# Generation of a cord blood-derived Wilms Tumor 1 dendritic cell vaccine for AML patients treated with allogeneic cord blood transplantation

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Abbreviations: AML, acute myeloid leukemia; APC, antigen presenting cell; CB, cord blood; CBDC, cord blood-derived DC; CTL, cytotoxic T lymphocytes; DC, dendritic cell; GvHD, graft-versus-host disease; GvL, graft versus leukemia; HCT, haematopoietic cell transplantation; MLR, mixed leukocyte reaction; moDC, monocyte-derived DC; WT1, Wilms Tumor 1

The poor survival rates of refractory/relapsed acute myeloid leukemia (AML) patients after haematopoietic cell transplantation (HCT) requires the development of additional immune therapeutic strategies. As the elicitation of tumor-antigen specific cytotoxic T lymphocytes (CTLs) is associated with reduced relapses and enhanced survival, enhanced priming of these CTLs using an anti-AML vaccine may result in long-term immunity against AML. Cord blood (CB), as allogeneic HCT source, may provide a unique setting for such post-HCT vaccination, considering its enhanced graft-versus-leukemia (GvL) effects and population of highly responsive naïve T cells. It is our goal to develop a powerful and safe immune therapeutic strategy composed of CB-HCT followed by vaccination with CB CD34<sup>+</sup>-derived dendritic cells (DCs) presenting the oncoprotein Wilms Tumor-1 (WT1), which is expressed in AML-blasts in the majority of patients. Here, we describe the optimization of a clinically applicable DC culture protocol. This two-step protocol consisting of an expansion phase followed by the differentiation toward DCs, enables us to generate sufficient cord blood-derived DCs (CBDCs) in the clinical setting. At the end of the culture, the CBDCs exhibit a mature surface phenotype, are able to migrate, express tumor antigen (WT1) after electroporation with mRNA encoding the full-length WT1 protein, and stimulate WT1-specific T cells.

## Introduction

Survival rates of pediatric patients with acute leukemia have improved significantly over the last decades. However, patients suffering from AML still have a poor prognosis with an estimated 5-y probability of overall survival (pOS) rate of only  $\sim$ 50%, even after the last and only potentially curative treatment option, HCT.<sup>1-5</sup> Hence, there is clear medical need for additional therapies for these patients.

As relapse remains the main obstacle even after HCT, novel immunotherapeutic strategies are being developed aimed at preventing relapses after  $HCT$ .<sup>6-9</sup> CB is an emerging allogeneic stem

cell source with important advantages over conventional stem cell sources (bone marrow or mobilized peripheral blood), including reported enhanced GvL  $^{4,10}$  in addition to lower graftversus-host disease (GvHD). It has been recognized that the fast generation of tumor-specific CTLs early after HCT (i.e., in the period of minimal residual disease) may be crucial for the GvL effects.<sup>11</sup> As such, enhanced priming of these CTLs using an anti-AML vaccine may result in long-term immunity against AML, further reducing the relapse rates and enhancing survival after HCT. Furthermore, an additional anti-AML activity can be achieved due to better selection of donors taking NIMA (noninherited maternal antigen: available in most of the CB grafts)

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and anti-IPA (inherited paternal antigen) immunity into account, nicely described by van Rood et al. and commented by Burlinghame.<sup>12,13</sup> This makes the use of CB as cell source for HCT (and adjuvant immunotherapies) especially attractive.

In vivo T cell priming and proliferation requires the presentation of antigens and activation signals provided by a specialized group of antigen presenting cells (APC); in particular DCs. The inefficiency of host DCs to initiate antitumor immunity led to the development of vaccination strategies, where ex vivo-generated and expanded DCs are loaded *ex vivo* with specific antigens that are expressed by tumor cells. Accordingly, these DCs are given back to the patients, thus directing the targets for the  $\overline{\text{in vivo}}$ -generated CTLs.<sup>14</sup> In either bone marrow or mobilized peripheral blood HCT settings, the donor can provide the source of DCs needed for vaccination, either via primary  $DCs<sup>15</sup>$  or  $CD14^+$  monocytes.<sup>16</sup> In the CB-HCT setting, the amount of donor material is however limited. As such, we will use the  $CD34^+$  cell population, containing the haematopoietic stem cells, to generate our CBDC vaccine. Using the  $CD34^+$  population will allow for a massive expansion of the myeloid precursors<sup>17</sup> before differentiating them into DCs, which is required for yielding sufficient CBDCs for vaccination.

Hence, it is our goal to develop a powerful and safe immune therapeutic strategy composed of CB-HCT followed by vaccination with CBDCs presenting the oncoprotein WT1, recently ranked the number one cancer vaccine target antigen.<sup>18</sup> This zinc finger transcription factor is overexpressed in the majority of leukemias ( $\sim$ 90% in AML) as well as various solid tumors, while very low expression is seen in normal tissues.<sup>19</sup> With regard to antigen loading, using a full-length protein overcomes HLA-peptide restrictions allowing the same therapy to be used irrespective of HLA-type. Using mRNA electroporation to express full length WT1 protein warrants cytoplasmic expression and optimal class I presentation of WT1 peptides.<sup>20</sup> This approach was previously used by Van Tendeloo et al. who observed clinical responses as well as WT1-specific CTLs in patients with AML.<sup>16</sup> We hypothesize that increased WT1 antigen presentation provided by a CBDC vaccine combined with the intrinsic increased proliferative capacity of the T cells in the CB graft  $21,22$  will result in fast differentiation and proliferation of WT1-specific CTLs early after HCT.

Here we describe the optimization of a GMP (good manufacturing practice) applicable culture protocol to generate sufficient CBDCs from a limited amount of  $CD34^+$  cells, that are mature, able to migrate and express tumor antigen (WT1) to stimulate specific T cells.

## **Results**

## Generation of CBDCs from  $CD34^+$  stem cells

The first aim was to develop a culture protocol to generate sufficient CBDCs for multiple vaccinations, which would require a 250–500-fold expansion of  $CD34^+$  cells. The requirement for GMP-grade culture conditions excludes the use of animalderived components, for instance fetal calf serum, as serum supplement. We tested human AB serum, which has been used before in various clinical trials using DCs, platelet lysate, or TGF- $\beta$  to supplement differentiation media. Platelet lysate and TGF-b resulted in poor CBDC induction compared with AB serum (data not shown), which was used for all further experiments.

In the one-step protocol  $CD34^+$  cells were cultured for one week by GM-CSF, SCF and TNFa (GST) in 5% AB serum (Fig. 1A). The two-step protocol consisted of a one-week culture of  $CD34^+$  cells in expansion medium containing Flt3L, SCF, IL-3 and IL-6 (FS36), followed by a one-week culture in differentiation medium containing 5% human AB serum, further supplemented with GM-CSF, Flt3L, SCF, and IL-4/L15 (GFS4 or GFS15) or GM-CSF and IL-4 (G4) (Fig. 1A). The one-step protocol (GST) induced an immediate differentiation of the  $CD34^+$  population as depicted by expression of  $CD11c^+$ , HLA-DR<sup>+</sup> and  $CD1a^+$  DCs after the first week (Fig. S1A). The expansion potential averaged 6.5 times over one week of culture (Fig. 1B). As this resulted in insufficient cell numbers for multiple vaccinations, this protocol was excluded in further studies.

The two-step protocols produced an average expansion of 600 fold for FS36/GFS4, 300 fold for FS36/GFS15 and 150 fold for FS36/G4 (Fig. 1B). Moreover, depending on the total number of  $CD34^+$  cells at the start, this expansion phase could be repeated for at least two more weeks (with an additional 10 times expansion each week) without affecting final DC differentiation (Fig. S1B). Flow cytometric analysis of the cells after the expansion phase showed that all cells were of myeloid lineage (CD33<sup>+</sup>), showed no differentiation into CD11c<sup>+</sup> DC, and that some  $CD34<sup>+</sup>$  CB cells remained (Fig. S1C). This suggests that the culture consists of a pool of myeloid precursors at the end of the expansion phase. In the second week these myeloid precursors were stimulated to differentiate into DCs using the various differentiation media. After differentiation, DCs were identified based on  $CD11c^+$  HLA-DR<sup>+</sup> expression, and typical dendrites were seen with May–Grünwald staining after flow sorting (Fig. 1C). Differentiation with GFS4 induced the highest percentage of  $CD11c^{+}$  HLA-DR<sup>+</sup> CBDCs. Further analysis of the expression levels of various DC markers (CD1a, CD1c, CD141, CLEC9A, CD207, BDCA2, CD123, CD16) showed no clear differences between the different protocols, except for the CD209 expression that was absent after differentiation with GFS15 (Fig. 1D). Although CD141 (BDCA3) was expressed on the majority of the CBDCs, no CLEC9A expression was detected on the CBDCs. Based on the absence of BDCA2 and CD123 expression on the CD11 $c$ <sup>-</sup> HLA-DR<sup>+</sup> population, we concluded that no pDC were present in these CBDC cultures (Fig. S1D).

Based on the total cell expansion data (Fig. 1B) combined with the flow cytometry analyses of the percentage  $CD11c^+$  $HLA-DR<sup>+</sup>$  CBDCs, the number of CD11c<sup>+</sup> HLA-DR<sup>+</sup> CBDCs generated per  $CD34<sup>+</sup>$  CB cell was calculated (Fig. 1E). Based on these data the GFS4 protocol was selected for further studies, since this protocol showed the highest potential to generate sufficient numbers of CBDCs.



Figure 1. Generation of CBDCs from CB-derived CD34<sup>+</sup> stem cells. (A) Different protocols for generating DCs from CB-derived CD34<sup>+</sup> cells. (B) Expansion of CD34<sup>+</sup> cells for the different protocols. (C-E) Phenotype of DCs for the G4, GFS4 and GFS15 protocols. (C) Gating strategy with flow cytometry and cytospins of DCs stained with May-Grünwald-Giemsa. (D) Expression of CD1c, CD1a, CD141, CLEC9A, CD207, CD209, BDCA2, CD123, CD14, CD16, CD64 and CD56 on DCs compared to isotype control. (E) Number of DCs generated from CD34<sup>+</sup> cells. Data represent at least three independent experiments.



Figure 2. Antigen uptake and processing by CBDCs. (A) Uptake of increasing doses of FITC-dextran by DCs and HLA-DR<sup>+</sup>CD11c<sup>-</sup> populations. The percentage of FITC<sup>+</sup> signal taken up at 37°C is shown minus the FITC $^+$  signal detected at 4°C. (**B**) The processing of BSA-DQ is shown by DCs or HLA- $DR<sup>+</sup>CD11c<sup>-</sup>$  population at indicated time points. The DQ-signal seen at 4 $\rm ^{o}C$  is subtracted from the DQ $^+$  cells at 37°C. Data represent three independent experiments.

#### Antigen uptake and processing by CBDCs

Antigen uptake and processing are essential features of DCs. In order to further analyze the functionality of the CBDCs, we first assessed the antigen uptake and processing capacity. Uptake of dextran-FITC (70  $k_D$ ) increased dose-dependently in the CD11 $c^+$  HLA-DR<sup>+</sup> population, while there was minimal uptake in the  $CD11c^-$  HLA-DR<sup>+</sup> CBDCs (Fig. 2A). Pulse/ chase experiments using DQ-BSA, a protein that becomes fluorescent upon unfolding as a consequence of proteolysis, however showed maximal fluorescence irrespective of the different HLA- $DR<sup>+</sup>$  CBDCs. Within one day, all DQ-BSA was completely degraded as seen by a decline in  $DQ^+$  signal (Fig. 2B). The differences within the uptake and processing could be mediated due to different uptake mechanisms. Dextran-FITC is taken up by mannose-receptor mediated endocytosis, while DQ-BSA enters the cell via macropinocytosis.<sup>23</sup> In conclusion, the CD11c<sup>+</sup>  $HLA-DR<sup>+</sup>$  population has the capacity of antigen uptake and processing.

#### Maturation and Migration of CBDCs

The next step was the optimization of CBDC activation/maturation. In this regard we tested the effect of a GMP-grade available cytokine mixture (IL-1 $\beta$ , IL-6, TNF $\alpha$  and PGE<sub>2</sub>) on CBDCs that has been regularly used in clinical DC vaccination trials.24,25 The effect of this "CYTOMIX" was compared to that of an agonistic anti-CD40 antibody known for its stimulatory effect on Mo-DC maturation. Both CYTOMIX and anti-CD40 induced maturation of CBDCs as shown by the upregulation of CD80, CD86, CD83 and CCR7 (Fig. 3A). All mature cells were  $CD11c^{+}$  HLA-DR<sup>+</sup> supporting the notion that these cells are differentiated further into primary DC, whereas the  $CD11c$ <sup>-</sup> fraction seems still in a myelo/monocytic developmental stage. Combined results from 30 individual experiments/donors (Fig. 3B) showed a highly significant increase in the percentage of CD83-expressing cells. The increase in percentages of CD86 and CCR7 positive cells were smaller and not significant since for both markers the control samples sometimes already expressed these markers. The exact reason for this is not known but may relate to handling of the individual cultures.<sup>26</sup> In these experiments CD83 is therefore the most specific and discriminative marker for CBDC maturation.

The migratory capacity of DCs is an important functional feature to ensure traveling to lymph nodes and subsequent T cell activation. As depicted in Figure 3C, maturation of the CBDC culture with CYTOMIX induced a strong increase in CCL19 specific migration of  $CD11c^+$  HLA-DR<sup>+</sup> CBDCs in an established in vitro assay for CCR7-dependent migration.<sup>20,27</sup>

Next, we investigated the cytokine production by the matured CBDC vac-

cine after activation of CD40 signaling using an agonistic anti-CD40 antibody. Overall the cytokines produced after the CD40 stimulation show a proinflammatory profile and suggest a Th17 inducing capacity as depicted by enhanced IL-6 and IL-23p19 production (Fig. 3D). Very low levels of IL-12p70 were detected (Fig. 3D) which is in line with earlier studies showing low release of IL-12p70 from DCs using PGE2 containing CYTOMIX.<sup>28</sup> As such, we tested whether addition of IFNy, alone or combined with R848, to the CYTOMIX was able to increase the levels of IL-12p70 after CD40 stimulation as show previously for monocyte-derived DCs (moDCs).<sup>29</sup> IL-12p70 was rapidly induced after CD40 stimulation when CYTOMIX was combined with IFNg, and levels were further enhanced by R848 (Fig. S2B). These data show that CBDCs have the capacity to produce IL12p70 in this  $CD4^+$  help (CD40L-CD40 and IFN $\gamma$ ) mimicking condition.

To address the T cell stimulatory capacity of the matured CBDC culture, we first performed an allo-MLR (mixed leukocyte reaction). CBDCs were able to stimulate allogeneic T cell proliferation compared to responder cells alone (histogram), which did not show any proliferation. Both  $CD4^+$  (Fig. 4A) as well as  $CD8^+$  T cells (Fig. 4B) are stimulated by CBDCs. These data confirm that CBDC express the necessary signals to stimulate  $CD8^+$  and  $CD4^+$  cells in a non-antigen driven manner without specifically enhancing  $CD3^+CD4^+CD25^+CD127^$ regulatory T cells (data not shown). Analyzing the MLR culture supernatants showed a  $TNF\alpha/IFN\gamma$  skewed response, whereas IL-10 and IL-17 remained low (Fig. S3).

## Characterization of HLA-DR negative population in the CBDC culture

Using the whole heterogeneous CBDC culture as vaccine, without any need for further purification steps, is attractive for clinical application. This however requires a full characterization of the cells in this culture, especially the population of cells negative for HLA-DR. All cells in the CBDC cultures express CD33



Figure 3. Maturation and migration of CBDCs. (A) CBDCs are matured for 24 h with either anti-CD40 or CYTOMIX containing IL-1β, IL-6, TNF $\alpha$  and PGE2. Live cells are further gated on HLA-DR and CD11c to analyze CD80, CD86, CD83 and CCR7 expression or isotype as a control (solid gray graph). (B) Plots are shown of whole culture followed by CD86, CCR7 and CD83 expression on DCs after maturation for 24 h with CYTOMIX. (C) Migration assay in a transwell system with the whole culture matured for 24 h with CYTOMIX or medium as a control in the upper compartment. In the lower compartment CCL19 was added, or medium as a control for 2 h. One representative FACS plot is shown and a bar graph of three independent experiments. (D) CBDCs are matured for 24 h with CYTOMIX, washed and subsequently stimulated for another 24 h using anti-CD40 or medium control. CBDC supernatants were analyzed for a variety of cytokines using Luminex. Data represent three (C and D) or more (A, B) independent experiments. Error bars represent the SEM.  $*$ ,  $P < 0.05$ .

and lacked the expression of CD3, CD19, CD20, and CD56 (data not shown) indicating that all cells are of the myeloid lineage. Using the combined expression of CD11b and CD66b, four different subsets could be detected within the  $HLA-DR^-$  population with the double negative and double positive representing the major populations (Fig. 5A and B). Since similar markers are used to identify myeloid-derived suppressor cells, 30,31 we tested whether  $HLA-DR^-$  cells could suppress  $T$  cell proliferation using a T cell suppression assay. In summary, none of the populations inhibited the anti-CD3 induced T cell proliferation but rather

stimulated the proliferation of T cells in a dose dependent manner (Fig. 5C and D). In addition, the non-DC fraction did not induce increased levels of the inhibitory cytokine IL-10 or affect the production of TNFa during an MLR compared to the CBDC vaccine culture or sorted CBDCs (data not shown).

## CBDCs stimulate WT1-specific T cells

There are several possibilities to load DCs with tumor antigens, all of which may have specific advantages or disadvantages for the induction  $CD4^+$  and  $CD8^+$  T cell responses. For WT1,



was studied within the CD4 (A) and CD8 (B) population after 3 d by cell trace violet dilution. Shaded histogram represents the unstimulated CD3 lymphocytes. Data represent four independent experiments.

electroporation with the full-length WT1 mRNA has been shown to induce intracellular expression, processing and presentation in moDCs. $32$  In addition, this technique has been used in several clinical trials without severe side effects and thus is considered safe.<sup>16</sup> An optimal concentration of mRNA during electroporation of CBDC was determined using different amounts of EGFP mRNA (Fig. 6A). Electroporation of 20 μg WT1 mRNA per 200 µL of cells resulted in WT1 protein expression in CBDC cultures from various donors as observed by Western blot (Fig. 6B).

We next electroporated CYTOMIX-matured CBDCs with WT1 or sham as control and, after an incubation step to allow protein expression, processing and MHC loading, co-cultured the CBDCs with a  $WT1_{126-134}$  peptide-specific T cell clone. Notwithstanding a large donor-to-donor variation, four out of seven donors showed elevated levels of IFN $\gamma$  (Fig. 6C) and/or TNF $\alpha$  production (Fig. 6D) confirming the presentation of  $WT1_{126-134}$  peptides in the HLA-A2 molecules after electroporation with full-length WT1 mRNA.

# **Discussion**

The poor survival rates of refractory/relapsed AML patients after HCT warrant the development of additional immunotherapeutic treatments strategies.<sup>1–5</sup> As such, we optimized strategies to generate sufficient amounts of CBDCs with the capacity to initiate activation and proliferation of AML antigen-specific CTLs in a pre-clinical setting. The materials and tools used are all GMP-available which makes quick translation to a clinical trial feasible.

Since only about 20% of the CB graft will be available for the preparation of the DC vaccine (80% is used for the actual CBT) extensive cell expansion is an essential part of the culture strategy to generate sufficient CBDCs. The present data show that a twostep protocol consisting of a massive expansion of myeloid precursors from the hematopoietic stem cells followed by the

differentiation of part of these precursors toward DCs induced an average of 600 CBDC culture cells for each initial  $CD34<sup>+</sup>$ cell. Even when considering the worst case scenario using the lowest amount of cells in the 20% fraction of the CB-unit of about 500,000  $CD34^+$  cells, resulting in about 250,000 cells after  $CD34<sup>+</sup>$  CliniMACS (personal observations), and taking into account a loss of about 25% of the cells during the remainder of the protocol, this protocol will still generate up to 90  $\times$ 10<sup>6</sup> cells. This amount should be enough for three vaccinations using  $1 \times 10^6$  cells/kg in a 30 kg pediatric patient. However, since the CB graft is, in addition to the regular selection criteria, also selected based on a minimal number of  $CD34^+/kg$  infused per CB graft, the odds are that higher numbers will be generated.

Maturation of the CBDC culture using CYTOMIX induced upregulation of maturation markers and allowed specific migration toward a CCL19 chemokine gradient comparable with previous reports with other DC sources.<sup>20,27</sup> The CYTOMIXtreated CBDC culture stimulated  $CD4^+$  and  $CD8^+$  proliferation in an allogeneic MLR setting and electroporation with fulllength WT1 mRNA led to expression of the protein and presentation of a HLA-A2-specific WT1 peptide. As such, the proposed protocol fulfills the requirement for application as a DC vaccine for treatment of pediatric AML patients.

Although this optimal culture strategy resembles to some extend the protocol described by Poulin et al., $33$  we were unable to generate substantial amounts of  $CD141<sup>+</sup> CLECA<sup>+</sup> DC$ . This could be due to the use of human AB serum instead of FCS, which failed to induce this subset even when the remainder of the protocol was unchanged. In addition,  $CD141<sup>+</sup> CLEC9A<sup>+</sup> DC$ can only be generated using 96-well plates instead of flasks, which strongly reduced the viability of the CBDC cultures (data not shown). The addition of human AB serum is essential for the differentiation of DC in this presented system, since possible alternatives for AB serum like platelet lysate or  $TGF\beta$  induced less DC differentiation (data not shown). It has been reported that the use of IL-15 instead of IL-4 may result in the generation of more powerful DC, mostly moDC.<sup>34,35</sup> In contrast to moDCs, IL-15 failed to noticeably modify the CD56 expression CBDC (not shown). CD209 (DC-SIGN) expression was absent in the IL-15 differentiated CBDC, which fit with the observations that IL-4 is the inducer of CD209 expression in moDC cultures.<sup>36</sup>

Cumulative data ( $n = 20$ ) showed that about 35%  $(+/-10\%)$  of our CBDC culture consisted of CD11c<sup>+</sup>  $MHCII<sup>+</sup>$  expressing DCs after the described protocol. We are currently investigating whether the expression of specific markers or genes at the end of the expansion period could predict the differentiation of a certain subset of cells toward DCs. Although small adaptations like the addition of low levels of  $TNF\alpha$  to the differentiation medium did increase the percentage of  $DCs$ ,  $37$  we ended up with a smaller amount of DCs because of its detrimental effect on viability (not shown).

With regard to the antigen uptake, a clear difference was observed between  $CD11c^{+}$  HLA-DR<sup>+</sup> and the CD11c<sup>-</sup> HLA-DR<sup>+</sup> population, with the latter being unable to take up 70 k<sub>D</sub> dextran molecules probably because of a lack of a specific uptake receptor.<sup>38</sup> Although this difference in uptake may not be



Figure 5. HLA-DR negative population in the CBDC culture. (A-D) Characterization of HLA-DR<sup>-</sup>CD11c<sup>-</sup> population. (A) Gating strategy used for identifying different subsets. (B) Percentage of different subsets. (C) Suppression assay with cell trace violet labeled effector cells, activated by anti-CD3. Different amounts of sorted cell populations are added as indicated, Tregs were sorted as a positive control. After 4 d of co-culture, effector T cell proliferation is assessed by cell trace violet dilution as shown with FACS plots or (D) in a bar graph. Data represent at least three independent experiments.

important in our current setting using mRNA electroporation for loading the DCs with tumor antigen, we are currently further exploring the expression of different uptake receptors that may be useful for different antigen loading strategies, like antibodymediated targeting or uptake of apoptotic or necrotic tumor cells.39 Both CYTOMIX and agonistic anti-CD40, an antibody developed for use in cancer immune therapy, provided strong maturation of CBDC. In addition, newly developed methods for antigen loading and DC maturation, like the use of long peptides linked with TLR ligands or loading this compounds in PLGA particles <sup>40,41</sup> may in the future provide alternative clinical-grade available maturation and antigen-loading strategies.

With regard to the presentation of WT1 peptides after electroporation of the CBDC, using mRNA encoding the full-length

protein, we found highly variable induction of generally low levels of IFN $\gamma$  expression by a WT1<sub>126–134</sub> peptide-specific T cell clone. These data are in line with those obtained using moDC electroporated with the same mRNA construct followed by coculture with a similar WT1<sub>126-134</sub> peptide-specific T cell clone.<sup>42</sup> Considering that the T cell clone used here is only responsive to one of the many possible peptides in the WT1 proteins the low percentage of responding  $\overline{T}$  cells is not surprising.<sup>43</sup> The clinical potential of our CBDC WT1 vaccine is further supported by a clinical trial using an moDC vaccine electroporated with the same WT1 mRNA construct.<sup>16</sup> In this trial WT1-specific tetramer-positive CD8 cells were enhanced in some of the HLA-A2 positive patients in this trial and this was associated with induction of clinical and molecular (WT1 mRNA levels) remission.<sup>16</sup>



Figure 6. CBDCs stimulate WT1-specific T cells. RNA-electroporation of CBDCs. (A) GFP expression 4 h after increasing doses of GFP-electroporation measured by FACS. (B) WT1 Western blot of three different donors 4 h after electroporation with WT1 or sham as a control. K562 cell-line was used as a positive control. (C, D) Cytokine production by WT1 specific T cell clone after 4 h co-culture with CBDCs of seven different donors (dots) after WT1 electroporation. Sham electroporated DCs with (squares) or without (triangles) WT1 peptide serve as controls. (C) IFNy and (D) TNFa production. Data represent at least three independent experiments.

Variation between the donors was not related to the amount of DCs generated in the culture or the success of electroporation (generally between 80–95%). Another attractive explanation may simply be that the variability between donors in protein degradation and processing leads to different WT1 peptides being loaded into MHC molecules.

In summary we describe the pre-clinical development of a WT1 mRNA-electroporated DC vaccine with feasibility to generate sufficient amounts of cells for clinical application from the limited number of  $CD34<sup>+</sup>$  CB stem cells. In addition, we provided proof-of-principle for the capacity of these cells to present antigen to WT1-specific T cells. The next step in the bench to bedside approach is the translation of our pre-clinical protocol toward generation of this DC-vaccine under GMP conditions, apply for ethical approval and study the above-described concept in a phase II clinical study. Early specific WT1 immunity in an allogeneic setting may have impact on the tumor load and survival.

## Materials and Methods

#### CB collection and CD34 isolation

Umbilical CB was collected after informed consent was obtained according to the Declaration of Helsinki. The ethics committee of the University Medical Center Utrecht approved these collection protocols. CB mononuclear cells were isolated from human umbilical CB by density centrifugation over Ficoll-Paque solution (GE Healthcare Bio-Sciences AB).  $CD34^+$  cells were isolated from fresh CB using magnetic bead separation (Miltenyi Biotec) resulting in a 80–95% pure  $CD34^+$  population after running two columns, as determined with flow cytometry. The CD34<sup> $-$ </sup> population was frozen and stored at  $-80^{\circ}$ C for use in MLR experiments.

## CBDC culture

In the single-step protocol,  $CD34^+$  cells are cultured in X-VIVO 15 supplemented with GM-CSF (100 ng/mL), SCF (25 ng/mL) and TNF $\alpha$  (2.5 ng/mL) and 5% human AB serum (Sanquin) for  $7 d<sup>44</sup>$ . The two-step protocol consists of an expansion and differentiation phase. In the expansion phase  $5 \times 10^4$  $CD34^+$  cells/mL are cultured in X-VIVO 15 supplemented with Flt3L (50 ng/mL), SCF (50 ng/mL), IL-3 (20 ng/mL) and IL-6 (20 ng/mL) for 7 d. After washing, the cells are differentiated at  $2 \times 10^5$  cells/mL in X-VIVO 15 containing 5% human AB serum and supplemented with Flt3L (100 ng/mL), SCF (20 ng/ mL), GM-CSF (20 ng/mL) and IL-4 (20 ng/mL) for another 7 d.<sup>33</sup> In indicated experiments the IL-4 was replaced with IL-15 (100 ng/mL) during the differentiation. $45$  Recombinant human GM-CSF, SCF, Flt3L, IL-1beta, IL-3 and IL-6 were all obtained

from Miltenyi Biotec. Recombinant IL-4, IL-15 and TNFa from Immunotools. To induce maturation an agonistic anti-CD40 (Bioceros), or CYTOMIX, a combination of IL-1 $\beta$ , IL-6 and TNF $\alpha$  (all used at 10 ng/mL) and PGE2 (1  $\mu$ g/mL) from Pfizer, was added to the DCs for 24 h. In some experiments the CYTO-MIX (with the addition of IFN $\gamma$  +/- R848) matured CBDC cultures were washed and stimulated with the agonistic anti-CD40 for 24 h. Supernatants were analyzed using multiparameter Luminex (BIO-RAD) or IL-12p70 ELISA (e-bioscience).

#### Flow cytometry

Anti-CD1c (L161), anti-CD3 (UCHT1), anti-CD16 (3G8), anti-CD64 (10.1), anti-CD207 (10E2), anti-CD209 (9E9A8), anti-DNGR-1 (CLEC9a/ 8F9), anti-HLA-DR (L243) and anti-TNFa (Mab11) were purchased from Biolegend. Anti-BDCA-2/ CD303 (AC144) and anti-BDCA-3/CD141 (AD5–14 H 12) were obtained from Miltenyi Biotec. Anti-CD1a (HI149), anti-CD8a (RPA-T8), anti-CD11c (B-ly6), anti-CD14 (M5E2), anti-CD56 (B159), anti-CD80 (L307.4), anti-CD83 (HB15e), anti-CD86 (IT2.2), anti-CD123 (7G3) and anti-IFN $\gamma$  (4SB4) were purchased from BD Bioscience. Anti-CD4 (RPA-T4), anti-CD40 (5C3) and isotype-matched controls antibodies were purchased from eBioscience. Anti-CCR7/CD197 (FR11-11E8) was purchased from R&D systems.

Cells were incubated on ice with mouse serum (Jackson immunoresearch) to block Fc receptors, and stained with appropriate antibody combinations. Multiparameter analysis was performed on a FACS Canto II (BD) flow cytometer. Dead cells were excluded by scatter gating. Analysis was performed using FlowJo software (Tree Star, Inc.).

## Sorting and histochemical staining CBDC

CBDC cultures were stained with Abs directed against CD11c and HLA-DR. CD11c<sup>+</sup>HLA-DR<sup>+</sup> cells were sorted using a FacsARIA II cytometer (purity > 95%). May–Grünwald–Giemsa staining was used to analyze DC cultures. Cytospins were prepared from  $5 \times 10^4$  sorted CBDCs and were fixed in methanol for 5–10 min. After fixation cytospins were stained in May– Grünwald (J.T.Baker, The Netherlands) for 5 min, rinsed in buffered water (pH 6.8), and the nuclei were counterstained with Giemsa solution (Merck kGaA, Darmstadt, Germany) for 15 min.

## Uptake and processing assay

For uptake, CBDC culture was incubated with 10, 100  $\mu$ g/ mL or 1 mg/mL dextran-FITC (m.w. 70.000; Sigma) for 30 min at  $4^{\circ}\mathrm{C}$  to measure non-specific binding or at 37 $^{\circ}\mathrm{C}$  to measure specific uptake. Cells were then washed extensively with ice-cold PBS,  $0.1\%$  FCS, and  $0.05\%$  NaN<sub>3</sub> and labeled on ice with appropriate antibodies. The actual uptake was determined as the percentage of FITC $^+$  cells incubated at 37°C minus the percentage of FITC $^+$  cells incubated at 4°C.

For analysis of processing by CBDC cultures we used DQ Green BSA, a self-quenched dye conjugate of BSA. CBDCs were incubated with 0.5  $\mu$ g/mL DQ Green BSA at 4 or 37°C for

10 min. After extended washes CBDCs were stained with the appropriate antibodies at indicated time points.

## Transwell migration assay

In vitro migration assays were performed using 24 transwell  $(3 \mu m)$  pore size) plates (Greiner). In brief, 400.000 CBDCs in <sup>200</sup> mL culture medium (X-VIVO 15 with 5% human AB serum) were plated in the upper compartment. Culture medium, either alone or supplemented with 250 ng/mL CCL19 (R&D systems), was added to the lower compartment. After 2 h cells were collected from the lower compartment and analyzed using flow cytometry.

## Mixed leukocyte reaction

CD3 cells purified from allogenic  $CD34$ <sup> $-$ </sup> cells using anti-CD3 magnetic microbeads (Miltenyi). These responder CD3 cells  $(1 \times 10^6/\text{mL})$  were then labeled with cell trace violet (5  $\mu$ M; Invitrogen), and co-cultured with matured CBDCs (2  $\times$ 10<sup>5</sup>/mL) as stimulator cells. Unstimulated cell trace violet-labeled cells served as negative control. After 3 and 5 d, cells were stained with CD3, CD4, CD8 and analyzed using a FACS Canto II (BD). T cell proliferation was assessed by quantifying the percent of cell trace violet-diluted (Pacific blue<sup>low</sup>) cells within the  $CD3^+CD4^+$  or  $CD3^+CD8^+$  gate after background subtraction. MLR supernatants were analyzed using multiparameter Luminex (BIO-RAD).

## T cell suppression assay

From the CD34<sup> $-$ </sup> cells, one part is labeled with 2  $\mu$ M cell trace violet for 7 min at  $37^{\circ}$ C and extensively washed. 25,000 responder cells were plated into anti-CD3-coated wells (OKT-3, 1.5  $\mu$ g/mL). The other part is used for sorting regulatory T cells (Tregs) as a positive control for suppression. First, the  $CD4^+$ cells are enriched using a CD4 T Lymphocyte Enrichment Set (BD Biosciences). The magnetic cell isolations were performed according to the manufacturer's instructions. Subsequently,  $CD4+CD25+CD127$  T cells were sorted as Treg from the  $CD4^+$  cells by FACS Aria (BD Biosciences) and added in different ratios to the labeled responder cells. Fractions of the CBDCs, based on their CD11c and HLA-DR expression representing  $CD11c$ <sup>+</sup>HLA-DR<sup>+</sup>,  $CD11c$ <sup>+</sup>HLA-DR<sup>+</sup> (DCs) en  $CD11c$ <sup>-</sup>HLA-DR<sup>-</sup> (Neg), were sorted by FACS and added in different ratios. Cells were cultured for 4 d and proliferation was measured by flow cytometry on a FACS Canto (BD Biosciences). All data were analyzed using FlowJo software.

## In vitro mRNA transcription, mRNA electroporation and protein expression analysis

In vitro transcribed mRNA was produced from linearized pGEM4Z/WT1/A64 and pGEM4Z/EGFP/A64 plasmids using the T7 mMessage mMachine Ultra kit (Ambion, Life Technologies, NY, USA). CBDCs from  $HLA-A*0201^+$  individuals were loaded with these in vitro transcribed WT1-encoding mRNA or GFP-encoding mRNA as a control by electroporation as previously described,  $46$  with minor modifications. Briefly,  $5-10 \times 10^{6}$  cells in 200 µL Optimem media were transferred to

a 4 mm electroporation cuvette (Bio-Rad, Hercules, CA, USA) and electroporated with  $10-30$   $\mu$ g RNA by an exponential decay pulse of 300 V for 7 ms using the Gene Pulser Xcell device (Bio-Rad). To evaluate protein expression, the EGFP expression was assessed using flow cytometry, Western blot was used to determine the expression of WT1 protein after mRNA electroporation. WT1 electroporated CBDCs, sham electroporated, to serve as a negative control or K562 cell line for a positive control, were lysed by adding laemmli buffer (2% SDS, 10% glycerol, 62.5 mM Tris pH 6.8) and samples are boiled for 10 min at 95°C. Aliquots of 25 µg of protein were electrophoresed on<br>10% SDS-polyactylamide gels, transferred to polygipylidene 10% SDS-polyacrylamide gels, transferred to polyvinylidene difluoride membranes and probed with mouse antibodies specific for WT1 (Dako; 0.5 µg/mL) or goat polyclonal-actin (Santa Cruz; 1:5000). Horseradish peroxidase-conjugated rabbit antigoat or rabbit anti-mouse (Dako, 1:5000) were used as secondary antibody. The fluorescent intensity was measured on the Chemi-Doc system (Bio-Rad).

#### WT1 antigen presentation

WT1 mRNA or sham-electroporated CBDCs (50,000) were co-cultured with an HLA-A2–restricted WT1-specific T cell clone recognizing the WT1<sub>126–134</sub> epitope (50,000 T cells) at a

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No potential conflicts of interest were disclosed.

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#### Supplemental Material

Supplemental data for this article can be accessed on the [publisher's website.](http://dx.doi.org/10.1080/00461520.2015.1036274)

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