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The fraction of influenza virus infections that are asymptomatic: a systematic review and meta-analysis

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Abstract

Background—The fraction of persons with influenza virus infection who do not report any signs or symptoms throughout the course of infection is referred to as the asymptomatic fraction.

Methods—We conducted a systematic review and meta-analysis of published estimates of the asymptomatic fraction of influenza virus infections. We found that estimates of the asymptomatic fraction were reported from two different types of studies: first, outbreak investigations with shortterm follow-up of potentially exposed persons and virologic confirmation of infections; second, studies conducted across epidemics typically evaluating rates of acute respiratory illness among persons with serologic evidence of infection, in some cases adjusting for background rates of illness from other causes.

Results—Most point estimates from studies of outbreak investigations fell in the range 4%–28% with low heterogeneity $(I^2=0\%)$ with a pooled mean of 16% (95% CI: 13%, 19%). Estimates from the studies conducted across epidemics without adjustment were very heterogeneous (point estimates 0%–100%; $I^2=97$ %), while estimates from studies that adjusted for background illnesses were more consistent with point estimates in the range 65%–85% and moderate heterogeneity $(I²=58%$). Variation in estimates could be partially explained by differences in study design and analysis, and inclusion of mild symptomatic illnesses as asymptomatic in some studies.

Conclusions—Estimates of the asymptomatic fraction are affected by the study design, and the definitions of infection and symptomatic illness. Considerable differences between the asymptomatic fraction of infections confirmed by virologic versus serologic testing may indicate fundamental differences in the interpretation of these two indicators.

Keywords

influenza; asymptomatic; public health

CONFLICTS OF INTEREST

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INTRODUCTION

Influenza virus infections lead to a wide range of clinical manifestations, from severe pneumonia through to mild or even asymptomatic disease (1). Asymptomatic infection is defined as infection without any signs or symptoms of that infection (2). There has been discussion over the proportion of influenza virus infections that are associated with asymptomatic disease, referred to as the asymptomatic fraction. An understanding on the asymptomatic fraction is important in two respects. First, improved estimation of the asymptomatic fraction could aid estimation and prediction of incidence of infection from surveillance data on symptomatic illnesses (3). Second, knowledge of the fraction of infections that are asymptomatic and their infectiousness relative to symptomatic infections would be important in optimizing public health control strategies such as contact tracing and quarantine, and characterizing transmission dynamics using mathematical models (4, 5). However, there is currently no consensus on the value of the AF with different studies typically using values from 20%–50% (4, 6–8). Therefore the objective of our study was to describe and summarize published estimates of the asymptomatic fraction, and to identify factors in study design or analysis that could contribute to differences in estimates of the asymptomatic fraction.

METHODS

Search Strategy

This systematic review was conducted and reported following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement (9). We identified publications on 11 April 2014 describing the asymptomatic fraction of influenza virus infections in PubMed and Scopus using the following search terms:

asymptomatic[All Fields] AND ("influenza, human"[MeSH Terms] OR ("influenza"[All Fields] AND "human"[All Fields]) OR "human influenza"[All Fields] OR "influenza"[All Fields]). The search was limited to entries created in the database on or before 11 April 2014 but was not limited by publication date. The authors' own databases of full-text publications were also searched.

Study Selection

The titles of all articles identified by the search strategy were independently screened by two authors (N.H.L.L. and B.J.C.). Only articles written in English were included, and reviews and articles that did not contain empirical data (i.e. collection of clinical samples) on the number of people with any evidence of laboratory-confirmed infection were excluded (the definition of which is given in the next subsection). We then screened abstracts of potentially relevant papers, with studies excluded if 1) abstract or full text was not available, 2) participants were taking antiviral prophylaxis, 3) influenza infections were not laboratoryconfirmed, 4) symptoms were not reported or 5) the asymptomatic fraction was undetermined. The full texts of the remaining articles were then reviewed for eligibility. Studies were eligible for inclusion if they provided an estimate of the AF defined as laboratory-confirmed infection without any signs or symptoms, or if not, the number of

individuals assessed to be infected with laboratory confirmation together with the number of those who have no evidence of symptomatic illness. Volunteer challenge studies (10) were excluded from the present review, which focused on natural infections, because of the potential for mode of inoculation and infectious dose to affect the probability and severity of symptomatic illness (11, 12). Studies that reported the asymptomatic fraction as the probability of influenza virus infection conditional on asymptomatic illness were also excluded (13–15).

Definition of asymptomatic fraction

The asymptomatic fraction is defined as the probability of illness without any signs and symptoms, or not fulfilling the criteria of illness as defined by the individual studies, conditional on laboratory-confirmed infection. The estimate of asymptomatic fraction was typically reported in the studies as the proportion of individuals without symptoms (or not fulfilling the study-specific case definition) among all individuals with laboratory-confirmed infection. Case definitions of asymptomatic illness included completely asymptomatic (without any symptoms), absence of acute respiratory illness (ARI, usually defined as the presence of respiratory symptoms such as fever/feverish, cough, sore throat, headache, fatigue, muscle pain and runny nose with slight variations across different studies), absence of influenza-like illness (ILI, usually defined as the presence of fever plus cough or sore throat) or absence of fever. Laboratory-confirmed influenza virus infection was defined as an infection that was confirmed by virologic testing either by reverse transcriptase polymerase chain reaction (PCR) or viral culture on a respiratory specimen such as a nasal swab; or an infection indicated by serologic testing by hemagglutination-inhibition (HI), microneutralization (MN), or complement fixation assay (CF), with a $\,$ 4-fold rise in antibody titer in paired sera across an epidemic, or a titer $\,40$ in a single serum specimen.

Data extraction

Our principal summary measures were the estimates along with 95% confidence intervals (CI) of the asymptomatic fraction. We extracted whenever available point estimates and 95% CIs of reported asymptomatic fractions, counts of the number of individuals who had laboratory-confirmed infection, and counts of the number of individuals who were asymptomatic among infected, and documented other features of the studies on a standardized form including study design, age range of participants, influenza types/ subtypes recovered, laboratory assays used to identify influenza virus infection, the definition of influenza virus infection and of asymptomatic illness, and whether estimates of the asymptomatic fraction were adjusted for background rates of acute respiratory illnesses not due to influenza virus infection. When estimates and 95% CIs of asymptomatic fractions were not reported in the studies they were calculated from the number of individuals infected and the number of those who were asymptomatic, assuming a binomial distribution.

Statistical Analysis

We constructed a forest plot of the estimates and 95% CIs of the asymptomatic fraction using the estimates reported in the studies or calculated from the counts of number of individuals infected and counts of number of infected individuals who were asymptomatic. Estimates of the asymptomatic fraction were classified by type of study and heterogeneity

was estimated using the I^2 statistic with a random-effects model (16). I^2 is interpreted as the proportion of total variation in the effect estimates that is due to heterogeneity between studies, with an $I²$ of 0% indicating that all variability is due to sampling error within studies and I^2 values of 25%, 50% and 75% indicating low, medium and high degrees of heterogeneity respectively (17, 18). Pooled estimates of the asymptomatic fraction would only be made if there was low heterogeneity. All analyses were conducted with R version 3.0.3 (R Foundation for Statistical Computing, Vienna, Austria) and the *meta for* package (19).

RESULTS

We identified 463 titles in the first step. We then reviewed 109 abstracts and 68 full-length articles, and eventually selected 30 articles for inclusion in this review (Figure 1). The articles could be classified into two types of study design: outbreak investigations (11 studies) and trans-epidemic studies (19 studies). The characteristics of the 30 included studies are summarized in Table 1.

Studies in the group of outbreak/epidemic investigations included eight household transmission studies (20–27) and three studies in other settings (28–30). In these studies, identification of initial laboratory-confirmed cases was followed by intense follow-up of exposed persons that included repeated collection of respiratory specimens or sera regardless of symptomatic illness. The asymptomatic fraction could then be estimated among exposed persons (excluding the initial cases) based on the proportion of laboratory-confirmed infections without symptomatic illness. Point estimates of the asymptomatic fraction from the studies in this group fell within the range 4%–28% or had wide confidence intervals extending into this range (Figure 2A). Heterogeneity measured by the I^2 statistic was low (0%) with a pooled mean of 16% (95% confidence interval, CI: 13%, 19%). Loeb *et al.* reported that the asymptomatic fraction was lower for H3N2 infections compared to infections with H1N1 and B (28), while there were no differences between subtypes in some other studies (20, 31).

The other 19 studies could be grouped together as serologic studies where individuals were followed up across entire epidemics, and testing of single or paired sera was used to identify infections, rarely in combination with virologic testing (32–50). Illness reports in the same individuals could then be used to infer how many influenza virus infections might have been symptomatic. The earliest study we identified was published in 1973 (49). Overall, point estimates of the asymptomatic fraction from this group of studies were spread over a wide range of 0%–100% with very high heterogeneity ($I^2=97$ %) (Figure 2B and 2C).

In one early study, Monto *et al.* defined the "pathogenicity index" as the excess rate of illnesses in individuals with serologic evidence of infection compared to those without (34). In their study, Monto *et al.* subtracted illness rates in individuals without rises in paired titers from illness rates in individuals with titer rises, stratifying by age and then calculating the weighted mean. Assuming that the risk of influenza virus infection was independent of the rate of non-influenza illnesses, the authors estimated that at least 15.1% of influenza A(H3N2) and 33.7% of influenza B virus infections led to symptomatic illness (34). Most

studies did not adjust for rates of illness from other non-influenza causes in this way, while one study used a similar approach to the pathogenicity index described above (32), and another study used a regression method (33). The five adjusted estimates of the asymptomatic fraction (Figure 2B) were in the range 65%–85% and were higher than most of the unadjusted estimates (Figure 2C). There was less heterogeneity among the studies that reported adjusted estimates, with I^2 statistics of 58% for adjusted versus 97% for unadjusted estimates.

While most studies defined the asymptomatic fraction as infection completely without symptoms, some studies presented estimates of the asymptomatic fraction in terms of the proportion of infected persons that did not have febrile illness (41, 50), or the proportion of infected persons that did not have an illness which fulfilled a case definition for influenzalike illness that included fever (Table 1) (20, 30, 33, 35, 45).

Most of the studies (24/30) did not report data on age-specific asymptomatic fractions (Table 1), while in two studies the estimates of the asymptomatic fraction did not allow stratification by age because either all or none of the cases was asymptomatic (41, 46). In the remaining four studies where the age group-specific AFs (20, 34, 39) or data at individual level (47) were reported, the estimates of the asymptomatic fraction for influenza A tended to be higher in adults than in children or elderly, but Monto *et al.* reported that the pathogenicity index was highest in adults with influenza B virus infection after adjusting for other illnesses (34).

A few of the excluded studies are worthy of mention. Three studies presented the probability of influenza virus infection among asymptomatic persons, which is quite different to the asymptomatic fraction as we defined it above and strongly depends on the prevalence of infection (13–15). We excluded one study that determined laboratory-confirmed cases from both the recovery of viral RNA from intense follow up and from serologic evidence of infection across an epidemic, without providing a breakdown (51). One study measured the prevalence of influenza virus infection among inbound international airline travelers with symptomatic and asymptomatic illness (52), allowing inference on the fraction of infections associated with asymptomatic *or* pre-symptomatic virus shedding although such an estimate was not reported. Another study investigated asymptomatic infection among re-infected individuals, and reported that occurrence of symptoms was prevented during reinfection with a closely related virus even five years later (53).

DISCUSSION

Estimates of the asymptomatic fraction are affected by the study design, and the definitions of infection and symptomatic illness. Estimates of the asymptomatic fraction based on outbreak investigations and household transmission studies appeared to provide more homogeneity in estimates of the asymptomatic fraction, with most point estimates in the range 4%–28% and a pooled mean of 16% (95% CI: 13%, 19%) (Figure 2A). Advantages of outbreak investigations and household transmission studies in determining the asymptomatic fraction include the reduced risk of recall bias in symptom reporting with intense prospective follow-up, and the ability to identify the time of infection within a short time

frame. However, determining infections based on polymerase chain reaction (PCR) may under-ascertain some infections, since it has been reported that some exposed persons can have serologic evidence of infection without PCR-confirmed infection or symptomatic disease. For example, a study in Hong Kong reported that 6/19 (32%) of exposed persons with 4-fold or greater rises in antibody titer did not have PCR-confirmed infection and did not report symptoms (21). In addition, studies of this type might underestimate the asymptomatic fraction if symptomatic illnesses not due to influenza virus infection were misattributed to influenza.

We identified considerable variability in estimates of the asymptomatic fraction based on cohort studies with point estimates from 0%–100% (Figure 2B and 2C). It is unclear whether this heterogeneity is indicative of real differences in the asymptomatic fraction in different studies and settings. It is possible that infections acquired in the community are milder on average than secondary cases in outbreaks in households or other confined settings, because of the less intense exposure from the community so that lower infection dose might lead to milder illness (10, 54). Infection indicated by serology could be an indicator of adaptive protection which would lead to more asymptomatic infections in individuals with prior exposures or older age (53). On the other hand, it is possible to consider a number of reasons why the heterogeneity might be artefacts of the study design, including variation in the degree of under-reporting of illnesses, and varying definitions of serologic evidence of infection and asymptomatic infection. Regarding the definitions of serologic evidence of infection, most studies used 4-fold rise in antibody titer in paired sera to indicate infection, but some studies used less stringent (55) or more stringent (35) criteria. The use of seropositivity in a single serum specimen to indicate infection during the study period could have led to misclassification of some infections in some studies, as individuals might have different baseline titers prior to the study period. Regarding the definitions of "asymptomatic", many of the studies did not define the asymptomatic fraction explicitly. Some studies presented estimates of the asymptomatic fraction using a definition that included symptomatic illnesses in the numerator, as individuals not fulfilling the specified case definitions (e.g. influenza-like illness) were considered asymptomatic (33, 38, 41, 45, 50). However, a considerable proportion of persons with influenza virus infection have afebrile but symptomatic disease (24, 36, 39, 42) which could have led to overestimation of the asymptomatic fraction.

A few studies adjusted for symptomatic illnesses not caused by influenza (32–34), and some other studies compared rates of disease in persons with versus without evidence of infection without making a single adjusted estimate of the asymptomatic fraction (36, 39, 49). The adjusted estimates (32–34) (Figure 2B) were more consistent with point estimates in the range 65%–85%. Such approaches require the assumption that the risk of non-influenza illnesses is independent of the risk of influenza virus infections, which might not always hold $(5, 31, 56)$. The idea of non-independence is not new (56) and the implication for estimation of the asymptomatic fraction was explicitly discussed by Monto *et al.* who wrote that their approach might underestimate the pathogenicity of the virus in question, "because influenza may replace another illness during a limited time period" (34). This remains controversial.

In the outbreak studies, a reduced asymptomatic fraction among H3N2 infections would be consistent with greater seriousness of H3N2 compared to H1N1 and B infections (28, 57). Some studies could not identify significant differences in the asymptomatic fraction between types/subtypes (21, 33, 35), and some reported lower estimates of the asymptomatic fraction for H1N1 (48) and B (34).

Given that disease severity is known to vary by age (58–60), and that immunity changes substantially with age (61, 62), it would be reasonable to hypothesize changes in the asymptomatic fraction with age. However, most of the studies that we reviewed did not provide sufficient data to allow stratification of the estimates of the asymptomatic fraction by age (Table 1). Most studies also did not report on the vaccination status of the infected individuals who did not report symptoms, although given the timing of studies conducted during the first wave of H1N1pdm09, participants in those studies would not have been vaccinated against H1N1pdm09. More data on factors that might affect the estimates of the asymptomatic fraction would be valuable, such as larger studies that permit assessment of age-specific asymptomatic fractions.

Knowledge of the asymptomatic fraction is important from two perspectives: (1) the fraction of cases that are infected but asymptomatic is important for assessing the severity and the burden of disease; and (2) the fraction of cases that are infectious but asymptomatic is important for optimizing public health control measures. For example, the potential impact to humans of emerging infectious diseases with zoonotic origin and limited human-tohuman transmission depends on the fraction of exposed individuals with symptomatic illness (63). On the other hand, entry screening for infectious diseases at borders using health declaration forms and infrared thermal scanners is predicated on the idea that the asymptomatic fraction of diseases of interest is low (64), and isolation is only a useful measure if most infectious patients will be symptomatic. The two broad types of studies described above may provide information on each of these interpretations of the asymptomatic fraction. Some individuals with detectable influenza virus shedding do not subsequently have serologic evidence of infection (27, 56, 65), while other individuals with serologic evidence of infection do not have detectable virus shedding (21, 66), suggesting one should exercise caution on the interchangeability and the interpretation of the estimates of asymptomatic fraction based on different definitions. Estimates from serologic studies, with a denominator based on serologic evidence of infection, may be more relevant in understanding the severity of illness. Estimates of the asymptomatic fraction from outbreak investigations, where the denominator is infections with detectable virus shedding, may be more relevant in understanding the transmission potential of asymptomatic versus symptomatic infections.

Our review was subject to some limitations. First, our search may have missed some published estimates of the asymptomatic fraction, and broadening the search would have substantially increased workload. However we believe including any such studies would not change our conclusions substantially. We previously reviewed household transmission studies of H1N1pdm09 (67), and few such studies were conducted before 2009, therefore only a minimal number of such studies might have been missed. On the other hand, inclusion of additional serologic studies would not have changed our conclusions as well

since the existing studies of this type have already demonstrated a high heterogeneity in estimates of the asymptomatic fraction, and including more studies would only increase the heterogeneity further.

Second, we did not formally assess the risk of bias in each study, but we did consider how features in the design and analysis of studies could contribute to bias in the estimates of the asymptomatic fraction (Figure 1). Selection bias may have affected estimates of the asymptomatic fraction if patients included in cohorts or transmission studies were not generalizable to infections in other settings, and this was explicitly discussed above as a potential explanation (i.e. a difference in the intensity of the exposure) for the difference between the estimates of the asymptomatic fraction in transmission studies and cohort studies (Figure 2A versus Figure 2B). The cohort studies are particularly likely to be prone to information biases in both assessment of infection and assessment of symptomatic disease. Finally, we did not identify sufficient estimates of the asymptomatic fraction to permit meta-regression analysis of the influence of study design characteristics and other factors on the estimates of the asymptomatic fraction.

In conclusion, the true asymptomatic fraction of influenza virus infections may depend on how infections are identified, and we found quite different estimates of the asymptomatic fraction in two different types of studies. In outbreak investigations where infections were virologically confirmed, we found a pooled mean of 16% (95% CI: 13%, 19%) of infections were asymptomatic, whereas in longitudinal studies in which infections were identified using serology the point estimates of the asymptomatic fraction adjusted for illness from other causes fell in the range 65%–85%. We could not fully explain the differences in the scale of estimates from these two types of studies, although features of the respective analyses would have led to under- and over-estimation of the asymptomatic fraction respectively. A study in Vietnam did include both of these strategies, estimating the asymptomatic fraction as 45% (17%–77%) in outbreak investigations versus 86% (82%– 89%) in the longitudinal serologic analysis (27, 35). One potential approach to resolve these differences would be a hybrid study, where intensive follow-up with frequent virologic testing regardless of illness throughout an influenza season is used to ascertain all infections and illnesses in a cohort.

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Flow diagram of the process and results of study selection.

Figure 2.

Forest plot of estimates of the asymptomatic fraction ('Estimate'), stratified by study design. Panel A: estimates from outbreak investigations in which potentially exposed individuals were followed intensively for a short time and infections were typically confirmed by virologic methods. Panel B and C: estimates from cohort studies in which individuals were followed across entire influenza seasons, and numbers of illnesses assessed in individuals with serologic evidence of infection. Estimates in Panel B were adjusted for rates of symptomatic illness in uninfected persons, and not adjusted in Panel C.

Footnotes: The values for 95% confidence interval ("95% CI") were either supplied from the articles (black) or derived from the point estimates (grey). We cannot derive the 95% CI for Monto *et al.* (34) as the number of individuals who were asymptomatic among infected was not provided. If individual estimates for different subtypes of influenza A virus (a–d) or populations (i–ii) from the same study were provided, they were presented separately. Studies by Thai *et al.* (27) and Horby *et al.* (35) were conducted in the same cohort of subjects (#). For some of the studies estimates of the asymptomatic fractions and counts were extracted differently from what was reported (``) and justifications were given in Table 1. Some studies reported estimates of the asymptomatic fractions with denominator based on person-season of follow up $(^{\wedge})$. The column "Adjusted" indicates whether estimate of the asymptomatic fraction was adjusted (Y) for rates of symptomatic illness in uninfected persons or not (N), or although not adjusted a separate estimate of the asymptomatic fraction was reported for individuals without evidence of laboratory-confirmed influenza virus infections (C). Remarks for each individual study are included in Table 1.

Abbreviations. PCR: reverse transcriptase polymerase chain reaction; HI: hemagglutinationinhibition assay; MN: microneutralization assay; CF: complement fixation assay; culture: viral culture; paired sera: the corresponding serologic assay (HI, MN or CF as indicated) was conducted in baseline and convalescent sera; single serum: the corresponding serologic assay was conducted in a single serum specimen; +ve: positive.

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Table 1

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test whether the AF varied by

age or subtype

denominator based on 505

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provided paired sera while all

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