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Framing the impact of culture on health: a systematic review of the PEN-3 cultural model and its application in public health research and interventions

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Abstract

Objective—This paper reviews available studies that applied the PEN-3 cultural model to address the impact of culture on health behaviors.

Methods—We search electronic databases and conducted a thematic analysis of empirical studies that applied the PEN-3 cultural model to address the impact of culture on health behaviors. Studies were mapped to describe their methods, target population and the health behaviors or health outcomes studied. Forty-five studies met the inclusion criteria.

Results—The studies reviewed used the PEN-3 model as a theoretical framework to centralize culture in the study of health behaviors and to integrate culturally relevant factors in the development of interventions. The model was also used as an analysis tool, to sift through text and data in order to separate, define and delineate emerging themes. PEN-3 model was also significant with exploring not only how cultural context shapes health beliefs and practices, but also how family systems play a critical role in enabling or nurturing positive health behaviors and health outcomes. Finally, the studies reviewed highlighted the utility of the model with examining cultural practices that are critical to positive health behaviors, unique practices that have a neutral impact on health and the negative factors that are likely to have an adverse influence on health.

Discussion—The limitations of model and the role for future studies are discussed relative to the importance of using PEN-3 cultural model to explore the influence of culture in promoting positive health behaviors, eliminating health disparities and designing and implementing sustainable public health interventions.

Keywords

culture; PEN-3 cultural model; health behaviors; health interventions

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Introduction: the PEN-3 cultural model

Over the past decade, available evidence focusing on the impact of culture on health has increased dramatically (Airhihenbuwa 2007a; Dutta 2007; Airhihenbuwa and Liburd 2006; Shaw et al. 2009). This indicates not only a widespread and growing interest in the influence of culture but also the realization of its importance in eliminating health disparities, addressing health literacy, and designing and implementing effective public health interventions (Airhihenbuwa and Liburd 2006; Shaw et al. 2009; Airhihenbuwa and Webster 2004). This increasing focus, however, requires a clear understanding of the impact of culture on health. Culture in this context refers to shared values, norms, and codes that collectively shape a group's beliefs, attitudes, and behavior through their interaction in and with their environments (Airhihenbuwa 1999). To explore the influence of culture on individual health is 'to recognize that the forest is more important than the individual tree' (Airhihenbuwa 1999). Also, exploring the cultural context of the forest allows one to understand and appreciate the ways in which the individual trees are shaped as well as explore the roles, connections, and relationships (whether positive or negative) that exist between the trees (Singhal 2003). These cultural dynamics are essential for public health interventions to be effective and sustainable.

One model that has been at the forefront of understanding the influence of culture on health is the PEN-3 cultural model (See Figure 1). Developed by Airhihenbuwa (1989) in response to the apparent omission of culture in explaining health outcomes in existing health behavior theories and models (Airhihenbuwa 1990), the PEN-3 cultural model centralizes culture in the study of health beliefs, behaviors, and health outcomes (Airhihenbuwa 1995). The model also places culture at the core of the development, implementation, and evaluation of successful public health interventions (Airhihenbuwa and Webster 2004; Airhihenbuwa 1995, 2007a). It focuses on the role of culture as a connecting web by which individual perceptions and actions regarding health are shaped and defined (Airhihenbuwa 1995, 2007a, 2007b), while acknowledging that these perceptions and actions are building blocks in constructing health beliefs that are reproduced to express their cultural beliefs (Airhihenbuwa 2007a). Also, the PEN-3 cultural model offers an organizing frame to centralize culture when defining health problems and framing their solutions (Airhihenbuwa 1995, 2007a, 2007b). Moreover, these solutions are framed to encourage and reward positive values, which are better sustained, rather than focusing only on negative values.

The PEN-3 cultural model consists of three primary domains: (1) Cultural Identity, (2) Relationships and Expectations, and (3) Cultural Empowerment. Each domain includes three factors that form the acronym PEN; *Person*, *Extended Family*, *Neighborhood* (Cultural Identity domain); *Perceptions*, *Enablers*, and *Nurturers* (relationship and expectation domain); *Positive*, *Existential* and *Negative* (Cultural Empowerment domain). The Cultural Identity domain highlights the intervention points of entry. These may occur at the level of persons (e.g., mothers or health care workers), extended family members (grandmothers), or neighborhoods (communities or villages). With the Relationships and Expectations domain, perceptions or attitudes about the health problems, the societal or structural resources such as health care services that promote or discourage effective health-seeking practices, as well as the influence of family and kin in nurturing decisions surrounding effective management

of health problems are examined. With the Cultural Empowerment domain, health problems are explored first by identifying beliefs and practices that are positive, exploring and highlighting values and beliefs that are existential and have no harmful health consequences, before identifying negative health practices that serve as barriers. In this way, cultural beliefs and practices that influence health are examined whereby solutions to health problems that are beneficial are encouraged, those that are harmless are acknowledged, before finally tackling practices that are harmful and have negative health consequences.

To date, the PEN-3 cultural model has been used to address problems associated with HIV, cancer, hypertension, diabetes, malaria, nutrition, smoking, and other issues requiring an understanding not only of behavior but also of related cultural contexts. There is a need, however, to understand how this model has been applied in these studies, what approach (if any) is used to guide data collection and analysis, common thematic representations generated from the application of the model, limitations associated with using this model, and future directions with respect to application of the model. In this paper, we review available literature to specifically explore how the PEN-3 cultural model has been applied to examine the impact of culture on health behaviors and health outcomes. We present an overview of the available literature and highlight common themes that emerge from the application of the PEN-3 cultural model. We also discuss the limitations of the model and conclude by discussing future directions with the model, particularly in reference to what sets it apart from other conventional public health models and why it is critical in the design of culturally appropriate public health interventions needed to eliminate inequities in health.

Review search methods and inclusion criteria

A systematic search of the literature published between 1989 and 2013 which utilized PEN-3 cultural model as a theoretical framework was conducted using Google Scholar, Medline, Proquest, Psycinfo, Social Science citation index, Sociological abstracts, and Web of Science. Following were the keywords: PEN-3 cultural model, PEN-3 cultural model + Disease (such as HIV and AIDS, cancer, etc.). The search term PEN-3 cultural model and Airhihenbuwa was also used to widen the search. Studies were included in the review if they met the following criteria: (1) utilized the PEN-3 cultural model as a theoretical framework, (2) reported health behavior or health outcome data that illustrates how the PEN-3 cultural model was used to guide data collection and/or analysis, and presentation of results, and (3) included men, women, children, or communities in data collection. Studies of culturally adapted interventions based on the PEN-3 cultural model were also of particular interest. Following were the exclusion reasons: (1) no information on the use of PEN-3 cultural model as a framework for data collection and/or analysis, (2) no information on the health behavior or health outcome, or target population of interest, and (3) no information related to the study results or the use of the PEN-3 model in the design of culturally adapted interventions. Articles that were mainly review articles and articles found in books and dissertations were excluded. The abstracts of all of the documents were initially screened by one reviewer; however, the full-text documents were retrieved and re-screened by two reviewers independently.

Document appraisal and synthesis of papers

PRISMA guidelines were used to guide reporting of the documents reviewed and a flow diagram is shown in Figure 2. The quality of the documents retrieved was appraised for appropriateness of the methodology used in the study including the description of the purpose, target population, and context for the study, ethics, rigor of data collection and analysis, relevance and richness of the findings, as well as the clarity of the discussions or conclusions of the overall study. The documents were initially arranged based on the public health issue explored. Next, each document was reviewed to determine how the PEN-3 cultural model was used to guide data collection and/or analysis, as well as interpretation of the findings. Furthermore, thematic analysis was applied across the available literature to identify common themes that emerge when the PEN-3 cultural model is applied to address cultural aspects of health behaviors.

Results

A total of 153 unique references meeting the search criteria were initially retrieved following the removal of duplicates. However, after careful screening, 45 articles meeting the inclusion criteria were retained for this review. The characteristics and findings of the articles are presented in Table 1.

Application of PEN-3 cultural model in studies reviewed

The reviewed studies vary greatly in their application of the PEN-3 cultural model to address health behaviors through a cultural lens. While there were no differences in the application of the model by language or country studied, it was common for studies to use the PEN-3 model as a theoretical framework to centralize culture in the study of health behaviors and to integrate culturally relevant factors in the development of interventions. For example, Erwin et al. (2010) used the PEN-3 model to shape and clarify messages, program content and structure of their cancer control intervention for Latinas. Sheppard et al. (2010) utilized qualitative findings related to the PEN-3 domains to inform the implementation of a decision to support intervention for black women with breast cancer. Similarly, Kannan et al. (2010) used the domains and construct of the model to develop a curriculum to help increase nutrition support and improve the preconception nutrition of African-American women in Southeast Michigan.

Additionally, several studies also used the PEN-3 cultural model to guide data collection, analysis, and interpretation of qualitative data generated. Data analytical approaches that emerged with the use of PEN-3 include: (1) Categorization, (2) Cross-Tabulation, and (3) Recontextualization. With categorization, it was common for studies to utilize the PEN-3 model as an organizing framework to categorize themes generated from qualitative data into one of the three domains of the model. Also, while some studies utilized all three domains of the model to categorize the themes generated for data analysis, it was not uncommon for studies to use only one of the domains to be applied depending on the nature of the study. For example, in interpreting Latina immigrants' perceptions, experiences, and knowledge regarding breast and cervical cancer screening, Erwin et al. (2010) used all three domains of the PEN-3 model to categorize emergent themes from participant's focus group responses.

However, in understanding mothers' treatment decisions about child febrile illness, Iwelunmor et al. (2010) used only the Cultural Empowerment domain to examine positive health beliefs and practices held by mothers, existential (unique) practices that have no harmful health consequences, and negative beliefs and practices that limit recommended responses to febrile illness in children.

Another data analysis approach commonly used with the PEN-3 cultural model in reviewed studies was cross-tabulation. With this approach, it was common for existing studies to cross-tabulate the Relationships and Expectations (i.e., perceptions, enablers, nurturers) domain with the Cultural Empowerment (i.e., positive, existential, and negative) domain of the PEN-3 model to generate a 3×3 table containing nine categories. This approach which is often referred to as the assessment phase of the PEN-3 model provided the opportunity to arrange the emerging themes at the intersection of two domains to assess for any domain interactions (Airhihenbuwa et al. 2009; Airhihenbuwa and Webster 2004). For example, in examining the challenges nurses caring for PLWHA in South Africa encounter with balancing personal and professional lives, Sofalahan et al. (2010) completed a 3×3 table of emerging themes by crossing the Relationships and Expectations domain with the Cultural Empowerment domain to capture the full range of nurses' experiences from positive to negative.

With Recontextualization, Morse and Field (1995) suggest that the goal is to locate the themes generated from qualitative data within the context of established knowledge. For example, in their paper, 'Rethinking HIV and AIDS disclosure within the context of motherhood in South Africa,' Iwelunmor, Zungu, and Airhihenbuwa (2010) utilized the established Cultural Empowerment domain of the PEN-3 cultural model to advance knowledge on women's disclosure of HIV seropositive status within the context of motherhood in South Africa. Similarly, Kline (2007) utilized the PEN-3 model to identify representations of Cultural Identity, Relationships and Expectations, and Cultural Empowerment in breast cancer education program that targets African-American women.

Common themes that emerge with the PEN-3 cultural model in reviewed studies

The remainder of this paper focuses on common themes that emerged in the reviewed studies (see Table 2). Indeed, three key themes consistently emerged and appear to help provide an in-depth understanding of how PEN-3 centralizes culture in the study of health behaviors and health outcomes. These themes include the importance of context, the role of family as an intervention point of entry, and the need to explore the positive aspects of culture on health behaviors.

Themes on culture and context

The importance of centralizing cultural contexts in the study of health behaviors or health outcomes was significant in research studies utilizing the PEN-3 cultural model. For example, in understanding the specific ways in which cultural context shapes health behaviors, Abernethy et al. (2005) highlighted the importance of understanding how traditional views of masculinity influence men's perceptions of their health particularly in African-American communities. The powerful effect of context was also central in a study

on Type 2 Diabetes among British Bangladeshis in United Kingdom (Grace et al. 2008) and among Maltese immigrants in Australia (Barbara and Krass 2013). Grace et al. (2008) found that several traditional social norms and expectations potentially conflicted with efforts to achieve health-related lifestyle change. In Australia, Barbara and Krass (2013) noted that several cultural influences and traditions among Maltese immigrants influenced their motivation regarding self-management of diabetes. For example, participants reported that the traditional social behaviors including the acceptance of hospitality impacted their adherence to dietary interventions (Barbara and Krass 2013).

In the context of HIV and AIDS, the PEN-3 cultural model was used to examine the influence of cultural context in explaining stigma and HIV disclosure in South Africa. Petros et al. (2006) used the PEN-3 model to explore how people living with HIV experience 'othering.' The authors found that much of the current blame and othering of HIV and AIDS can be traced to the country's complex history of racism, patriarchy, and homophobia. For example, in delineating othering by race, Petros et al. (2006) noted that 'South Africans from different racial backgrounds blame each other as either being the source of HIV or being responsible for spreading the disease.' Similarly, using the PEN-3 model as a guide, Iwelunmor et al. (2010) concluded that the discourse on motherhood in South Africa cannot be separated from the history of institutional discrimination that occurred during the apartheid era. The authors noted that the legacy of apartheid may affect traditional and societal expectations of mothering particularly in relation to disclosing seropositive status.

Cultural context also provides a clearer lens through which researchers might view and understand health behavior, while highlighting variables that may be most salient in future intervention design. Indeed, studies using the PEN-3 model demonstrated how cultural context matters when developing interventions and/or clinical trials focused on addressing health behaviors such as nutrition attitudes (James 2004; Airhihenbuwa et al. 1996), depression prevention (Saulsberry et al. 2013), domestic violence (Yick and Oomen-Early 2009), HIV and AIDS (Airhihenbuwa et al. 2009; Iwelunmor, Zungu, and Airhihenbuwa 2010; Okoror et al. 2012; Westmaas et al. 2012; Green et al. 2009; Mieh, Iwelunmor, and Airhihenbuwa 2013), reproductive desires (Sofolahan and Airhihenbuwa 2012, 2013), physical inactivity, stress (Gaston, Porter, and Thomas 2007), smoking (Beech and Scarinci 2003; Matthews, Sánchez-Johnsen, and King 2009; Scarinci et al. 2007), and cancer screening and awareness (Erwin et al. 2010; Osann et al. 2011; White et al. 2012).

Family as a common intervention entry point for culture

As mentioned earlier, to explore the influence of culture on health is 'to recognize that the forest is more important than the individual tree' (Airhihenbuwa 1999). Nowhere is this more paramount than in recognizing that illness is the responsibility of the collective. The PEN-3 cultural model emphasizes the role of the collective (family/community) in defining the health experiences of individuals, and underscores its importance in influencing health-related decisions. For example, among Native Hawaiian women, Ka'opua (2008) found that responsibility to the family influenced screening for breast cancer. Similarly, among Latinas, Sheppard et al. (2008) found that family members were key nurturers in influencing treatment decision making for breast cancer. Scarinci et al. (2012) also identified specific

cultural values considered central to the Latino culture that may play a role in cervical cancer prevention. For example, the authors noted that family (familiarismo) is one of the key Latino values heavily relied upon when dealing with problems and difficulties including health problems. This was also the case in a cancer intervention for diverse Latinas where Erwin et al. (2010) found that family – both nuclear and extended – takes precedence when addressing ways to produce positive screening behavior change in subgroups of Latinas.

The need and motivation to keep families healthy was also reported by Garcés, Scarinci, and Harrison (2006) who also utilized the PEN-3 model to highlight the importance of support from the family and extended family with health maintenance and health care-seeking practices among Latina immigrants. PEN-3 qualitative findings also provided indicators for designing a tailored recruitment protocol for a family-based genetic study on breast cancer (Ochs-Balcom, Rodriguez, and Erwin 2011). In a paper on family systems and HIV and AIDS, Iwelunmor et al. (2006) reported that family systems can be sources of support, unique indigenous entities, and sources of stress when addressing the care and support needs of family members living with HIV and AIDS. However, the need to take into account families' experiences with HIV and AIDS was critical in the development of interventions aimed at reducing the burden of HIV and AIDS-related stigma in the family and improve care and support for PLWHA (Iwelunmor et al. 2006). In describing the impact of AIDS-related stigma, using the PEN-3 model, Airhihenbuwa et al. (2009) noted that understanding how families cope with health and illness is central to developing sustainable public health interventions aimed at reducing HIV and AIDS stigma, particularly with identifying key members of the family who have made a difference in the lives of PLWHA.

In determining targets for public health interventions as part of the Cultural Identity domain of the PEN-3 model, James (2004) reported that African-American women are key agents of cultural transformation as they are usually concerned with the family's health, responsible for food preparation, set standards for healthful and unhealthy eating, and provide access to other family members. Underwood et al. (1997) reported that infant feeding practices among low-income African-American women were 'learned' from family members and others within the community and 'shared' with other new mothers within the community as well.

Positive aspects of culture as a critical domain in PEN-3

A central feature of the PEN-3 cultural model is that it provides researchers and interventionists a strategy for identifying and encouraging positive health behaviors (Airhihenbuwa and Webster 2004). This approach of examining positive behaviors within a cultural context has been the subject of other major fields of study; like psychology, which traditionally focus on negative behaviors and problems. The growth of Positive Psychology, however, which emerged in 2000 is an example of a compelling evidence of the benefit of focusing on positive aspects of behavior, actions, and indeed culture. This field of psychology encouraged a paradigm shift from a focus on negative aspects of individuals and related pathology to identifying and bolstering positive personal attributes for understanding how people thrive and survive even in situations and environments of adversity (Seligman and Csikszentmihalyi 2000). Prevention researchers began to realize that focusing research and practice on personal weakness and symptomatology left clinicians ill-prepared for

preventing illness. The key here is to focus rather on strengthening and harnessing personal strengths which could act as buffers against illnesses.

Like individual behaviors, positive aspects of culture can help promote healthy behaviors (i.e., knowledge of screening and testing, dietary habits, etc.) that lead to improved health outcomes. For example, among Native Hawaiian women, Ka'opua (2008) utilized talk story, a culturally familiar style of discussion to engage women in dialog on the importance of breast cancer screening. Similarly, in describing breast cancer treatment experiences, Sheppard et al. (2008) noted that cultural values such as personalismo (warm and personal relationships with individuals, i.e., clinicians) were central in enabling Latinas to expand their knowledge of treatment options and create a better understanding and willingness to take chemotherapy.

Within the context of nutrition, in describing how culture impacts dietary habits, James (2004) noted that food, particularly 'soul food' is a positive and existential dietary behavior practiced among African Americans and used to celebrate and affirm culture. The authors noted that while food myths, inaccurate information, and negative behaviors surrounding food choices and dietary intake are common among African-Americans, the positive aspects of traditional African-American diets should be stressed, even when highlighting the need for modifying or reducing the negative practices, such as frying foods, which is known to be harmful to health (James 2004).

With the understanding that public health research and interventions should be as much about promoting positive values as changing negative ones, Ochs-Balcom, Rodriguez, and Erwin (2011) utilized the PEN-3 model to identify positive and negative themes relevant to establishing community partnership to optimize recruitment of African-American women in a breast cancer epidemiology study. While the need for more information about breast cancer and potential benefits to younger generations were among the positive themes identified, negative themes included lack of knowledge regarding research participation, issues related to confidentiality of data, and breast cancer research in general (Ochs-Balcom, Rodriguez, and Erwin 2011). In tailoring their overall recruitment and study protocol, the authors reinforced positive themes and revised negative themes in a variety of ways.

As evidenced through its use in these studies, the PEN-3 model has opened space for researchers to discuss behavior and culture from a positive perspective. Discussing the positive aspects of culture and behavior, while reframing those cultural occurrences traditionally viewed as negative allows researchers to develop culturally congruent explanations and culturally relevant interventions for health. Culture is examined and utilized here with a strength-based approach as it is presented to edify public health research, not merely as yet another study limitation.

Limitations and future directions of the PEN-3 model based on reviewed studies

There are some limitations associated with the use of the PEN-3 cultural model as identified in the studies reviewed. First, because recognizing and responding to cultural aspects of

health behavior is a challenging process, almost all of the studies reviewed utilized PEN-3 model to generate and analyze formative/qualitative data. None of the studies reviewed appear to actually test any construct or domain of the model in a quantitative manner. While testing the different domains of the model quantitatively will provide further evidence of the reliability and effectiveness of the PEN-3 model in addressing cultural aspects of health behavior, findings from formative/qualitative data do underscore the model's foundation and premise in offering a strategy to excavate and unpack rich descriptions of the complex array of factors whether positive or negative, or at the individual, family, or community levels for influencing health behaviors. It also represents an important approach over other efforts that primarily focus solely on Western constructs of individual factors that influence health behaviors. As one study aptly stated, 'the model takes the thematic interpretation of qualitative data into an analysis process that sorts beliefs and concepts into discrete domains that can then be contextualized with specific behaviors, delivery and messages' (Erwin et al. 2010). Given that none of the included studies tested the constructs or domains of the model quantitatively, for boarder utilization, future studies should develop PEN-3 cultural model instruments that are reliable and valid with assessing the impact of culture on health.

Another limitation in using the PEN-3 model is transferability, that is, the extent to which the findings from these qualitative studies can be transferred from one context to another, even though some of the findings in this review show similar outcomes in different study populations. Indeed, caution should be exercised in transferring the findings generated using the PEN-3 model to other contexts as cultural aspects of health behaviors in one setting may not be applicable to other settings. Hence, the recommendation that PEN-3 should always begin with qualitative study to capture the uniqueness of each context, culture, and population. Lastly, as part of the intervention phase of PEN-3, it is recommended that researchers return to the community where data are collected to share lessons learned in the assessment phase (i.e., Cross-Tabulation of domains). It is not clear which of these studies included this aspect of the model as it was not reported. It may be the case that this phase was completed but authors plan to present this information in a separate manuscript. We recommend that returning and presenting findings to the community should be considered a critical part of using PEN-3.

Discussion

This review paper expands the current literature on the impact of culture on health and explores how a cultural model has been used to address health behaviors and health outcomes. The PEN-3 cultural model focuses on the impact of culture on health beliefs and actions, and proposes that public health and health promotion should not focus only on the individual, but instead on cultural context that nurtures a person's health behavior in his or her family and community (Airhihenbuwa 2007b).

Whether it is HIV/AIDS, cancer, or smoking, the findings of this review indicate that for different conditions/behaviors/experiences, culture remains the key to effectively examining their impact on health and thus framing solutions. This has implications particularly in the context of designing sustainable public health interventions focused on reducing health disparities.

Furthermore, culturally appropriate and compelling strategies for behavior change will require an understanding not only of individual-level factors but also of factors related to cultural norms including conditions in which people live, grow, eat, and die. The findings reported here demonstrate that the PEN-3 model is critical in developing and implementing health interventions anchored in culture. For example, the studies reviewed showed that the model provided the opportunity to challenge the assumption that positive health behavior is solely the function of individual responsibility. With the PEN-3 model, authors unpacked biases regarding the health behaviors of interest, and engaged research participants themselves with efforts aimed at promoting positive health outcomes (White et al. 2012).

The reviewed studies also highlight how the model is applied to explore the health behavior of interest. Various studies used the PEN-3 model as an ‘analysis tool’ to sift through text and data in order to separate, define, and delineate the comments of participants into themes that illustrate how culture influences health behaviors. Studies also use the model to explore not only how cultural context shapes beliefs and practices, but also how family systems play a critical role in enabling or nurturing positive health behaviors and health outcomes. The studies reviewed show that regardless of the health outcome or health behavior of interest, the PEN-3 cultural model provides the opportunity to examine cultural practices that are critical to positive health behaviors, acknowledges unique practices that have a neutral impact on health, and identifies negative factors that are likely to have an adverse influence on health. Also, with the understanding that the way that people perceive their health is rooted in relationships and interactions characteristic of their culture, the PEN-3 cultural model helped to focus research not only on the context that nurtures the health behavior of interest but also on the role of the collective (e.g., family) in influencing health behaviors.

While there are some limitations with the model as discussed earlier, future studies should extend its use beyond formative and/or qualitative data collection and analysis to begin to develop a rigorous evidence base of PEN-3 variables that effectively and reliably assess the impact of culture on various health outcomes. We do not recommend that all studies incorporate quantitative constructs in their study design. Qualitative findings using the PEN-3 cultural model continue to be very important and they do provide some key outcomes on which quantitative design could be used to advance current use of the model to better understand culture and health. The onus is therefore on researchers to advance and build on current methodological approaches (whether quantitative or qualitative) using PEN-3 cultural model to address health behaviors. Furthermore, what is most critical is that future efforts be made to ensure that researchers using the PEN-3 model return to the community where data were generated to share their findings and further learn from the community before deciding where to begin their interventions. This process which is referred to as ‘point of intervention entry’ is the most crucial component of the model as it ensures community engagement in the framing of solutions to health problems, while identifying those cultural influences that are beneficial and should be encouraged, acknowledging those that are harmless, and then tackling those practices that are harmful and have negative health consequences.

Taken together, the 45 articles presented in this review highlight the importance of exploring the impact of culture on health, particularly in designing effective public health

interventions. At a time when researchers are questioning the limitations of focusing exclusively on individual health behaviors, a focus on culture is especially pertinent. PEN-3 offers the opportunity to view culture as the *raison d'être* of health behavior as culture gives meanings to the coexistence of what is good, indifferent, and bad. What is central to PEN-3, then is to ensure that interventions are developed not only with the bad in mind (as is often the case), but to begin with identifying and promoting the good while recognizing the unique aspects of culture with respect to health. PEN-3 also enables an examination of the perceptions people may have about a health behavior, the resources or institutional forces that enable or disable actions toward the health behavior and the influence of family, friends, and communities in nurturing the behavior. In this way, the construction and interpretation of health behaviors are examined as functions of broader cultural contexts particularly in relation to how culture defines the roles of persons, and their expectations in family and community relationships.

Conclusion

Whereas many of the conventional health behavior theories often focus on the individual to promote change, PEN-3 offers a culture-centered approach to health that extends analysis to the totality of the contexts that either inhibit or nurture the individual. In doing so, this approach unpacks assumptions surrounding individual responsibilities or capabilities so as to expand and examine the role other factors play in inhibiting and/or nurturing healthy behavior change.

If we are to achieve equity in health through designing and implementing effective public health research and interventions, culture should be a critical factor in framing the way forward. While addressing individual behaviors is necessary, a focus on individual behavior alone at the exclusion of the cultural context limits the success of public health interventions. Moreover, given the overwhelming need for effective public health research and interventions that address health behaviors from a collective rather than an individual perspective, a cultural approach to health offers the opportunity to identify the broader contextual relationships and expectations whether positive, unique, or negative. In framing the impact of culture on health, the PEN-3 cultural model offers a tool for those who are committed to addressing health issues and problems to do so by acknowledging those aspects of culture that may negatively influence health, but always identifying a strength-based approach to public health intervention and education utilizing positive aspects of culture. Every culture has something positive, something unique, and something negative. Together, these factors are essential to eliminating inequities in health and advancing the mission of public health research and interventions globally.

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Key messages

1. PEN-3 cultural model is a theoretical framework that centralizes culture in the study of health. The model also place culture at the core of development, implementation, and evaluation of health interventions.
2. The findings from the literature reviewed demonstrate that PEN-3 model helped to focus research not only on the context that nurtures the health behavior of interest but also on the role of the collective (e.g., family) in influencing health behaviors.
3. PEN-3 cultural model also provides the opportunity to examine cultural practices that are critical to positive health behaviors, acknowledges unique practices that have a neutral impact on health and identifies negative factors that are likely to have an adverse influence on health.

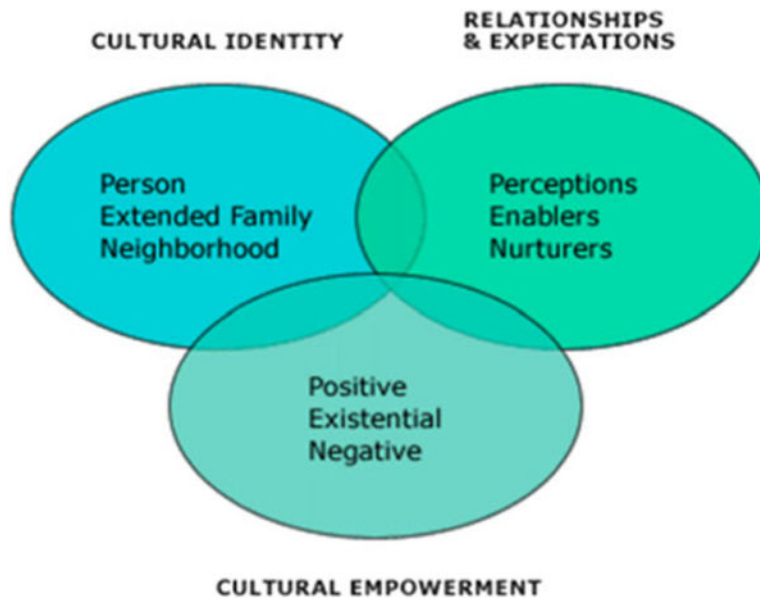


Figure 1.
The PEN-3 cultural model.

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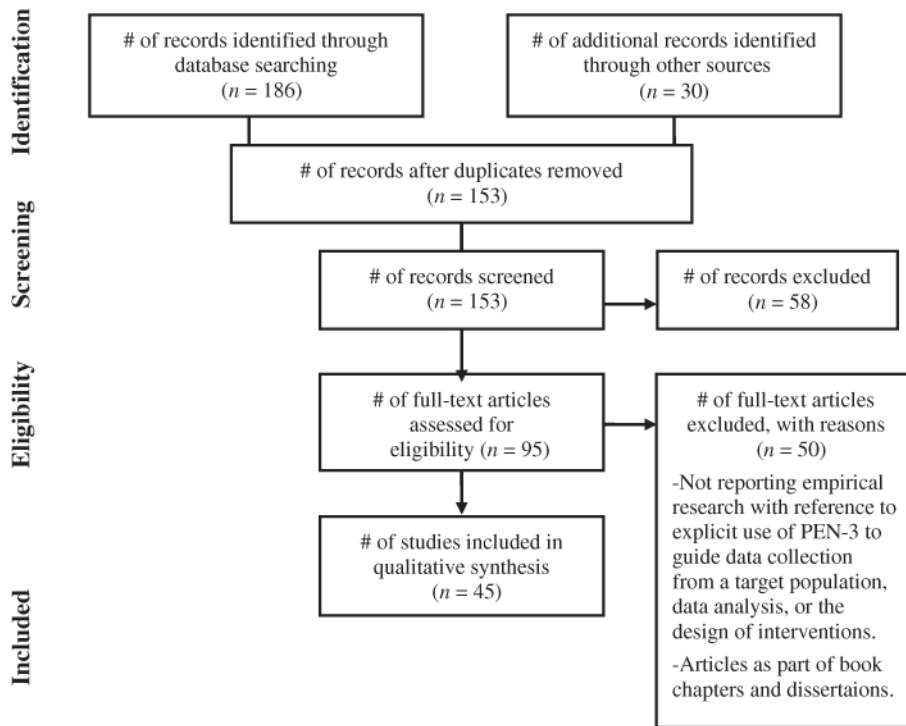


Figure 2. PRISMA flow diagram of studies applying the PEN-3 cultural model.

Table 1

Summary of studies applying the PEN-3 cultural model.

No.	Reference	Method	Target population	Behaviors, attitudes, and beliefs	Health outcome	PEN-3 cultural model application and/or findings
1	Sheppard et al. (2010)	In-depth interviews	N = 34 (14 breast cancer patients in active treatment, 10 survivor advocates, and 10 cancer providers)	Treatment decisions	Breast cancer	Reported key perceptions (such as sharing/hearing stories of survival, strong faith), enablers (patient-provider relationship), need/desire for better communication) and nurturers (other survivors family, faith in God, interaction with providers) were important to women when making adjuvant therapy decisions. These findings were used to develop an intervention strategy to promote better patient/provider communication.
2	Ka'opua (2008)	Focus groups and individual interviews	N = 60 Native Hawaiian women	Mammography use	Breast cancer	Participants viewed mammograms as beneficial, not harmful, and important to health. Family and older women were viewed the primary focus of family-oriented health interventions, with men and younger women as the secondary foci. Messages of hope (such as how screening benefited women and families) and of help (examples of family support) were suggested, while encouragement from spiritual leaders or loved ones of survivors may be especially valuable and facilitate screening intent. Finally inclusion of spiritual practices and time for talk story (culturally familiar style of discussion) enhanced cultural responsiveness to intervention.
3	Sheppard et al. (2008)	Focus groups	N = 22 (5 Latina breast cancer survivors and 17 Latinas in treatment)	Treatment decision-making	Breast cancer	Results included (1) key perceptions of Latinas that influences treatment decisions were low knowledge and self-efficacy in making decisions and communicating with providers, cancer fatalism, and misconceptions and negative expectations regarding chemotherapy; (2) key enablers were family relationships, patient-physician interactions, and access factors; (3) key nurturers were family members, other Latina survivors, spirituality/faith in God, self-reliance and support groups.
4	Kline (2007)	Generative rhetorical criticism	N=7 educational pamphlets on breast cancer	Cultural sensitivity	Breast cancer	In thematizing the messages in the pamphlets using the PEN-3 framework, the findings revealed that although messages were not inaccurate, they were constituted by rhetorical choices that emphasized racial-ethnic differences to support an argument in favor of mammography but

No.	Reference	Method	Target population	Behaviors, attitudes, and beliefs	Health outcome	PEN-3 cultural model application and/or findings
5	White et al. (2012)	Needs/assets assessment	N = 782 Latina immigrants	Screening behavior	Breast and cervical cancer	conversely obscured many racial and cultural differences when a model in outreach design and implementation, it is possible to reach a substantial number of Latina immigrants and connect them to cancer screening services. Results indicate that using the theoretical model in outreach design and implementation, it is possible to reach a substantial number of Latina immigrants and connect them to cancer screening services.
6	Erwin et al. (2010)	Focus groups	N = 112 Latinas in New York City (nine focus groups) and rural and urban sites in Arkansas (four focus groups)	Screening behavior	Breast and cervical cancer	Results show that the family takes precedence with interventions. Thematic analyses revealed that traditional beliefs and practices, such as machismo is objectively distributed with positive, existential, and negative attributes. Indeed, the recognition of the positive attributes for machismo allowed the research team to examine this as a cultural asset, rather than only as a negative as reported in most studies.
7	Erwin et al. (2005)	Focus groups and questionnaires.	N = 120 Latinos in Arkansas and New York City	Screening behavior	Breast and cervical cancer	Showed a mechanism for creating a culturally competent program, Esperanza y Vida, through progressively analyzing the findings to define the key perceptions, enablers, and nurturers, then applying this information to construct program components to address appropriate health behavior and cultural components that address the specific needs of a diverse Latino population.
8	Scarinci et al. (2012)	Focus groups	N = 13 Latina immigrants	Sexual risk reduction and Pap smear screening	Cervical cancer	The model provided theoretical guidance for the development of a culturally relevant intervention focusing on primary (sexual risk reduction) and secondary (Pap smear) prevention of cervical cancer among Latina immigrants.
9	Williams and Amoteng (2012)	Focus groups	N = 29 Ghanaian men	Screening behavior	Cervical cancer	Targets for education interventions were identified including inaccurate knowledge about cervical cancer and stigmatizing beliefs about cervical cancer risk factors. Cultural taboos regarding women's health care behaviors were also identified. Several participants indicated that they would be willing to provide spousal support for cervical cancer screening if they knew more about the disease and the screening methods.
10	Osann et al. (2011)	Focus group	N = 12 patients (6 English speaking, 6 Spanish speaking)	Recruitment and retention in cancer clinical trials	Cervical cancer	The model was adopted for the improvement of existing study materials as

No.	Reference	Method	Target population	Behaviors, attitudes, and beliefs	Health outcome	PEN-3 cultural model application and/or findings
27	Brown, BeLue, and Airhihenbuwa (2010)	Focus groups, key informant interviews, and questionnaires	N = 397 Black and colored participants from two South African communities.	Stigma perceptions	HIV and AIDS	AIDS) gender, existential (unique) challenges nurses face in setting AIDS) gender, existential (unique) challenges nurses face in setting AIDS) gender, existential (unique) challenges nurses face in setting AIDS) gender, existential (unique) challenges nurses face in setting AIDS) gender, existential (unique) challenges nurses face in setting Positive perceptions of familial support were important with disclosure of HIV status, while counselors were viewed as positive enablers as they serve as excellent resources in assisting families affected by HIV and AIDS. Accompanying PLWHA to clinic and support groups formed positive nurturers. Also, although families were often viewed as existential entities particularly in relation with disclosure of status.
28	Iwelunmor, Zungu, and Airhihenbuwa (2010)	Focus groups and key informant interviews	N = 48 women living with HIV and AIDS in two South African communities	Disclosure of seropositive status	HIV and AIDS	The findings revealed that there could be both positive (i.e., acceptance and support) and negative consequences (i.e., disruptions in mother–daughter relationships) associated with disclosure to mothers, while the existential role of motherhood (i.e., breastfeeding) could influence a participant's decision to disclose.
29	Airhihenbuwa et al. (2009)	Focus groups and key informant interviews	N = 453 (345 women and 108 men)	Stigma in family and health care	HIV and AIDS	Results show that both 'positive non-stigmatizing values' enabled through supportive roles, 'existential values unique to contexts' such as the importance of food in contextualizing relationships, and 'negative stigmatizing characteristics' such as the blaming of HIV and AIDS on women.
30	Green et al. (2009)	Focus groups and key informant interviews	N = 73 traditional leaders or members of royal families	Mobilizing indigenous resources for anthropologically designed HIV prevention and behavior change	HIV and AIDS	The PEN-3 model, as a theoretical framework, was applied to understand aspects of indigenous leadership and cultural resources that might be accessed and developed to influence individual behavior as well as the prevailing community norms, values, sanctions, and social controls that are related to sexual behavior.
31	Okoror et al. (2007)	Focus groups and key informant interviews	N = 249 (195 women and 54 men)	Stigma and racism	HIV and AIDS	Food was viewed as an expression of support and acceptance for some HIV-positive women and as an expression of rejection for others. It also allowed an assessment of not just the negative aspects of food sharing, but also an exploration of

No.	Reference	Method	Target population	Behaviors, attitudes, and beliefs	Health outcome	PEN-3 cultural model application and/or findings
32	Iwelunmor et al. (2006)	Focus groups	N = 204 (150 females and 54 males)	Caregiving	HIV and AIDS	the positive ways food is used to express love, support, and acceptance; the positive ways food is used to express love, support, and acceptance. The findings highlight the positive and supportive aspects of family systems, acknowledge that family systems are unique (existential) indigenous entities, and note that family systems can be sources of stress when caring and supporting PL WHA.
33	Petros et al. (2006)	Focus group discussions and key informant interviews	N = 39 focus group discussions comprising 8 to 10 participants and 28 key informant interviews	Othering and stigma	HIV and AIDS	Findings reveal how cultural and racial positioning influence perceptions of the group considered to be responsible and thus vulnerable to HIV infections with AIDS. The findings indicate that an othering of blame is central to these positionings with blame being channeled through the multiple prisms of race, culture, homophobia, and xenophobia.
34	Bynum et al. (2012)	Questionnaire	N = 363 African-American college students	Health beliefs, vaccine acceptability, and safer sex practices	Human papillomavirus (HPV) vaccines	Meaningful set of factors to address beliefs and attitudes to HPV vaccination were produced with the PEN-3 model whereby 'racial pride' was viewed as a 'positive enabler' of health behavior, while health care distrust was viewed as a 'negative perception.'
35	Walker (2000)	Educational intervention	N = 83 African-Americans	Hypertension management and medication adherence	Hypertension	The development and tailoring of messages that were culturally sensitive and age specific while at the same time raising awareness of the importance of hypertension management. For the intervention, the focus was on individuals and neighborhood leaders, perceptions and enablers of hypertension managements as well as the negative beliefs that influence appropriate management of hypertension.
36	Underwood et al. (1997)	Focus groups	N = 35 African-American women	Infant feeding practices	Nutritional-related illnesses	A better understanding of the infant feeding practices of low-income African-American women particularly in relation to the role cultural beliefs and life experiences play in light of practices currently recommended by health care providers within the pediatric community.

No.	Reference	Method	Target population	Behaviors, attitudes, and beliefs	Health outcome	PEN-3 cultural model application and/or findings
37	Iwelunmor et al. (2010)	In-depth interviews	N = 123 mothers with children less than five attending an outpatient clinic in southwest Nigeria	Treatment decisions	Malaria	Mothers' knowledge of symptoms was important positive treatment seeking response to child febrile illness. Also beliefs related to child teething highlighted existential decisions, while the belief that febrile illness is not at all severe despite noticeable signs and symptoms were among the negative perceptions.
38	Gaston, Porter, and Thomas (2007)	Evaluations of a curriculum-based health intervention	N = 134 African-American women	To decrease the major health risk factors	Major risk factors	The PEN-3 model, as a theoretical framework, was applied to develop a curriculum-based, culture- and gender-specific health intervention, aimed at assisting mid-life African-American women to decrease the major risk factors of physical inactivity, poor nutrition, and stress.
39	Kannan et al. (2010)	Evaluation of a pre-test-post-test nutrition curriculum	N = 102 African-American women of childbearing age	Healthy eating	Maternal nutrition and protective factors in relation to birth outcome	The importance of a nutritionally balanced culturally based meals for the family using the Soul Food pyramid, teaching about essential micronutrients, encouraging eating a variety of foods, and incorporating intergenerational family-centered nutrition activities were among the findings integrated in the design of the peer-led nutrition curriculum Healthy eating and Harambee.
40	Kannan et al. (2009)	Focus groups	N = 36 (16 younger (19–25 years) and 20 older African-American women (45–60 years)	Supportive factors and barriers to healthy eating	Maternal nutrition and protective factors in relation to birth outcome	Findings revealed that culture and family relationships impacted food choices. Younger women expressed creativity with recipes, while older women expressed a desire to teach family-centered culinary skill-building classes. Both groups of women acknowledged time and budget barriers, identified the prevalence of lactose intolerance, and recognized that large grocery stores that offered food variety were not located in their community.
41	Hilton et al. (2007)	Focus groups	N = 177 participants	Cultural beliefs and practices	Oral care	The PEN-3 model facilitated and insured examination of the focus group data in a culturally sensitive and appropriate manner.
42	Abernethy et al. (2005)	Cross-sectional design	N = 655 African-American men	Screening	Prostate cancer	The influence of cultural values on recruitment of African American men for prostate cancer screening study as it allowed recruitment efforts to address cultural values that were particularly relevant for this population and health behavior. The values of the community where viewed as essential for recruitment.

No.	Reference	Method	Target population	Behaviors, attitudes, and beliefs	Health outcome	PEN-3 cultural model application and/or findings
43	Scarinci et al. (2007)	Focus groups	N = 108 women in private and public worksites.	Smoking cessation	Weight control decisions	<p>Also distrust of research, hidden costs associated with participation</p> <p>Also distrust of research, hidden costs associated with participation</p> <p>Also distrust of research, hidden costs associated with participation</p> <p>Also distrust of research, hidden costs associated with participation</p> <p>Positive factors such as strong influence from parents and family members served as protective mechanism against initiation, while exposure to smoking-prompting behaviors through family members were negative factors that contributed to smoking initiation. Smoking restrictions at home and workplace and concerns about appearance were positive factors associated with smoking cessation, while stress/anxiety-relieving benefits, weight control, access/low cost of cigarettes, being around smokers and risk-exempting beliefs were negative factors.</p>
44	Matthews, Sánchez-Johnsen, and King (2009)	Group format sessions	N = 8 African-American smokers	Smoking cessation	Respiratory illness	<p>Identification of cultural variables that may facilitate or hinder smoking cessation among African-American smokers. For example, efforts were made to increase knowledge and readiness to quit smoking while removing salient barriers to participation in formalized smoking cessation treatment programs.</p>
45	Beech and Scarrinci (2003)	Focus groups	N = 118 African-Americans (65 men and 53 women)	Attitudes and practices	Smoking	<p>Themes were classified according to the PEN-3 model, and they included lighting cigarettes for parents as a first experience with cigarettes, perceived stress relief benefits of smoking, use of cigarettes to extend the sensation of marijuana, and protective factors against smoking such as respect for parental rules.</p>

Table 2

Themes identified in select studies applying PEN-3 cultural model.

	Role of context	Family	Positive aspects of culture
Mieh et al. (2013)	x	x	x
Saulsberry et al. (2013)	x	x	x
Sofolahan and Airhihenbuwa (2013)	x	x	
Barbara and Krass (2013)	x	x	
Okoror et al. (2012)	x	x	x
Scarinci et al. (2012)	x	x	x
Sofolahan and Airhihenbuwa (2012)	x	x	
White et al. (2012)	x	x	x
Bynum et al. (2011)	x		
Ochs-Balcom et al. (2011)	x	x	x
Osann et al. (2011)	x		x
Brown, BeLue, and Airhihenbuwa (2010)		x	x
Erwin et al. (2010)	x	x	x
Iwelunmor, Zungu, and Airhihenbuwa (2010)	x	x	x
Iwelunmor et al. (2010)		x	x
Sheppard et al. (2010)	x	x	x
Sofolahan et al. (2010)		x	x
Airhihenbuwa et al. (2009)	x	x	x
Green et al. (2009)	x	x	x
Kannan et al. (2010)	x	x	x
Kannan et al. (2009)	x	x	x
Iwelunmor, Zungu, and Airhihenbuwa (2010)	x	x	x
Melancon, Oomen-Early, and Rincon (2009)	x	x	x
Grace et al. (2008)	x	x	
Ka'opua et al. (2008)	x	x	x
Sheppard et al. (2008)	x	x	x
Yick and Oomen-Early (2009)	x	x	x
Kline (2007)	x	x	x
Okoror et al. (2007)		x	x
Scarinci et al. (2007)		x	x
Garcés et al. (2006)	x	x	x
Iwelunmor et al. (2006)		x	x
Petros et al. (2006)	x		x
Abernethy et al. (2005)	x	x	x
Erwin et al. (2005)	x	x	x
James (2004)	x	x	x
Beech and Scarinci (2003)	x	x	
Walker (2000)	x	x	x
Underwood et al. (1997)	x	x	

	Role of context	Family	Positive aspects of culture
Airhihenbuwa et al. (1996)	x	x	x

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