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## ***In vivo* quantification of hyperoxic arterial blood water $T_1$**

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### **Abstract**

Hyperoxic and hypercapnic hyperoxic gas challenges are increasingly being used in cerebrovascular reactivity (CVR) and calibrated fMRI experiments. The longitudinal arterial blood-water relaxation time ( $T_{1a}$ ) change with hyperoxia will influence signal quantification through mechanisms relating to elevated partial pressure of plasma-dissolved  $O_2$  ( $pO_2$ ) and increased oxygen bound to hemoglobin in arteries ( $Y_a$ ) and veins ( $Y_v$ ). The dependence of  $T_{1a}$  on  $Y_a$  and  $Y_v$  has been elegantly characterized *ex vivo*, however the combined influence of  $pO_2$ ,  $Y_a$  and  $Y_v$  on  $T_{1a}$  *in vivo* under normal ventilation have not been reported. Here,  $T_{1a}$  is calculated during hyperoxia *in vivo* by a heuristic approach that evaluates  $T_1$ -dependent arterial spin labeling (ASL) signal changes to varying gas stimuli. Healthy volunteers ( $n=14$ ; age= $31.5 \pm 7.2$  yrs) were scanned using pseudo-continuous ASL in combination with room air (RA; 21%  $O_2$ /79%  $N_2$ ), hypercapnic-normoxic (HN; 5%  $CO_2$ /21%  $O_2$ /74%  $N_2$ ), and hypercapnic-hyperoxic (HH; 5%  $CO_2$ /95%  $O_2$ ) gas administration. HH  $T_{1a}$  was calculated by requiring that the HN and HH CBF change be identical. The HH protocol was then repeated in patients ( $n=10$ ; age= $61.4 \pm 13.3$  yrs) with intracranial stenosis to assess whether a HH  $T_{1a}$  decrease prohibited ASL from being performed in subjects with known delayed blood arrival times. Arterial blood  $T_{1a}$  decreased from 1.65s at baseline to  $1.49 \pm 0.07$ s during HH. In patients, CBF values in the affected flow territory for the HH condition were increased relative to baseline CBF values and were within physiological range (RA-CBF= $36.6 \pm 8.2$  ml/100g/min; HH-CBF= $45.2 \pm 13.9$  ml/100g/min).

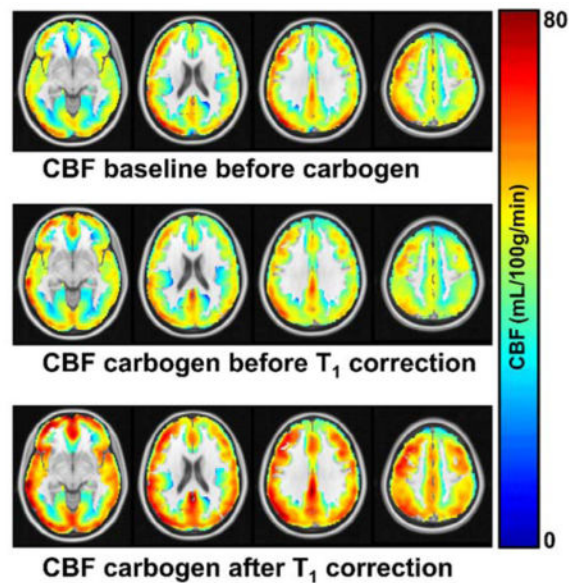
**Conclusion**—Hyperoxic (95%  $O_2$ ) 3T arterial blood  $T_{1aHH} = 1.49 \pm 0.07$ s relative to a normoxic  $T_{1a}$  of 1.65s.

### **Graphical Abstract**

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We calculate in vivo arterial blood  $T_1$  changes at 3T during hyperoxic hypercapnic (carbogen) gas challenges.

A heuristic approach was used to compute  $T_1$ -dependent ASL signal changes to varying gas stimuli.

Arterial blood  $T_1$  decreased from 1.65s at baseline to  $1.49 \pm 0.07$ s during carbogen.

The  $T_1$  correction was applied to ASL data in intracranial stenosis patients using a carbogen protocol.

The calculated  $T_1$  value should provide reference for future calibrated fMRI, ASL and VASO experiments using hyperoxic gas mixtures.

## Keywords

carbogen; arterial spin labeling; blood; hypercapnia; cerebrovascular reactivity; hyperoxia;  $T_1$

## Introduction

Cerebrovascular reactivity (CVR) can be defined as the change in cerebral blood flow (CBF) and volume (CBV) in response to a vascular stimulus, and can be used to examine vascular reserve capacity or iso-metabolic reactivity mechanisms. Breathing hypercapnic gas mixtures causes relaxation of arteriolar smooth muscles through mechanisms related to reductions in pH, which in turn cause global increases in CVR in gray and white matter parenchyma (1,2). As such, a non-invasive method for eliciting CVR changes is with the administration of hypercapnic gas mixtures (e.g., CO<sub>2</sub> in air: 5% CO<sub>2</sub>/21% O<sub>2</sub>/74% N<sub>2</sub> or carbogen-5: 5% CO<sub>2</sub>/95% O<sub>2</sub>), which both allow for evaluation of vascular compliance in health and disease (3–8).

The elicited changes in CVR can be measured with non-invasive MRI techniques, the most common being blood-oxygenation-level-dependent (BOLD) MRI. However, the BOLD signal is nonspecific, influenced by CBF, CBV and cerebral metabolic rate of oxygen consumption (CMRO<sub>2</sub>), and deciphering the contributions of each is non-trivial. An alternative MRI technique is arterial spin labeling (ASL) (9). ASL MRI is more specific to CBF by magnetically labeling the arterial blood water proximal to the imaging region and acquiring an image after a post-labeling delay (PLD) time. This labeled image is subtracted from a non-labeled or “control” image, resulting in a CBF-weighted image. One difficulty with ASL and hyperoxia is that the label decays with the arterial blood water  $T_{1a}$ . The  $T_{1a}$  is highly dependent on blood oxygenation status, which will influence the measured CBF if not incorporated correctly into quantification models.

$T_{1a}$  values for different O<sub>2</sub> saturation (fraction of O<sub>2</sub> bound to hemoglobin) levels have been estimated *ex vivo* in bovine blood at 3T (10,11), 4.7T (12) and 7T (13), and human blood (14). The disadvantage of *ex vivo* experiments is that anti-coagulants added to the blood mixtures may influence relaxation mechanisms while it is also difficult to precisely control for temperature, O<sub>2</sub> saturation, and pO<sub>2</sub> at physiological levels in all experiments. *In vivo* estimation of  $T_{1a}$  is likewise challenging due to both practical issues and two competing physiological effects that influence blood water  $T_1$  during hyperoxia (12,15). First, hyperoxia increases arterial and venous O<sub>2</sub> saturation ( $Y_a$  and  $Y_v$ , respectively) which will increase blood water  $T_1$  due to paramagnetic effects from decreased deoxyhemoglobin content. Previous *ex vivo* work has shown that blood water  $R_1 = 1/T_1$  decreases linearly with increasing hemoglobin O<sub>2</sub> saturation (12,13). Second, hyperoxia also increases the arterial and venous partial pressure of O<sub>2</sub> ( $P_aO_2$  and  $P_vO_2$ , respectively) and thus the amount of plasma dissolved O<sub>2</sub>, resulting in a decrease in blood water  $T_1$ . Previous *ex vivo* work has shown that  $R_1 = 1/T_1$  increases linearly with plasma dissolved O<sub>2</sub> concentration (11,12).

A practical drawback of *in vivo*  $T_{1a}$  estimation using multi-recovery or multi-flip angle approaches is primarily that it is difficult to localize pure blood voxels, especially arterial blood voxels, and additionally that blood may flow in or out of the inversion/excitation volume over the experiment, thereby complicating steady state assumptions (16–20). Additionally, the presence of inhomogeneous B<sub>0</sub> and B<sub>1</sub> fields can complicate quantification. Previous *in vivo* studies have focused mainly on measuring venous blood water  $T_{1v}$  to circumvent the issues, as venous blood water in the superior sagittal sinus can be inverted over a larger spatial range of homogenous B<sub>0</sub> and B<sub>1</sub> and also the sagittal sinus is large enough to enable blood voxels to be isolated with minimal partial volume effects (16–20). Using  $T_{1v}$  as a proxy for studying the effect of hyperoxia on  $T_{1a}$  is complicated by the previously mentioned two effects that influence blood water  $T_1$ . During hyperoxia, venous blood undergoes a more complicated process as it will see a substantial increase in  $Y_v$  (as compared to  $Y_a$ ) and thus an increase in  $T_{1v}$ . This effect is known to be the dominant effect compared to the decrease in  $T_{1v}$  due to the small increase in plasma-dissolved O<sub>2</sub> ( $P_vO_2$ ) (21).

In general, *ex vivo* and *in vivo* studies indicate that the prominent  $T_{1a}$  effect of hyperoxia occurs from increases in plasma dissolved O<sub>2</sub> resulting in an overall decreased  $T_{1a}$  (12–15,18). One study investigated the CBF response in humans to hyperoxia using ASL MRI at

3T (15) and obtained  $T_{1a}$  values using extrapolation of *ex vivo* literature values (10) for a range of O<sub>2</sub> saturation levels. To our knowledge, the  $T_{1a}$  value at 3T for hyperoxic blood conditions has not been experimentally quantified *in vivo*. As the  $T_{1a}$  affects the decay of the label and thus the CBF quantification, the issue is fundamental to CBF measurements using hyperoxia in basic science (10,15,22–26) but also in clinical studies, for example CVR evaluations in steno-occlusion patients (5,27,28). In the latter, a hyperoxic component may be incorporated to increase tissue or blood oxygenation for the purposes of extracting additional information regarding blood arrival times, blood volume, and/or metabolism (1,3,29–33). Knowledge of  $T_{1a}$  is equally important in calibrated fMRI experiments. These incorporate ASL and BOLD imaging to estimate neuronal induced CMRO<sub>2</sub> changes using hybrid (hypercapnic and hyperoxic) gas challenges (8). Furthermore, for studies using vascular space occupancy (VASO) MRI (34,35) combined with hypercapnic/hyperoxic gas challenges, accurate knowledge of  $T_{1a}$  for different conditions is crucial for precise nulling of arterial blood and thus quantification of CBV changes.

Here, we utilize quantification principles of ASL and the dependence of this contrast on  $T_{1a}$  to quantify  $T_{1a}$  *in vivo*. To achieve this, we apply hypercapnic normoxia (5% CO<sub>2</sub>/21% O<sub>2</sub>/74% N<sub>2</sub>, abbreviated as HN) and hypercapnic hyperoxia (5% CO<sub>2</sub>/95% O<sub>2</sub>, i.e. carbogen-5, abbreviated as HH) stimuli sequentially in healthy volunteers in conjunction with ASL MRI. The hypothesis to be investigated is that when there is a small-to-negligible effect on CBF of breathing hyperoxia for short durations (33,36,37), it is possible to quantify the  $T_{1a}$  change during carbogen administration. Here the assumption is that the identical hypercapnic fraction (i.e., 5% CO<sub>2</sub>) is the predominant contributor to CBF changes in both stimuli types, HN and HH, and thus CBF changes should be similar between these two stimuli after controlling for  $T_{1a}$  changes. Nonetheless, to accommodate any potential CBF changes of hyperoxia, we also computed the  $T_{1a,HH}$  for a range of simulated hyperoxia induced CBF changes.

Finally, we assess the clinical feasibility of ASL in the presence of hyperoxia by applying the calculated hyperoxic  $T_{1a}$  to ASL data acquired during HH administration in patients with angiographically-confirmed stenosis with delayed blood water transit times (38,39). The purpose of this component of the study was to evaluate whether decreases in  $T_{1a,HH}$  prohibited pCASL data from being interpretable during HH administration at typical PLD times. This possibility exists owing to the faster recovery of the longitudinal component of blood water magnetization following inversion in the presence of hyperoxia, which may lead to insufficient SNR at typical PLDs in patients with delayed bolus arrival time, thereby providing non-physiological CBF values and/or CBF reactivity measures.

## Materials and Methods

### Participants

Healthy volunteers (n = 14; 8M/6F; age = 31.5 ± 7.2 yrs) with no history of cerebrovascular disease or stroke and subjects (n = 10; 6M/4F; age = 61.4 ± 13.3 yrs) with angiographically-confirmed intracranial (IC) stenosis provided informed, written consent. The study was approved by the Institutional Review Board and in compliance with the Helsinki Declaration.

## Arterial blood water $T_1$ quantification procedure

Healthy volunteers were scanned on a 3T Philips Achieva system (Philips Healthcare, Best, The Netherlands) using body coil transmission and 8-channel SENSE head coil reception. Participants were closely fitted with a non-rebreathing oxygen mask and a nasal cannula. Gas was delivered to the mask at 12L/min during a breathing protocol of baseline period (room air, RA) followed by hypercapnic gas mixture period (either 5% CO<sub>2</sub> in air, HN; or carbogen-5, HH). Stimulus order was randomized across participants, and heart rate,  $Y_a$ , and end-tidal CO<sub>2</sub> (EtCO<sub>2</sub>) were required to return to baseline before beginning the next scanning block presentation (approximately 3 min). CBF changes were assessed using pseudo-continuous ASL (pCASL) with a multi-slice single-shot EPI readout. Healthy volunteers were split in two groups ( $n_1 = 7$  and  $n_2 = 7$ ) and scanned with two different gas administration period durations and PLD times to evaluate whether small variations in blood transit time between gas stimuli did not contribute substantially to the  $T_{1a,HH}$  measurement.

Group 1 ( $n_1 = 7$ , 4M/3F; age =  $33.6 \pm 9.4$  years) was subjected to a 272.5s baseline RA period followed by a 272.5s gas mixture (HN or HH) period. Group 1 pCASL scan parameters were: TR=3900ms, TE=13ms, PLD=1525ms, background suppression was enabled, spatial resolution= $3.5 \times 3.5 \times 7 \text{mm}^3$ , inter-slice gap=0.5 mm, slices=17, volumes=27 (RA + HH/HN), scan duration=545s. Spin labeling was performed using a label-duration of 1.5s consisting of 0.5 ms Hanning-windowed pulses. Group 2 ( $n_2 = 7$ , 4M/3F; age =  $29 \pm 3.7$  years) was subjected to a 240s baseline RA period followed by a 240s gas mixture (HN or HH) period. Group 2 pCASL scan parameters were identical to group 1 except: PLD=1700ms; spatial resolution =  $3.5 \times 3.5 \times 7 \text{mm}^3$ , inter-slice gap=0.5 mm, volumes = 13 (for both RA and HH/HN), scan duration = 109s.

The pCASL scans were started when the observed EtCO<sub>2</sub> level plateaued. The experimental design is shown in Figure 1A.

Additionally, an  $M_0$  scan was acquired for both groups for CBF quantification, using identical acquisition geometry as the pCASL scan but with a TR=20s and 15s for group 1 and group 2, respectively, and the spin labeling pulse-train turned off.

Data were corrected for motion using standard routines from the FMRIB Software Library (FSL (40)). CBF in both RA conditions and both HN and HH stimulus blocks was quantified by applying a simplified single-compartment pCASL kinetic model as recently suggested by the ISMRM perfusion study group and ASL white paper (41) to the average difference magnetization ( $\Delta M$ ). The  $\Delta M$  data was obtained using a surround-subtraction approach that also realizes baseline drift removal. CBF was quantified according to

$$CBF_i = \frac{6000 \cdot \lambda \cdot \Delta M \cdot e^{PLD/T_{1a,i}}}{2\alpha M_0 \cdot T_{1a,i} \cdot (1 - e^{-\tau/T_{1a,i}})} \quad [1]$$

for  $i = \text{RA, HN, or HH}$ ,

where CBF is in ml/100g/min,  $\alpha = 0.85$  is the pCASL labeling efficiency,  $\tau = 1.5\text{s}$  is the labeling duration,  $T_{1a}$  is the arterial blood water  $T_1$  (in seconds), and  $\lambda = 0.9\text{ml/g}$  is the

tissue/blood partition coefficient of water.  $M_0$ , the equilibrium brain magnetization signal, was obtained from the equilibrium  $M_0$  scan after spatial smoothing using a 3-dimensional Gaussian kernel (full-width-half-at-maximum = 7mm).  $PLD$  is 1.525s and 1.700s for group 1 and group 2, respectively. The slice dependency of the  $PLD$  was taken into account using the slice time of the pCASL scan.

CBF in the RA, HN and HH conditions was initially quantified using Eq. 1 assuming a  $T_{1a,RA} = T_{1a,HN} = T_{1a,HH,initial} = 1.65s$  measured *ex vivo* under normoxic conditions from bovine blood water (10). Also, this value is recommended by the ISMRM perfusion study group and ASL white paper (41), and therefore expected to be used abundantly in future ASL-based CBF studies as reference  $T_{1a,RA}$  value. CBF data were spatially smoothed using a 3-dimensional Gaussian smoothing kernel (full-width-half-at-maximum=7 mm) and registered to MNI standard space using the FLIRT tool from FSL (40). Subsequent processing was done in MATLAB (Mathworks, Natick, MA). We focused on cortical gray matter CBF (using a cortical gray matter MNI mask) as white matter CBF transit time is 2–3 times longer than gray matter and thus could not be accurately sampled at our  $PLD$  (42).

To determine the  $T_{1a,HH}$ , the parameter of interest, we required that the mean HN and HH cortical CBF change, CBF, relative to RA be identical. This heuristic approach assumes that the vasodilatory effect from hypercapnia is much greater than any small vasoconstrictive effects that may occur during a short period of hyperoxia. The CBF for the HH condition was recomputed for a range of  $T_{1a,HH}$  values; 1.3s to 1.62s in steps of 0.004s, yielding a calibration curve of the CBF dependency on  $T_{1a,HH}$ . Also, to accommodate different assumed normoxic  $T_{1a,RA}$  values in future studies we repeated the above simulation for a range of different *normoxic*  $T_{1a,RA}$  (and thus  $T_{1a,HN}$ ) values: 1.6 to 1.75s in steps of 0.01s.

Lastly, to investigate potential hyperoxic vasoconstrictive effects, we simulated how the calculated  $T_{1a,HH}$  values would change for small reductions (0%–20%) in HH CBF relative to HN CBF. The following model was used:

$$CBF_{HH} = (1-c) \cdot CBF_{RA} + \Delta CBF_{HH} \quad [2]$$

where  $c$  is the fractional reduction, ranging from 0 to 0.2, in baseline (RA) CBF due to hyperoxic vasoconstriction, and  $\Delta CBF_{HH}$  the absolute increase in CBF due to hypercapnic vasodilation (assumed to be identical for both HN and HH conditions).

A  $T_{1a,HH}$  value for each participant and each normoxic  $T_{1a,RA}$  was computed, and averaged to arrive at a group mean  $T_{1a,HH}$  value, for group 1 and group 2 respectively, and a total mean  $T_{1a,HH}$  across both groups. Using the total mean  $T_{1a,HH}$ , the HH CBF was quantified again for each volunteer. Comparisons were made between the CBF values for the two baseline RA conditions, and between the corrected CBF values in the HH condition vs CBF values in the HN condition, using two-tailed Student's t-tests with Bonferroni correction for two comparisons (uncorrected  $p = 0.05$ ; corrected  $p = 0.025$ ).

### Intracranial stenosis study

Patients with flow-limiting IC stenosis of at least one major IC vessel (e.g., middle cerebral artery, MCA; posterior cerebral artery, PCA; anterior cerebral artery, ACA; or intracranial segments of the internal carotid artery, ICA) received an identical HH protocol as in the healthy volunteer study outlined above.

Data were collected and managed using REDCap electronic data capture tools (16). Stenoses were measured by board-certified neuroradiologist (MKS; experience = 11 years) from clinically acquired computerized tomography angiography (CTA), digital subtraction angiography (DSA), or magnetic resonance angiography (MRA) according to criteria in Samuels et al. (43) for IC stenosis, and according to the North American Symptomatic Carotid Endarterectomy Trial (NASCET) criteria in the case of extracranial stenosis (44). Inclusion criteria were that patients had intracranial stenosis greater than 50%. The  $T_{Ia,HH}$  correction of the CBF HH patient data was performed using the  $T_{Ia,HH}$  estimated from the healthy volunteers. CBF data were averaged for each condition (RA,  $T_{Ia,HH}$  corrected HH and uncorrected HH), and CBF values were compared (corrected HH vs RA; corrected HH vs uncorrected HH) using two-tailed Student's t-tests with Bonferroni correction for two comparisons (uncorrected  $p = 0.05$ ; corrected  $p = 0.025$ ).

### Results

In healthy controls (Figure 1B) cortical CBF values for RA conditions before HN (mean  $\pm$ s.d. =  $40.9 \pm 6.1$  ml/100g/min) and before HH (mean  $\pm$ s.d. =  $42.3 \pm 7.7$  ml/100g/min) did not significantly differ ( $p = 0.17$ ). As expected by the current approach for computing the  $T_{Ia,HH}$  values, the corrected CBF values for the HH condition (mean  $\pm$ s.d. =  $49.3 \pm 9.5$  ml/100g/min) did not significantly differ from the CBF values for the HN condition (mean  $\pm$ s.d. =  $47.7 \pm 8.2$  ml/100g/min;  $p = 0.25$ ). Similarly, a significant correlation was found between corrected  $CBF_{HH}$  and  $CBF_{HN}$  (Pearson  $R^2 = 0.51$ ,  $p = 0.0046$ ). No significant difference was found between end-tidal  $CO_2$  changes ( $p = 0.17$ , two-tailed paired Student's t-test) for the HN and HH condition between subjects (mean  $\pm$  s.d.  $EtCO_2 = 6.8 \pm 2.0$  and  $5.6 \pm 3.4$  mmHg for the HN and HH condition respectively). Note that the uncorrected HH CBF (mean  $\pm$ s.d. =  $41.8 \pm 8.2$  ml/100g/min) was not significantly different ( $p = 0.67$ ) from the RA condition, demonstrating that the  $T_{Ia,HH}$  has a large effect on CBF quantification. Group CBF maps for healthy volunteers are shown in Figure 2.

In patients (Figure 1C, Table 1), the corrected HH CBF values (mean  $\pm$ s.d. =  $45.2 \pm 13.9$  ml/100g/min) did significantly differ ( $p < 0.005$ ) from both the RA condition (mean  $\pm$ s.d. =  $36.6 \pm 8.2$  ml/100g/min) and the uncorrected HH condition (mean  $\pm$ s.d. =  $38.4 \pm 11.8$  ml/100g/min). Note that the uncorrected HH and RA CBF values did not significantly differ ( $p = 0.32$ ). Group CBF maps for patients are shown in Figure 3.

The mean  $T_{Ia,HH}$  value (Figure 4A) calculated in the HH condition across both groups was  $1.49 \pm 0.07s$  (with mean  $\pm$ s.d.  $1.48 \pm 0.08s$  and  $1.50 \pm 0.06s$  for group 1 and group 2, respectively). The  $T_{Ia,HH}$  value between the two groups did not differ significantly ( $p = 0.6$ ). The dependency of  $CBF_{HH}$  ( $CBF_{HH} - CBF_{RA}$ ) is well approximated by a quadratic decreasing function with respect to  $T_{Ia,HH}$  and a quadratically increasing function with

respect to assumed normoxic  $T_{1a,RA}$  (Figure 4B). The reduction of the calculated  $T_{1a,HH}$  for different assumed normoxic  $T_{1a,RA}$  is fairly constant; a mean  $\pm$  s.d.  $T_1$  reduction of  $162 \pm 8$  ms across a range, 1.60 – 1.75s, of  $T_{1a,RA}$  values was computed (Figure 4C).

Figure 5 shows how the  $T_{1a,HH}$  would change if the hyperoxic component of the HH mixture had a small-to-moderate (0 – 20%) vasoconstrictive effect on reducing baseline CBF. To completely attribute the reduced CBF in the HH condition to vasoconstrictive effects, thus no hyperoxic  $T_1$  effect ( $T_{1a,HH} = T_{1a,RA} = 1.65$ s), a  $\sim$ 16% CBF reduction is necessary. This is approximately the same percentage difference between the uncorrected and corrected HH CBF values (Figure 1B), as expected.

## Discussion

The overall finding from this work is that carbogen-5 (hypercapnic hyperoxia, HH) results in a  $T_{1a,HH}$  of approximately 1.49s in humans, relative to a normoxic baseline  $T_{1a,RA}$  of 1.65s. This value may be useful for quantitative ASL experiments that implement hyperoxic gas challenges such as the recently proposed calibrated BOLD model using hybrid gas challenges (8). Also other functional imaging approaches using hyperoxic gas challenges, such as vascular space occupancy (VASO) MRI (34) will benefit from accurate knowledge of  $T_{1a,HH}$  to more precise blood nulling. The  $T_{1a,HH}$  results presented in this work are valid for hypercapnic gas mixtures containing 95%  $O_2$  content. As previous *ex-vivo* studies have shown a linear dependency of  $R_{1,a} = 1/T_{1,a}$  with  $O_2$  concentration ( $pO_2$ ) (11,12), we suggest that extra- and interpolation of our results can be used for other amounts of  $O_2$  content.

Not surprisingly, subject variability of the  $T_{1a,HH}$  value was observed. Our fitting approach is likely affected by the relatively large spatial resolution which can introduce partial volume effects between white and gray matter, CSF, and vascular compartment (e.g., arteriole vs. venules), and as such different voxels are anticipated to respond differently to vasoactive stimuli. However this bias is similar between all subjects in both groups studied as evidenced by the relatively similar calculated  $T_{1a,HH}$  between subjects of the two groups (Figure 4A).

Analysis of the patient data supports the clinical feasibility of using hyperoxic gas challenges with ASL sequences, even in patients with known steno-occlusive disease. It is well-known that CBF increases after carbogen-5 (HH) administration (6,33). This change is only present when incorporating appropriate  $T_{1a,HH}$  values in the quantification model (Figure 3). Due to the complicated nature of CBF in patients with atherosclerosis and the heterogeneity of stenosis locations in this patient group, changes in CBF across affected and unaffected regions were not evaluated. With a larger patient cohort, CBF reactivity comparisons across affected and unaffected regions could be of interest for future research.

A confounding factor of the analysis could be the interplay of hypercapnia and hyperoxia (vasodilation vs. vasoconstriction) and the resulting influence on CBF, as we assume here that short durations of hyperoxia have a negligible effect on CBF relative to the accuracy of the ASL measurement and relative to hypercapnia. The effect of hyperoxia on CBF remains a controversial topic as contradicting results have been reported (15,22,36,37,45–47) and



thus it becomes difficult to confidently argue that there is indeed a small-to-negligible effect on CBF. Reasons for a reduction in CBF are a potential direct vasoconstrictive effect of O<sub>2</sub> and hyperventilation (increased expired ventilation volume per minute) that causes P<sub>a</sub>CO<sub>2</sub> to decrease (22). Several ASL-based studies have observed a slight reduction in CBF when breathing hyperoxic gasses (on the order of 2–10% reduction upon 5–12 min. of 100% O<sub>2</sub>) (15,29,37,45). For instance, a very small CBF decrease (~2% for gray matter) was reported using ASL at 1.5T, however this was not significantly different from zero (37) when using a corrected  $T_{1a,HH}$  measured in non-cerebral body regions(18,21). Other ASL studies did find a significant decrease in CBF of ~10% at 3T (15) and ~30% at 1.5 T (22). The latter study however used a fixed  $T_{1a}$  for both room air and hyperoxic conditions. Independent [<sup>15</sup>O]-H<sub>2</sub>O PET work in humans has revealed a non-significant but trending decrease in CBF during much longer durations (10 min) of hyperoxia (100% O<sub>2</sub>) for healthy volunteers of about 3 mL/100g tissue/min, and non-significant mean CBF changes in steno-occlusive patients (33). Phase-contrast based MRI studies also reported a slight CBF reduction during hyperoxia (46,47), however a correction for the confounding decrease in P<sub>a</sub>CO<sub>2</sub> due to hyperventilation of hyperoxia was not performed. A more recent phase-contrast MRI study by Xu et al. did correct for P<sub>a</sub>CO<sub>2</sub> changes during hyperoxia which resulted in a non-significant CBF decrease (36). In this study, to accommodate any possible CBF changes of hyperoxia, we therefore computed the  $T_{1a,HH}$  for a range of simulated hyperoxia induced CBF changes. Figure 5 demonstrates how the calculation of  $T_{1a,HH}$  would change if transient hyperoxia reduced CBF. The  $T_{1a,HH}$  value calculated here (1.49s at 95%O<sub>2</sub>, assuming a normoxic  $T_{1a,RA}$  of 1.65s) may be underestimated if hyperoxia leads to substantial CBF decreases. In general, more work is needed to elucidate the effect of hyperoxia on cerebral blood flow using either stable direct  $T_{1a}$  measurements or  $T_1$  independent methods such as phase-contrast MRI or PET. Dynamic susceptibility contrast MRI using a multi-echo readout to isolate  $T_2^*$  changes might also be an option, however, this would require injection of an exogenous contrast agent (gadolinium). Of importance is also to study the effect of the O<sub>2</sub> content amount and stimulus duration in order to give an exemplar for an optimal hyperoxic-stimulus protocol where hyperoxic induced CBF changes are minimal.

Another confound is that our approach relies on the single-compartment kinetic model (41). Here a single  $T_1$  value (arterial blood with a single P<sub>a</sub>O<sub>2</sub> value) is used to describe the ASL signal in a voxel, and it assumes that the blood-tissue water exchange is almost instantaneous. A more likely scenario is that the labeled blood experiences a range of P<sub>a</sub>O<sub>2</sub> values and may even reach the more venous side in the capillary bed. Therefore, a continuum of  $T_{1a}$  values is experienced which should ideally be incorporated in the ASL signal model. Furthermore, bolus arrival times are regionally dependent and, like the pCASL labelling efficiency, dependent on hypercapnic state (26,48). This will also affect the CBF quantification and the continuum of  $T_{1a}$  values the blood experiences in the cerebral vasculature. Another matter is the complex biochemistry of carbogen as it affects both the O<sub>2</sub> and CO<sub>2</sub> hemoglobin dissociation curves through the Bohr and Haldane effects (25). Hyperoxia will facilitate CO<sub>2</sub> unloading (Haldane effect), thus increasing local P<sub>a</sub>CO<sub>2</sub>, whereas hypercapnia will facilitate O<sub>2</sub> unloading (Bohr effect), thus increasing local P<sub>a</sub>O<sub>2</sub>. However, due to the combined hypercapnic and hyperoxic state it is not unlikely that the

Bohr and Haldane effects are partially negated. For example, the increased hemoglobin O<sub>2</sub> unloading due to hypercapnia (Bohr effect) can be partially restored by the increased P<sub>a</sub>O<sub>2</sub> due to hyperoxia, and vice versa for the Haldane effect. These effects have recently been simulated by Faraco et al (49).

In conclusion, while the calculated  $T_{1a,HH}$  could be adjusted for slight vasoconstrictive effects of hyperoxia, this value is slightly longer than previously computed  $T_{1a,HH}$  values at 3T using extrapolation (~1.38s) (15) and *ex vivo* estimation using bovine blood (~1.45s, for 95% O<sub>2</sub>) (11), and therefore suggests a slower recovery of the labelled blood under hyperoxic conditions than may have been assumed previously. Only after incorporating the adjusted  $T_{1a,HH}$  value in a ASL CBF quantification model, hypercapnic induced CBF changes were observed in patients with known steno-occlusive disease. This shows the feasibility of using hypercapnic-hyperoxic gas mixtures in ASL-based CBF studies. The  $T_{1a,HH}$  value reported here (in-vivo at 3T for 95% O<sub>2</sub>) together with a ‘calibration curve’ for different assumed normoxic  $T_{1a}$  and vasoconstrictive effects can be of significance for future studies. More work, however, is warranted to thoroughly characterize the dependence of CBF changes for different hyperoxic stimulation durations and inspired O<sub>2</sub> content. The  $T_{1a,HH}$  value calculated here should provide a reference for future calibrated BOLD fMRI, VASO and ASL-based CVR experiments using hyperoxic gas mixtures.

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## List of Abbreviations

<b>M</b>	difference magnetization
<b>ACA</b>	anterior cerebral artery
<b>ASL</b>	arterial spin labeling
<b>BOLD</b>	blood oxygenation level-dependent
<b>CBF</b>	cerebral blood flow
<b>CBV</b>	cerebral blood volume
<b>CTA</b>	computerized tomography angiography
<b>CVR</b>	cerebrovascular reactivity
<b>DSA</b>	digital subtraction angiography
<b>EtCO<sub>2</sub></b>	end-tidal CO <sub>2</sub>
<b>FLIRT</b>	FMRIB’s Linear Image Registration Tool
<b>FMRIB</b>	Functional MRI of the Brain Centre

<b>FSL</b>	FMRIB Software Library
<b>Hct</b>	Hematocrit
<b>HH</b>	hypercapnic hyperoxia
<b>HN</b>	hypercapnic normoxia
<b>IC</b>	intracranial
<b>ICA</b>	internal carotid artery
<b>ISMIRM</b>	International Society for Magnetic Resonance in Medicine
<b>MCA</b>	middle cerebral artery
<b>MNI</b>	Montreal Neurological Institute
<b>MRA</b>	magnetic resonance angiography
<b>NASCET</b>	North American Symptomatic Carotid Endarterectomy Trial
<b>PaO<sub>2</sub></b>	arterial partial pressure of oxygen
<b>PCA</b>	posterior cerebral artery
<b>pCASL</b>	pseudo-continuous arterial spin labeling
<b>PET</b>	positron emission tomography
<b>PLD</b>	post-labeling delay
<b>pO<sub>2</sub></b>	partial pressure of oxygen
<b>PvO<sub>2</sub></b>	partial pressure of venous oxygen
<b>RA</b>	room air
<b>SNR</b>	signal to noise ratio
<b>VASO</b>	vascular space occupancy
<b>Y<sub>a</sub></b>	arterial oxygen saturation fraction
<b>Y<sub>v</sub></b>	venous oxygen saturation fraction

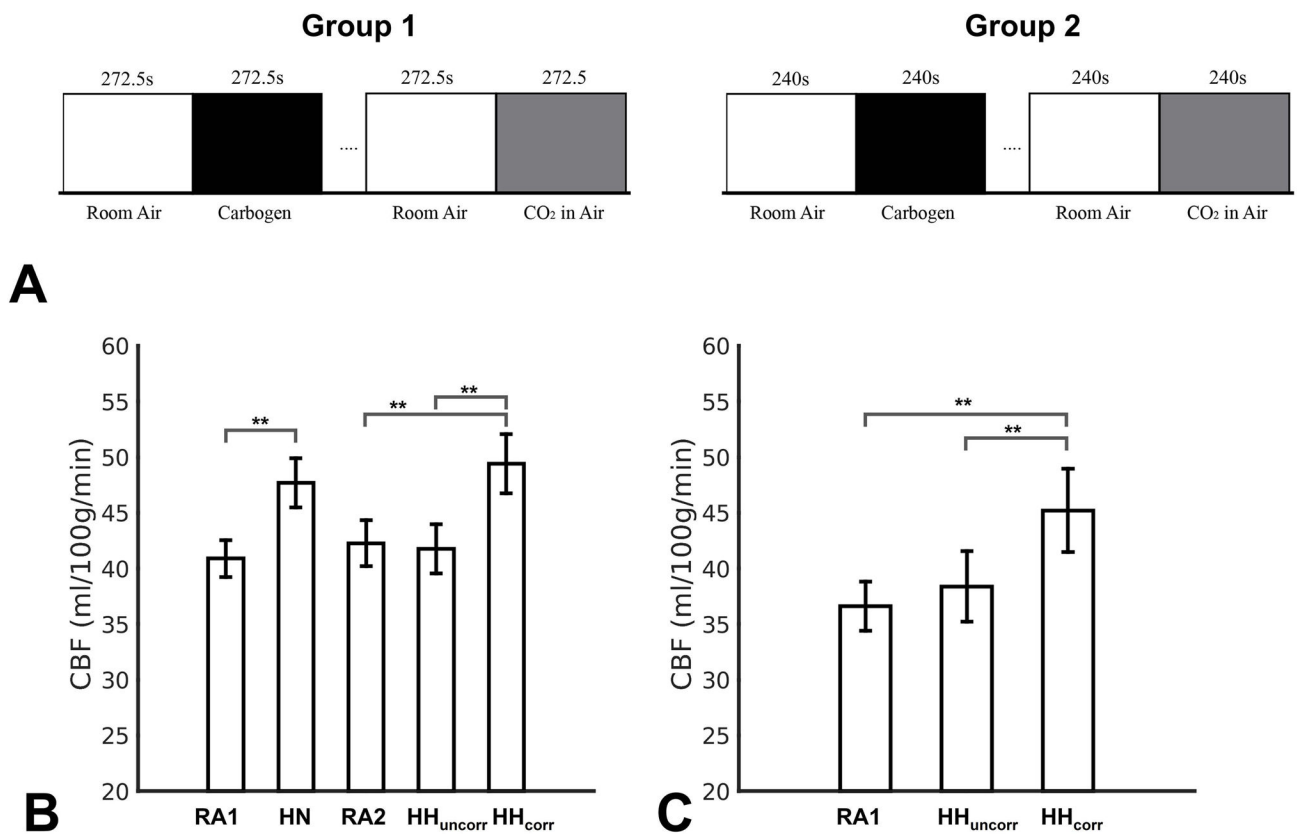
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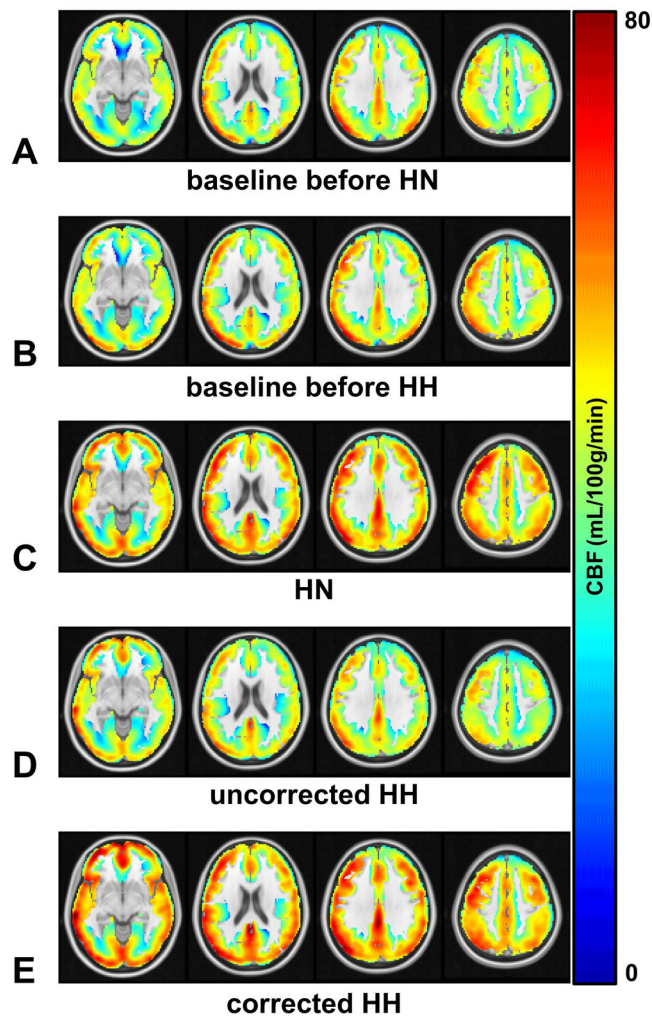
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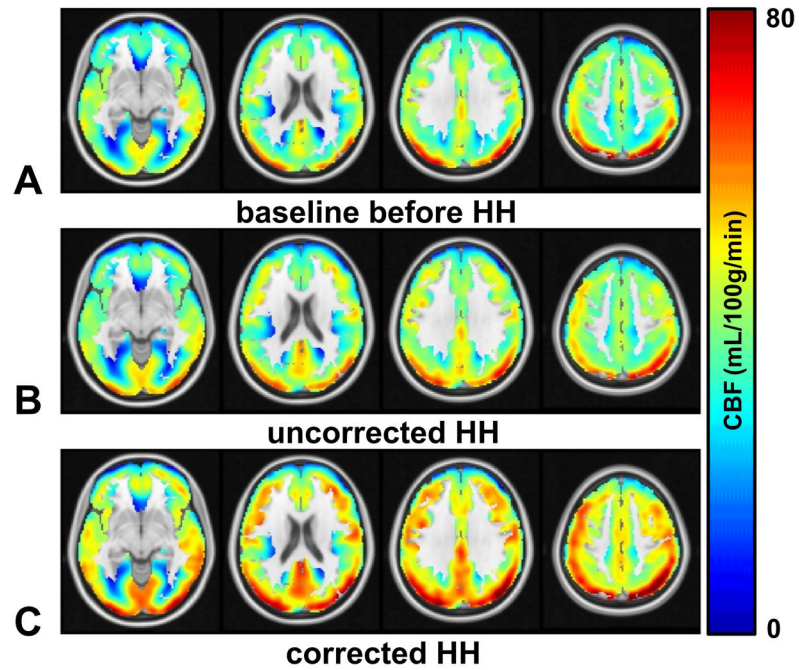
**Figure 1.**

(A) Experimental paradigm. Each 272.5s (group1, left) and 240s (group2, right) presentation of a gas mixture (e.g., room air, carbogen or CO<sub>2</sub> in air) was a stimulus block. The presentation order of the scanning blocks (e.g., Room air + hypercarbic stimulus block) was randomized across healthy participants, where the space between scanning blocks signifies monitored time for the participant's heart rate,  $Y_a$ , and EtCO<sub>2</sub> to return to baseline. Mean CBF values for healthy participants (B) and patients (C) for different gas mixtures: room air (RA1) before 5%CO<sub>2</sub> in air (HN), room air (RA2) before carbogen-5 (HH). HH<sub>uncorr</sub> and HH<sub>corr</sub> are the CBF values for HH condition using a normoxic  $T_{1a,RA}$  (1.65s) and hyperoxic  $T_{1a,HH}$  (1.49s) obtained from the fitting procedure. Error bars denote the s.e.m. (n=14 control volunteers and n=10 steno-occlusive disease patients). \*\* denotes significant differences ( $p < 0.025$ ) for a paired Student's t-test.

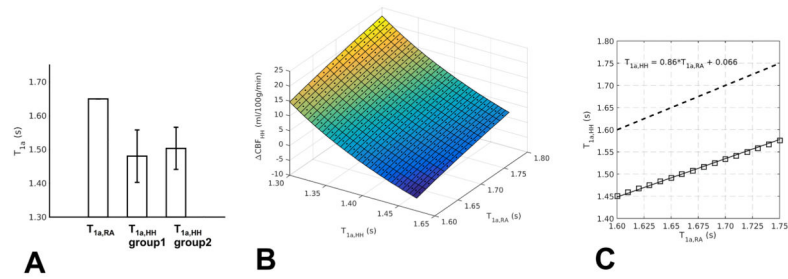


**Figure 2.** Mean CBF maps (ml/100g tissue/min) for healthy participants during (A) baseline before 5%CO<sub>2</sub> in air (HN), (B) baseline before carbogen-5 (HH), (C) 5%CO<sub>2</sub> in air (HN), (D) uncorrected carbogen-5 (HH),  $T_{1a,HH} = T_{1a,RA} = 1.65s$ , and (E) corrected carbogen-5 (HH), calculated  $T_{1a,HH} = 1.49s$ .



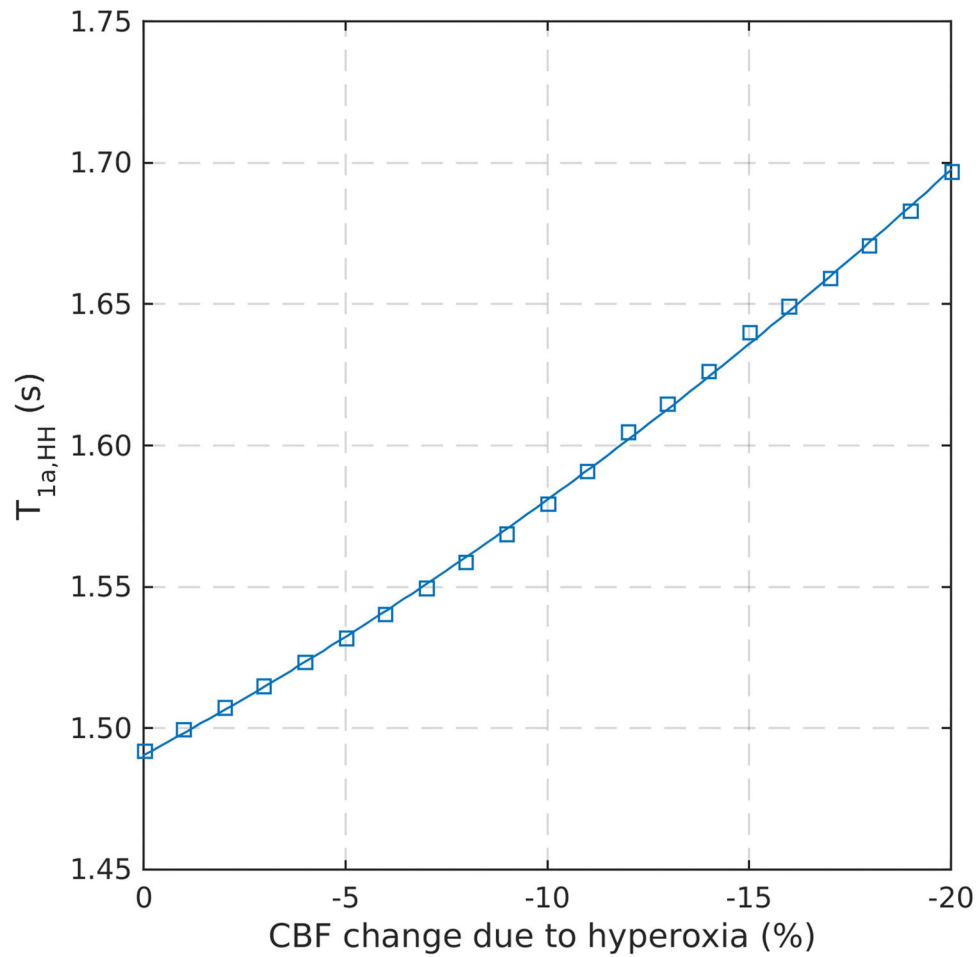


**Figure 3.** Mean CBF maps (ml/100g tissue/min) for patients during (A) baseline before carbogen-5 (HH), (B) uncorrected carbogen-5 (HH),  $T_{1a,HH} = T_{1a,RA} = 1.65s$ , and (C) corrected carbogen-5 (HH), calculated  $T_{1a,HH} = 1.49s$ . Owing to multiple vessels with steno-occlusive disease, patients have not been oriented by flow-limiting hemisphere.



**Figure 4.**

$T_{1a,HH}$  calculation results. **(A)** Reduced  $T_{1a,HH}$  was found for both groups as compared to the normoxic condition (left bar,  $T_{1a,RA} = 1.65s$ ); 1.48s and 1.50s for group 1 and group 2, respectively, yielding a combined result of  $T_{1a,HH} = 1.49s$ . No significant difference in  $T_{1a,HH}$  was found between group 1 and group 2. Error bars denote the s.d. **(B)** Dependency of  $\Delta CBF_{HH}$  ( $CBF_{HH} - CBF_{RA}$ ) for different calculated hyperoxic  $T_{1a,HH}$  and assumed normoxic  $T_{1a,RA}$  values. **(C)** Dependency of the calculated  $T_{1a,HH}$  for different assumed normoxic  $T_{1a,RA}$  values.



**Figure 5.** Simulation demonstrating the effect of small hyperoxic-induced vasoconstriction (0 – 20% CBF reduction) on the arterial blood  $T_{1a,HH}$  calculations. Note that for 0% CBF reduction due to hyperoxia the calculated  $T_{1a,HH} = 1.49\text{s}$  as shown in Figure 4A. For larger potential vasoconstrictive effects due to hyperoxia (more CBF reduction) the calculated  $T_{1a,HH}$  will increase accordingly. For a CBF reduction of ~16% the  $T_{1a,HH}$  approaches the normoxic value  $T_{1a,RA} = 1.65\text{s}$ , which is the same percentage difference between the corrected and uncorrected carbogen-5 (HH) CBF values in Figure 1A as expected.

Table 1

Summary of All Data from Patients

Patient ID	Age (yrs)	Sex	Stenosis Location (% stenosis)	Room Air CBF (ml/100g tissue/min)	Carbogen-5 CBF (ml/100g tissue/min) Uncorrected ( $T_{Ia}$ = 1.65s)	Carbogen-5 CBF (ml/100g tissue/min) Corrected ( $T_{Ia}$ = 1.49s)
1	72	M	Right Paraclinoid ICA (53%); Right IC vertebral (67%)	48.1 ± 19.5	52.1 ± 22.2	61.4 ± 26.2
2	66	M	Right MCA (28%); Left MCA (59%); Right IC vertebral (57%)	32.2 ± 15.7	34.8 ± 16.5	41.0 ± 19.5
3	82	F	Left MCA (33%); Right MCA (65%)	26.4 ± 15.3	31.1 ± 14.6	36.7 ± 17.3
4	64	M	Left Paraclinoid ICA (33%); Right cervical vertebral (68%)	31.0 ± 10.5	27.7 ± 10.9	32.6 ± 12.8
5	72	F	Right MCA (67%)	35.3 ± 12.4	37.1 ± 13.0	43.8 ± 15.4
6	73	F	Right MCA (73%); Left MCA (46%)	44.6 ± 14.4	42.8 ± 17.1	50.3 ± 20.2
7	38	F	Left MCA (68%)	49.7 ± 21.1	64.1 ± 25.4	75.6 ± 30.1
8	62	M	Left ICA (100%); Right cervical vertebral (80%)	30.9 ± 18.2	26.5 ± 15.4	31.2 ± 18.1
9	51	M	Right MCA (79%)	29.4 ± 11.5	30.1 ± 11.5	35.5 ± 13.6
10	69	M	Right MCA (99%); Right ICA (99%); Right cervical vertebral (76%)	38.6 ± 13.0	37.4 ± 13.9	44.0 ± 16.3
Mean	61.4 ± 13.3			36.6 ± 8.3	38.4 ± 11.8	45.2 ± 13.9