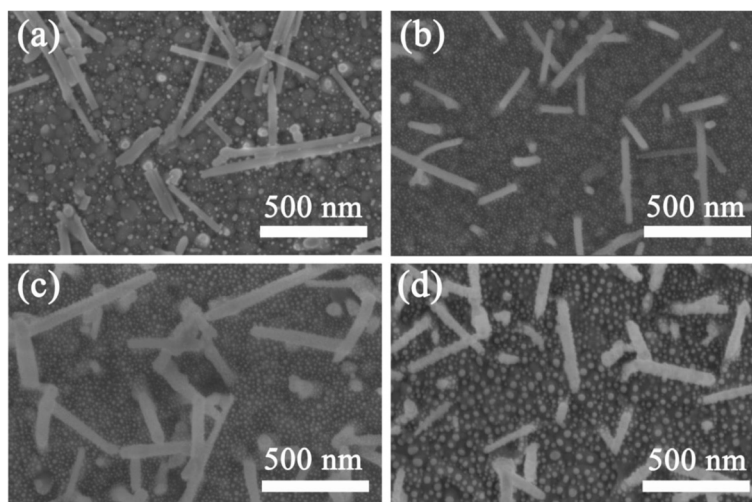


Fig. 3 Surface morphology of Fe_2O_3 -Au electrodes with different Au sputtering time. **a** 10 s; **b** 15 s; **c** 25 s; **d** 35 s. The sputtering current is $30 \text{ mA} \cdot \text{cm}^{-2}$. All electrodes are annealed at 300°C for 1 h after the sputtering of Au

photocurrent is even lower, as seen from the Fig. 4a. The short hole diffusion length ($\sim 2\text{--}4 \text{ nm}$) [9] allows only holes created close to the electrolyte interface to oxidize water. Since the light penetration length in $\alpha\text{-Fe}_2\text{O}_3$ is of the order of 100 nm [26], most holes created in the bulk will recombine with electrons before

reaching the surface as the thickness of Fe_2O_3 film increases to 270 nm (see Fig. 3d). In this regard, the following $\alpha\text{-Fe}_2\text{O}_3$ photoelectrodes are studied based on 180 s deposition unless otherwise stated.

The LSV curves of the $\alpha\text{-Fe}_2\text{O}_3$ electrodes with different Au sputtering durations are shown in Fig. 4b. The

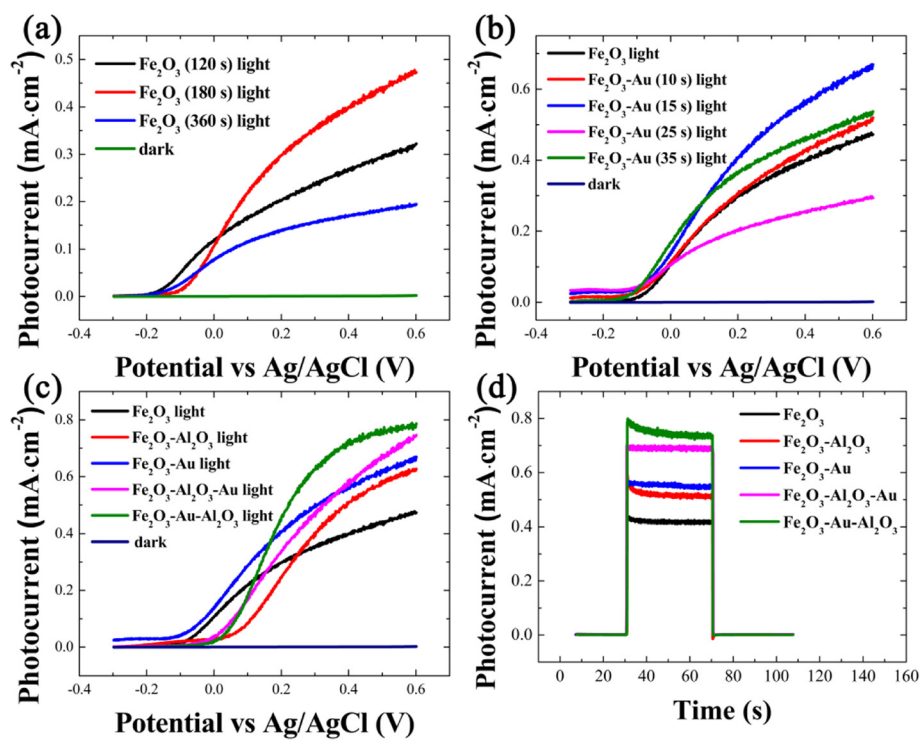


Fig. 4 LSV curves of **a** pristine Fe_2O_3 electrode with varying deposition time, **b** pristine Fe_2O_3 and Fe_2O_3 -Au electrodes with different Au sputtering time, and **c** pristine Fe_2O_3 and modified Fe_2O_3 . **d** Photocurrent responses of pristine Fe_2O_3 and modified Fe_2O_3 at an applied potential of 0.4 V . Electrolyte, 1 M KOH solution, illumination: a 300 W Xe lamp coupled with an AM 1.5 filter

Fe₂O₃-Au (15 s) shows the best PEC performance among these electrodes above 0.1 V vs Ag/AgCl. It may be resulted from the particle size and the distribution variations for the different Fe₂O₃-Au samples, which changes the resonance frequency and intensity of Au SPR peaks [27]. As the nanoparticles are smaller than 3 nm, the SPR could not be motivated due to the quantum confinement effect [28]. The SPR begins to work as the nanoparticles increase to be larger than 4 nm, and the resonance will be red shifted with the size increase of metal nanoparticles. The spectra overlap between plasmonic Au nanoparticles, and Fe₂O₃ may happen on the proper Au nanoparticles morphology. Additionally, the metal nanoparticles absorb photons from an area much larger than their geometric cross section [29]. The photon absorption by Fe₂O₃ itself may be decreased with the excessive size increase of metal particles. According to the experimental results, 15 s was thus chosen as the sputtering time for the following Au deposition.

Le Formal et al. [23] demonstrated an enhancement of photocurrent in comparison with the Fe₂O₃ electrode by employing Al₂O₃ passivation coating. The Al₂O₃ surrounding also results in an increase of dielectric circumstance of metal nanoparticles, which could strengthen the localized electromagnetic field with tunable resonance frequency [30, 31]. Therefore, a combination of NPs and Al₂O₃ coating is of special interest in PEC system. For comparison, the modified Fe₂O₃ electrodes with both single step coating (i.e., Fe₂O₃-Al₂O₃ and Fe₂O₃-Au) and sequential coating (Fe₂O₃-Au-Al₂O₃ and Fe₂O₃-Al₂O₃-Au) processes are characterized. Figure 4c shows that the photocurrent of the pristine Fe₂O₃-Au electrodes is obviously higher than others at 0.0 V vs Ag/AgCl, which could be attributed to the increased open circuit potential by the Au modification. The hematite films are n-type photoanodes and a positive applied bias potential will increase the photocurrent generation as the Fermi level moves to assist charge separation and facilitate water splitting [32]. The conduction band of α -Fe₂O₃ is located slightly below the level needed for hydrogen production, and its valence band is well-suited for oxygen production. Therefore, an external bias is typically required for water splitting when using α -Fe₂O₃ materials as photoanodes [26, 33]. The photocurrent of the samples increases unceasingly at a higher potential, and the photocurrent of the Fe₂O₃-Au-Al₂O₃ electrode increases to 1.78-fold compared to that of the pristine Fe₂O₃ at the potential of 0.4 V. The trend of photocurrent responses measured at an applied potential of 0.4 V in Fig. 4d mirrors that of the LSV plots, which follows the order of Fe₂O₃-Au-Al₂O₃ > Fe₂O₃-Al₂O₃-Au > Fe₂O₃-Au > Fe₂O₃-Al₂O₃ > Fe₂O₃.

The Mott-Schottky and Nyquist plots are used to determine the carrier density, capacitance, and impedance

of the electrodes, and the results are shown in Fig. 5. Carrier density can be calculated from the slope of Mott-Schottky plots. The equation is shown as follows:

$$N_d = \frac{2}{e_0 \epsilon \epsilon_0 \frac{d(\frac{1}{2})}{dV}}$$

Where e_0 is the electron charge, ϵ is the dielectric constant of Fe₂O₃ ($\epsilon = 80$) [34, 35], ϵ_0 is the permittivity of vacuum, N_d is the donor density, and V is the applied bias at the electrode. The positive slopes indicate the n-type behaviors of both pristine and modified samples. The calculated electron density of electrodes is shown in the Fig. 5b. The electron density of Fe₂O₃-Au-Al₂O₃ is $4.61 \times 10^{-3} \text{ cm}^{-3}$ [17], which is 120 times of that of pristine Fe₂O₃ electrode. Then, the interfacial properties between the electrolyte and electrodes are further characterized by electrochemical impedance spectroscopy (EIS) under dark condition (Fig. 5c). The arc of Nyquist plot is characteristic of charge transportation resistance. The diameter of the arc for the Fe₂O₃-Au-Al₂O₃ electrode is the smallest one, indicating that the resistance of the charge transportation is significantly decreased. The diameter of the arc for these electrodes follows the order of Fe₂O₃-Au-Al₂O₃ < Fe₂O₃-Al₂O₃-Au \approx Fe₂O₃-Al₂O₃ < Fe₂O₃-Au < Fe₂O₃. The small arc diameter of Al₂O₃ coated Fe₂O₃-based electrode indicates the facilitated charge transportation enhanced by the decreased surface recombination from Al₂O₃ passivation.

The FDTD simulations were performed to calculate electric field distribution across the interfaces in different electrodes under 574 nm as shown in Fig. 6. The color index represents the magnitude of electric field intensity normalized with that of the light propagating in free space. The electric field intensity of pristine Fe₂O₃ and Fe₂O₃-Al₂O₃ electrodes is very weak under 574 nm, and there is no change in color on the interface (not shown here). As coupling the Au plasmonic structure and Al₂O₃ coating on Fe₂O₃ electrode, high-electric field intensity can be always found in the area where the Au is in contact with semiconductor and Al₂O₃. It is generally accepted that there are three non-mutually exclusive energy-transfer mechanisms in plasmonic-photocatalyst systems, involving the SPR-induced charge injection from metal to semiconductor, near-field electromagnetic, and scattering mechanism [29]. The scattering effect can be safely ruled out in our study because it usually occurs in plasmonic metal nanostructures with the diameter larger than 50 nm. SPR-induced charge injection and near-field electromagnetic mechanism may co-contribute on our Fe₂O₃-Au systems. In near-field electromagnetic mechanism, the excited Au increases the intensity of local electric field, which will penetrate into Fe₂O₃ and amplify the local light intensity. For the Fe₂O₃-Al₂O₃-Au

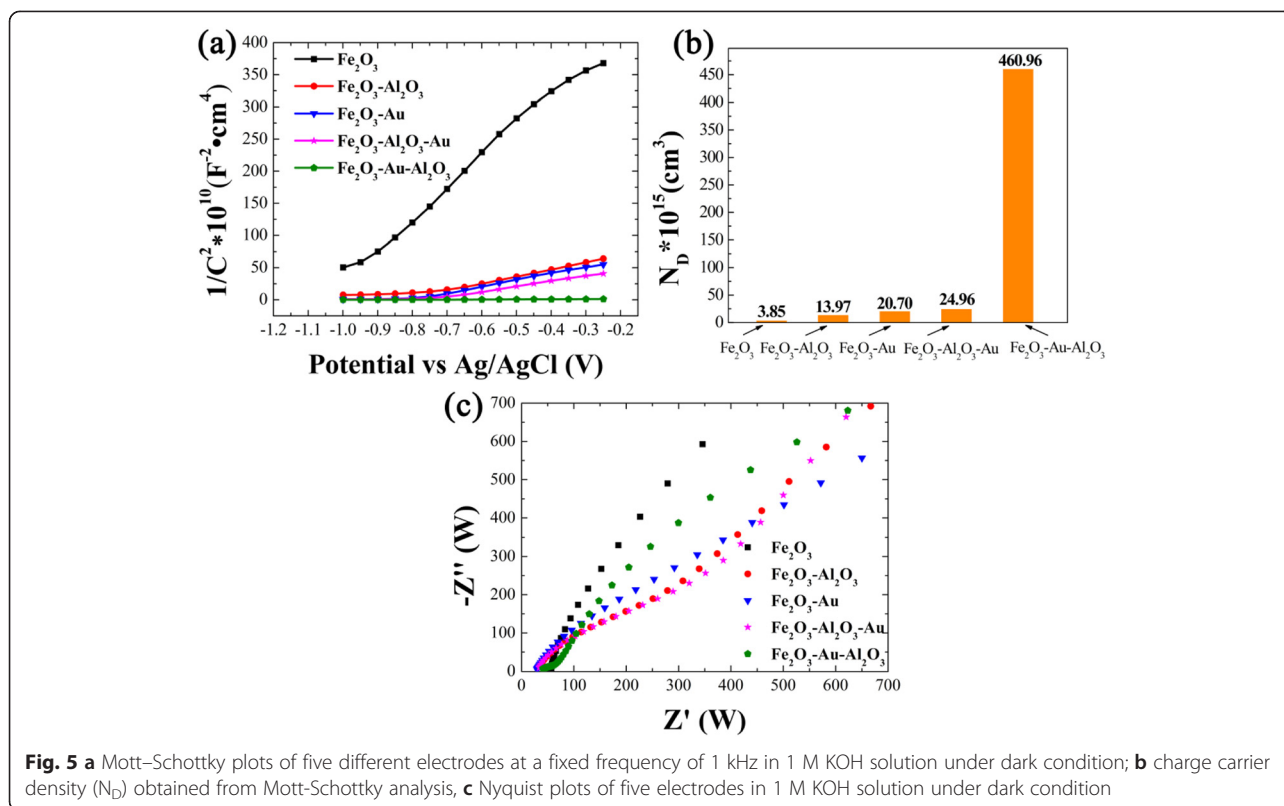


Fig. 5 **a** Mott-Schottky plots of five different electrodes at a fixed frequency of 1 kHz in 1 M KOH solution under dark condition; **b** charge carrier density (N_D) obtained from Mott-Schottky analysis, **c** Nyquist plots of five electrodes in 1 M KOH solution under dark condition

electrode, the intensified electromagnetic field is largely blocked by the Al_2O_3 spacer layer. This suggests that the near-field electromagnetic enhancement mechanism can hardly contribute the enhanced PEC performance with the presence of dielectric spacer layer, which is in accordance with our previous work [16]. Despite this, the $\text{Fe}_2\text{O}_3\text{-Al}_2\text{O}_3\text{-Au}$ electrode still shows the higher photocurrent than $\text{Fe}_2\text{O}_3\text{-Al}_2\text{O}_3$ electrode, which could benefit from the SPR-mediated hot-electron injection process. The $\text{Fe}_2\text{O}_3\text{-$

Al_2O_3 electrode shows the strongest LSPR electric field, biggest radiation areas, and deepest penetration depth into the Fe_2O_3 (Fig. 6c), as compared with the other configurations. This may be mainly resulted from the fact that the Al_2O_3 coating increases the refractive index of the surrounding medium from 1.33 (in water) to 1.76 (in Al_2O_3) [36, 37], which could intensify the plasmon resonance. Both near-field electromagnetic effect and SPR-induced charge injection from metal to semiconductor

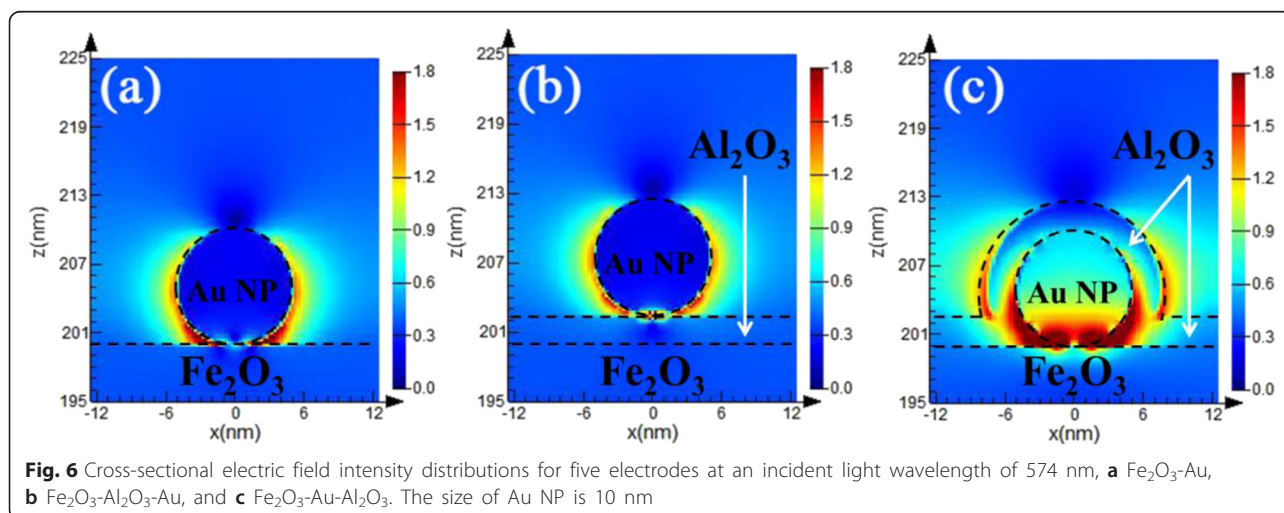


Fig. 6 Cross-sectional electric field intensity distributions for five electrodes at an incident light wavelength of 574 nm, **a** $\text{Fe}_2\text{O}_3\text{-Au}$, **b** $\text{Fe}_2\text{O}_3\text{-Al}_2\text{O}_3\text{-Au}$, and **c** $\text{Fe}_2\text{O}_3\text{-Au-Al}_2\text{O}_3$. The size of Au NP is 10 nm

could contribute the intensified electric field and the enhanced PEC performances. The rate of electron–hole formation is proportional to the local intensity of the electric field and radiation areas [38]. It means that more pairs of electron–hole are generated in the Fe_2O_3 semiconductor, which is in accordance with the much increased ND value in the Mott-Schottky analysis (see Fig. 5). Consequently, most of the photogenerated charges created by the plasmon excitation contribute to the surface catalysis for water splitting. From the perspective of the SPR-mediated hot-electron injection mechanism, the intensified electromagnetic field will facilitate more energetic electrons on Au nanoparticles. Electrons photoexcited by the Au NPs will pass over the Schottky barrier and migrate to the conduction band of Fe_2O_3 . Schottky barrier at the interface also helps the transferred hot electrons accumulate in the Fe_2O_3 conduction band, preventing them from traveling back to the Au NPs.

Conclusions

The thin film $\alpha\text{-Fe}_2\text{O}_3$ electrodes with surface nanowire were successfully obtained by electrodeposition and post thermal annealing process for PEC water-splitting application. The LSPR of Au NPs in conjunction with surface passivation by Al_2O_3 shells was further introduced for the enhanced PEC performance of $\alpha\text{-Fe}_2\text{O}_3$ photoelectrodes. Among the different configurations, the Fe_2O_3 -Au- Al_2O_3 construction shows the best PEC performance, attributing to the Al_2O_3 intensified LSPR, effective surface passivation by Al_2O_3 surface coating, and the rapid charge carriers transfer due to the Schottky junctions at the interface of metal and semiconductor. These results can not only contribute fundamentally to the mechanism studies of the SPR-based photocatalysis but also open a new avenue for the design strategies of high-performance photocatalysts for solar-to-fuel energy conversion.

Competing interests

The authors declare that they have no competing interests.

Author's contributions

YTL carried out the experiments and drafted the manuscript. ZX carried out the simulation and the experimental analysis. MY participated in the statistical analysis and helped to polish the manuscript. HWF and WJC helped the electrochemical experiments. LFL conceived the study and helped to polish the manuscript. YS participated in the experimental design. JM and XFZ conceived the study and participated in its design and coordination. All authors read and approved the final manuscript.

Acknowledgements

This work was financially supported by the National Natural Science Foundation of China (Grant Nos. 61171043, 51377085, 21477072, 21503261) and the Project Funded by the Priority Academic Program Development (PAPD) of Jiangsu Higher Education Institutions.

Author details

¹Key Laboratory of Soft Chemistry and Functional Materials of Education Ministry, Nanjing University of Science and Technology, Nanjing 210094, China. ²Shanghai Advanced Research Institute, Chinese Academy of Sciences,

Shanghai 201210, China. ³School of Environmental and Chemical Engineering, Shanghai University, Shanghai 200444, China.

Received: 20 August 2015 Accepted: 15 September 2015

Published online: 29 September 2015

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