



Published in final edited form as:

*Arterioscler Thromb Vasc Biol.* 2015 November ; 35(11): 2316–2325. doi:10.1161/ATVBAHA.115.306171.

## Deficiency of HIF1alpha in antigen-presenting cells aggravates atherosclerosis and type 1 T helper cell responses in mice

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### Abstract

**Objective**—Although immune responses drive the pathogenesis of atherosclerosis, mechanisms that control antigen-presenting cell (APC)-mediated immune activation in atherosclerosis remain elusive. We here investigated the function of hypoxia-inducible factor (HIF)-1 $\alpha$  in antigen presenting cells in atherosclerosis.

**Approach and Results**—We found upregulated HIF1 $\alpha$  expression in CD11c<sup>+</sup> APCs within atherosclerotic plaques of low-density lipoprotein receptor-deficient (*Ldlr*<sup>-/-</sup>) mice. Conditional deletion of *Hif1a* in CD11c<sup>+</sup> APCs in high fat diet-fed *Ldlr*<sup>-/-</sup> mice accelerated atherosclerotic plaque formation and increased lesional T cell infiltrates, revealing a protective role of this transcription factor. HIF1 $\alpha$  directly controls *Stat3* transcription, and a reduced STAT3 expression was found in HIF1 $\alpha$ -deficient APCs and aortic tissue, together with an upregulated IL-12 expression and expansion of Th1 cells. Overexpression of STAT3 in *Hif1a*-deficient APCs in bone marrow reversed enhanced atherosclerotic lesion formation and reduced Th1 cell-expansion in chimeric *Ldlr*<sup>-/-</sup> mice. Notably, deletion of *Hif1a* in LysM<sup>+</sup> bone marrow cells in *Ldlr*<sup>-/-</sup> mice

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**Disclosures**  
None.

did not affect lesion formation or T cell activation. In human atherosclerotic lesions, HIF1 $\alpha$ , STAT3 and IL-12 protein were found to co-localize with APCs.

**Conclusions**—Our findings identify HIF1 $\alpha$  to antagonize APC-activation and Th1-polarization during atherogenesis in *Ldlr*<sup>-/-</sup> mice, and to attenuate the progression of atherosclerosis. These data substantiate the critical role of APCs in controlling immune mechanisms that drive atherosclerotic lesion development.

### Keywords

atherosclerosis; inflammation; immune cells; leukocytes

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## Introduction

Atherosclerosis is a chronic and systemic inflammatory disease characterized by the accumulation of immune cells in the vessel wall.<sup>1, 2</sup> Dendritic cells (DCs) localize to the intima and adventitia in healthy arteries in regions predisposed to atherosclerosis and accumulate in atherosclerotic lesions.<sup>3, 4</sup> DCs are increasingly regarded to play important roles in immune mechanisms governing atherogenesis.<sup>4-6</sup> Both local and systemic adaptive immune responses control atherogenesis, and pro- and anti-atherogenic CD4<sup>+</sup> T helper cell subsets and their cytokines have been defined.<sup>7</sup> In particular, CD4<sup>+</sup> type 1 T helper cells (Th1) and their cytokine IFN- $\gamma$  promote atherosclerosis, whereas regulatory T cells (Tregs) inhibit vascular inflammation.<sup>7, 8</sup> The function of the Th17 subtype is still unclear, as contradicting reports have been published.<sup>7</sup>

The Hypoxia-inducible factor (HIF)-1 $\alpha$  is among the primary transcription factors induced under hypoxic conditions, but can also be upregulated by inflammatory stimuli, such as oxLDL and TNF $\alpha$  in normoxia.<sup>9</sup> In addition to regulating cell responses to hypoxia, e.g. glycolysis, and angiogenesis, HIF1 $\alpha$  was identified to modulate adaptive and innate immune responses.<sup>10</sup>

Due to the high metabolic activity of inflammatory cells within lesions, and the reduced availability of oxygen in deeper plaque areas, atherosclerotic lesions harbor areas of hypoxia<sup>11</sup>, and HIF-1 $\alpha$  can be detected in atherosclerotic lesions in both mice and humans.<sup>11-14</sup> The direct cell-specific role of HIF1 $\alpha$  in atherosclerosis *in vivo*, however, has not been addressed previously.

We here investigated the function of HIF1 $\alpha$  in atherosclerosis in antigen-presenting cells (APCs). By deleting *Hif1a* specifically in CD11c<sup>+</sup> cells, we here for the first time reveal a critical role of HIF1 $\alpha$  in balancing APC-driven Th1-polarization during atherogenesis in *Ldlr*<sup>-/-</sup> mice, and to attenuate the progression of atherosclerosis in low-density lipoprotein receptor-deficient (*Ldlr*<sup>-/-</sup>) mice.

## Materials and Methods

Materials and Methods are available online.

## Results

### HIF1 $\alpha$ expression in atherosclerosis

Hypoxic areas, as well as *Hif1a* expression have previously been demonstrated in human and murine atherosclerotic lesions.<sup>11, 14</sup> Consistent with this, we detected hypoxic regions in atherosclerotic plaques in aortic roots of *Ldlr*<sup>-/-</sup> mice fed a HFD diet for 8 weeks (Figure 1a) but not in healthy 6 week old *Ldlr*<sup>-/-</sup> mice on normal chow by Hypoxyprobe staining (not shown); no staining was seen in *Ldlr*<sup>-/-</sup> mice without Hypoxyprobe performed as a negative control (Figure 1a). Likewise, *Hif1a* mRNA and protein expression were significantly upregulated in aortae of atherosclerotic *Ldlr*<sup>-/-</sup> mice compared to healthy *Ldlr*<sup>-/-</sup> controls (Figure 1b,c). Double immunofluorescence staining revealed abundant expression of HIF1 $\alpha$  protein within lesions (Figure 1d), and the majority of CD11c<sup>+</sup> cells showed co-localization with HIF1 $\alpha$  (Figure 1d), indicating HIF1 $\alpha$  expression in lesional APCs. Moreover, increased expression of *Hif1a* mRNA was observed in splenic APCs from atherosclerotic *Ldlr*<sup>-/-</sup> mice (Figure 1e), indicating systemic upregulation of HIF1 $\alpha$  in addition to localized effects in aortic lesions.

### Targeted deletion of *Hif1a* in CD11c<sup>+</sup> APCs accelerates atherosclerotic lesion formation

To address the function of HIF1 $\alpha$  in APCs, mice with a CD11c-specific deletion of *Hif1a* were generated, as confirmed by a marked deletion of *Hif1a* DNA (~80%) in isolated CD11c-cre<sup>+</sup> *Hif1a*<sup>flox/flox</sup>*Ldlr*<sup>-/-</sup> (*Hif1a*-CKO *Ldlr*<sup>-/-</sup>) compared with CD11c-cre<sup>+</sup>*Hif1a*<sup>+/+</sup>*Ldlr*<sup>-/-</sup> (*Hif1a*-WT *Ldlr*<sup>-/-</sup>) APCs. In CD4<sup>+</sup> T cells only a marginal reduction was observed (~5%) (Supplementary Figure 1a). Likewise, *Hif1a* mRNA expression was reduced in isolated *Hif1a*-CKO *Ldlr*<sup>-/-</sup> APCs and *Hif1a*-CKO BMDCs<sup>15</sup> compared to controls (Supplementary Figure 1b,c).

To study atherosclerotic lesion formation<sup>15</sup>, *Hif1a*-WT *Ldlr*<sup>-/-</sup> and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice were placed on a HFD for 8 weeks. Body weight (28.9 $\pm$ 0.7 vs. 30.3 $\pm$ 1.3 g), serum total cholesterol (12.7 $\pm$ 1.0 vs. 12.9 $\pm$ 1.8  $\times$  1000  $\mu$ g/ml) and triglyceride levels (6.1 $\pm$ 0.2 vs. 5.6 $\pm$ 0.1 mmol/L) did not differ between *Hif1a*-WT *Ldlr*<sup>-/-</sup> and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice. We observed a 2.3-fold increase in atherosclerotic plaque growth in the aortic root and a 1.6-fold increase in the aorta of *Hif1a*-CKO *Ldlr*<sup>-/-</sup> compared to *Hif1a*-WT *Ldlr*<sup>-/-</sup> mice (Figure 2a,b). Plaque cell density was unaltered between groups (4420 $\pm$ 241.6 vs. 3763 $\pm$ 382.6 cells/mm<sup>2</sup> plaque area in *Hif1a*-CKO *Ldlr*<sup>-/-</sup> vs. *Hif1a*-WT *Ldlr*<sup>-/-</sup> mice, n.s.), and no differences in plaque Mac-2<sup>+</sup> macrophages, SMC numbers or CD11c<sup>+</sup> APCs were detected (Figure 2c-e). A marked increase in relative necrotic core area was observed in plaques of *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice versus *Hif1a*-WT *Ldlr*<sup>-/-</sup> mice (33.0 $\pm$ 2.9 vs. 22.2 $\pm$ 1.7% plaque area, p=0.0029), in line with a more advanced plaque phenotype. Notably, a 1.8-fold increase in numbers of CD3<sup>+</sup> T cells was detected in lesions of *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice (Figure 2f), indicating that an enhanced accumulation of T cells within lesions was associated with an accelerated plaque growth due to deficiency of HIF1 $\alpha$  in APCs.

### HIF1 $\alpha$ -deficient APCs promote T cell activation and Th1 polarization in atherosclerosis

T cell activation and differentiation is governed by APCs, and drives atherosclerotic lesion development.<sup>4, 6, 15</sup> When analyzing T cells in LNs, blood and spleen of atherosclerotic

*Hif1a*-CKO *Ldlr*<sup>-/-</sup> compared to *Hif1a*-WT *Ldlr*<sup>-/-</sup> mice, increased frequencies of activated (CD44<sup>high</sup>CD62L<sup>low</sup>) CD4<sup>+</sup> T cells were observed in these organs. This was associated with an expansion of IFN $\gamma$ <sup>+</sup> Th1 cells in *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice. In contrast, naïve (CD44<sup>low</sup>CD62L<sup>high</sup>) CD4<sup>+</sup> T cell frequencies were decreased, and IL-17<sup>+</sup> Th17 or Foxp3<sup>+</sup>CD25<sup>+</sup> regulatory CD4<sup>+</sup> T cells showed no alterations (Figure 3c–f, Supplementary Figure II). No changes in CD3<sup>+</sup> T cell numbers, the ratio of CD4<sup>+</sup> and CD8<sup>+</sup> T cells and organ weight were observed (Figure 3a,b, Supplementary Figure II). Furthermore, there were no differences in CD115<sup>+</sup> monocytes or Gr1<sup>high</sup> and Gr1<sup>low</sup> monocyte subsets, CD11b<sup>+</sup>Gr1<sup>+</sup>CD115<sup>-</sup> neutrophils, CD11c<sup>+</sup>MHC-II<sup>+</sup> APCs or CD19<sup>+</sup> B cells in LNs, spleens and blood between groups (data not shown).

Importantly, no changes in T cell distribution and phenotype, numbers of CD11c<sup>+</sup> MHCII<sup>+</sup> APCs, neutrophils, monocytes, and B cells were observed in 6 week old healthy *Hif1a*-CKO versus *Hif1a*-WT mice on normal chow (Supplementary Figure III). These data suggest that, HIF1 $\alpha$  activation in APCs plays a crucial role in restraining T cell activation and Th1 cell differentiation under inflammatory conditions in atherosclerosis, while being dispensable under homeostatic conditions. Notably, an increased percentage of IFN $\gamma$ <sup>+</sup> CD4 T cells was also evidenced in LNs and spleens of *Hif1a*-CKO compared to *Hif1a*-WT mice after immunization with OVA protein as an artificial model antigen (Supplementary Figure IV), corroborating an important role of HIF1 $\alpha$  in controlling APC-driven Th1 T cell polarization also under systemic inflammatory conditions unrelated to atherosclerosis.

Macrophages and DCs share phenotypic features.<sup>16</sup> In particular, CD11c is expressed by both DCs and some macrophage subsets. To gain insight into the potential role of HIF1 $\alpha$  in macrophages versus DCs among total APCs, atherosclerotic lesion formation was also assessed in *Ldlr*<sup>-/-</sup> mice reconstituted with bone marrow of *LysM-cre*<sup>+</sup> *Hif1a*<sup>fllox/fllox</sup> mice.<sup>17</sup> Efficient deletion of *Hif1a* mRNA expression (~70–80%) was confirmed in bone-marrow derived *LysM-cre*<sup>+</sup> *Hif1a*<sup>fllox/fllox</sup> macrophages under normoxic and hypoxic conditions (Supplementary Figure Va). After 6 weeks of HFD, no differences in serum cholesterol, plaque size, and cellular plaque composition were noted. Moreover, no alterations in T cell distributions and activation were observed in spleens or LNs (Supplementary Figure Vb,c, Supplementary Table I). These data indicate that accelerated lesion formation in mice deficient in HIF1 $\alpha$  in CD11c<sup>+</sup> cells most likely originates from a defect in antigen-presenting and immune stimulatory functions.

An alternative approach furthermore supports the importance of HIF1 $\alpha$  in APCs, as untreated and TNF $\alpha$ -stimulated bone marrow derived macrophages<sup>18</sup> from *Hif1a*-CKO and *Hif1a*-WT mice did not display any consistent differences in pro-inflammatory *Il12*, *Nos2*, or anti-inflammatory *Mrc1* and *Igf1* mRNA expression (Supplementary Figure Vd).

### HIF1 $\alpha$ controls inflammatory IL-12 expression in APCs by regulating STAT3 expression

The migration of APCs is essential for efficient T cell activation and controlled by CCR7.<sup>19</sup> However, unchanged *Ccr7* expression in *Hif1a*-KO BMDCs or APCs from atherosclerotic *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice and BMDC migration towards CCL19 (Supplemental Figure VIa–c) point towards effects of HIF1 $\alpha$  unrelated to CCR7-driven APC migration.

T cell activation and T helper cell polarization are shaped by co-stimulatory molecule engagement and exposure to a specific cytokine milieu, with Th1 cells critically depending on IL-12 secretion from DCs.<sup>20, 21</sup> No significant changes in mRNA or surface protein expression of MHC-II, CD80 and CD86 were noted in TNF- $\alpha$  matured *Hif1a*-CKO versus *Hif1a*-WT BMDCs, as assessed by qPCR and flow cytometry (Supplementary Figure VIIa,c). However, a significant increase in the mRNA expression of *Il12* together with elevated IL-12 protein levels in supernatants of *Hif1a*-CKO BMDCs were observed, whereas *Il4*, *Il6*, *Il10*, *Tgfb* or *Tnfa* were unaltered (Supplementary Figure VIIIa,b).

HIF1 $\alpha$  has been shown to induce and to synergistically act with nuclear factor- $\kappa$ B (NF- $\kappa$ B).<sup>10</sup> However, *Nfkb1/p105* and *Rela/p65* transcript or protein expression (Supplementary Figure IXa, and data not shown) were similar in mature *Hif1a*-WT versus *Hif1a*-CKO BMDC.

STAT3 has been shown to inhibit IL-12 cytokine production in DCs.<sup>22, 23</sup> Notably, a significant reduction in *Stat3* mRNA and protein expression could be detected in mature *Hif1a*-CKO versus *Hif1a*-WT BMDCs, as assessed by qPCR and flow cytometry (Supplementary Figure VIIIc,d), suggesting that HIF1 $\alpha$ -dependent changes in STAT3 expression may regulate IL-12 production. Indeed, overexpression of STAT3 in BMDCs decreased *Il12* mRNA, whereas overexpression of a dominant negative form of STAT3 (mutant in the DNA-binding domain<sup>24</sup>) enhanced *Il12* expression independently of HIF1 $\alpha$ , as similarly observed in both *Hif1a*-WT and *Hif1a*-CKO BMDCs (Supplementary Figure VIIIe). Most importantly, ChIP assays demonstrated that HIF1 $\alpha$  directly interacts with predicted binding sites within the *Stat3* promoter (Supplementary Figure VIII f).

### HIF1 $\alpha$ controls inflammatory T cell responses in atherosclerosis

Importantly, HIF1 $\alpha$  also functions to promote STAT3 expression in APCs in atherosclerosis *in vivo*, as witnessed by a significant reduction in both *Stat3* transcript and protein levels in splenic APCs from atherosclerotic *Hif1a*-CKO *Ldlr*<sup>-/-</sup> versus *Hif1a*-WT *Ldlr*<sup>-/-</sup> mice (Figure 4a,b). Further recapitulating findings *in vitro*, no significant changes in *Cd74*, *Cd80*, *Cd86* and *Nfkb* mRNA expression were noted (Supplementary Figure VIIb, IXb), but a significant increase in *Il12* mRNA and IL-12 protein expression were observed in these APCs (Figure 4c,d).

We further assessed the propensity of splenic APCs isolated from atherosclerotic *Hif1a*-WT *Ldlr*<sup>-/-</sup> and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice to antigen-specifically activate OT-II T cells that express a T cell receptor specific for the model antigen OVA. While APCs of either genotype did not trigger noticeable activation of CFSE-labeled naïve CD4<sup>+</sup> OT-II T cells in the absence of cognate antigen (not shown), significantly increased rates of T cell proliferation and an expansion in IFN $\gamma$ -producing T cells were observed in co-cultures with OVA-loaded *Hif1a*-CKO *Ldlr*<sup>-/-</sup> compared to *Hif1a*-WT *Ldlr*<sup>-/-</sup> APCs (Figure 4e,f). In contrast, no alterations in IL-17<sup>+</sup> Th17 and Foxp3<sup>+</sup>CD25<sup>+</sup> regulatory CD4<sup>+</sup> T cells were detected (Figure 4f, and data not shown), congruent with the T cell phenotype observed in atherosclerotic *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice. These data clearly indicate that APC-intrinsic deficiency in HIF1 $\alpha$  promotes antigen-specific Th1-polarization. In line with known functions of IL-12 in T cell activation<sup>25</sup>, the presence of IL-12 blocking antibody

significantly reduced IFN $\gamma$ -producing T cell frequencies in co-cultures with *Hif1a*-CKO *Ldlr*<sup>-/-</sup> APCs (not shown).

Notably, a significant decrease in *Stat3* mRNA expression was observed in aortic tissue and in STAT3 protein levels in lesional APCs of atherosclerotic *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice, associated with a significant increase in *Il12* and *Ifng* transcript expression (Figure 4g,h). Furthermore, an increased frequency of IFN $\gamma$ -producing T cells among CD4<sup>+</sup> T cells was observed in the aorta of *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice (Figure 4i), suggesting that HIF1 $\alpha$  may also control APC functions within lesions.

### HIF1 $\alpha$ -deficient APCs promote atherosclerosis due to reduced STAT3 expression

To further confirm that *Hif1a*-CKO APCs promote atherosclerosis in a STAT3-dependent manner, we used a Cre-dependent system for STAT3 expression (pLB2-Ubi-FLIP<sup>26</sup>). We generated a vector in which *Stat3* cDNA was cloned in the reverse orientation and flanked by inverted loxP sequences, such that Cre-induced recombination irreversibly flipped *Stat3* to a sense orientation, resulting in expression of STAT3 under the ubiquitin promoter in all Cre-expressing cells. The specificity of the Cre system was validated *in vitro* (Supplementary Figure Xa). Transduction of BM cells from *Cd11c*-cre<sup>+</sup> mice with lentivirus<sup>27</sup> carrying the pLB2-Ubi-FLIP-STAT3 vector, but not an empty control vector, confirmed significantly elevated *Stat3* mRNA expression in differentiated BMDCs after 7 days (Supplementary Figure Xb).

*Hif1a*-WT and *Hif1a*-CKO BM cells were transduced with lentivirus containing control or pLB2-Ubi-FLIP-STAT3 vector and transplanted into lethally irradiated *Ldlr*<sup>-/-</sup> mice. Notably, increased lesion formation in *Ldlr*<sup>-/-</sup> mice carrying control virus-transduced *Hif1a*-CKO BM (CKO-BM+Ctrl-virus $\rightarrow$ *Ldlr*<sup>-/-</sup>) versus *Hif1a*-WT BM (WT-BM+Ctrl-virus $\rightarrow$ *Ldlr*<sup>-/-</sup>) in the aortic root and aorta was completely prevented by transduction with the pLB2-Ubi-FLIP-STAT3 vector (CKO-BM+STAT3-virus $\rightarrow$ *Ldlr*<sup>-/-</sup>) after 4 weeks of HFD, and similar to levels seen in WT-BM+STAT3-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> mice (Figure 5a-c). Moreover, this was paralleled by a reduction of the elevated total numbers of T cells in the aorta, and an abrogation of increased frequencies of IFN $\gamma$ <sup>+</sup> CD4<sup>+</sup> T cells in spleens of these mice (Figure 5d, e), clearly indicating that diminished STAT3 entails pro-atherogenic effects of Hif1 $\alpha$ -deficiency in APCs. WT-BM+Stat3-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> mice displayed a reduction in atherosclerotic lesion formation in the aortic root but not in the aorta, no changes in aortic T cell frequencies and a small trend towards decreased Th1 cell-responses in the spleen when compared to WT-BM+Ctrl-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> mice (Figure 5a,b), suggesting that prevailing actions of natural HIF $\alpha$  on STAT3 expression in WT APCs dampen effects of an additional overexpression in atherosclerosis. Splenic APC isolated from WT-BM+STAT3-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> and CKO-BM+STAT3-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> mice displayed an enhanced expression of *Stat3* when compared to WT-BM+Ctrl-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> or CKO-BM+Ctrl-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> mice (Supplementary Figure Xc), confirming overexpression of *Stat3* in APCs *in vivo*.

## Expression of HIF1 $\alpha$ , STAT3 and IL-12 in APCs in human atherosclerotic lesions

To finally assess whether these mechanisms may also be relevant to human disease, immunostaining of human atherosclerotic carotid artery plaques was performed. Similar to findings in mice and previous reports<sup>11–14</sup>, we detected hypoxia and abundant HIF1 $\alpha$  protein expression in atherosclerotic carotid artery plaque tissue (Figure 6a). Co-staining for the APC markers S100 or CD11c revealed that the majority of APCs were hypoxic and expressed HIF1 $\alpha$ , respectively (Figure 6a–c). We furthermore could detect both STAT3 and IL-12 protein in co-localization with S100<sup>+</sup> APCs (Figure 6a). These data indicate that APCs express HIF1 $\alpha$ , STAT3 and IL-12 in human atherosclerotic lesions. In addition, RT-PCR analyses of atherosclerotic plaques obtained from patients with high-grade carotid artery stenosis, histologically classified as early and advanced stages of atherosclerosis, demonstrated increased *HIF-1 $\alpha$*  and *STAT3* mRNA expression, but decreased *IL12* transcript expression in advanced compared to early lesions (Figure 6d).

## Discussion

Although T cell responses and in particular Th1-mediated immunity drive atherosclerotic lesion formation<sup>8</sup>, the pathways in APCs that control T cell activation remain largely elusive. The transcription factor HIF1 $\alpha$  is known to modulate immune responses<sup>10</sup> and to be found in atherosclerotic plaques.<sup>11–14</sup> We here unveil that HIF-1 $\alpha$  expression is upregulated in CD11c<sup>+</sup> APCs in atherosclerotic *Ldlr*<sup>-/-</sup> mice. In order to assess the (patho-) physiological relevance of HIF-1 $\alpha$  in this cell type, we used CKO mice with a deletion of *Hif1a* in CD11c<sup>+</sup> APCs in *Ldlr*<sup>-/-</sup> mice. Importantly, an accelerated atherosclerotic lesion formation was observed in mice deficient in HIF-1 $\alpha$  in APCs, together with an expansion of pro-inflammatory Th1 cells both locally within lesions, but also systemically, indicating that APC-expressed HIF-1 $\alpha$  is of paramount importance in balancing uncontrolled Th1 cell responses and atherosclerosis in *Ldlr*<sup>-/-</sup> mice. Mechanistically, we could demonstrate that HIF1 $\alpha$  directly binds the *Stat3* promoter to control its transcription. Overexpression of *Stat3* in *Hif1a*-deficient APCs in bone marrow reversed enhanced atherosclerotic lesion formation and reduced Th1 cell-expansion in chimeric *Ldlr*<sup>-/-</sup> mice. These findings offer unique insight into the regulatory function of HIF1 $\alpha$  in APCs (Supplementary Figure XI), and substantiate the critical role of APCs in controlling immune mechanisms that drive atherogenesis.

In humans and apolipoprotein E-deficient mice, HIF1 $\alpha$  expression was detected in atherosclerotic lesions and to increase from early to stable lesions.<sup>11,13, 14</sup> In line, we were able to detect an upregulation of HIF1 $\alpha$  expression in atherosclerotic aortae and aortic roots of *Ldlr*<sup>-/-</sup> mice when compared to healthy controls, and in advanced versus early human atherosclerotic lesions. Expressed in many cell types, HIF1 $\alpha$  was also found to co-localize with hypoxic CD11c<sup>+</sup> cells within lesions in both mice and humans.

Limited evidence on the role of HIF1 $\alpha$  in atherosclerotic lesion formation exists. Mice lacking HIF1 $\alpha$  in CD4<sup>+</sup> T cells were previously shown to display increased T cell activation, associated with an augmented neointimal femoral artery hyperplasia after cuff placement.<sup>28</sup> Systemic hydrodynamic injection of plasmids encoding constitutively active *Hif1a* into *ApoE*<sup>-/-</sup> mice, resulting in HIF1 $\alpha$  overexpression predominantly in CD4<sup>+</sup> T cells, lead to a

reduction in lesion formation, associated with a shift towards an anti-inflammatory cytokine expression profile in CD4<sup>+</sup> T cells.<sup>29</sup> However, in contrast to these studies, which would be consistent with an induction of Foxp3 and Tregs by HIF1 $\alpha$ <sup>30</sup> and the demonstration of a protective function of Tregs in atherosclerosis,<sup>8</sup> deficiency in HIF1 $\alpha$  was more recently shown to diminish Th17 but to enhance Treg development in CD4<sup>+</sup> T cells.<sup>31</sup>

In macrophages, HIF1 $\alpha$  has been described to be critical for maintaining intracellular energy homeostasis, and *Hif1a*-deficient LysM-cre<sup>+</sup> macrophages were shown to display normal cytokine production but an abrogated migratory capacity, preventing skin infiltration and inflammation.<sup>17</sup> However, a reduced production of pro-inflammatory cytokines was demonstrated in *Hif1a*-deficient LysM-cre<sup>+</sup> macrophages in response to LPS, together with a protection from LPS-induced sepsis.<sup>32</sup> In the context of atherosclerosis, HIF1 $\alpha$  was suggested to exert pro-atherogenic functions in cultured macrophages by promoting cholesterol accumulation.<sup>14</sup> Variable effects of hypoxia-induced HIF1 $\alpha$  expression have also been shown in DCs. For instance, a reduction in costimulatory molecule expression and of the stimulatory capacity for T-cell functions was observed in one study whereas increased expression of costimulatory molecules and an induction of allogeneic lymphocyte proliferation in response to LPS was noted in another report *in vitro*, whereas both studies described an up-regulated production of proinflammatory cytokines<sup>33, 34</sup>. Prior to our study, the direct *in vivo* role of HIF1 $\alpha$  in APCs in atherosclerosis had not been addressed.

We here deleted *Hif1a* specifically in CD11c<sup>+</sup> APCs, allowing a definite assessment of its role under physiological conditions and in atherosclerosis *in vivo*. APCs differentiated normally with no differences in their numbers or maturation in *Hif1a*-deficient mice. Moreover, no differences in APC phenotype and T cell activation were noted in young, healthy mice, indicating that HIF1 $\alpha$  plays a subordinate role in maintaining homeostatic APC functions. In atherosclerotic *Ldlr*<sup>-/-</sup> mice, however, a significant increase in IL-12 was observed in *Hif1a*-deficient APCs, whereas other cytokines and the expression of MHC-II and co-stimulatory molecules were unaltered. Moreover, an enhanced activation of CD4<sup>+</sup> T cells and increased frequencies of Th1 cells were observed in *Hif1a*-CKO *Ldlr*<sup>-/-</sup> versus *Hif1a*-WT *Ldlr*<sup>-/-</sup> mice *in vivo* in the aorta and spleen, and in co-cultures with *Hif1a*-deficient APCs isolated from atherosclerotic *Ldlr*<sup>-/-</sup> mice and loaded with OVA as a model antigen *in vitro*. These data suggest that *Hif1a*-deficiency in APCs drives T cell activation and Th1-differentiation, and that the effects of HIF1 $\alpha$  deficiency are systemic.

Hypoxia can frequently be detected in atherosclerotic plaques. Hypoxyprobe (pimonidazole) is metabolized in living cells experiencing oxygen levels below 10mmHg (~1% O<sub>2</sub>). Cells positive for pimonidazole are thus viable and hypoxic, but do not experience a total lack of oxygen (anoxia). Both the thickness of the plaque exceeding the maximum oxygen diffusion distance, and more importantly, the high metabolic demand of cells within chronically inflamed tissue contribute to plaque hypoxia also within the oxygen-diffusion limit in symptomatic patients, rabbits and mice.<sup>11, 12, 35</sup> In line, we detected hypoxic regions in atherosclerotic lesions of *Ldlr*<sup>-/-</sup> mice in luminal and intramural plaque cells. In addition to hypoxia, however, HIF1 $\alpha$  expression can also be triggered and potentiated by oxLDL, lipopolysaccharides and pro-inflammatory cytokines<sup>9, 36, 37</sup>. Hence, HIF1 $\alpha$  expression in hypoxic vascular APCs, known to ingest lipids and to be exposed to cytokines<sup>4</sup>, may arise



from a combination of these factors. Likewise, increased HIF1 $\alpha$  in splenic APCs may have been activated by systemically increased lipid mediators or atherogenic cytokines, possibly in combination with relative hypoxia due to higher oxygen consumption under conditions of splenic inflammation. In this regard it is interesting that similar changes in Th1-polarization were observed upon systemic immunization with OVA in otherwise healthy CKO mice, providing further evidence that HIF1 $\alpha$  controls APC-driven T cell responses in inflammation also unrelated to atherosclerosis.

Increased atherosclerotic lesion size in *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice was accompanied by an increased necrotic core area. It was recently shown that silencing of HIF1 $\alpha$  provokes a loss in viability with increased rates of apoptosis and necrosis in cultured human macrophages, potentiated in the presence of oxLDL or under hypoxic conditions.<sup>38</sup> Although the potential impact of reduced monocyte/macrophage viability in atherosclerotic plaques is unclear and may depend on plaque stage, an increased apoptosis/necrosis of HIF1 $\alpha$ -deficient APCs may have contributed to the expansion of the necrotic core in our model, warranting further investigations of this mechanism and its impact on atherogenesis in the future.

Notably, *Ldlr*<sup>-/-</sup> mice carrying *LysM-cre*<sup>+</sup> *Hif1a*<sup>fllox/fllox</sup> bone marrow did not display any differences in plaque size or T cell activation. This may appear counterintuitive as a substantial proportion of CD11c<sup>+</sup> APCs, e.g. monocyte-derived DCs and CD11c<sup>+</sup> macrophages, would also lose expression of HIF1 $\alpha$  in this model. However, HIF1 $\alpha$  may have pro-atherogenic functions in CD11c<sup>-</sup> myeloid cell subsets that promote atherosclerosis, such as Ly6C<sup>high</sup> monocytes<sup>2</sup>, macrophages and neutrophils<sup>39</sup> that contrast with its protective role in CD11c<sup>+</sup> APCs. For instance, several reports have described a pro-inflammatory function of HIF1 $\alpha$  in neutrophils<sup>17, 40</sup> or macrophages.<sup>38</sup> Hence, the loss of protective HIF1 $\alpha$  signaling in some CD11c<sup>+</sup> cells may have been counterbalanced by the loss of its pro-atherogenic functions in other cell types in *LysM-cre*<sup>+</sup> *Hif1a*<sup>fllox/fllox</sup> mice. Alternatively, the phenotype observed in *CD11c-cre*<sup>+</sup> *Hif1a*<sup>fllox/fllox</sup> mice may be preferentially related to atheroprotective functions of HIF1 $\alpha$  in classical DCs. In the future, lineage-specific deletion of HIF1 $\alpha$  in novel models may provide a clearer picture of its role in these various cell populations.

We did not detect any alterations in NF- $\kappa$ B, IKK $\alpha$  or I $\kappa$ B $\alpha$  expression in APCs deficient in HIF1 $\alpha$ , similar to *Hif1a*-deficient *LysM-cre*<sup>+</sup> macrophages,<sup>32</sup> indicating that the deletion of *Hif1a* does not directly affect the NF- $\kappa$ B pathway *per se*. In agreement with the identification of binding sites *in silico*, ChIP analyses demonstrated direct binding of HIF1 $\alpha$  to the *Stat3* promoter, and *Hif1a*-deficient APCs to display a reduction in *Stat3* mRNA and protein expression. These findings for the first time reveal HIF1 $\alpha$  as an important regulator of STAT3 expression. Interestingly, STAT3 is known to exert immune-suppressive and anti-inflammatory functions in myeloid cells,<sup>41</sup> and mice with *Stat3*-deficient APCs were previously shown to produce significantly more IL-12 in response to LPS, associated with an increased capacity to stimulate T cell proliferation and IFN $\gamma$  secretion.<sup>22</sup> Accordingly, overexpression of STAT3 reduced *Il12* transcript levels in BMDCs, whereas a dominant negative mutant of STAT3 elevated *Il12* expression, corroborating evidence that STAT3 interferes with *Il12* transcription.<sup>22, 42</sup> Importantly, these effects occurred down-stream of HIF1 $\alpha$ , as also evidenced in *Hif1a*-deficient APCs. Lentiviral transduction of *Hif1a*-CKO

BM with overexpression of STAT3 in APCs reversed the enhanced atherosclerotic lesion formation, decreased T cell infiltrates and reduced Th1-cell polarization in chimeric *Ldlr*<sup>-/-</sup> mice, confirming that increased levels of HIF1 $\alpha$  and STAT3 in APCs are pivotal in controlling atherosclerotic plaque formation. In line with a clear but non-significant trend towards increased STAT3 expression in splenic APCs, marginal effects on plaque size and unaffected aortic T cell accumulation and Th1 cell responses were observed in WT-BM +Ctrl-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> vs. WT-BM+STAT3-virus $\rightarrow$ *Ldlr*<sup>-/-</sup> mice. This may indicate that reduced STAT3 availability in CKO APCs rather than its additional supplementation in WT APCs that already inherently display increased HIF1 $\alpha$  and STAT3 levels in atherosclerosis determines disease development in this setting.

Interestingly, human APCs exposed to hypoxia that display increased levels of HIF1 $\alpha$  showed a reduced secretion of IL-12<sup>43</sup> and induced lower T cell IFN $\gamma$ -production,<sup>34, 43</sup> suggesting an HIF1 $\alpha$ -triggered pathway restraining Th1 responses in human APCs. Notably, extending previous findings describing the presence of hypoxia and HIF1 $\alpha$  in human atherosclerotic lesions,<sup>11-14</sup> we here demonstrate that APCs express HIF1 $\alpha$ , STAT3 and IL-12 in human atherosclerotic lesions. Furthermore, an increased *HIF1 $\alpha$*  and *STAT3* but a decreased *IL-12* mRNA expression was observed in advanced *versus* early carotid artery plaques. These data suggest that the regulatory signaling axis revealed in our study in APCs in mice may also be operative in human disease. Interestingly, IL-12 expression in plasma and plaque tissue was previously shown to correlate with IFN- $\gamma$  expression, with T cells being the principal source of IFN- $\gamma$  in the arterial wall in humans,<sup>44</sup> in line with the notion that IL-12-controlled Th1 T cell responses are of primary importance during plaque development.

The bidirectional effects of HIF1 $\alpha$ -deficiency in APCs on other lesional cell types and their contribution to lesion formation remains to be addressed. For instance, mast cells are present in atherosclerotic plaques and are considered to promote lesion growth and plaque destabilization.<sup>45</sup> Mast cell-derived cytokines, via an induction of HIF1 $\alpha$  in APCs, may have led to an attenuated pro-atherogenic APC phenotype balancing overshooting inflammation in atherosclerosis, and be in line with mast cells often ensuing Th2-type inflammatory responses.<sup>45, 46</sup> However, pro-inflammatory mediators released by mast cells, as induced by the contact with T cells, which showed an increased activation in CKO *Ldlr*<sup>-/-</sup> mice, may have also contributed to enhanced inflammation<sup>45, 46</sup> and plaque progression in our study.

Although it is widely acknowledged that Th1-mediated immune responses drive atherosclerotic lesion formation,<sup>6</sup> still little is known about the pathways and transcription factors in APCs that drive T cell polarization in atherosclerosis. Moreover, although HIF-1 $\alpha$  can be detected in atherosclerotic lesions in both mice and humans<sup>11-14</sup> and can modulate immune responses,<sup>10</sup> its cell-specific role in atherosclerosis had not been addressed previously. While dispensable under homeostatic conditions, our findings for the first time demonstrate that HIF1 $\alpha$  balances APC activation and Th1-polarization during atherogenesis in *Ldlr*<sup>-/-</sup> mice and attenuates disease progression.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgments

We thank Melanie Schott for excellent technical assistance, Dr. Peilin Zheng for help with lentivirus production, and Dr. Eliana Ribechini for help with the immunization assay.

### Sources of Funding

This work was supported in part by the VENI fellowship of the Netherlands Organization of Scientific research (016.116.017) to J.C. Stuimer, the Deutsche Forschungsgemeinschaft (ZE827/1-2, SFB688 TPA22) to A. Zerneck, by a Career Development Award from Juvenile Diabetes Research Foundation (2-2010-383) to S. Kissler, and by DRC grant from NIH (P30DK036836) to the Joslin Diabetes Center.

## Non standard abbreviations

<b>APC</b>	antigen presenting cell
<b>BM</b>	bone marrow
<b>BMDC</b>	bone marrow derived DC
<b>BMDM</b>	bone marrow derived macrophage
<b>CKO</b>	conditional knock out
<b>DC</b>	dendritic cell
<b>HIF</b>	hypoxia-inducible factor
<b>IFN</b>	interferon
<b>IL</b>	interleukin
<b>Ldlr</b>	low-density lipoprotein receptor
<b>Stat</b>	Signal Transducers and Activators of Transcription
<b>Th</b>	T helper cell
<b>Treg</b>	regulatory T cell
<b>WT</b>	wild type

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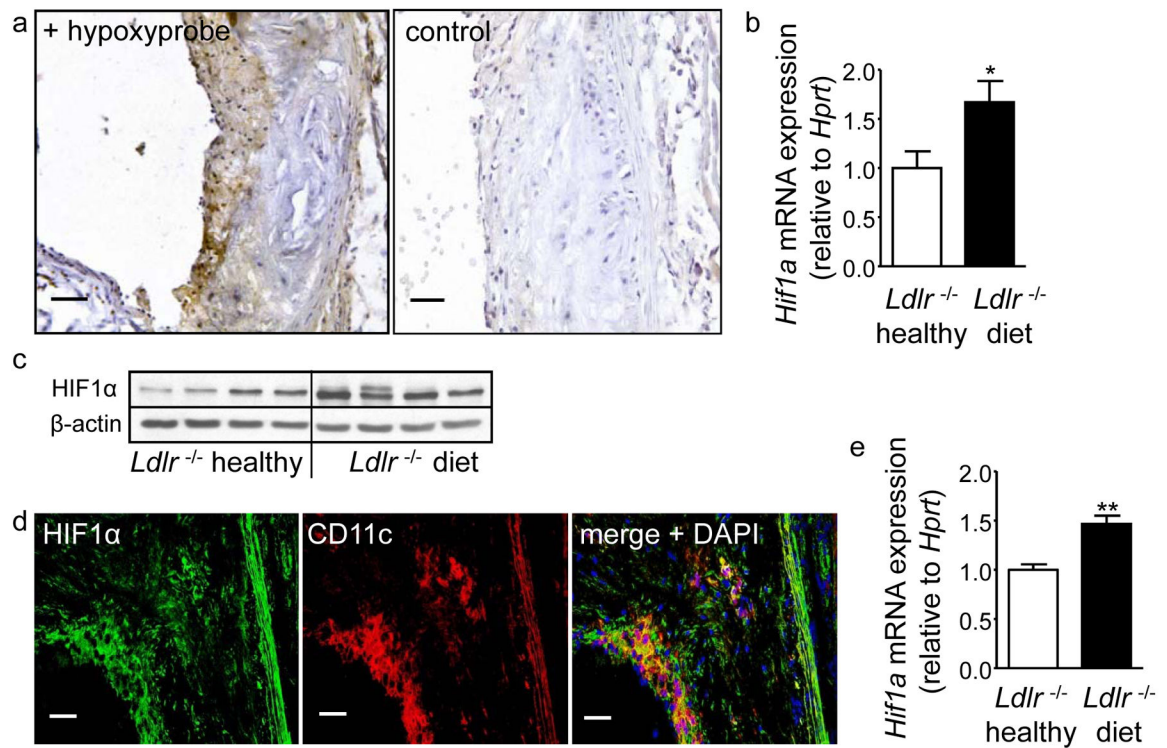
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### Significance

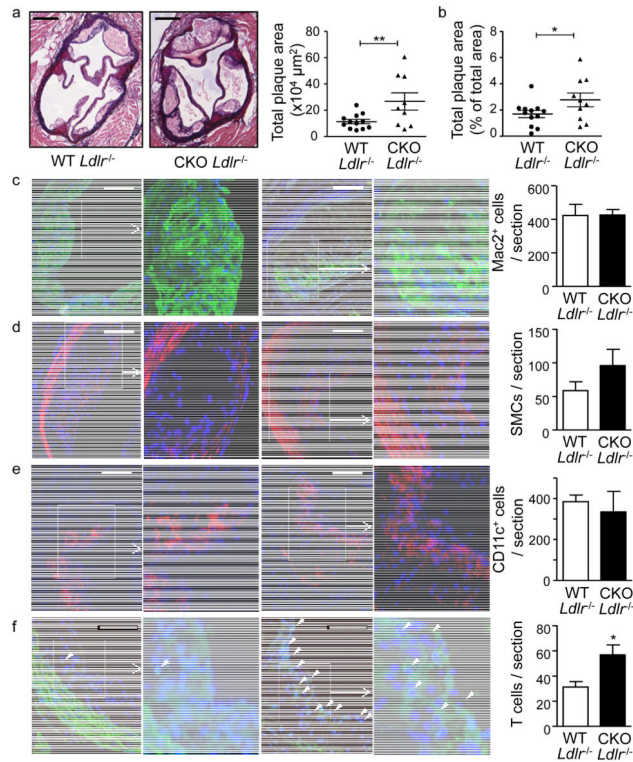
Atherosclerosis remains the number one cause of death in the Western world. Insights into the mechanisms of disease development are still limited. The transcription factor Hypoxia-inducible factor (HIF)-1 $\alpha$  is induced under hypoxic conditions, but can also be upregulated by inflammatory stimuli. We here show that atherosclerotic lesion formation is associated with an upregulated expression of HIF1 $\alpha$  in atherosclerotic lesions and antigen-presenting cells (APCs) in atherosclerosis-prone mice. By conditionally deleting *Hif1a* in CD11c<sup>+</sup> cells we reveal that HIF1 $\alpha$  balances excessive APC-mediated pro-atherogenic T cell proliferation and Th1 polarization. In contrast, deletion of *Hif1a* in LysM<sup>+</sup> bone marrow cells in *Ldlr*<sup>-/-</sup> mice did not affect lesion formation or T cell activation. These findings offer unprecedented insights into the function of HIF1 $\alpha$  in APCs in atherosclerosis, and provide the first evidence that this transcription factor restrains DC-driven T-cell responses in atherosclerosis.



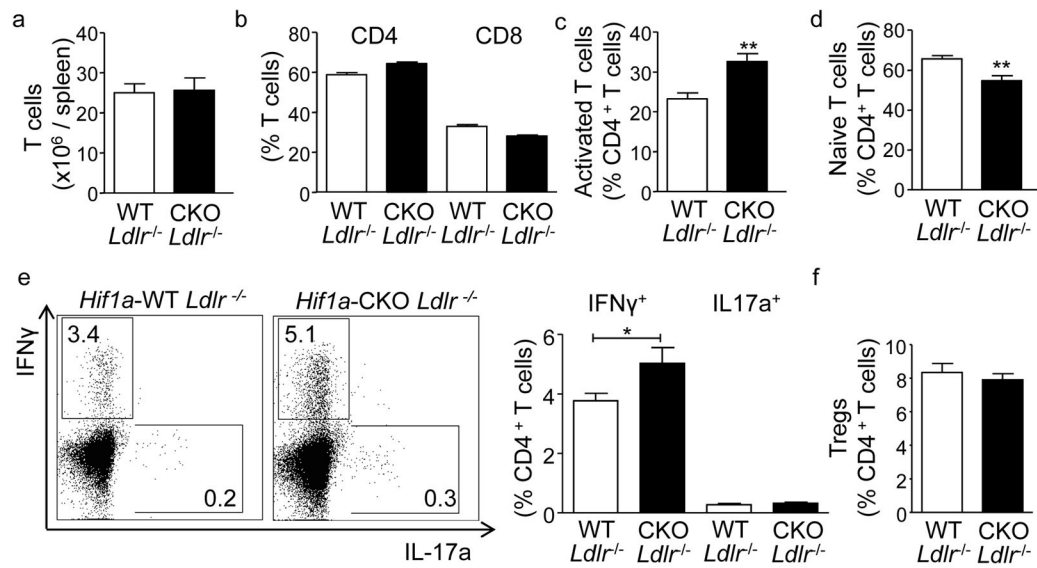
**Figure 1. Hypoxia and expression of HIF-1 $\alpha$  in CD11c<sup>+</sup> cells in atherosclerosis**

(a) Sections of the aortic root of *Ldlr*<sup>-/-</sup> mice after 8 weeks of HFD were stained with Hypoxyprobe (brown, scale bars: 50 $\mu$ m) to detect hypoxia. (b) Analyses of *Hif1a* mRNA expression by qPCR in aortic tissue of chow-fed *Ldlr*<sup>-/-</sup> mice and *Ldlr*<sup>-/-</sup> mice fed a HFD for 8 weeks, normalized to *Hprt* and relative to *Ldlr*<sup>-/-</sup> healthy mice (n=8 each). \*p<0.05. (c) Analysis of HIF-1 $\alpha$  protein expression by Western blot in aortic tissue of chow-fed *Ldlr*<sup>-/-</sup> mice and *Ldlr*<sup>-/-</sup> mice fed a HFD for 8 weeks.  $\beta$ -actin serves as a loading control. (d) Double-immunofluorescence staining of HIF1 $\alpha$  (green) and CD11c (red) in the atherosclerotic aortic root plaque of a *Ldlr*<sup>-/-</sup> mouse fed a HFD for 8 weeks. Cell nuclei were counterstained with DAPI (blue) (scale bars: 50 $\mu$ m). (e) Analyses of *Hif1a* mRNA expression by qPCR in splenic APCs isolated from healthy chow-fed *Ldlr*<sup>-/-</sup> mice and *Ldlr*<sup>-/-</sup> mice fed a HFD for 8 weeks, normalized to *Hprt* and relative to *Ldlr*<sup>-/-</sup> healthy mice (n=4 each). \*\*p<0.01.



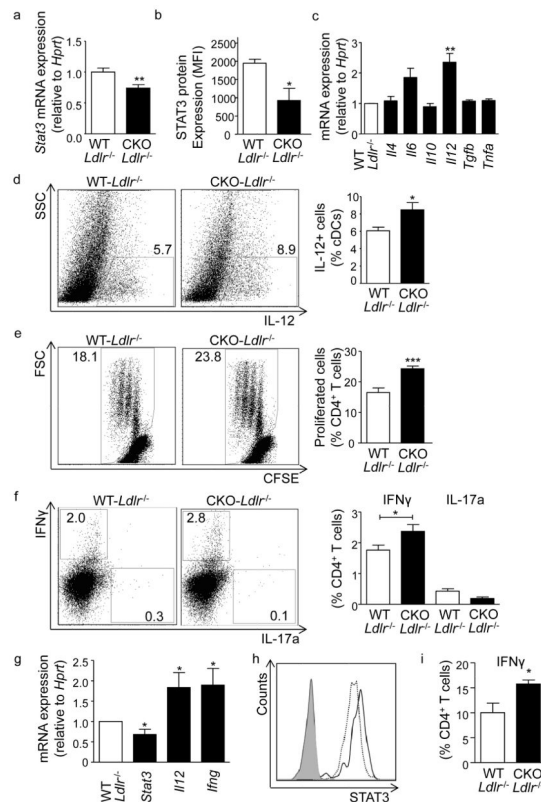


**Figure 2. Deficiency of HIF1 $\alpha$  in CD11c<sup>+</sup> APCs accelerates atherosclerotic plaque growth** (a–b) Quantification of plaque area in Aldehyde Fuchsin-stained aortic roots in atherosclerotic *Hif1a*-WT *Ldlr*<sup>-/-</sup> (n=12) and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> (n=9) mice (a) and Oil-Red-O stained aortae (b) of atherosclerotic *Hif1a*-WT *Ldlr*<sup>-/-</sup> (n=12) and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> (n=10) mice fed a HFD for 8 weeks; representative sections of the aortic root are shown (scale bars: 250 $\mu$ m). Quantification of the number of Mac-2<sup>+</sup> macrophages (green, c),  $\alpha$ -smooth muscle actin<sup>+</sup> smooth muscle cells (red, d), CD11c<sup>+</sup> APCs (red, e), and CD3<sup>+</sup> T cells (green, f); representative images of immunofluorescence staining and higher magnification images of boxed regions are shown; scale bars: 100 $\mu$ m; cell nuclei were counterstained with DAPI (blue); arrow heads indicate T cells. \*p<0.05, \*\*p<0.01.



**Figure 3. *Ldlr*<sup>-/-</sup> mice deficient in HIF1 $\alpha$  in APCs display enhanced T cell activation**

(a-f) Flow cytometric analyses of T cell distributions in spleens from atherosclerotic *Hif1a*-WT *Ldlr*<sup>-/-</sup> (n=10) and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> (n=7) mice fed a HFD for 8 weeks. Numbers of CD3<sup>+</sup> T cells (a), frequencies of CD4<sup>+</sup> and CD8<sup>+</sup> T cells among CD3<sup>+</sup> T cells (b), frequencies of activated CD44<sup>high</sup>CD62L<sup>low</sup> (c) and naïve CD62L<sup>high</sup>CD44<sup>low</sup> CD4<sup>+</sup> T cells (d), IFN $\gamma$ <sup>+</sup>CD4<sup>+</sup> T cells, IL-17a<sup>+</sup>CD4<sup>+</sup> T cells (e), and FoxP3<sup>+</sup>CD25<sup>+</sup>CD4<sup>+</sup> Tregs (f). \*p<0.05, \*\*p<0.01 Representative dot plots showing intracellular IFN $\gamma$  versus IL-17a expression are shown; values indicate gated events among CD4<sup>+</sup> T cells.



**Figure 4. Phenotype and functions of APCs from atherosclerotic mice deficient in HIF1α**

(a) *Stat3* mRNA (n=14 mice each) and (b) intracellular protein expression (n=5 mice each), and (c) mRNA expression of indicated cytokines (3 independent experiments, n=3–4 mice per experiment) in APCs isolated from spleens of *Hif1a*-WT *Ldlr*<sup>-/-</sup> and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice fed a HFD for 8 weeks, analyzed by qPCR and flow cytometry. mRNA expression was normalized to *Hprt* and presented relative to WT controls. (d) Percent of IL-12<sup>+</sup> cells among the APC population, analyzed by flow cytometry (n=6). Representative dot plots are shown (values indicate gated events among APCs). (e,f) APCs isolated from spleens of *Hif1a*-WT *Ldlr*<sup>-/-</sup> and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice fed a HFD for 8 weeks and pulsed with OVA<sub>323-339</sub> peptide were co-cultured with naive CD4<sup>+</sup> OT-II T cells for 3 days. T cell proliferation was analyzed by CFSE dilution (e) and polarization by intracellular staining for IFNγ and IL-17a (f). Quantification and representative dot plots are shown (values indicate gated events among CD4<sup>+</sup> T cells, 3 independent experiments, n=3–5 mice per experiment). (g) mRNA expression of *Stat3*, *Il12* and *Ifng* in whole aortae of *Hif1a*-WT *Ldlr*<sup>-/-</sup> and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice fed a HFD for 8 weeks (normalized to *Hprt* and expressed relative to WT controls. n=3 mice). (h) Intracellular STAT3 protein expression in CD11c<sup>+</sup>MHCII<sup>+</sup> APCs in the aorta of *Hif1a*-WT *Ldlr*<sup>-/-</sup> and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice fed a HFD for 8 weeks (n=5 mice per group), analyzed by flow cytometry. Representative histograms for STAT3 fluorescence are shown (solid line - *Hif1a*-WT, dotted line - *Hif1a*-CKO, filled dark grey line - *Hif1a*-WT fluorescence minus one control (FMO), filled faint grey line *Hif1a*-CKO FMO). (i) Frequencies of IFNγ<sup>+</sup>CD4<sup>+</sup> T cells in the aorta of *Hif1a*-WT

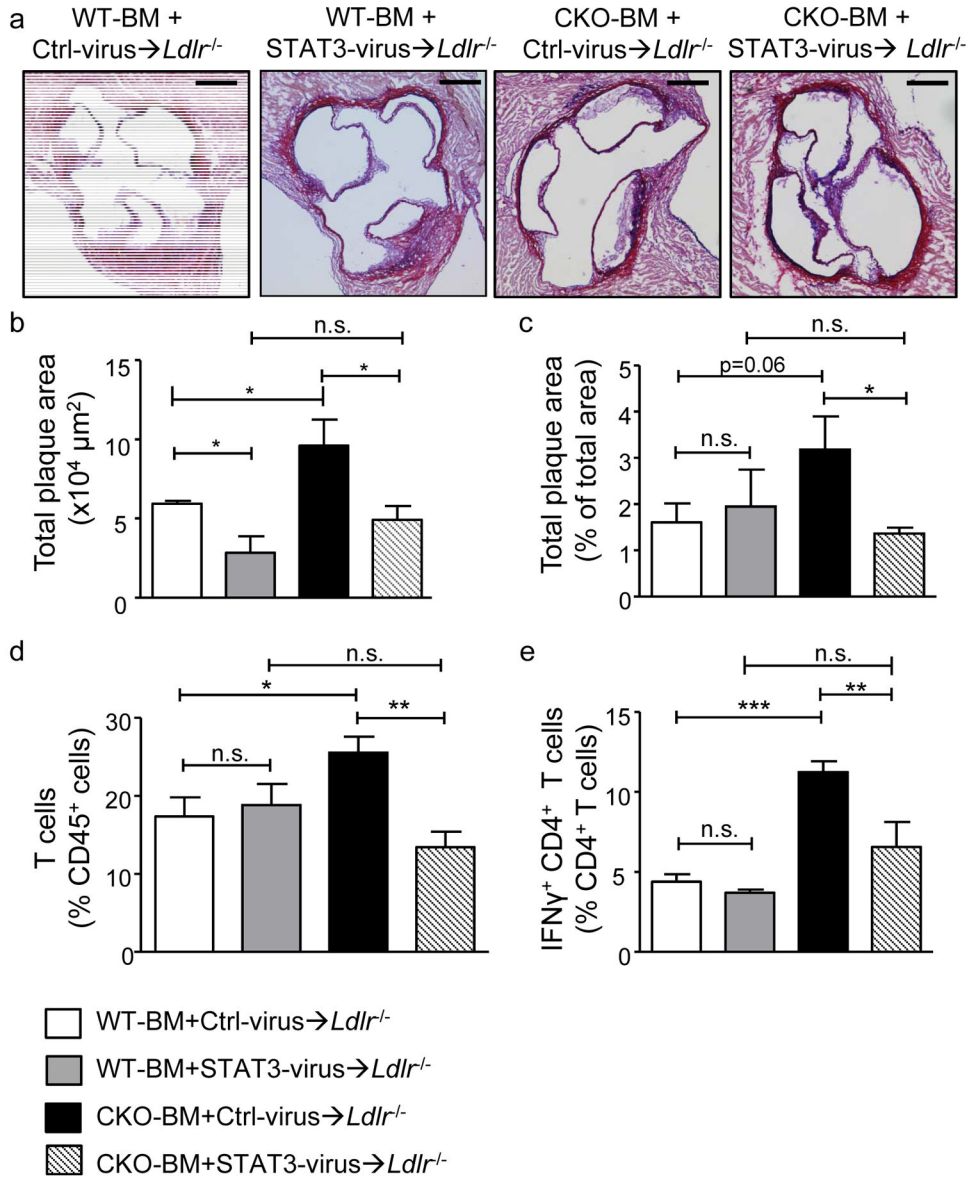
*Ldlr*<sup>-/-</sup> and *Hif1a*-CKO *Ldlr*<sup>-/-</sup> mice fed a HFD for 8 weeks (n=3-4 per group). \*p<0.05, \*\*p<0.01, \*\*\*p<0.001.

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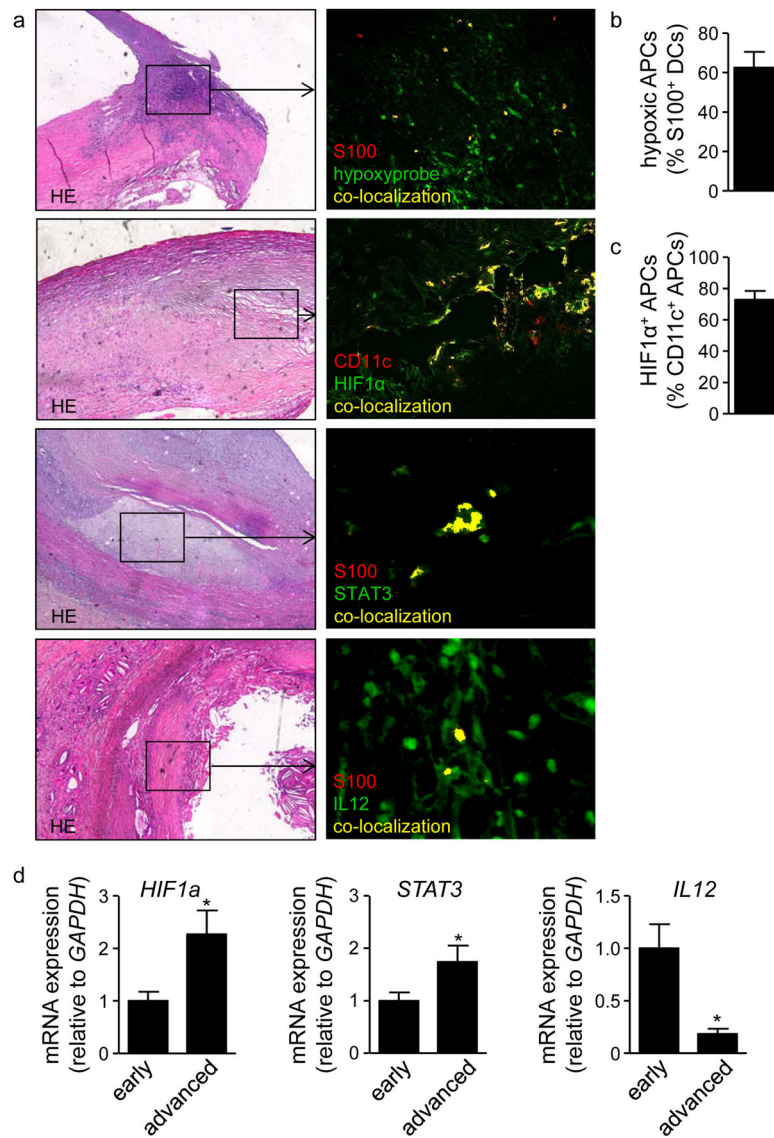
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**Figure 5. APC-intrinsic effects of HIF1 $\alpha$  on plaque development are STAT3-mediated** (a,b) Analysis of total plaque area in Aldehyde-Fuchsin-stained aortic roots, (c) relative plaque area in Oil-Red-O stained aortae, (d) CD3<sup>+</sup> T cells, and (e) IFN $\gamma$ <sup>+</sup>CD4<sup>+</sup> T cells in spleens of *Ldlr*<sup>-/-</sup> mice transplanted with *Hif1a*-WT BM transduced with control lentivirus (WT-BM+Ctrl-virus→*Ldlr*<sup>-/-</sup>) or STAT3 overexpressing lentivirus (WT-BM+STAT3-virus→*Ldlr*<sup>-/-</sup>), or *Hif1a*-CKO BM transduced with control-lentivirus (CKO-BM+Ctrl-virus→*Ldlr*<sup>-/-</sup>) or STAT3 overexpressing lentivirus (CKO-BM+STAT3-virus→*Ldlr*<sup>-/-</sup>) and fed a HFD for 4 weeks (n=5–8 per group); representative sections of aortic roots are shown (a, scale bars, 250 $\mu$ m). \*p<0.05, \*\*p<0.01, \*\*\*p<0.001. n.s., non significant.



**Figure 6. HIF1 $\alpha$ , STAT3 and IL-12 in human atherosclerotic lesions**

(a) Hematoxylin and eosin stained sections, and double-immunofluorescence staining of S100 or CD11c (red) and Hypoxyprobe, HIF1 $\alpha$ , IL-12 or STAT3 (green) in adjacent sections of advanced human atherosclerotic carotid artery plaques. (b) Quantification of Hypoxyprobe<sup>+</sup> S100<sup>+</sup> cells (n=10 plaques) and of (c) HIF1 $\alpha$ <sup>+</sup> CD11c<sup>+</sup> cells (n=10 plaques). (d) mRNA expression of *HIF1a*, *STAT3* and *IL12* in human whole carotid artery plaques with early and advanced stages of atherosclerosis (n=10 per group). \*p<0.05.