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Prediction of Juvenile-Onset Myopia

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Abstract

IMPORTANCE—Myopia (nearsightedness) has its onset in childhood and affects about one-third of adults in the United States. Along with its high prevalence, myopia is expensive to correct and is associated with ocular diseases that include glaucoma and retinal detachment.

OBJECTIVE—To determine the best set of predictors for myopia onset in school-aged children.

DESIGN, SETTING, AND PARTICIPANTS—The Collaborative Longitudinal Evaluation of Ethnicity and Refractive Error (CLEERE) Study was an observational cohort study of ocular development and myopia onset conducted at 5 clinical sites from September 1, 1989, through May 22, 2010. Data were collected from 4512 ethnically diverse, nonmyopic school-aged children from grades 1 through 8 (baseline grades 1 through 6) (ages 6 through 13 years [baseline, 6 through 11 years]).

MAIN OUTCOMES AND MEASURES—We evaluated 13 candidate risk factors for their ability to predict the onset of myopia. Myopia onset was defined as -0.75 diopters or more of myopia in each principal meridian in the right eye as measured by cycloplegic autorefraction at

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Study concept and design: Zadnik, Sinnott, Kleinstejn, Mutti.

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any visit after baseline until grade 8 (age 13 years). We evaluated risk factors using odds ratios from discrete time survival analysis, the area under the curve, and cross validation.

RESULTS—A total of 414 children became myopic from grades 2 through 8 (ages 7 through 13 years). Of the 13 factors evaluated, 10 were associated with the risk for myopia onset ($P < .05$). Of these 10 factors, 8 retained their association in multivariate models: spherical equivalent refractive error at baseline, parental myopia, axial length, corneal power, crystalline lens power, ratio of accommodative convergence to accommodation (AC/A ratio), horizontal/vertical astigmatism magnitude, and visual activity. A less hyperopic/more myopic baseline refractive error was consistently associated with risk of myopia onset in multivariate models (odds ratios from 0.02 to 0.13, $P < .001$), while near work, time outdoors, and having myopic parents were not. Spherical equivalent refractive error was the single best predictive factor that performed as well as all 8 factors together, with an area under the curve (C statistic) ranging from 0.87 to 0.93 (95% CI, 0.79–0.99).

CONCLUSIONS AND RELEVANCE—Future myopia can be predicted in a nonmyopic child using a simple, single measure of refractive error. Future trials for prevention of myopia should target the child with low hyperopia as the child at risk.

Myopia is a common disorder of the eye that creates blurry distance vision; vision can be corrected with spectacles, contact lenses, or refractive surgery. The eye that becomes myopic elongates out of proportion to its focal length so that light rays from distant objects focus in front of rather than on the retina. Myopia affects 1 in 3 individuals in the United States aged 12 to 54 years,¹ and its prevalence appears to be on the rise.² Its management costs the US health care system almost \$4 billion annually.^{3,4}

Juvenile-onset myopia is the most common variety, with its peak incidence during elementary school^{2,5,6} and progression through the teenaged years. The debate concerning the etiology of myopia has centered on the relative contribution of genetic vs environmental influences.^{7,8} High heritability for myopia from family and twin studies⁹ and the identification of more than 40 genetic loci linked to or associated with myopia lend support to a strong genetic contribution.^{10,11} The classic environmental risk factor for myopia has been near work (eg, reading, study, watching television, and computer use).^{12–14} Longitudinal studies^{15,16} have not borne out a major role for near work as the cause of myopia in children.

From this controversy, the paradigm has shifted away from concern about near work toward recognition that time outdoors may be a more important environmental influence. Children who spend more time outdoors have a much lower probability of having or developing myopia.^{15,17,18}

The treatment of myopia has focused on optical¹⁹ or pharmaceutical^{20,21} interventions to slow eye growth and thus retard progression, but the most desirable treatment would prevent myopia onset altogether. If a preventive treatment were available, the prediction of risk for myopia onset in early childhood would be crucial to the accurate application of any treatment. Previous attempts to predict myopia have included observations that more hyperopia (farsightedness) at school entry protects against myopia development²² and that

refractive error in infancy predicts childhood myopia,²³ although the latter result is debatable.²⁴ Previous work has described the very good performance of refractive error at ages 8 to 9 years for myopia onset prediction (sensitivity, 87%; specificity, 73%)²⁵ and the poor predictive performance of refractive error and parental myopia at grade 1 (age 6 years) (sensitivity, 62.5%; specificity, 81.9%).²⁶ Factors such as ocular optical and structural variables before myopia onset may be informative.^{25,27–29} Reduced accommodative accuracy at near and increased convergence of the eyes with accommodation are also potentially predictive factors.^{30–32} A predictive model developed using data from Asian children³³ had high sensitivity and specificity but required difficult measurement of ocular components and a computationally complex equation.

The National Eye Institute–funded Collaborative Longitudinal Evaluation of Ethnicity and Refractive Error (CLEERE) Study was a 5-center cohort study of almost 5000 children examined annually during school grades 1 through 8 (ages 6–13 years). All major ocular variables were measured, and data concerning environmental risk factors were collected. Children were enrolled in grade 1 (age 6 years), when most did not have myopia, and then were followed up each year through myopia onset and subsequent progression. Risk factors before myopia onset in the children with myopia were then compared with those same factors in the children who did not develop myopia. The analyses that framed the question were: given a baseline grade/age, what measurements taken at that moment help to identify children who will develop myopia? We anticipated that different sets of risk factors would be associated with different ages; however, we also expected that a theme would emerge across the risk factor sets. The purpose of this study was to describe an overarching predictive model for onset of juvenile myopia.

Methods

Study Participants

All children enrolled in the CLEERE Study from September 1, 1989, through May 22, 2010, who were nonmyopic at study entry and who had at least 2 annual study visits were included in this analysis. Children with a parent-reported race/ethnicity designation of *missing* or *other* were excluded. The CLEERE Study was approved by the institutional review boards at the University of Alabama, Birmingham; School of Optometry, University of California, Berkeley; College of Optometry, University of Houston, Houston, Texas; Southern California College of Optometry, Fullerton; and the Department of Ophthalmology and Vision Science, University of Arizona, Tucson. Parents and children were provided an explanation of the study. The parents provided written informed consent, whereas the children provided verbal or written assent.

Procedures

Myopia onset was defined as -0.75 diopters (D) or more myopia in each principal meridian in the right eye measured by cycloplegic autorefractometry at any visit after baseline. We used autorefractometry because of its high reliability.^{34,35} Measurement methods have been described in detail previously.²⁵

Predictors to be evaluated were selected based on the CLEERE Study protocol and included direct measures and parental reports. Thirteen predictors were evaluated that have been previously reported as possibly associated with the development and/or progression of myopia: (1) the child's spherical equivalent refractive error at baseline; (2) self-reported parental myopia (0, 1, or 2 myopic parents)^{36,37}; (3) the parents' report of the child's visual activity (as an indicator of near work); (4) the parents' report of the child's time spent outdoors; (5) axial length; (6) crystalline lens thickness; (7) corneal power; (8) crystalline lens power; (9) ratio of accommodative convergence to accommodation (AC/A ratio); (10) relative peripheral refractive error; (11) accommodative lag; and (12–13) astigmatism magnitude by orientation (horizontal/vertical or oblique).

Statistical Analysis

Using discrete time survival analysis, we analyzed the predictive value of the baseline values of the 13 possible predictor variables (plus age, sex, and race/ethnicity) at each of the school grades 1 through 6 (ages 6–11 years). For each baseline grade/age model, the control variables were entered as main effects, as were the baseline values of the risk factors. For example, the model with the spherical equivalent at grade 3 (age 8 years) that was fitted using the data set from grade 3 (age 8 years) had the following form for individual i :

$$\text{Logit } h(t_i) = [\alpha_4 G_4 + \dots + \alpha_8 G_8] + \beta_1 \text{Age}_i + \beta_2 \text{Ethnicity}_i + \beta_3 \text{Sex}_i + \beta_4 \text{SPHEQ}_i$$

The term $\text{logit } h(t_i)$ is a shortcut for the logarithm of the hazard odds. Because the model is discrete time, the hazard at time t is the probability of myopia onset at time t among those who are still at risk for myopia. The terms G_4 through G_8 are indicators set to 1 when an individual's data are from the grade and age indicated. The terms allow the probability of myopia onset to take a different value in each grade and age (grades 4–8 [ages 9–13 years]). A parameter α_j is the value of the logarithm of the odds in grade j for at-risk individuals in the group that results when categorical predictor values are the reference values and continuous predictors are zero. A parameter β_j is the shift in the logarithm of the odds attributed to a predictor. The shift is assumed to be the same across all grades and ages. Race/ethnicity was treated as a categorical variable with *white* used as the reference level.

As indicated, discrete time hazard models fit the log odds of the probability of myopia onset at time t among those who are still at risk for myopia. A common metric of effect size when log odds are fitted is an odds ratio, which is the metric we used.

The analysis had the potential to generate more than 8000 multivariate models. To pare down the number of models and to find the best predictors at each age, the following techniques were used and assumptions were made. For each grade (age): (1) The data set was limited to children with complete data at that grade (age) level and at least 1 follow-up visit. Data from the same child could appear in more than 1 data set; however, for each child, only those observations taken at the baseline and subsequent-to-baseline visits were used. (2) Backward stepwise selection was used to identify a sequence of 13 nested models, with each model having 1 less predictor. The selection method began with a model that included all 13 risk factors and the control variables. Each subsequent step removed the risk

factor whose absence least harmed model fit as measured by likelihood. The process terminated when a single risk factor remained. (3) Ten-fold cross-validation was used to evaluate model fit. In other words, the data set was randomly divided into 10 subsets, allocating those with and without myopia onset separately to ensure that each subset had a sufficient number of myopia events. Each nested model was fitted using 9 of the 10 subsets, and its likelihood was estimated using the remaining subset, rotating the role of the test set across the 10 subsets. (4) The mean of the likelihood estimates from the 10 test sets was then calculated to produce a likelihood estimate for each model. (5) Steps 3 and 4 were repeated 200 times each, producing 200 likelihood estimates for each model. (6) The model with the largest mean likelihood was identified, and a 95% CI around the true likelihood was estimated using the bootstrap results. (7) The model with the fewest terms and a mean maximum likelihood of no less than the lower limit of the CI was defined as the best model of risk for myopia onset for the given baseline grade (age).

The area under a receiver operating characteristic curve is commonly used to compare the clinical significance of alternative models and is the probability that, in a randomly selected pair of individuals with and without future myopia, the model correctly ranks the predicted risk for myopia onset as higher for the individual with future myopia than for the individual without future myopia. The overall *C* statistic is a generalization of the area under the curve for survival data.³⁸ The *C* statistic can be thought of as the mean area under the curve across time-dependent receiver operating characteristic curves, ranging from 0.5 to 1.0. The *C* statistic provides a measure of model discrimination when data are censored, which is the case for our setting. Because of incomplete follow-up, we did not know the event status of all of our study participants through grade 8 (age 13 years). The *C* statistic uses every possible pairing of participants to estimate the probability that an individual from the event group has a higher risk for the event than does an individual from the nonevent group. Individuals with incomplete follow-up can inform this estimate until they leave the risk set. We report the overall *C* statistic when comparing the performance of alternative models using 10-fold cross validation.

Results

We enrolled and collected data from a total of 4927 children. Of those, 48 individuals were excluded based on the missing/ other race/ethnicity designation, and 367 were excluded because they entered the study with myopia, leaving 4512 children available for analysis. Racial/ethnic composition included 36.2% white, 22.2% Hispanic, 16.2% African American, 11.6% Native American, and 13.7% Asian American children. The sample was equally split by sex (49.6% female). At each of grades 1 through 6 (ages 6–11 years), at least 2000 nonmyopic children underwent evaluation at that grade (age) level with at least 1 subsequent annual visit. Table 1 shows their grade(age) at study entry, mean length of follow-up, and mean number of follow-up visits as a function of baseline age. Of those children, 414 became myopic from grades 2 through 8 (ages 7–13 years). Mean (SD) age in grade 1 was 6.7 (0.5) years, increasing by roughly 1 year per grade to reach 11.8 (0.6) years by grade 6.

Most of the 13 candidate predictor variables were consistently related to the risk for future myopia in univariate models (only baseline grades 1, 3, and 6 [ages 6, 8, and 11 years] are

shown for brevity) (Table 2). The exceptions were corneal power, near work in diopter-hours, and accommodative lag. We then used the statistical methods described above to generate the 6 best multivariate models for each baseline grade (age). They ranged from the smallest number of terms (3) in the grade 4 model to 5 terms for grade 6 (ages 9 and 11 years, respectively). The multivariate models for baseline grades 1, 3, and 6 (ages 6, 8, and 11 years) are presented in Table 3. Age (as a continuous variable), sex, and the 5 race/ethnicity categories were also terms in each model. Of the 8 terms across baseline grades, only spherical equivalent refractive error and astigmatism magnitude appeared in the best model for every baseline grade (age).

Applying different prediction models to each grade (age) using variables that are difficult to measure, such as the response AC/A ratio, lens power, or axial length, would not be feasible for the clinician (ie, optometrist or ophthalmologist). An analysis was therefore performed to quantify the loss of predictive information if the number of variables in the model was reduced using the *C* statistic,³⁸ which represented the mean area under the curve across all prediction intervals for a given baseline grade (age). Model performance using all 8 terms improved from 0.88 to 0.94 as intervals decreased with increasing baseline grade (age). Model performance was nearly unaffected by a reduction in the number of model terms. Regardless of baseline grade (age), the *C* statistic only decreased by 0.01 or 0.02 as the number of terms was reduced from all 8 to the spherical equivalent refractive error only. Knowing the spherical equivalent improved prediction compared with age, sex, and race/ethnicity data alone; the *C* statistics using only the demographic data ranged from 0.58 to 0.68. In models that included spherical equivalent, the *C* statistic ranged from 0.87 to 0.93 (95% CI, 0.79–0.99). Therefore, baseline cycloplegic spherical equivalent appears to fulfill the criterion of effective predictive ability while preserving parsimony and feasibility.

The criterion level of spherical equivalent that predicts the onset of myopia in subsequent grades (ages) with the best combination of sensitivity and specificity is shown in the Figure. The spherical equivalent cut points were estimated using a discrete time hazard model with only spherical equivalent as a predictor. Limiting the model to the spherical equivalent provided a one-to-one correspondence between predicted risk for myopia and the level of spherical equivalent. The best combination of sensitivity and specificity was determined using the Youden index,³⁹ which is the maximum value of sensitivity + specificity - 1. The Youden index identifies the combination of sensitivity and specificity that is associated with the maximum vertical distance between the receiver operating characteristic curve and the diagonal or chance line.

The upward slopes of the lines indicate that the cut point for prediction of conversion to myopia in 1 year tended to be less hyperopic compared with the cut points that are predictive of conversion several years later. A child with less hyperopia is at greater risk for developing myopia sooner. The downward trend for the placement of the lines in the Figure indicates that later baseline grades (ages) had less hyperopic cut points across subsequent grades (older ages). A given level of hyperopia is more protective as the child becomes older. If preventive treatments were to be applied based on these cut points, one might consider using the following most hyperopic values for the given baseline grade (age): less hyperopic than +0.75 D for grade 1 (age 6 years), +0.50 D or less hyperopic for grades 2 and

3 (ages 7 and 8 years), +0.25 D or less hyperopic for grades 4 and 5 (ages 9 and 10 years), and emmetropic or more myopic for grade 6 (age 11 years).

Discussion

Analyses identified cycloplegic spherical equivalent refractive error as the single best predictor of the onset of myopia. The range of *C* statistics from 0.87 to 0.93 for spherical equivalent refractive error, sex, and race/ethnicity compares well with the 0.815 reported from a far more complex model.³³ The cut point that optimized sensitivity and specificity was also identified for each baseline grade from 1 through 6 (baseline age from 6–11 years) (Figure). Children examined in grade 1 (age 6 years) with less than +0.75 D of hyperopia are at increased risk for developing myopia. This predictive model should enable clinicians and scientists to evaluate the risk for myopia in a child using simple, feasible measures. The model could be used by optometrists and ophthalmologists to plan children's eye examination schedules across the school years. It could be used by clinical investigators conducting a myopia prevention study so that children at higher risk for myopia could be enrolled preferentially. It could assist in event rate estimation for sample size planning.

This model has temporal flexibility in that refractive error measurement could be at any grade from 1 to 6 or any age from 6 to 11 years. Sensitivity and specificity are the poorest and the CIs are the widest when the prediction is based on early baseline grade (age) data with onset much later.²⁶ Study strengths are the racial/ethnic diversity of the sample, the large sample size and high statistical power, the length of follow-up, the characterization of refractive error under cycloplegia, and the assessment of a large set of predictive factors for myopia onset.

Limitations of the present study include the volunteer nature of the sample. Perhaps myopic parents were more likely to enroll their children who had not yet developed myopia because of the parents' interest in their own refractive error. The project originally began in 1989 in northern California as the Orinda Longitudinal Study of Myopia with a predominantly white sample.⁵ Beginning in the late 1990s, a more racially and ethnically diverse sample was added using a recruitment scheme that could limit the generalizability of the results. Specifically, clinical sites were chosen for their ability to recruit a particular racial/ethnic group (eg, Eutaw, Alabama, for African American children; Houston, Texas, for Hispanic children). This scheme may have resulted in less variability among children of a given race/ethnicity because of their geographic similarity. Refractive error and other biometric data were collected from the right eye only to keep the protocol relatively brief and to maintain the other eye for reading during the school day. Behaviors related to risk factors, such as reading and computer use, may have changed during the course of the study as technology evolved. Last, the conduct of a study of this size and scope resulted in losses to follow-up that are not typical of myopia treatment studies.

Conclusions

In the early 1960s, a longitudinal study conducted in Ojai, California,²² first identified the ability of refractive error in grade 1 (age 6 years) to predict later myopia onset. Thirty years

later, the first predictive model from the current data set developed predictions based on refractive error in grade 3 (age 8 years) only.²⁵ The present analysis extends these previous findings to include the use of cycloplegia, all baseline grades from 1 through 6 (ages 6 through 11 years), outcomes over time through grade 8 (age 13 years), and the cut point of the spherical equivalent refractive error for optimized prediction. Current spherical equivalent refractive error is the best single predictor of future myopia.

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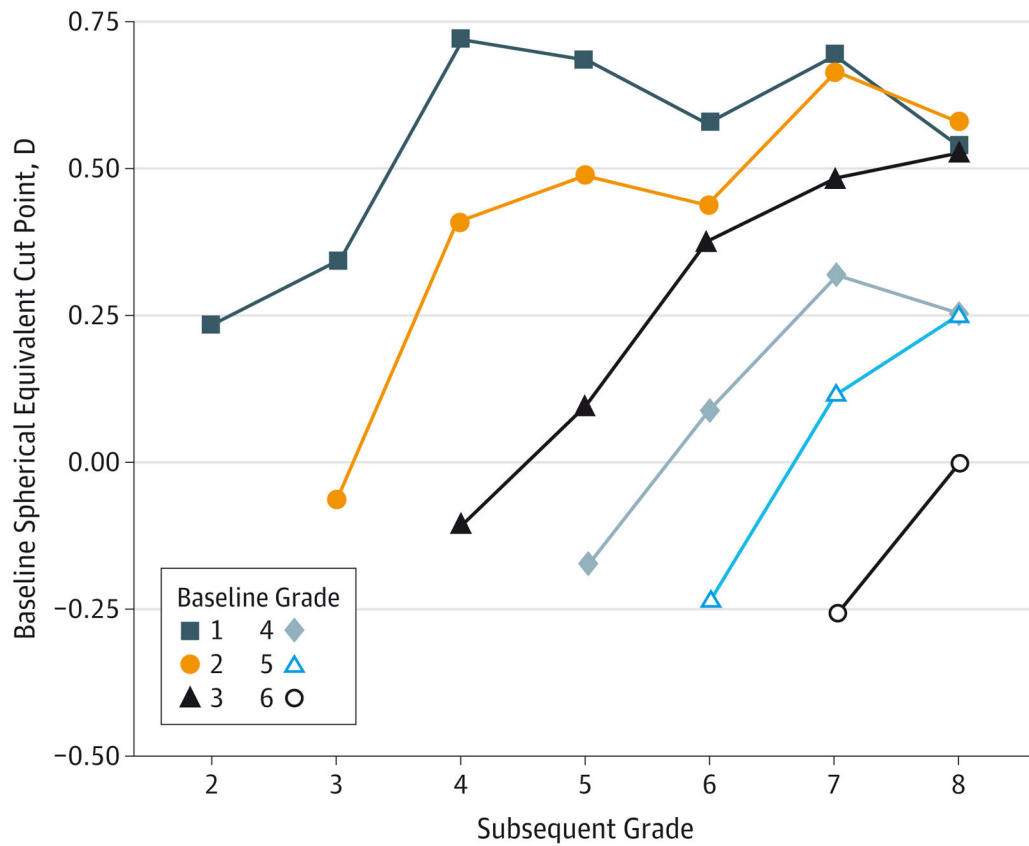


Figure. Cut Points for Predicting Future Myopia

The cut points for optimal spherical equivalent refractive error for predicting the development of future myopia to grade 8 (age 13 years) are shown. Each baseline grade 1 through 6 (ages 6–11 years) is represented with 2 to 7 possible subsequent grades after baseline.

Table 1**Grade and Age at Baseline Entry Into the CLEERE Study**

	Baseline Grade^a					
	1	2	3	4	5	6
No. of children	2026	2239	2589	2572	2423	2330
Age, y	6.7 (0.4)	7.8 (0.5)	8.8 (0.5)	9.8 (0.5)	10.8 (0.6)	11.8 (0.6)
Length of follow-up, y	5.4 (1.8)	4.7 (1.6)	4.0 (1.4)	3.3 (1.1)	2.6 (0.8)	1.9 (0.5)
No. of follow-up visits	5.2 (1.9)	4.5 (1.6)	3.9 (1.4)	3.2 (1.1)	2.5 (0.8)	1.8 (0.5)

Abbreviation: CLEERE, Collaborative Longitudinal Evaluation of Ethnicity and Refractive Error.

^aUnless otherwise indicated, data are expressed as mean (SD).

Table 2

Univariate Analysis of Risk Factors for Developing Myopia

Variable	Odds Ratio (95% CI) ^a		
	Grade 1	Grade 3	Grade 6
Age, y	6	8	11
AC/A ratio, PD/D	1.22 (1.16–1.28) ^b	1.27 (1.22–1.33) ^b	1.30 (1.22–1.38) ^b
Axial length, mm	1.95 (1.65–2.31) ^b	2.50 (2.14–2.91) ^b	3.34 (2.68–4.17) ^b
Crystalline lens power, D	0.91 (0.84–0.97) ^c	0.81 (0.76–0.87) ^b	0.73 (0.65–0.82) ^b
No. of myopic parents			
1	1.35 (1.03–1.76) ^d	1.36 (1.05–1.76) ^d	1.77 (1.19–2.62) ^c
2	2.23 (1.63–3.04) ^b	2.40 (1.76–3.27) ^b	3.51 (2.18–5.64) ^b
Corneal power, D	1.18 (1.10–1.27) ^b	1.07 (0.99–1.14)	1.07 (0.97–1.19)
Visual activity, diopter-hour	1.00 (1.00–1.00)	1.00 (1.00–1.00)	1.00 (1.00–1.00)
Astigmatism magnitude by orientation			
Horizontal/vertical	0.43 (0.32–0.58) ^b	0.50 (0.38–0.66) ^b	0.38 (0.25–0.56) ^b
Oblique	0.67 (0.46–0.97) ^d	0.52 (0.35–0.76) ^b	0.36 (0.21–0.62) ^b
Accommodative lag, D	0.81 (0.69–0.95) ^c	0.92 (0.80–1.06)	0.84 (0.69–1.03)
Crystalline lens thickness, mm	0.55 (0.29–1.06)	0.31 (0.16–0.58) ^b	0.13 (0.05–0.32) ^b
Refractive error, D			
Relative peripheral	1.36 (1.19–1.57) ^b	1.46 (1.30–1.64) ^b	1.65 (1.39–1.96) ^b
Spherical equivalent	0.10 (0.08–0.13) ^b	0.06 (0.05–0.08) ^b	0.05 (0.03–0.07) ^b
Time spent outdoors, h/wk	0.98 (0.97–1.00) ^d	0.97 (0.95–0.98) ^b	0.96 (0.94–0.99) ^d

Abbreviations: AC/A, accommodative convergence to accommodation; D, diopter; PD, prism diopter.

^aOdds ratios describe the association between risk of future myopia in any subsequent grade/age and candidate predictor variables at baseline grades 1, 3, and 6 (ages 6, 8, and 11 years, respectively), adjusted for age, sex, and race/ethnicity.

^b $P < .001$; significance of the odds ratio compared with 1.00 associated with a 1-unit increase in each variable within each grade level.

^c $P < .01$.

^d $P < .05$.

Table 3Multivariate Analysis of Risk Factors for Developing Myopia^a

Variable	Odds Ratio (95% CI) ^b		
	Grade 1	Grade 3	Grade 6
Age, y	6	8	11
AC/A ratio, PD/D		1.12 (1.06–1.18) ^c	1.18 (1.07–1.31) ^d
Axial length, mm	2.91 (2.17–3.90) ^c		
Crystalline lens power, D		0.85 (0.77–0.94) ^c	
No. of myopic parents			
1			2.94 (1.51–5.76) ^d
2			7.73 (3.43–17.41) ^c
Corneal power, D	1.52 (1.34–1.71) ^c		
Visual activity, diopter-hour			0.99 (0.97–1.00) ^d
Astigmatism magnitude, horizontal/vertical, D	0.45 (0.33–0.61) ^c	0.26 (0.19–0.36) ^c	0.15 (0.09–0.28) ^c
Spherical equivalent, D	0.13 (0.10–0.16) ^c	0.04 (0.03–0.06) ^c	0.02 (0.01–0.03) ^c

Abbreviations: AC/A, accommodative convergence to accommodation; D, diopter; PD, prism diopter.

^aBlank cells represent nonsignificant terms that were not included in the multivariate model for that baseline grade.

^bOdds ratios describe the association between risk of future myopia in any subsequent grade/age and candidate predictor variables at baseline grades 1, 3, and 6 (ages 6, 8, and 11 years, respectively) adjusted for age, sex, and race/ethnicity.

^c $P < .001$

^d $P < .01$