• GASTRIC CANCER •

Lymph node metastasis in early gastric cancer with submucosal invasion: Feasibility of minimally invasive surgery

Do-Joong Park, Hyeon-Kook Lee, Hyuk-Joon Lee, Hye-Seung Lee, Woo-Ho Kim, Han-Kwang Yang, Kuhn-Uk Lee, Kuk-Jin Choe

Do-Joong Park, Hyuk-Joon Lee, Han-Kwang Yang, Department of Surgery and Cancer Research Institute, Seoul National University College of Medicine, Seoul 110-744, Korea

Hyeon-Kook Lee, Department of Surgery, Ewha Woman's University College of Medicine, Seoul, Korea

Hye-Seung Lee, Woo-Ho Kim, Department of Pathology, Seoul National University College of Medicine, Seoul, Korea

Kuhn-Uk Lee, Kuk-Jin Choe, Department of Surgery, Seoul National University College of Medicine, Seoul, Korea

Correspondence to: Dr. Han-Kwang Yang, Department of Surgery and Cancer Research Institute, Seoul National University College of Medicine, 28 Yongon-Dong, Chongno-Gu, Seoul 110-744,

Korea. hkyang@plaza.snu.ac.kr

Telephone: +82-2-7603797 **Fax:** +82-2-36720047 **Received:** 2004-01-02 **Accepted:** 2004-02-11

Abstract

AIM: To explore the feasibility of performing minimally invasive surgery (MIS) on subsets of submucosal gastric cancers that are unlikely to have regional lymph node metastasis.

METHODS: A total of 105 patients underwent radical gastrectomy with lymph node dissection for submucosal gastric cancer at our hospital from January 1995 to December 1995. Besides investigating many clinicopathological features such as tumor size, gross appearance, and differentiation, we measured the depth of invasion into submucosa minutely and analyzed the clinicopathologic features of these patients regarding lymph node metastasis.

RESULTS: The rate of lymph node metastasis in cases where the depth of invasion was $<500 \mu m$, 500-2 000 μm , or >2 000 µm was 9% (2/23), 19% (7/36), and 33% (15/46), respectively (P<0.05). In univariate analysis, no significant correlation was found between lymph node metastasis and clinicopathological characteristics such as age, sex, tumor location, gross appearance, tumor differentiation, Lauren's classification, and lymphatic invasion. In multivariate analysis, tumor size (>4 cm $vs \leq 2$ cm, odds ratio = 4.80, P = 0.04) and depth of invasion (>2 000 μ m vs \leq 500 μ m, odds ratio = 6.81, P = 0.02) were significantly correlated with lymph node metastasis. Combining the depth and size in cases where the depth of invasion was less than 500 μ m, we found that lymph node metastasis occurred where the tumor size was greater than 4 cm. In cases where the tumor size was less than 2 cm, lymph node metastasis was found only where the depth of tumor invasion was more than 2 000 µm.

CONCLUSION: MIS can be applied to submucosal gastric cancer that is less than 2 cm in size and 500 μm in depth.

Park DJ, Lee HK, Lee HJ, Lee HS, Kim WH, Yang HK, Lee KU, Choe KJ. Lymph node metastasis in early gastric cancer with submucosal invasion: Feasibility of minimally invasive surgery. *World J Gastroenterol* 2004; 10(24): 3549-3552 http://www.wjgnet.com/1007-9327/10/3549.asp

INTRODUCTION

Minimally invasive surgeries (MIS) such as endoscopic mucosal resection or laparoscopic surgery are performed as a treatment of early gastric cancer. This limited surgery should be conducted on strict indication in early gastric cancer which has a limited invasion in mucosa. Such a surgery can be attained without lymph node dissection. However, if the case is diagnosed as submucosal gastric cancer after MIS, the patient needs additional gastrectomy and lymph node dissection owing to the limitations of current diagnostic methods in assessing the depth of invasion and lymph node status.

Since the incidence of lymph node metastasis in submucosal gastric cancer is reported as less as about 20%, it can be expected that the chance of further operations will be reduced if we choose patients who have low risk of lymph node metastasis. Thus, we analyzed in our study the clinicopathological factors related to lymph node metastasis in submucosal gastric cancers retrospectively, in order to find out the subsets of submucosal gastric cancers to which MIS can be applied, and to establish the suitable treatment policy of submucosal gastric cancers.

MATERIALS AND METHODS

This study enrolled 105 submucosal gastric cancer cases that were pathologically proven after gastrectomy by lymph node dissection at Seoul National University Hospital from January 1995 to December 1995. The relationship between clinicopathological factors (sex, age, tumor location, gross appearance, tumor size, depth of invasion, tumor differentiation, Lauren's classification, and lymphatic invasion) and lymph node metastasis was investigated. Submucosal layer was divided into 3 layers (upper third = SM1, middle third = SM2, and lower third = SM3) and submucosal depth of invasion was directly measured from muscularis mucosae by a micrometer (Figure 1). χ^2 test and logistic regression analysis were used to evaluate risk factors for lymph node metastasis and *P*<0.05 was considered statistically significant.



Figure 1 Depth of submucosal invasion of gastric cancer (arrow) in hematoxylin and eosin staining in A (×10) and B (×100). M: mucosa, MM: muscularis mucosae, SM: submucosa, MP: musculrais propria. This case was classified as SM1 or less than 500 μ m from musculasis mucosae.

RESULTS

Patient characteristics

A total of 105 patients with submucosal gastric cancer were included in the study. Of them, 74 were males and 31 were females. Their mean age was 54.9 years (18-80 years). The rate of lymph node metastasis was 22.9% (24/105 cases).

Depth of invasion (Table 1)

According to the SM category, SM1, SM2, and SM3 lesions were 28 (27%), 47 (45%), and 30 (28%) respectively. The depth of invasion measured directly from muscularis mucosae was less than 500 µm in 68% of SM1 gastric cancers, more than 1 000 µm (1 mm) in 75% of SM2 gastric cancers, and more than 2 000 µm in 84% of SM3 gastric cancers.

Table 1 Depth of submucosal invasion as compared with three SM category measurements

Depth (µm)	SM1 (%)	SM2 (%)	SM3 (%)
$\leq 500 \ (n = 23)$	19 (68)	4 (8)	0 (0)
$500-1 \ 000 \ (n = 17)$	8 (28)	8 (17)	1 (3)
$1 \ 000-2 \ 000 \ (n = 19)$	1 (4)	14 (30)	4 (13)
>2 000 (n = 46)	0 (0)	21 (45)	25 (84)
Total	28	47	30

Relationship between clinicopathological factors and lymph node metastasis (Table 2)

In univariate analysis, there was no significant factor related to lymph node metastasis among sex, age, tumor location, gross appearance, tumor differentiation, Lauren's classification, and lymphatic invasion.

Table 2 Relationship between clinicopathological factors and lymph node metastasis

Tota	Node-negative Node-positive (n, %)	Р
Sex		
vi 74	57 17 (23.0)	0.965
31	24 7 (22.6)	
Age (yr) 10	54.8±12.5 55.4±12.2	0.822
Fumor location		
Upper 1	1 0 (0)	0.614
viiddle 53	42 11 (20.8)	
Lower 48	35 13 (27.1)	
Entire 3	3 0 (0)	
Gross appearance		
Elevated 23	15 8 (34.8)	0.055
Flat 6	3 3 (50.0)	
Depressed 76	63 13 (17.1)	
Γumor differentiation		
Differentiated 47	35 12 (25.5)	0.557
Undifferentiated 58	46 12 (20.7)	
Lauren's classification		
ntestinal 44	35 9 (20.5)	0.572
Diffuse 53	41 12 (22.6)	
Vixed 8	5 3 (37.5)	
Depth of invasion		
SM1 28	24 4 (14.3)	0.448
SM2 47	35 12 (25.5)	
SM3 30	22 8 (26.7)	
Lymphatic invasion		
Absence 86	69 17 (19.8)	0.109
Presence 19	12 7 (36.8)	
Presence 19	12 7 (3	6.8)

Lymph node status in term of depth of invasion (Table 3)

The depth of invasion was significantly associated with lymph node metastasis. Especially, when the depth of invasion was more than 1 000 μ m, three cases out of 65 (4.6%) were revealed to have more than 7 lymph node metastases (N2 stage).

Table 3 Lymph node status according to depth of invasion

Depth (μm)	Lymph node status (%)			
	pN0	pN+	pN1	pN2
\leq 500 (<i>n</i> = 23)	21 (91)	2 (9) ^a	2	0
$500-1 \ 000 \ (n = 17)$	14 (82)	3 (18)	3	0
$1 \ 000-2 \ 000 \ (n = 19)$	15 (79)	4 (21)	3	1
>2 000 ($n = 46$)	31 (67)	15 (33) ^a	13	2

^a*P*<0.05.

Lymph node status in term of tumor size (Table 4)

Though there was no statistical significance in univariate analysis, the rate of lymph node metastasis was increased as the tumor size increased ($\leq 2 \text{ cm}, 11\%; 2-4 \text{ cm}, 26\%; >4 \text{ cm}, 29\%$).

Table 4 Lymph node status according to tumor size

Size (cm)	Lymph node status (%)			
	pN0	pN+	pN1	pN2
$\leq 2 \ (n = 27)$	24 (89)	3 (11)	3	0
2-3 (n = 30)	22 (73)	8 (27)	7	1
3-4 (n = 20)	15 (75)	5 (25)	5	0
>4 (<i>n</i> = 28)	20 (71)	8 (29)	6	2

P = 0.403.

Logistic regression analysis of factors associated with lymph node metastasis in submucosal gastric cancer (Table 5) In multivariate analysis, the tumor size (>4 cm in diameter vs ≤ 2 cm, odds ratio = 4.80, P = 0.04) and depth of invasion $(>2\ 000\ \mu\text{m of depth } vs \leq 500\ \mu\text{m}, \text{ odds ratio} = 6.81, P = 0.02)$ were significantly associated with lymph node metastasis.

 Table 5
 Logistic regression analysis for factors associated
 with lymph node metastasis in submucosal gastric cancer

Variables	Odds ratio	95% CI	P-value
Depth of invasio	n (µm)		
≤500	1		
500-1 000	2.02	0.30-13.86	0.473
1 000-2 000	3.14	0.49-20.24	0.228
>2 000	6.81	1.36-34.17	0.020
Tumor size (cm)			
≤2	1		
2-3	4.35	0.95-19.96	0.059
3-4	4.05	0.78-20.97	0.096
>4	4.80	1.05-22.06	0.044

CI: confidence interval.

Lymph node status in term of size and depth in submucosal gastric cancer (Table 6)

Lymph node status was analyzed in terms of tumor size and depth of invasion in submucosal gastric cancer. In cases where

the depth of invasion was less than 500 μ m, lymph node metastasis was found only when the tumor size was greater than 4 cm. In cases where the tumor size was less than 2 cm, lymph node metastasis was found only when the depth of invasion was more than 2 000 μ m.

Table 6 Lymph node status assessed by co-factor of size and depth in submucosal gastric cancer

Depth (µm)	Size (cm)			
	≤2	2-3	3-4	>4
$\leq 500 \ (n = 23)$	0ª/4 ^b	0/6	0/6	2/7
$500-1 \ 000 \ (n = 17)$	0/1	1/6	1/4	1/6
$1 \ 000-2 \ 000 \ (n = 19)$	0/5	2/8	1/2	1/4
>2 000 (n = 46)	3/17	5/10	3/8	4/11

^aNumber of cases with lymph node metastasis; ^bNumber of total cases.

DISCUSSION

Gastric cancer is the most prevalent cancer in Korea, which accounted for 20.3% of the whole cancer cases^[1]. According to the report of Korea Gastric Cancer Association, the ratio of early gastric cancer was increased from 28.6% in 1995 to 32.8% in 1999^[2], while this tendency was more obvious in Japan, where the ratio of early gastric cancer in the last 20 years was increased from 18% to 57%^[3].

Lymph node metastasis was the most important prognostic factor in gastric cancer^[4,5]. Radical gastrectomy with D2 lymph node dissection, therefore, has been recognized as the standard surgical operation for early gastric cancer. But, as the five year survival rate of early gastric cancer patients has reached 93-98% recently, there arises more interest in preservation of body function and maintenance of quality of life rather than in radical treatment in order to reduce complications or sequelae, such as Dumping syndrome, reflux gastroesophagitis, nutritional deficit, and weight loss. Therefore, interest in MIS such as endoscopic mucosal resection and laparoscopic surgery or function-preserving surgery has been increased.

MIS with no or limited lymph node dissection should be applied to tumors that are unlikely to metastasize into lymph nodes. Endoscopic ultrasonography (EUS) has been introduced recently to diagnose the depth of invasion and lymph node status. An overall accuracy of EUS was reported over 80%^[6,7]. Yet, as the accuracy of EUS is not high enough to predict accurate lymph node status, it is important to know the prognostic factors related to lymph node metastasis. There have been already many reports on the prognostic factors associated with lymph node metastasis in early gastric cancer^[8-16], such as sex^[8], tumor size^[3,9,10,12], depth of invasion^[11], gross appearance of tumor^[8], tumor differentiation^[13] and lymphatic invasion^[14,15]. If we combine these prognostic factors successfully in the diagnosis of certain subtypes that have no lymph node metastasis, then they may become an indication of MIS. For example, the current indications of endoscopic mucosal resection of early gastric cancer are as follows, namely differentiated adenocarcinoma, mucosal cancer <10 mm in diameter in IIb and IIc lesions without ulcer or ulcer scar, and mucosal cancer <20 mm in diameter in IIa lesion^[17,18]. The reason why endoscopic mucosal resection can be applied to them is that these lesions are considered to have no lymph node metastasis.

When the depth of invasion reached mucosa in early gastric cancer, the rate of lymph node metastasis was reported as 1-3%, and submucosa as 11-20%^[19]. At present if endoscopic mucosal resection for early gastric cancer can detect the invasion of submucosal layer, additional gastrectomy and lymph node dissection are regarded necessary. However, as the incidence

of lymph node metastasis was about 20% in submucosal gastric cancer, lymph node dissection could be omitted if we chose cases with good selection of indications. In our study on submucosal gastric cancer, we found that sex, age, tumor location, tumor differentiation, Lauren's classification, gross appearance of tumor, were not associated with lymph node metastasis, but tumor size and depth of invasion were associated with lymph node metastasis. A recent study reported that there was a significant difference in the rate of lymph node metastasis according to submucosal depth of invasion, and the possibility of limited surgery for superficial submucosal gastric cancer was therefore suggested^[20]. We divided submucosal layer minutely into SM1, SM2, and SM3 on microscopic field and measured the depth of invasion by a micrometer directly from muscularis mucosae. Actually, because there was some difference between SM 1, 2, 3 categories and the directly measured depth associated with lymph node metastasis, it was considered more objective to use directly measured depth rather than SM category to describe submucosal tumor invasion. Ishigami et al.[21] reported that patients with both slight invasion into submucosa and horizontal expansion that was <5 mm were often negative in lymph node involvement. Kurihara et al.[22] reported that when the pathological report revealed SM1 invasion after laparoscopic or endoscopic surgery, reoperation should be regarded unnecessary because SM1-carcinoma with its diameter less than 2 cm did not usually metastasize to lymph nodes. Moreover, Yasuda et al.^[23] showed that local resection could be applied when the depth of submucosal invasion was $<300 \,\mu m$ and tumor size was <1 cm. Yamada et al.^[24] also showed that local resection might be possible when the depth of submucosal invasion was $<500 \,\mu\text{m}$ and tumor size was $<1.5 \,\text{cm}$. Gotoda *et al.*^[25] proposed to expand the criteria for local treatment of submucosal gastric cancer by showing that none of the 145 differentiated adenocarcinomas, <30 mm in diameter and without lymphatic or venous permeation, was associated with lymph node metastasis, provided the lesion invaded less than 500 μ m into submucosa. In our study, the tumor size and depth of invasion as independent prognostic factors associated with lymph node metastasis were joined together. In cases where the depth of invasion was <500 µm, lymph node metastasis was not found when the tumor size was <4 cm. In cases where the tumor size was less than 2 cm, lymph node metastasis was not found when the depth of invasion was less than 2 000 μ m. Therefore, the results suggest that additional operation is unnecessary when the depth of submucosal invasion is less than 500 μ m and the tumor size is smaller than 2 cm after local excision. Moreover, in case that patients cannot endure general anesthesia because of old age or cardiopulmonary disability, in case that gastrectomy itself (with or without lymph node dissection) is considered to be dangerous to patients, or when patients refuse the operation, local excisions such as endoscopic mucosal resection, can be applied to submucosal gastric cancer.

In conclusion, the depth of invasion measured directly by a micrometer is more objective to describe cancer permeation into submucosal layer because it is associated more with lymph node metastasis than with SM category.

Our results suggest that additional operation is not necessary after MIS for submucosal gastric cancer when the depth of invasion is less than 500 μ m and the tumor size is smaller than 2 cm.

REFERENCES

- 1 Korea Central Cancer Registry, Ministry of Health and Welfare Republic of Korea. Annual Report of the Korea Central Cancer Registry 2003
- 2 Nationwide gastric cancer report in Korea. Korea Gastric Cancer Association. J Korean Gastric Cancer Assoc 2002; 2: 105-114
- 3 **Maehara Y**, Orita H, Okuyama T, Moriguchi S, Tsujitani S, Korenaga D, Sugimachi K. Predictors of lymph node metasta-

sis in early gastric cancer. Br J Surg 1992; 79: 245-247

- 4 Kunisaki C, Shimada H, Takahashi M, Ookubo K, Moriwaki Y, Akiyama H, Nomura M. Prognostic factors in early gastric cancer. *Hepatogastroenterology* 2001; 48: 294-298
- 5 Kim JP, Kim YW, Yang HK, Noh DY. Significant prognostic factors by multivariate analysis of 3926 gastric cancer patients. *World J Surg* 1994; 18: 872-877
- 6 **Tio TL**, Schouwink MH, Cikot RJ, Tytgat GN. Preoperative TNM classification of gastric carcinoma by endosonography in comparison with the pathological TNM system: a prospective study of 72 cases. *Hepatogastroenterology* 1989; **36**: 51-56
- 7 Guo W, Zhang YL, Li GX, Zhou DY, Zhang WD. Comparison of preoperative TN staging of gastric carcinoma by endoscopic ultrasonography with CT examination. *China Nati J New Gastroenterol* 1997; 3: 242-245
- 8 Boku T, Nakane Y, Okusa T, Hirozane N, Lmabayashi N, Hioki K, Yamamoto M. Strategy for lymphadenectomy of gastric cancer. Surgery 1989; 105: 585-592
- 9 Kitamura K, Yamaguchi T, Taniguchi H, Hagiwara A, Sawai K, Takahashi T. Analysis of lymph node metastasis in early gastric cancer: rationale of limited surgery. J Surg Oncol 1997; 64: 42-47
- Sano T, Kobori O, Muto T. Lymph node metastasis from early gastric cancer: endoscopic resection of tumour. *Br J Surg* 1992; 79: 241-244
- 11 Kwak CS, Lee HK, Cho SJ, Yang HK, Lee KU, Choe KJ, Kim JP. Analysis of clinicopathological factors associated with lymph node metastasis in early gastric cancer review of 2.137 cases. J Korean Cancer Assoc 2000; 32: 674-681
- 12 Yoshikawa T, Tsuburaya A, Kobayashi O, Sairenji M, Motohashi H, Noguchi Y. Indications of limited surgery for gastric cancer with submucosal invasion - analysis of 715 cases with special reference to site of the tumor and level 2 lymph nodes. *Hepatogastroenterology* 2003; **50**: 1727-1730
- 13 Iriyama K, Asakawa T, Koike H, Nishiwaki H, Suzuki H. Is extensive lymphadenectomy necessary for surgical treatment of intramucosal carcinoma of the stomach? *Arch Surg* 1989; 124: 309-311
- 14 Ichikura T, Uefuji K, Tomimatsu S, Okusa Y, Yahara T, Tamakuma S. Surgical strategy for patients with gastric carcinoma with submucosal invasion: A multivariate analysis. *Cancer*

1995; 76: 935-940

- 15 Hyung WJ, Cheong JH, Kim J, Chen J, Choi SH, Noh SH. Application of minimally invasive treatment for early gastric cancer. J Surg Oncol 2004; 85: 181-185
- 16 Kitayama J, Hatano K, Kaisaki S, Suzuki H, Fujii S, Nagawa H. Hyperlipidaemia is positively correlated with lymph node metastasis in men with early gastric cancer. *Br J Surg* 2004; 91: 191-198
- 17 Takekoshi T, Baba Y, Ota H, Kato Y, Yanagisawa A, Takagi K, Noguchi Y. Endoscopic resection of early gastric carcinoma: results of a retrospective analysis of 308 cases. *Endoscopy* 1994; 26: 352-358
- 18 Korenaga D, Orita H, Maekawa S, Maruoka A, Sakai K, Ikeda T, Sugimachi K. Pathological appearance of the stomach after endoscopic mucosal resection for early gastric cancer. *Br J Surg* 1997; 84: 1563-1566
- 19 Adachi Y, Shiraishi N, Kitano S. Modern treatment of early gastric cancer: review of the Japanese experience. *Dig Surg* 2002; 19: 333-339
- 20 Amano Y, Ishihara S, Amano K, Hirakawa K, Adachi K, Fukuda R, Watanabe M, Fukumoto S, Fujishiro H, Imaoka T. An assessment of local curability of endoscopic surgery in early gastric cancer without satisfaction of current therapeutic indications. *Endoscopy* 1998; **30**: 548-552
- 21 **Ishigami S**, Hokita S, Natsugoe S, Tokushige M, Saihara T, Iwashige H, Aridome K, Aikou T. Carcinomatous infiltration into the submucosa as a predictor of lymph node involvement in early gastric cancer. *World J Surg* 1998; **22**: 1056-1059
- 22 Kurihara N, Kubota T, Otani Y, Ohgami M, Kumai K, Sugiura H, Kitajima M. Lymph node metastasis of early gastric cancer with submucosal invasion. Br J Surg 1998; 85: 835-839
- 23 Yasuda K, Shiraishi N, Suematsu T, Yamaguchi K, Adachi Y, Kitano S. Rate of detection of lymph node metastasis is correlated with the depth of submucosal invasion in early stage gastric carcinoma. *Cancer* 1999; 85: 2119-2123
- 24 Yamada H, Nihei Z, Yamashita T, Shirota Y, Ichikawa W, Sugihara K. Is lymphadenectomy needed for all submucosal gastric cancers? *Eur J Surg* 2001; 167: 199-203
- 25 Gotoda T, Yanagisawa A, Sasako M, Ono H, Nakanishi Y, Shimoda T, Kato Y. Incidence of lymph node metastasis from early gastric cancer: estimation with a large number of cases at two large centers. *Gastric Cancer* 2000; **3**: 219-225

Edited by Qiu WS and Wang XL Proofread by Chen WW and Xu FM