# Original Article Predictive and prognostic molecular markers for cholangiocarcinoma in Han Chinese population

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Received May 13, 2015; Accepted July 3, 2015; Epub August 15, 2015; Published August 30, 2015

Abstract: Cholangiocarcinoma (CCA) is the most common malignant heterogeneous polygenetic carcinoma with a high incidence in Asia. Most patients would die within 1 year after diagnosis and the 5 year survival rate is less than 10-20% worldwide. Single nucleotide polymorphisms (SNPs) in genes regulate telomere maintenance, mitosis, and inflammation, and may help predict individual susceptibility to certain drugs, environmental factor, and risks to particular diseases. The gene-gene interaction and the regulation of SNPs have not been assessed extensively in CCA. According to our previous study, the GRB2-associated-binding protein (Gab1) gene rs3805246 (X<sup>2</sup>=5.015, P=0.025, OR=0.531, 95% CI 0.304-0.928) and epidermal growth factor receptor (EGFR) gene rs2007000 (X<sup>2</sup>=7.934, P=0.005, OR=2.148, 95% CI 1.255-3.675) presented significant difference between CCA patients and controls. This study conducted a population-based analysis using 225 CCA cases (153 biliary tract cancer patients and 72 gall bladder cancer patients) to assess the association between SNPs and progression of CCA patients, including the overall survival and the prognosis analysis. Results showed that an increased susceptibility of BTC was significantly associated with SNP loci distribution frequency in EGFR rs2107000 (X<sup>2</sup>=7.934, P=0.005, OR=2.148, 95% CI 1.255-3.675). Furthermore, multivariate factor regression analysis represented cholelithiasis medical history of BTC patients can be an effective evaluation criteria of BTC susceptibility in early stage. This study also assessed the relationship between these genotypic polymorphisms and clinicopathologic data, including tumor differentiation stage and overall survival. This is the first study identifying that EGFR polymorphisms are associated with BTC and EGFR rs2017000 polymorphisms may be an important survival predictor in BTC patients.

Keywords: Cholangiocarcinoma, molecular marker, SNPs

#### Introduction

Cholangiocarcinoma (CCA) is a malignant neoplasm arising from the biliary epithelium that constitutes approximately 2% of all reported cancer and accounts for about 3% of all gastrointestinal malignancies [1]. CCA may arise from every portion of the biliary system and can be anatomically classified as intrahepatic or ex trahepatic CCA which can be called as biliary tract cancer (BTC). Epidemiological data show that the incidence and prevalence of CCA are increasing within decades worldwide. According to a conservative estimation, 15 million people were infected worldwide in 2004, of which over 85% was from China. Data from the populationbased cancer registry in Shanghai indicated that the incidence of CCA has increased more rapidly than other malignancies in China [2]. Currently, the therapeutic modalities for patient with CCA include surgery (most efficient way), radiation therapy, chemotherapy (e.g., 5-FU alone or in combination with methotrexate, leucovorin, cisplatin, mitomycin C, or interferon alpha (IFN- $\alpha$ ), chemoradiation therapy, pho todynamic therapy, liver transplantation, and palliative therapy [3, 4]. Even with a successful surgery, prognoses of patients with CCA are still very poor, with an average 5-year survival rate of 5%-10% [5, 6].

Cancer prognosis and survival rate are associated with individual health status, heterogeneity and disease phenotype. CCA is a multi-gene involved hereditary cancer. Many risk factors affect disease status of CCA, including age, genetics and life style [7]. In addition, several chronic inflammation diseases such as bile

duct stones, hepatitis, and parasitic infections, increase the risk of CCA [8]. To identify specific genes involved in the cancer development is crucial for novel therapeutics development, cancer prevention and early diagnosis [9]. Several studies focusing on association of SNPs and prognosis prediction have been developed recently [10-12]. The high-throughput SNPs technique is the most amenable method to deal with large-scale analysis in human genome-wide level. Indeed, studies of target SNP selection for genotype-phenotype association have met some success in genes and genomic regions [9, 13]. Genome Wide Association Studies (GWAS) have identified four SNPs (PBX1, RORα, NTN1, and SYT6) to be associated with prognosis in patients with early-onset breast cancer [14]. Moreover, the prognosis of bladder cancer is highly correlated with G26071 mutation in EGFR gene [15]. Also, SNP loci T393 of GNAS1 encoding Gas protein has been used as a clinical prognosis eva luation marker in several cancers, including bladder cancer, colorectal cancer and larynx squamous cell carcinoma [16-18].

Many important biological processes, such as cell growth and survival, organ morphogenesis, neovascularization, and tissue repair and regeneration, are regulated by receptor tyrosine kinase (RTK) activation. In general, RTK activity is strictly regulated in normal cell; but dysregulation or constitutive activation of RTK has been found in wide range of cancers [19]. Signaling transduction pathway of EGFR is the major explored RTK pathway which involves in tumor proliferation, differentiation, apoptosis and metastasis, and Gab1 is one of the downstream element of the EGFR pathway [20, 21]. Gab1 is a docking protein that recruits phosphoinositide 3-kinase (PI3K) and other effector proteins in response to the activation of many RTKs. As one of the transmembrane glycoproteins, EGFR is a member of epidermal growth factor family. In hilar CCA, down-regulation of Gab1 inhibits cell proliferation and migration [22]. The primary mechanism of EGF-induced stimulation of sub-stream molecular signaling pathway via Gab1 has been demonstrated in mouse embryonic fibroblast cells [23]. KRAS mutation and increased level of EGFR might benefit from dual target RTKs inhibitor in CCA therapy [6]. This study focused on the association between CCA development and molecular mechanism of RTKs pathway, and further detected the corresponding genomic distribution of SNPs to CCA differentiation, survival and prognosis, to explore new genetic markers which can be used to reflect the prognosis of CCA.

## Material and method

## Study population

Participants of this case-control study came from the Shengjing hospital of China medical university, Republic of China. 225 BTC patients were tested with computed tomography (CT) and magnetic resonance cholangiopancreatography (MRCP) examination for the confirmation of disease status and a written informed consent was received from each patient. Patients were divided into two groups, bile duct carcinoma (BDC, N=153) and gall bladder cancer (GBC, N=72), and a confirmed diagnosis of cholangiocarcinoma based on clinical and histopathological evaluation was received from each patient. In addition, both BDC and GBC patients were categorized into low and high differentiation stage by pathological examination. This study was approved by the relevant Institutional Review Boards for human research in China.

# SNP discovery and genotyping in Gab1, EGFR and EGF

Single-nucleotide polymorphisms (SNPs) were selected base on Tag SNP and their putative functional significance. Tag SNPs approach searched the Han Chinese data according to the disequilibrium information from the international HapMap project. The following criteria were used to determine tagging SNPs: (1) a minor allele frequency (MAF) > 5%, and (2) linkage disequilibrium (LD) of  $r^2 > 0.8$  between SNPs marker over the genome. Three Tag SNPs of Gab1, the rs3828512, rs3805236 and rs300919, were chosen for further genotyping analysis. Based on the reports in previous publications, NCBI database and Japanese Single Nucleotide Polymorphisms (http://snp.ims.utokyo.ac.jp), rs4444903 of EGF and rs1140-475, rs2017000, rs884419 of EGFR were also selected, respectively, for further analysis.

#### Isolating genomic DNA from whole blood

Human blood samples were collected from all of the 225 patients. Whole genomic DNA was extracted from patients' blood using the Aidlab

Gene	SNPs		Total	HD	MD	LD	X <sup>2</sup>	P-value
		n	225	132	71	22		
Gab1	rs3828512	AA	101	60	32	9		
		AG	105	62	33	10		
		GG	19	10	6	3		
							0.918	0.922
	rs3805236	AA	123	75	35	13		
		AG	88	52	29	7		
		GG	14	5	7	2		
							3.979	0.409
	rs300919	CC	119	73	34	12		
		TC	91	53	31	7		
		TT	15	6	6	3		
							3.915	0.418
	rs3805246	GG	71	45	22	4		
		GA	119	66	40	13		
		AA	35	21	9	5		
							3.168	0.530
EGFR	rs1140475	CC	189	112	61	16		
		TC	33	18	10	5		
		TT	3	2	0	1		
							4.144	0.387
Gene	SNPs		Total	HD	MD	LD	X <sup>2</sup>	P-value
	rs2017000	GG	89	56	30	3		
		GA	110	63	32	15		
		AA	26	13	9	4		
							7.311	0.120
	rs884419	AA	65	39	21	5		
		GA	117	70	33	14		
		GG	43	23	17	3		
							2.746	0.601
EGF	rs4444903	GG	89	55	27	7		
		GA	99	57	32	10		
		AA	37	20	12	5		
							1.244	0.871

**Table 1.** Comparison of genotype frequency distribution of SNPs

 and differentiation status of BTC

HD: highly differentiated group; MD: medium differentiated group; LD: low differentiated group.

Genomic DNA Extraction Kit (Aidlab Co., Beijing, China) following to the manufacturer's instruction.

#### DNA extraction from PPFE samples

DNA isolation was performed using QiAmp DNA FFPE Tissue kit (Qiagen, Courtaboeuf, France). To extract DNA from formalin fixed paraffin embedded (FFPE) tissue, eight 5-8 µm thick serial sections were cut from each parafilm block and were stored in Eppendorf vials. Parafilm was dewaxed with xylene (10 sec) and centrifuged twice at 14000 rpm for 2 min to wash 100% ethanol from the pellet. Pellet was suspended in 180 µl tissue lysis buffer (ALT buffer, Qiagen) and 20 µl proteinase K. After gently mixed, the sample was incubated at 56°C for 1 hr and agitated at 90°C for 1 hr. Tissue DNA was extracted with MinElute column (Qiagen) according to the manufacture's instruction. DNA was diluted to a final concentration of 20 ng/ µl for TaqMan PCR.

#### TaqMan PCR

TagMan allelic discrimination assay was used to detect the presence of eight SNPs loci in BTC. The alleles-specific probes were labeled with the fluorescence reporter dye FAM and VIC at their 5'-end. Reactions were performed in a 5 µl solution with 900 nM specific primers, 200 nM specific Taq-Man probes, 10 ng DNA using 384-well plates and TagMan Genotyping Master Mix (Applied Biosystem) as previously described [24] using ABI Prism® sequencing detection system (ABI7900, Applied Biosystem). Data were analyzed using ABI7900 SDS software and all of the tests were performed in a triplicated manner of experiment design.

#### Statistical analysis

All statistical analysis was conducted by Statistical Packages for Social Sciences 13.0 (SPSS 13.0). Description statistics between BDC and GBC groups were calculated using Student t test (numeric variables) and Chisquare test (categorical variables). HaploView V. 4.0 was used to assess Hardy-Weinberg equilibrium and linkage disequilibrium [25].

Gene	SNPs		Total	HD	MD	LD	X <sup>2</sup>	P-value
		n	153	92	45	16		
Gab1	rs3828512	AA	70	43	21	6		
		AG	71	43	20	8		
		GG	12	6	4	2		
							1.060	0.901
	rs3805236	AA	82	50	22	10		
		AG	60	38	18	4		
		GG	11	4	5	2		
							3.990	0.407
	rs300919	CC	79	50	21	8		
		TC	63	37	20	6		
		TT	11	5	4	2		
							1.747	0.782
	rs3805246	GG	49	31	15	3		
		GA	81	46	25	10		
		AA	23	15	5	3		
							2.176	0.703
EGFR	rs1140475	СС	128	78	39	11		
		тс	23	13	6	4		
		TT	2	1	0	1		
							5.294	0.258
Gene	SNPs		Total	HD	MD	LD	X <sup>2</sup>	P-value
	rs2017000	GG	61	42	17	2		
		GA	74	40	23	11		
		AA	18	10	5	3		
							6.469	0.167
	rs884419	AA	45	26	15	4		
		GA	79	49	21	9		
		GG	29	17	9	3		
							0.748	0.945
EGF	rs4444903	GG	61	38	17	6		
		GA	68	39	21	8		
		AA	24	15	7	2		
							0.494	0.974

**Table 2.** Comparison of genotype frequency distribution of SNPs

 and differentiation status of BDC and GBC

Fisher's extract test was applied when the subject number was less than 5 per test. The distribution and allele frequency were identified by Chi-square test. Odds ratios (ORs) and 95% confidence interval (CIs) were estimated using unconditional logistic regression to determine the magnitude and statistical significance of associations. The statistical software UNPHA-SED 3.0.7 (Frank Dudbridge, MRC Biostatistics Unit Cambridge, UK) was applied to assess gene-gene interaction and allele-disease associations. Furthermore, the Kaplan-Meier Survival curve analysis (using Log-rank test) was used to study the association of the identified potential risk factor with patient survival rate (3 year).

#### Results

Results of Genotyping of SNPs of Gab1, EGFR and EGF and the Hardy-Weinberg equilibrium analysis were conducted in our previous study. In this study, we focused on the association between SNPs and prognosis of BTC. According to the differentiation status of prognostic pathological results, BTC patients were divided into three groups, the highly differentiated group (HD), medium differentiated group (MD) and low differentiated group (LD). However, all of the genetic frequency distribution data were not statistically significant (P > 0.05) (**Table 1**).

We also divided the BTC group into BDC and GBC subgroups to see if there was any difference between differentiation status and SNPs of Gab1, EGFR and EGF. Unfortunately, no significant difference was observed within these two subgroups (**Tables 2** and **3**). This study further conducted a lifetime analysis for BTC group using Kaplan-Meier estimation and Log-rank test to compare the survival difference of each SNP among

BTC patients. Results showed that the difference of median survival time of BTC patients with different genotypes had statistically significance (*P*=0.021). The median survival time of patients with A/A genotype of rs2017000 in EGFR was significantly lower than patients with G/G and G/G genotypes (A/A: 11 month; 95% Cl 4.421-17.579; G/G: 18 month; 95% Cl 15.851-20.149; G/A: 19 month; 95% Cl 17.557-20.443) (**Figure 1; Table 4**). After controlling other confounders, such as gender, age, dis-

Gene	SNPs		Total	HD	MD	LD	X <sup>2</sup>	P-value
		n	72	40	26	6	_	
Gab1	rs3828512	AA	31	17	11	3		
		AG	34	19	13	2		
		GG	7	4	2	1		
							0.773	0.942
	rs3805236	AA	41	25	13	3		
		AG	28	14	11	3		
		GG	3	1	2	0		
							2.204	0.698
	rs300919	CC	40	23	13	4		
		TC	28	16	11	1		
		TT	4	1	2	1		
							3.377	0.497
	rs3805246	GG	22	14	7	1		
		GA	38	20	15	3		
		AA	12	6	4	2		
							2.028	0.731
EGFR	rs1140475	CC	61	34	22	5		
		TC	10	5	4	1		
		TT	1	1	0	0		
							0.933	0.920
Gene	SNPs		Total	HD	MD	LD	X <sup>2</sup>	P-value
	rs2017000	GG	28	14	13	1		
		GA	36	23	9	4		
		AA	8	3	4	1		
							4.820	0.306
	rs884419	AA	20	13	6	1		
		GA	38	21	12	5		
		GG	14	6	8	0		
							5.361	0.252
EGF	rs4444903	GG	28	17	10	1		
		GA	31	18	11	2		
		AA	13	5	5	3		
							5.162	0.271

**Table 3.** Comparison of genotype frequency distribution of SNPsand differentiation status of GBC

ease history of biliary stone, differentiation status of BTC and SNP genotypes through multivariate Cox regression analysis, the effect of A/A genotype of rs2017000 in EGFR was still significantly lower than the other two genotypes (**Table 5**).

RTK related downstream signaling molecular activation to regulate many key process including cell growth, survival, organ morphogenesis, neovascularization, tissue repair and regeneration. Our previous study assessed the genome association of CCA revealed no significant SNPs frequency distribution difference in Gab1 and EGF that associated to CCA susceptibility. Particularly, patients with A/A in Gab1 rs3805246 and G/G+G/A in EGFR rs2017000 simultaneously had significantly higher chance to have CCA. Current study evaluated Gab1, EGFR and EGF SNPs allele frequency distribution according two subtypes and three differentiation stage (low, middle or high) of CCA. Result revealed no one of these SNPs displayed a significant genotype distribution whatever in gallbladder cancer or biliary tract cancer (data not shown). The selecting 8 loci of Gab1 rs3828512, rs3805236, rs30-0919 and rs3805246, EGFR rs1140475, rs2017000 and rs884419 and EGF rs444903 presented no association to the low, middle and high grade CCA differentiation status were using multivariate logistic regression model in SPSS 13.0.

To evaluate the association of risk factors (gender, age, tumor differentiation stage, and gallstone history) with patients 3 year overall survival, done by Kaplan-Meier survival curve analysis (**Table 5**). Kaplan-Meier assay showed CCA patient carry EGFR rs2017000 A/A genotype represented poor prognosis and overall survival time (median: 11 months; 95% CI 4.421-17.579) compared to G/G (median: 18

month; 95% CI 15.851-20.149) and G/A (median: 19 month; 95% CI 17.557-20.443) genotype, is graphically in **Figure 1**. Therefore, a multivariate analysis was required in order to exclude the effect of risk factors for CCA. In the multivariate survival analysis using regression model, dependent variable as the EGFR rs2017000 A/A genotype (P=0.002, OR=1.921) and cholelithiasis medical history (P=0.001, OR=2.781) was significantly associated with CCA susceptibility (**Table 5**). However, this association was not observed in gender and age (P> 0.05).



**Figure 1.** Kaplan-Meier's 3 year survival curve of 206 BTC patients grouped by their genotype for SNP EGFR rs2017000. The corresponding median survival time of bile duct patients in GG genotype (median: 18 month; 95% Cl 15.851-20.149) and GA type (median: 19 month; 95% Cl 17.557-20.443) was significant higher than in AA type (median: 11 month; 95% Cl 4.421-15.579).

#### Discussion

Recent years, various analytical methodologies for SNPs markers screening provide a comp rehensive way for analyzing human genome and identifying potential mutated genes and genomic regions contributing to the disease phenotype. T/T genotype of rs887569 in EZH2 gene was indicated to be correlated with longer overall survival in CCA patients [26]. Among 198 CCA patients from northeastern Thailand, the G/G genotype of rs6726395 in NRF2 gene was found to be associated with longer survival with a hazard ratio of 0.54 (95% CI: 0.31-0.94) [27]. CCA is a biliary epithelium originated malignant neoplasm which is considered as a complicated multi-gene involved hereditary disease which is interacted by both environmental and genetic factors [28]. Generally, CCA is detected and diagnosed clinically at an incorporative stage and a majority of them show poor prognoses even after surgical resection [29]. The clinical course and outcome of CCA cancer varies among people, but the effect of genetic variability on prognosis is poorly uderstood. Therefore, the predictive marker identification of CCA aims to evaluate patient's prognosis and overall outcome, which is very meaningful for judging the probability of cancer recurrence after standard treatment.

Recent studies showed that the receptor tyrosine kinases (RTKs) pathway plays an impor-

tant role in the pathogenesis, development and survival prognosis in various human malignant tumors [30, 31]. For example, a study which recruited 129 breast cancer patients indicates that one of the RTK family, the MET, is a prognostic factor for diseasespecific survival in breast cancer patients receiving neo-adjuvant chemotherapy [32]. Genome-wide analysis of ICC suggests that RTKs are associated with ICC and RTK inhibitors which have a novel therapeutic potential to improve ICC [33]. Result of this study showed that Gab1,

EGFR and EGFR SNPs genotypes have no correlation to BTC differentiation status. Moreover, same result was also identified in Gab1, EGFR and EGF genotype compared to the two subtype of BTC (BDC group and GBC group).

Up to date, the cancer risk which is associated with individual's SNP has been documented in many studies. A genome-wide analysis of breast cancer identifies SNPs in five genes associated with susceptibility, including TNRC9, FGFR2, MAP3K1, H19 and LSP1 [34]. Another genome-wide association study (GWAS) recruited 19,091 cases and 20,606 controls of East-Asian descendant, including Chinese, Korean, and Japanese women, provided strong evidence for a novel breast cancer susceptibility locus represented by rs9485372, near the TAB2 gene (6g25.1), and identifies two possible susceptibility related loci located in the ESR1 gene (11q24.3) [35]. This study explored A/A genotype of rs2017000 in EGFR strongly correlated with shorter overall survival time than the other two genotypes. EGFR heterodimers have been shown to increase cell motility underlying downstream signaling pathway activation. In addition, rs2017000 locus is located in the intron of exon 21 of EGFR gene, and exon 21 is a tyrosin kinase domain, which was found to be associated with ovarian cancer and lung cancer development [21, 36, 37]. Several conditions of liver or bile duct may cause BTC, such as parasitic infections, primary sclerosis chol-

# Molecular markers for prognosis of Chinese Han CCA patients

			<b>T</b> = 1 = 1 ( = )	<u> </u>		Media	n survival time	Log rank	
Gene	SNPs		lotal (n)	Followed (n)	Lost (n) -	Month	95% CI	X <sup>2</sup>	P-value
Gab1	rs3828512	AA	101	95	6	18	16.010-21.394		
		AG	105	97	8	17	15.032-19.736		
		GG	19	14	5	17	14.909-20.369		
								1.236	0.896
	rs3805236	AA	123	112	11	18	15.749-22.004		
		AG	88	82	6	17	13.595-20.337		
		GG	14	12	2	18	15.396-21.002		
								3.990	0.458
	rs300919	CC	119	111	8	17	14.547-20.379		
		ТС	91	83	8	17	13.382-22.098		
		TT	15	12	3	17	14.759-19.980		
								1.170	0.967
	rs3805246	GG	71	68	3	19	15.345-23.117		
		GA	119	109	10	17	14.958-19.756		
		AA	35	29	6	18	15.327-20.015		
								2.340	0.753
EGFR	rs1140475	СС	189	177	12	19	16.333-22.584		
		TC	33	26	7	18	15.308-21.132		
		TT	3	3	0	18	15.988-21.050		
								2.736	0.664
Cono	SNDo		Total (p)	Followed (p)	$l \cot(n) =$	Median survival time		Log rank	
Gene	5NPS		10tal (11)	Followed (II)	LOSE (II)	Month	95% CI	X <sup>2</sup>	P-value
	rs2017000	GG	89	82	7	18	15.851-20.149		
		GA	110	103	7	19	17.557-20.443		
		AA	26	21	5	11	4.421-17.579		
								7.760	0.021
	rs884419	AA	65	60	5	18	15.721-20.225		
		GA	117	106	11	17	14.336-20.001		
	GG	GG	43	40	3	17	15.878-19.546		
								0.987	0.836
EGF	rs4444903	GG	89	84	5	17	14.993-21.346		
		GA	99	93	6	18	16.086-20.754		
		AA	37	29	8	16	13.467-19.585		
								2.082	0.685

Table 4. Association between each genotyping frequency of SNP and prognosis of BTC patients

Table 5. Multivariate Cox regression of potential factors affecting
BTC prognosis

2.0 0.08.00.0				
Factor	$\beta$ value	P value	OR	95% CI
A/A in rs2017000	0.814	0.002	1.921	1.141-2.594
Gender	1.107	0.157	1.043	0.511-3.372
Age	-0.201	0.482	1.047	0.807-1.421
Disease history of BS	0.311	0.001	2.781	2.274-5.941
Differentiation status	1.296	0.002	1.664	1.259-3.082
BS: biliary stone.				

angitis, biliary-duct cysts, hepatolithiasis and toxins [38-40]. In this study, patients with cholelithiasis medical history showed higher BTC susceptibility in the multivariate factor regression analysis. Thus, the cholelithiasis may act as a BTC marker in early diagnosis. Although choleithiasis has not been demonstrated to be clearly associated with BTC, pancrea ticobiliary maljunction (PBM) with bile duct dialation, cholelithiasis and cholecystectomy are associated exclusively with EH-BTC other than gallbladder cancer [41].

In summary, in this clinical study, we provided convincing evidence for the significant association between disease susceptibility and SNPs of rs2017000 in EGFR. Our study also suggested that BTC patients carrying genetic allele EGFR rs2017000 G/G+G/A genotype might have longer overall survival time and better prognosis with optimal clinical treatment. Using multivariate regression analysis controlling for potential confounders, effects of EGFR rs2017000 was still significant on the association with BTC.

#### Disclosure of conflict of interest

None.

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