

## Note: Model identification and analysis of bivalent analyte surface plasmon resonance data

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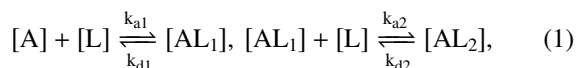
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Surface plasmon resonance (SPR) is a widely used, affinity based, label-free biophysical technique to investigate biomolecular interactions. The extraction of rate constants requires accurate identification of the particular binding model. The bivalent analyte model involves coupled non-linear differential equations. No clear procedure to identify the bivalent analyte mechanism has been established. In this report, we propose a unique signature for the bivalent analyte model. This signature can be used to distinguish the bivalent analyte model from other biphasic models. The proposed method is demonstrated using experimentally measured SPR sensorgrams. © 2015 AIP Publishing LLC. [<http://dx.doi.org/10.1063/1.4933318>]

Surface plasmon resonance (SPR) is a well-accepted label-free tool to investigate and analyze biomolecular interactions, including protein-protein,<sup>1-3</sup> protein-DNA,<sup>4-6</sup> and protein-lipid membrane interactions.<sup>7</sup> Neither the simplest equilibrium SPR data analysis method<sup>6</sup> nor the single exponential fitting of SPR profiles<sup>8</sup> can handle biphasic reaction mechanisms. Several reports in order to improve resolution of the SPR system,<sup>9-11</sup> SPR data fitting programs,<sup>12</sup> and an analytical solution based approach for the analysis of several biphasic binding mechanisms that are governed by linear rate equations<sup>13</sup> have been reported. However, clear procedure to identify the bivalent analyte mechanism has not been established.

In this study, we have explored an approach to identify and analyze the bivalent analyte model that has been used to analyze SPR sensorgrams of a wide range of biomolecular interactions.<sup>14-23</sup> As being demonstrated in our previous study,<sup>13</sup> measured SPR profiles can often be fitted to different biphasic models with comparable fitting qualities. Therefore, fitting quality alone cannot identify the underlying mechanism. We propose an approach, presented below, that can identify the bivalent model unambiguously. The procedures of data fitting and model identification are illustrated by experimentally measured SPR sensorgrams.

Figure 1 shows the cartoon scheme of the bivalent analyte model, which is represented by the following two-step process:



where [A] represents bivalent analyte, [L] represents ligand, [AL<sub>1</sub>] represents analyte-ligand complex with one ligand, and [AL<sub>2</sub>] represents analyte-ligand complex with two ligands bound to single analyte. The k<sub>a</sub>'s are the association rate constants, and k<sub>d</sub>'s are the dissociation rate constants. Let X<sub>1</sub> be [AL<sub>1</sub>], and X<sub>2</sub> be [AL<sub>2</sub>], the density of free ligand on

the sensor chip is thus B<sub>0</sub> - X<sub>1</sub> - 2X<sub>2</sub>, with B<sub>0</sub> as the initial ligand concentration. The two-step process of Figure 1 can be represented by the following rate equations:

$$\dot{X}_1 = 2k_{a1}C(B_0 - X_1 - 2X_2) - k_{d1}X_1 - \dot{X}_2, \quad (2)$$

$$\dot{X}_2 = k_{a2}X_1(B_0 - X_1 - 2X_2) - 2k_{d2}X_2, \quad (3)$$

where C is the concentration of analyte.

Strictly speaking, Eq. (3) is only valid when [AL<sub>1</sub>] is freely mobile in the bulk solution. When [AL<sub>1</sub>] is restricted within a layer (reaction layer) on sensor chips, the second association rate constant (k<sub>a2</sub>) needs to be replaced by a two dimensional (2D) rate constant, k<sub>a2</sub><sup>\*</sup>. As one can see, by comparing Eqs. (2) and (3), that k<sub>a1</sub>C and k<sub>a2</sub><sup>\*</sup>X<sub>1</sub> must have the same unit of s<sup>-1</sup>. It is important to understand that the solution of the rate equations (Eqs. (2) and (3)) gives 2D density of ligand-analyte complex, not directly the SPR responses. In the following, for simplicity, we assume that SPR responses are proportional to the combined 2D densities X<sub>1</sub> and X<sub>2</sub>.

When rate equations are linear differential equations, it makes the analysis possible to fit SPR sensorgrams directly with solutions of rate equations. For non-linear rate equation, there is no such simplification. Additionally, non-linear differential equations, in general, have no analytical solutions. Therefore, previously proposed method<sup>13</sup> cannot be directly applied. We rewrite Eqs. (2) and (3) in variables Y = X<sub>1</sub> + X<sub>2</sub> and X<sub>2</sub>. The rate equations are in the form of Eqs. (4) and (5),

$$\dot{Y} = 2k_{a1}C(B_0 - Y) - k_{d1}Y - (2k_{a1}C - k_{d1})X_2, \quad (4)$$

$$\dot{X}_2 = k_{a2}^*(X_2^2 - Y^2) + k_{a2}^*B_0Y - (k_{a2}^*B_0 - 2k_{d2})X_2. \quad (5)$$

As expected, Eq. (5) is non-linear, and however, Eq. (4) shows that there exists an "optimal concentration," C<sub>o</sub> =  $\frac{k_{d1}}{2k_{a1}}$  at which the rate equation for Y is independent of X<sub>2</sub> and, therefore, is a linear differential equation with an analytical solution of single exponential function,

$$Y(t) = \frac{B_0}{2}(1 - e^{-(2k_{a1}C_0 + k_{d1})t}) = \frac{B_0}{2}(1 - e^{-(4k_{a1}C_0)t}). \quad (6)$$

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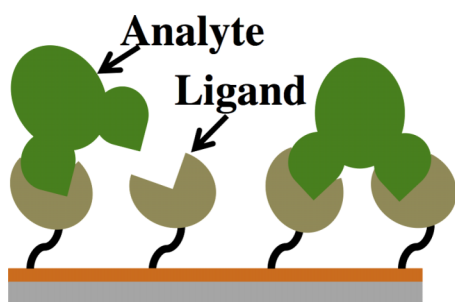


FIG. 1. Cartoon scheme for bivalent analyte model.

The unknown constant of  $B_0$  in Eq. (6) does not affect the exponent. The exponent, together with  $C_0$ , determines  $k_{a1}$  and  $k_{d1}$ . However, at this “optimal concentration”  $C_0$ , the solution  $Y(t)$  does not depend on  $k_{a2}^*$  or  $k_{d2}$ . Therefore, this “optimal concentration” method will not obtain these two rate constants. At the optimal concentration, the SPR signal does have contributions from both  $X_1(t)$  and  $X_2(t)$ , but the solution contains no information on the relative strength of these two components. In practice, the SPR profiles at different analyte concentration can be fitted individually using single exponential function, and the fitting errors should have a minimum at the “optimal concentration.” The existence of this “optimal concentration” is the unique signature of the bivalent analyte model, thus can be used to distinguish the bivalent-analyte model from other biphasic models that were discussed in our previous study.<sup>13</sup> It is worthwhile to point out that this signature is discarded in any “global” fitting procedure.

We have demonstrated our theoretical model of identifying the bivalent analyte model using experimentally measured SPR sensorgrams. Biacore T200 instrument was used to record SPR sensorgrams. Sensor chip CM5 was used to immobilize recombinant ezrin proteins onto the sensor surface via standard amine coupling chemistry. Various concentrations (15.625 nM–500 nM) of anti-ezrin monoclonal antibody (ezrinAb) were passed through the ezrin immobilized sensor surface. Figure 2 depicts the SPR sensorgrams for ezrinAb-ezrin binding. As shown in Figure 2, the SPR association profiles did not reach equilibrium state and as a result the simplest equilibrium data analysis method cannot be used. The lowest  $R^2$  value of fitting of both association and dissociation

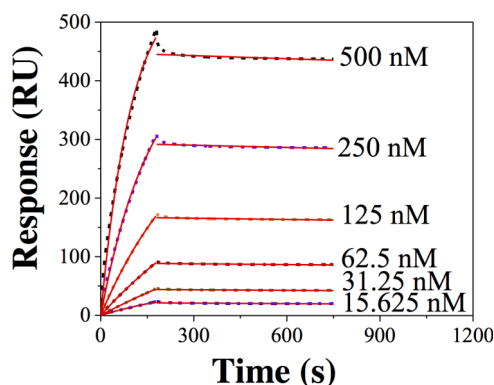


FIG. 2. SPR sensorgrams for ezrinAb binding to immobilized ezrin. The dashed lines are experimental data and the red continuous lines are fit to single exponential association and dissociation equations.

profiles (Figure 2) was less than 0.75. This indicates that the interaction mechanism is not 1:1. We, therefore, fitted the SPR sensorgrams using following double exponential functions:

$$R = D + Ee^{-\sigma_1 t} + Fe^{-\sigma_2 t} \text{ (association),} \quad (7)$$

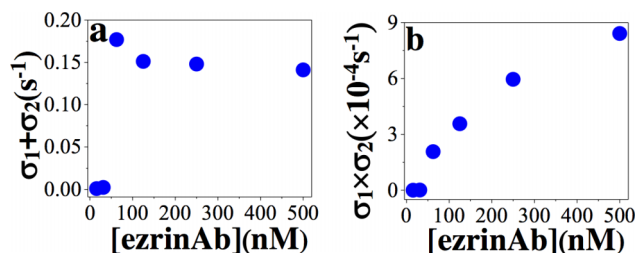
$$R = Ee^{-\gamma_1 t} + Fe^{-\gamma_2 t} \text{ (dissociation),} \quad (8)$$

where  $D$ ,  $E$ ,  $F$ ,  $\sigma_1$ ,  $\sigma_2$ ,  $\gamma_1$ , and  $\gamma_2$  are fitting parameters with  $D = -(E + F)$ . The lowest  $R^2$  value of fitting of the SPR sensorgram was better than 0.97 (data not shown).

As discussed in our previous report,<sup>13</sup> a “good” global fitting quality cannot guarantee the correct identification of the underlying mechanism. One should examine the behavior of the exponents (fitting parameters, Eq. (7)) as a function of the analyte concentration. The dependency of the sum of the exponents on ezrinAb concentration as shown in Figure 3(a) shows that underlying mechanism is none of the three models as discussed in detail in our previous report.<sup>13</sup> In addition, the product of the exponents should be either linear (two-step conformational change model) or quadratic (heterogeneous ligand model and bivalent ligand model) for the biphasic mechanism to be any of the three biphasic mechanisms.<sup>13</sup> As shown in our previous report, the quadratic dependency must have positive coefficients (coefficients of the quadratic, linear, and constant term in a quadratic equation).<sup>13</sup> The dependency of the product of the exponents as shown in Figure 3(b) therefore added another validation that the underlying mechanism is not any of the biphasic mechanisms as explained above. Notably, the biphasic models (two-step conformational change model, heterogeneous ligand model, and bivalent ligand model) are governed by coupled system of linear differential equations,<sup>13</sup> unlike the bivalent analyte model presented in this report.

Finally, to correctly identify the underlying biphasic model, we utilized the signature of the bivalent analyte model as explained above. The distribution of  $R^2$  value obtained by fitting SPR association profiles at different analyte concentrations with single exponential function (Figure 2) is shown in Figure 4.

The distribution of  $R^2$  value for the experimental data followed exactly the theoretical model as predicted by Eqs. (4) and (6). Therefore, the underlying biphasic mechanism for ezrinAb-ezrin binding should be the bivalent analyte. The monoclonal anti-ezrin antibody is an  $I_gG_1$  type antibody, which has two Fab portions for binding to ezrin. Therefore, the  $I_gG$  antibody represents a good model for bivalent analyte. From the fitting of the SPR association profiles (Figure 2), the “optimal concentration” is determined to be 62.5 nM. Once the “optimal concentration” is determined, Eq. (6) can be

FIG. 3. The dependency of (a) the sum of the exponents  $\sigma_1 + \sigma_2$  and (b) the product  $\sigma_1 \times \sigma_2$  on the ezrinAb concentration.

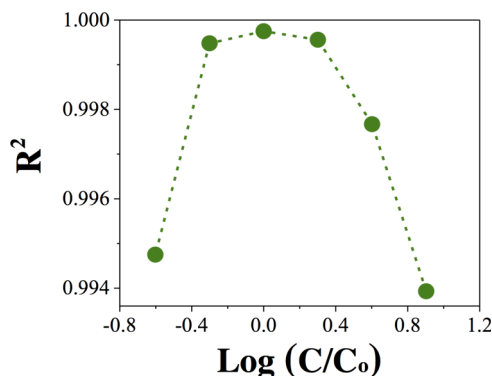


FIG. 4.  $R^2$  value vs.  $\log(C/C_0)$  for single exponential fitting of SPR association profiles (Figure 2).  $C$  is ezrinAb concentration and  $C_0$  is the “optimal concentration” (62.5 nM). The symbols are  $R^2$  values and the dashed lines are guide to eyes.

used to determine the  $k_{a1}$  and  $k_{d1}$  and hence the equilibrium dissociation constant ( $K_{D1} = \frac{k_{d1}}{k_{a1}}$ ) corresponding to the first phase of the interaction. The  $k_{a1}$ ,  $k_{d1}$ , and  $K_{D1}$  values were determined to be  $0.74 \times 10^4 \text{ M}^{-1} \text{ s}^{-1}$ ,  $0.92 \times 10^{-3} \text{ s}^{-1}$ , and  $\sim 124 \text{ nM}$ , respectively. Our method cannot determine the parameters related to the second phase of the interaction, which are not of any use to determine the  $K_{D2}$  in terms of molar unit.

In summary, we have presented an identification and analysis of the bivalent analyte model that is applied to a wide range of SPR experiments. The proposed procedure will first locate the “optimal analyte concentration” by fitting the individual SPR profile at different analyte concentrations to the single exponential function. Our method can be of valuable guidance for the SPR users in order to unambiguously identify and analyze the bivalent analyte mechanism. Our procedures of model identification along with the some prior experimental results of the system under study may also provide SPR users a strong support for the identification of the bivalent analyte model.

Experimental SPR sensorgrams were measured by using Biacore T200 instrument available in Biacore Molecular

Interaction Shared Resource (BMISR) facility at Georgetown University. The BMISR is supported by National Institutes of Health Grant No. P30CA51008.

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