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## Challenges in the Diagnosis and Management of Well-Differentiated Neuroendocrine Tumors of the Lung (Typical and Atypical Carcinoid): Current Status and Future Considerations

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Key Words. Neuroendocrine tumors • Lung neoplasms • Carcinoid tumor • Disease management

#### Abstract \_

Neuroendocrine tumors (NET) of the lung represent approximately 25% of all primary lung tumors and can be classified as low grade (typical carcinoids), intermediate grade (atypical carcinoids), or high grade (large cell neuroendocrine carcinoma or small cell lung carcinoma). Low- and intermediate-grade lung NET are increasingly recognized as biologically distinct from high-grade lung NET based on clinical behavior and underlying molecular abnormalities. This review summarizes current knowledge and challenges in the diagnosis and management of low- and intermediate-grade lung NET. Accurate histopathologic classification of lung NET is critical to determining appropriate treatment options but can be challenging even for experts. For low- and intermediate-grade lung NET, surgery remains the mainstay of treatment for localized disease. Although no standard systemic therapy has been established for the treatment of advanced, unresectable disease, a number of promising treatment options are emerging, including somatostatin analogs, temozolomide-based chemotherapy, targeted therapy with mammalian target of rapamycin or vascular endothelial growth factor inhibitors, and peptide receptor radionuclide therapy. Given the difficulty in accurately diagnosing these tumors, and the paucity of data supporting establishment of standard systemic therapy options, management of patients within the setting of a multidisciplinary team, including specialists with expertise in NET, is recommended. Ongoing and future clinical trials hopefully will provide stronger evidence to support treatment recommendations for low- and intermediate-grade lung NET. **The Oncologist** 2015;20:1123–1131

**Implications for Practice:** Treatment of neuroendocrine tumors (NET), particularly those of lung origin, continues to evolve. This review seeks to educate oncologists on the most up-to-date options and supporting data regarding management of two rare lung neoplasms, typical and atypical carcinoid tumors. Although surgical resection has been the mainstay of treatment, several systemic options have been studied in the treatment of NET of various origins that may potentially play a role in treating typical carcinoid tumors.

#### INTRODUCTION

Neuroendocrine tumors (NET) arise from neuroendocrine cells in various anatomic sites, most commonly the gastrointestinal and pulmonary systems [1]. Bronchopulmonary or lung NET represent approximately 25% of primary lung neoplasms and 20%–25% of primary NET [1–3].

World Health Organization (WHO) 2004 criteria classify lung NET into 4 histologic variants: typical carcinoid (TC), atypical carcinoid (AC), large cell neuroendocrine carcinoma (LCNEC), and small cell lung carcinoma (SCLC) [4]. Lung NET may also be classified as grade 1 (TC), grade 2 (AC), or grade 3 (LCNEC or SCLC).TC and AC are rare (incidence is 1%–2%) [5–7], with increases seen in the U.S. (0.30 to 1.35 per 100,000 persons for the years 1973–2004) [2], partially attributable to improvements in imaging [8]. This review focuses on diagnosis and management of low- and intermediate-grade lung NET; as such, SCLC and LCNEC are not further discussed.

Successful treatment of lung NET relies on accurate and timely diagnosis, which may be challenging because patients remain asymptomatic for long periods or have nonspecific symptoms [5,9]. Furthermore, distinguishing between histological variants requires expert pathology, as differences can be subtle [5].

Aside from use of somatostatin analogs (SSA) as standard of care for treating symptoms associated with functional carcinoids [10], currently, no established systemic treatments exist for patients with unresectable or metastatic TC or AC; most treatments are investigational [9].

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#### MATERIALS AND METHODS

PubMed was searched (years 2010–2015) for articles regarding the diagnosis and management of lung NET, including identification and differentiation, prognosis, treatment options, impact of multidisciplinary care, and future perspectives. Key search terms included "neuroendocrine tumor," "lung NET," "small cell lung carcinoma," "large cell neuroendocrine carcinoma," "typical carcinoid," and "atypical carcinoid." Additionally, ClinicalTrials.gov, and cancer-focused congresses such as those of the American Society of Clinical Oncology were searched (years 2012–2014) to identify relevant studies evaluating therapies in lung NET. Reference citations within relevant articles revealed further sources of value.

#### PATHOPHYSIOLOGY

TC and AC are thought to originate from pulmonary neuroendocrine cells (PNECs), specialized epithelial cells occurring as solitary cells or clusters of 4-10 cells (neuroepithelial bodies) in the airways and lungs [3, 8]. In adults, neuroepithelial bodies function as chemoreceptors in the airway, secreting serotonin in response to hypoxia, inducing vasoconstriction in poorly ventilated areas of the lung and redirecting blood flow toward better ventilated areas [11, 12]. Diffuse idiopathic neuroendocrine cell hyperplasia (DIPNECH), a rare, preinvasive lesion that can give rise to TC and AC, is defined as hyperplasia of PNECs confined to respiratory epithelium without penetration through the basement membrane [13]. DIPNECH is an exaggerated adaptive response to hypoxia and chronic lung injury or infection. However, most cases, even those that progress to carcinoid, arise in otherwise normal lung tissue [3, 13]. DIPNECH can cause chronic nonproductive cough and interstitial lung disease, which can progress to obliterative bronchiolitis [13].

DIPNECH lesions extending beyond the basement membrane are known as tumorlets when nodular aggregates of neuroendocrine cells measure <0.5 cm (greatest diameter) and as lung NET when measuring  $\geq$ 0.5 cm [3, 12]. Lung carcinoids are thought to arise from DIPNECH or tumorlets based on co-occurrence with established carcinoids [12].

Although lung NET are traditionally thought to represent a continuum of disease, TC and AC may represent distinct biological entities based on clinical behavior and underlying molecular abnormalities [12]. TCs and ACs commonly occur in nonsmokers with no discernible relationship to smoking [3]. They are also both associated with multiple endocrine neoplasia type 1 in 5%–10% of cases [9].

#### DIAGNOSIS

#### **Clinical Presentation**

Lung NET are heterogeneous and can present with nonspecific symptoms that mimic more common conditions. Furthermore, up to half of patients are asymptomatic at diagnosis [6].

Nonfunctional (nonsecretory) lung NET, if symptomatic, present with symptoms relating to tumor mass, including cough, hemoptysis, recurrent pulmonary infection, dyspnea, and chest pain [5, 8, 9]. Approximately 70%–75% of TCs and ACs are located centrally in the major bronchi, with the remainder found in peripheral lung [3, 8]. Up to 90% of patients with central lung NET present with obstructive

symptoms; peripheral tumors tend to be asymptomatic unless they are so extensive they cause dyspnea. Peripheral tumors are often discovered incidentally on investigation for other conditions [6, 8]. Obstructive symptoms by a carcinoid can mimic more prevalent respiratory conditions, including asthma, chronic obstructive pulmonary disease, and pneumonia. Often, a tumor is only suspected after initial treatment fails to improve symptoms, delaying diagnosis by months or years [5, 9].

Functional (secretory) lung NET typically present with symptoms including cutaneous flushing, diarrhea, and wheezing caused by excessive secretion of hormones and peptides by tumor cells [5]. An unusual carcinoid syndrome resulting from histamine release with associated prolonged generalized erythema can also be associated with lung NET [9]. However, classical carcinoid syndrome is less common in lung NET than in gastrointestinal NET (<2% vs. ~10%) [3, 14].

#### Pathology

Histopathologic tumor classification has the greatest impact on prognosis and treatment in lung NET; accurate classification, therefore, is crucial [15]. WHO 2004 classifications for lung NET (Table 1) account for cell size and morphology, architectural growth patterns, mitotic index, and presence of necrosis [4].

TC and AC are defined as tumors  $\geq$ 0.5 cm with carcinoid morphology, and are differentiated by mitotic rate and presence or absence of necrosis [5, 6]. Differences between TC and AC can be subtle [3], and considerable interobserver variability in histopathologic classification among pathologists has been reported for TC and AC [16].

The Ki-67 index is not yet included for grading lung carcinoids. Inclusion of the Ki-67 index in WHO classification criteria is prognostically effective [17], and has been suggested for lung NET. TC and AC often have a low Ki-67 labeling index [18]. A study by Rindi et al. suggested discrimination of lung NET grade by using Ki-67 cutoff values of <4% for grade 1, 4%–24% for grade 2, and  $\geq$ 25% for grade 3, and proposed a grading system based on the combination of Ki-67, mitotic count, and necrosis [19]. Other possible Ki-67 cutoffs have been suggested to distinguish TC from AC [20, 21], but none have been widely accepted as clinically useful.

#### **Tumor Markers**

Establishment of a NET diagnosis can be aided by immunohistochemical detection of general neuroendocrine markers, including chromogranin A (CgA), CD56, synaptophysin, and neuron-specific enolase (NSE) [15]. Most TCs and ACs are positive for CgA and/or synaptophysin by immunohistochemistry [15]. Synaptophysin is regarded as among the most specific markers of neuroendocrine differentiation, with higher sensitivity than CgA or NSE. Nevertheless, in a small proportion of TCs or ACs (particularly AC), not all neuroendocrine markers are expressed and a panel approach is recommended [3, 9].

Additionally, serum CgA may be used to monitor recurrence or response to treatment, as it frequently correlates with tumor volume. Care should be taken, as levels may be elevated with proton pump inhibitors, liver or renal failure, or chronic gastritis [9].

5-Hydroxyindoleacetic acid is a metabolite of serotonin. Urinary levels can be useful markers in patients with



Table 1. Classification of lung neuroendocrine tumors based on World Health Organization 2004 criteria [3, 5, 6]

Criterion	Typical carcinoid	Atypical carcinoid		
Grade	Low	Intermediate		
Morphology	Well differentiated	Well differentiated		
Mitoses per 2 mm <sup>2</sup>	<2	2–10		
Necrosis	Absent	Present (focal, often punctate)		
Cell growth pattern	Organoid	Organoid		
Nuclear to cytoplasmic ratio	Moderate	Moderate		
Nucleoli	Absent or faint	Common		
Nuclear chromatin	Finely granular	Finely granular		

well-differentiated midgut NET [15], but are less useful in lung NET, as levels are frequently normal [9].

#### Imaging

If lung NET is suspected, imaging is performed to determine size and location of the primary tumor, and for staging. Computed tomography (CT) is recommended for imaging lung carcinoid tumors [8]. Magnetic resonance imaging (MRI) may also be useful for imaging mediastinal or abdominal metastasis [9]. For followup, European Society of Medical Oncology (ESMO) guidelines recommend performing CT imaging or MRI once yearly in patients who have undergone primary surgery for TC or AC, or every 3 months in those with metastatic disease receiving treatment with cytotoxic or biologic agents [15].

Given the shared structural similarities between TC and AC, radiologic findings alone cannot distinguish between them, necessitating the use of functional imaging with nuclear medicine [22]. Somatostatin (SST) receptors are expressed in approximately 80% of lung NET, predominantly SST<sub>2</sub> receptors [5, 23]. SST receptor scintigraphy (SRS) with radiolabeled SSA <sup>111</sup>In-labeled octreotide, in conjunction with single-photon emission CT, may also be used in perioperative staging for detection of nodal involvement and distant metastases, and is effective for visualizing TC and AC [24]. The North American Neuroendocrine Tumor Society (NANETS) guidelines recommend SRS to test for presence of SST-receptor expression, to evaluate appropriateness of peptide receptor radionuclide therapy (PRRT), or as part of follow-up imaging [9]. Positron emission tomographic (PET) scans with gallium-68 SSAs, including gallium 68-tetraazacyclododecane tetraacetic acid-octreotate (<sup>68</sup>Ga-DOTATATE) and 1,4,7,10-tetraazacyclododecane-N<sup>1</sup>,N<sup>11</sup>, N<sup>III</sup>,N<sup>IIII</sup>-tetraacetic acid (D)-Phe1-thy3-octreotide (<sup>68</sup>Ga-DOTATOC), have shown higher sensitivity and specificity for SST-receptor imaging than octreoscan, and are likely to replace it for staging NET, including lung carcinoids [22, 25, 26]. <sup>18</sup>F-fluorodeoxyglucose PET (FDG PET)/CT might have a role in diagnostic imaging of TCs and ACs [27], and has been suggested for tumors with Ki-67 index >10% [15]. Thus, there is an emerging role for FDG PET and gallium-68 PET for postoperative staging and evaluation for PRRT.

#### **Staging Criteria**

Tumor-node-metastasis staging of TC and AC is prognostic for survival using data from the Surveillance, Epidemiology, and End Results and International Association for the Study of Lung Cancer databases (Table 2) [28]. ACs are notably more aggressive than TCs, with a higher frequency of regional lymph node involvement (50%-60% vs. 10%-15%) and distant metastasis ( $\sim20\%$  vs. 2%-5%) [7, 29], and worse survival outcomes at 5 years (56%-79% vs. 87%-96%) and 10 years (35%-56% vs. 82%-87%) [7, 15, 29].

### TREATMENT

The mainstay of treatment is surgery for localized TCs and ACs and for highly selected cases of advanced disease. TC and AC are relatively insensitive to chemotherapy and radiotherapy and no standard systemic therapy has been established. Targeted therapies may be beneficial, although these remain investigational for lung NET.

#### **Localized Disease**

#### Surgery

Complete surgical resection, with preservation of as much normal lung tissue as possible, is the standard of care for patients with localized TC or AC [5, 9]. For TC, surgical resection provides excellent outcomes, with 5- and 10-year survival rates of ~90% and ~80%, respectively, and very low recurrence rates (3%-5%) [30–32]. For AC, 5- and 10-year survival rates following surgery are lower, around 70% and 50%, respectively, owing to a much higher recurrence rate (~25\%) [30–32].

AC may require more aggressive surgery than TC [5, 33]. Although lung-sparing limited/sublobar resection provides similarly low recurrence rates to lobectomy or pneumonectomy in patients with TC, the risk of locoregional recurrence is increased in patients with AC [32–34]. The benefits of lymph node dissection are poorly defined in TC, although systemic lymph node sampling is recommended to define pathologic stage. Since AC has a much higher rate of lymph node involvement, lymph node dissection is often recommended, even in patients with clinical NO disease [5].

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Stage	Incidence (% cases)		5-year survival rate (%)					
	SEER database (1990–2002) <sup>a</sup>	IASLC database (1990–2000)	SEER database (1990–2002) <sup>a</sup>	IASLC database (1990–2000)				
I	78	82	93	93–94				
II	12	14	85	74–83				
III	6	3	75	67				
IV	3	<1	57	_				

 Table 2.
 Stage distribution and 5-year survival rates of low- or intermediate-grade lung neuroendocrine tumors in the SEER and

 IASLC databases [28]

<sup>a</sup>Surgically managed cases only.

Abbreviations: ---, no data; IASLC, International Association for the Study of Lung Cancer; SEER, Surveillance, Epidemiology, and End Results.

#### Adjuvant Therapy

Given the high rate of recurrence, the potential role for adjuvant therapy is of interest. Use of adjuvant therapy is not well studied in lung NET and discordance exists among treatment recommendations. Whereas National Comprehensive Cancer Network (NCCN) guidelines recommend adjuvant chemotherapy with or without radiation therapy for patients with stage II/III AC [35], guidelines from the European Neuroendocrine Tumor Society (ENETS) recommend this only for AC patients with positive lymph nodes, particularly those with high proliferative index [26], and NANETS guidelines do not recommend this modality because of lack of supportive data [9]. Retrospective analyses suggest some patients might benefit from adjuvant chemotherapy [14, 36], whereas adjuvant radiotherapy has been shown to improve local control in some studies [37] but not others [14]. In one retrospective study in patients with pulmonary NET (including 36 patients with TC and 3 with AC), no local recurrences were observed after adjuvant radiation therapy in node-positive patients [37].

#### Treatment of Carcinoid Syndrome

Approximately 2% of lung NET demonstrate carcinoid syndrome [3]. SSAs are the mainstay of treatment for carcinoid syndrome, controlling symptoms by reducing excessive hormone production [9, 38]. Octreotide is the only SSA approved in the U.S. for treatment of carcinoid syndrome [39]; both lanreotide and octreotide are approved for this indication in Europe [40, 41]. Octreotide long-acting repeatable (LAR) provides good symptom control in 40%–90% of patients, although occasional rescue with short-acting octreotide is required [42-44]. Lanreotide has shown similar efficacy to octreotide in controlling symptoms of carcinoid syndrome [45, 46]. Interferon- $\alpha$  (2a or 2b) may also be used to treat carcinoid syndrome, either alone or with an SSA. As monotherapy, interferon- $\alpha$  provides similar symptom control but with a delayed onset of action and more side effects than SSAs [38, 47]. In patients with insufficient response to SSA alone, good symptom control can be achieved in combination with interferon- $\alpha$  [48].

#### **Advanced Disease**

Although SSAs are the standard of care for treating symptoms associated with functional carcinoids [10], there is currently no established systemic treatment for unresectable or metastatic TC or AC. Although several treatment approaches are proposed for advanced lung NET, none have been validated in large phase II/III trials [8]. Most clinical evidence comes from subgroup analyses of studies in patients with low- or intermediate-grade NET from various sites of origin or is extrapolated from studies evaluating NET from other primary sites. Most systemic treatments remain investigational for TC and AC [9].

#### Surgery

Surgery remains the only curative treatment for TC and AC, even for metastatic disease [8, 9]. Surgery is often recommended with curative intent in patients with limited hepatic metastases, with ~20% achieving a cure [9]. Even without a cure, patients may benefit from symptom alleviation and prolonged disease-free survival [9]. If complete resection is not possible, palliative debulking surgery may be performed to relieve symptoms or prevent complications. However, in the presence of unresectable metastases, resection of a small asymptomatic primary tumor is not indicated [9, 49].

#### Somatostatin Analogs

Although octreotide is primarily used to treat carcinoid syndrome symptoms, it may also have antiproliferative activity [50]. The phase IIIB PROMID study evaluated octreotide LAR in patients with well-differentiated midgut NET, with or without functionally active tumors [50]. Compared with placebo, octreotide LAR prolonged progression-free survival (PFS) (14.3 vs. 6.0 months; hazard ratio [HR]: 0.34; p < .001) and improved stable disease rates (67% vs. 37% of patients), with similar responses in functional and nonfunctional tumors.

Similarly, lanreotide autogel improved PFS compared with placebo (HR: 0.47; 95% confidence interval [CI]: 0.30-0.73; p < .001) in nonfunctioning enteropancreatic NET in the phase III CLARINET study [51]. PFS was improved with lanreotide in midgut or pancreatic NET (pNET) versus placebo, whereas the small population of patients with hindgut NET (n = 14) had improved PFS with placebo. Based on these results, lanreotide was approved in the U.S. for treatment of gastroenteropancreatic NET [52], and marketing authorization application submission has been accepted for filing in Europe [53].

Although neither trial included lung NET, results are intriguing and support antitumor activity of SSAs in NET. Recent treatment guidelines for lung NET from NANETS, ENETS (Fig. 1), ESMO, and NCCN recommend octreotide and, now, lanreotide be considered for tumor control in bulky and/or symptomatic disease that cannot be completely resected [8, 9, 26, 49], particularly when SRS or PET imaging is positive.





**Figure 1.** European Neuroendocrine Tumor Society (ENETS) Expert Consensus and Recommendations for Best Practice for Typical and Atypical Pulmonary Carcinoid [26]. (**A**): ENETS recommendations for the control of hormone-related symptoms. (**B**): ENETS recommendations for the control of hormone-related symptoms and tumor growth. <sup>a</sup>Progression is defined according to Response Evaluation Criteria in Solid Tumors. Reprinted with permission from: Caplin ME, Baudin E, Ferolla P et al. Pulmonary neuroendocrine (carcinoid) tumors: European Neuroendocrine Tumor Society expert consensus and recommendations for best practice for typical and atypical pulmonary carcinoids. Ann Oncol 2015;26:1604–1620 (published by Oxford University Press on behalf of the European Society for Medical Oncology).

Abbreviations: AC, atypical carcinoid; PRRT, peptide receptor radionuclide therapy; TC, typical carcinoid.

### Chemotherapy

High-grade lung NET demonstrate sensitivity to platinumbased chemotherapy, with the standard regimen being cisplatin plus etoposide [54]. Platinum-based chemotherapy has demonstrated only minor activity in low- or intermediategrade lung NET, with no standard regimen defined [9].

Recent data suggest temozolomide-based regimens have promise in low- or intermediate-grade NET, including lung NET [9, 15]. In a phase II study involving 13 patients with lung NET, temozolomide treatment resulted in partial response (PR) in 31% and stable disease in a further 31% [55]. A retrospective analysis of 31 patients with metastatic TC or AC treated with temozolomide showed a PR rate of 14% and stable disease in 52% [56]. Combination of temozolomide with capecitabine has shown promising results in a retrospective study involving 29 patients with low- to intermediate-grade NET (8 with lung NET). Tumor control (PR or stable disease) was achieved in 72% and median time to progression (TTP) was 9 months [57].

Whereas low- or intermediate-grade NET from other sites appear to respond to streptozocin-based regimens [58, 59], results in lung NET have been poor, suggesting TC and AC may be resistant to streptozocin [15, 60].

Current treatment guidelines recommend reserving cytotoxic chemotherapy for patients with progressive metastatic disease for whom no other feasible treatment options are available [9, 26, 49].

#### Targeted Therapy

Targeted therapies may provide an alternative for tumor control in TC or AC, particularly agents targeting the mammalian target of rapamycin (mTOR) pathway or angiogenesis. The mTOR pathway is functionally activated in a subset of lowand intermediate-grade lung NET, demonstrating greater expression of phosphorylated forms of mTOR and ribosomal S6 kinase versus high-grade lung NET [61]. TC and AC are highly vascular tumors known to express vascular endothelial growth factor (VEGF) [62, 63]. Moreover, VEGF expression is associated with poor prognosis [64]. Therefore, VEGF receptor (VEGFR)-targeted therapies may be useful. Subsets of TC have also been found to express c-Kit, platelet-derived growth factor receptor (PDGFR)  $\alpha$  and  $\beta$ , and epidermal growth factor receptor [65].

Currently, two targeted therapies, everolimus and sunitinib, are approved for treatment of low- or intermediate-grade advanced pNET, but are not approved for lung NET [9, 49].

**mTOR Pathway Inhibition.** Everolimus is an mTOR inhibitor currently approved in the U.S. and Europe for treatment of unresectable, metastatic, well-differentiated pNET. Data suggest that everolimus is beneficial in well-differentiated lung NET. In primary TC and AC cell lines, everolimus was shown to reduce VEGF secretion and cell viability [66].

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In the RADIANT-2 trial, patients with well- to moderately differentiated NET of varying origin, including lung and carcinoid syndrome, were randomized to receive octreotide combined with everolimus or placebo. Per adjudicated central review (on which the primary endpoint was based), everolimus showed a trend toward improved PFS versus placebo (median: 16.4 vs. 11.3 months; p = .026), although the statistical boundary for significance was not met (p = .0246). However, per local investigator review (performed as a supportive analysis), PFS in the octreotide plus everolimus arm was significantly longer versus placebo (12.0 vs. 8.6 months; p = .018). Statistical analysis of the primary endpoint is thought to have been confounded by informative censoring [67]. In an exploratory analysis among 44 lung NET patients, everolimus appeared to improve PFS versus placebo (median: 13.6 vs. 5.6 months), although this was not statistically significant [68]. Tumor shrinkage was observed in 67% of everolimus recipients versus 27% of placebo recipients.

The phase II RAMSETE study extended these findings to nonfunctional, nonpNET (including lung NET), demonstrating a high rate of disease stabilization (55%) and a favorable median PFS (185 days) [69]. RADIANT-4, a large randomized, placebocontrolled, double-blind, phase III study (NCT01524783), assessed the efficacy and tolerability of everolimus in patients with welldifferentiated, advanced, nonfunctional NET of lung or gastrointestinal origin, and is expected to definitively establish whether there is a role for everolimus in the therapy of lung carcinoid. Findings are expected to be reported in 2015. Ongoing studies of everolimus in the treatment of patients with lung NET are listed in Table 3.

**Antiangiogenic Agents.** Sunitinib is an inhibitor of receptor tyrosine kinases for multiple tumor-cell growth factors including VEGFR-1, -2, and -3; PDGFR- $\alpha$  and - $\beta$ ; and c-kit, and is currently approved in the U.S. and Europe for treatment of unresectable, metastatic, well-differentiated pNET. However, no data are available for sunitinib specifically in lung NET. In a phase II study in patients with metastatic NET, sunitinib demonstrated antitumor activity in pNET (overall response rate [ORR]: 16.7% based on Response Evaluation Criteria in Solid Tumors, version 1.0 [RECIST v1.0]; median TTP: 7.7 months), whereas activity in other NET (including lung NET) could not be definitively determined [70].

Per preliminary findings from a phase II, single-arm study with the VEGFR inhibitor axitinib in 30 patients with unresectable or metastatic carcinoid tumors (3 of whom had lung NET), median time to treatment failure (TTF) was 8.99 months (SD  $\pm$ 7.18). Best radiographic response was PR in 3.3% and stable disease in 70% of patients [71]. In a phase II, single-arm study with the VEGFR inhibitor pazopanib, tumor response was noted in patients with pNET but not in those with carcinoid tumors (including lung NET) [72].

Bevacizumab, a monoclonal antibody targeting VEGF, is approved in the U.S. and elsewhere for the treatment of nonsmall cell lung cancer, metastatic colorectal cancer, glioblastoma, and metastatic renal cell carcinoma. As with sunitinib, no data are available specifically for lung NET.

A phase II trial compared bevacizumab (n = 22) with interferon- $\alpha$ -2b (n = 22) in metastatic low/intermediate-grade NET, including 4 lung NET, receiving octreotide [73]. Among bevacizumab recipients, 18% had PR, 77% had stable disease, and 5% had disease progression. Among interferon recipients, 68%

had stable disease and 27% had disease progression. After 18 weeks, PFS rates were 95% for bevacizumab and 68% for interferon (p = .02). Bevacizumab, but not interferon, significantly reduced tumor blood flow. A follow-up study in 39 patients with low-grade NET confirmed single-agent bevacizumab reduced tumor blood flow. Bevacizumab plus everolimus reduced tumor blood flow even further, although everolimus alone had no effect [74]. As a follow-up to this small phase II trial, a phase III study compared octreotide plus interferon- $\alpha$  versus octreotide plus bevacizumab in patients with advanced, poor-prognosis NET (including atypical carcinoids). No significant differences in PFS were found between the interferon and the bevacizumab arms (16.6 vs. 15.4 months, respectively; p = .55), although the bevacizumab arm was associated with longer TTF and higher radiologic response [75]. Encouraging activity has been observed with bevacizumab plus temsirolimus in pNET [76], as well as bevacizumab plus temozolomide [77] or fluorouracil plus folinic acid plus oxaliplatin [78] in advanced NET. Ongoing studies with angiogenesis inhibitors in the treatment of patients with lung NET are listed in Table 3.

#### Peptide Receptor Radionuclide Therapy

In NET expressing SST receptors, PRRT may present an alternative treatment, with encouraging results observed, although most data come from single-center, retrospective studies. PRRT uses radiolabeled SSAs, where a high-energy  $\beta$ -emitting radionuclide such as <sup>90</sup>Y or <sup>177</sup>Lu is bound to an SSA to selectively deliver radiotherapy to SST receptor-positive tumor cells [38]. Various radiolabeled SSAs have been investigated, including 90Y-DOTATOC or <sup>177</sup>Lu-DOTATATE [38]. The only data in lung NET come from a review of patients with foregut carcinoids treated with <sup>177</sup>Lu-DOTATATE. The ORR was 50%; among 9 patients with lung NET, 5 had PR, 1 had minor response, and 2 had stable disease [79]. PRRT is still considered investigational in Europe and the U.S. due to a lack of data from prospective randomized studies [8, 9]. Nevertheless, recent ENETS consensus recommendations suggest PRRT may be an option to treat metastases from TC and AC demonstrating strong expression of SST receptors [26].

#### **MULTIDISCIPLINARY TEAM APPROACH**

Owing to the nonspecificity of symptomatology, variable natural history, and limited high-level evidence from large randomized trials, diagnosis and management of lung NET are complex. Consultation with multiple specialists in clinical oncology, endocrinology, radiology/nuclear medicine, pathology, and surgery is required to manage aspects of disease [84]. In traditional care models, this can result in an overwhelming number of referrals, tests, evaluations/opinions, lack of continuity and uniformity in care, and treatment delays [81]. Furthermore, physicians may lack experience or education because of the rarity of lung NET, resulting in missed or delayed diagnoses [80].

A multidisciplinary team approach comprises coordinated care delivery by a team of healthcare professionals from various specialties, facilitating multiple consultations during a single patient visit [80]. This approach has demonstrated clear benefits in other malignancies, including improved diagnosis and staging accuracy, consistent use of recommended diagnostic tests, reduction in diagnosis and treatment delays, and improved compliance with evidence-based therapy [82–85]. Importantly,



Trial	Indications	Treatment	Phase	Status	Estimated final completion date
Everolimus					
Multicenter, 3-arm trial to evaluate the efficacy and safety of pasireotide long-acting release or everolimus alone or in combination in patients with well-differentiated neuroendocrine carcinoma of the lung and thymus— LUNA trial. ClinicalTrials.gov identifier: NCT01563354 [87]	Well-differentiated lung or thymus NET	Pasireotide long-acting release, everolimus, pasireotide + everolimus	II	Recruiting	October 2016
A randomized, double-blind, multicenter, phase III study of everolimus (RAD001) plus best supportive care vs. placebo plus best supportive care in the treatment of patients with advanced NET of GI or lung origin (RADIANT-4). ClinicalTrials.gov identifier: NCT01524783 [88]	Advanced well-differentiated NET (GI or lung origin)	Everolimus	III	Ongoing, no longer recruiting Preliminary findings are anticipated	August 2017
VEGF-targeted therapies					
Prospective, randomized, phase II trial of pazopanib (NSC #737754) vs. placebo in patients with progressive carcinoid tumors (A021202). ClinicalTrials.gov identifier: NCT01841736 [89]	Advanced well- or moderately differentiated NET (including lung)	Pazopanib	II	Recruiting	December 2016 <sup>a</sup>
PRRT					
<sup>177</sup> Lu-DOTA-octreotate therapy in somatostatin receptor-expressing neuroendocrine neoplasms. ClinicalTrials.gov identifier: NCT01237457 [90]	NET, including bronchial carcinoids	<sup>177</sup> Lu-DOTA- octreotate	II	Recruiting	October 2015

<sup>a</sup>Estimated primary completion date (final data collection date for primary outcome measure). An estimated study completion date was not provided for this trial.

Abbreviations: GI, gastrointestinal; NET, neuroendocrine tumor; PRRT, peptide receptor radionuclide therapy; VEGF, vascular endothelial growth factor.

some centers using this approach demonstrated improved survival compared with traditional care [83, 85].

In Europe, ENETS has established certification criteria for Centers of Excellence for management of NET. Since program inception (2007/2008), 26 centers have been certified (http:// www.enets.org/excellence.html). Although there is no equivalent program elsewhere, some institutions have independently established multidisciplinary resource centers [80].

#### CONCLUSION

Few treatment options are available for patients with lung NET whose tumors cannot be completely resected. Several promising systemic therapy options have emerged for treatment of NET, including everolimus, SSAs, temozolomide-based chemotherapy, and PRRT. Although limited evidence currently supports their efficacy in lung NET and none are approved for this specific population, treatment guidelines recommend consideration of systemic therapies (octreotide, lanreotide, everolimus, or chemotherapy) for patients with unresectable, advanced bronchopulmonary disease. Additional data from randomized trials in lung NET are needed to support establishment of standard treatment regimens.

Separate trials, when feasible, or at least stratification for primary tumor site, have been recommended in large trials [86].

To date, few prospective trials (and no randomized phase III trials) have been conducted exclusively in patients with lung NET. Several trials are ongoing to further assess the potential utility of promising systemic therapies, although most of these include lung NET patients as subsets of larger patient populations (Table 3). The largest clinical trial to date in patients with tumors of lung origin, the phase III RADIANT-4 study, is ongoing, with primary data anticipated in 2015. It is hoped that this and future trials will provide stronger evidence to support treatment recommendations for patients with lung NET.

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