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## Mesenchymal fibroblast growth factor receptor signaling regulates palatal shelf elevation during secondary palate formation

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### Abstract

Palatal shelf elevation is an essential morphogenetic process during secondary palate closure and failure or delay of palatal shelf elevation is a common cause of cleft palate, one of the most common birth defects in humans. Here, we studied the role of mesenchymal fibroblast growth factor receptor (FGFR) signaling during palate development by conditional inactivation of *Fgfrs* using a mesenchyme-specific *Dermo1-Cre* driver. We showed that *Fgfr1* is expressed throughout the palatal mesenchyme and *Fgfr2* is expressed in the medial aspect of the posterior palatal mesenchyme overlapping with *Fgfr1*. Mesenchyme-specific disruption of *Fgfr1* and *Fgfr2* affected palatal shelf elevation and resulted in cleft palate. We further showed that both *Fgfr1* and *Fgfr2* are expressed in mesenchymal tissues of the mandibular process but display distinct expression patterns. Loss of mesenchymal FGFR signaling reduced mandibular ossification and lower jaw growth resulting in abnormal tongue insertion in the oral-nasal cavity. We propose a model to explain how redundant *Fgfr1* and *Fgfr2* expression in the palatal and mandibular mesenchyme regulates shelf medial wall protrusion and growth of the mandible to coordinate the craniofacial tissue movements that are required for palatal shelf elevation.

### Keywords

FGFR gene expression; Palatal shelf elevation; Cleft palate; Secondary palate development; Mandible development; Conditional gene knockout

### Introduction

Cleft palate, as an isolated anomaly, is among the most common craniofacial malformations, affecting one in every 1500–2000 live births worldwide. Cleft palate is also commonly seen in combination with cleft lip and is associated with over 400 known human syndromes

(Murray and Schutte, 2004). Non-syndromic cleft palate is a complex trait with genetic and environmental etiologies (Dixon et al., 2011). Cleft palate occurs when the paired palatal shelves fail to join and fuse with each other during embryonic development. After outgrowth from the maxillary processes, the palatal shelves grow vertically on either side of the tongue and undergo an elevation process to become horizontally oriented above the tongue. After elevation, the medial edge epithelium (MEE) of the opposing shelves approximate for contact and adhesion to form a medial epithelial seam (MES). During palatal shelf fusion, degeneration of the MES results in a confluent palatal mesenchyme and formation of an intact secondary palate (Greene and Pratt, 1976; Ferguson, 1988). Phenotypic analysis of cleft palate in mouse models suggests that cleft palate could result from defects in any stage of palate development, i.e. palatal shelf outgrowth, elevation, adhesion, and fusion (Gritli-Linde, 2007; Gritli-Linde, 2008; Bush and Jiang, 2012).

The Fibroblast Growth Factor Receptors (FGFRs) are receptor tyrosine kinases that interact with eighteen signaling Fibroblast Growth Factor (FGF) ligands and heparan sulfate proteoglycan (HSPG) cofactors. The activated FGFR binds to and phosphorylates adaptor proteins for four major intracellular signaling pathways, RAS-MAPK, PI3K-AKT, PLC $\gamma$ , and signal transducer and activator of transcription (STAT) to initiate intracellular signaling cascades (Ornitz and Itoh, 2015). The structure of the FGFR includes two to three immunoglobulin-like domains and for FGFR1-3, the second half of the third immunoglobulin-like domain undergoes alternative mRNA splicing resulting in IIIb and IIIc splice variants. In general, the IIIc receptor isoforms, such as FGFR2c, are expressed in the mesenchyme and bind epithelially-expressed FGF ligands such as members of the FGF8 subfamily (FGF8, FGF17, and FGF18), while the IIIb isoforms such as FGFR2b are expressed in the epithelium and exclusively bind members of the FGF7 subfamily of ligands (FGF7, FGF10, and FGF22), which are commonly expressed in mesenchymal tissues adjacent to the epithelium.

Abnormal craniofacial development is associated with dominantly expressed *FGFR1* and *FGFR2* mutations in human craniosynostosis syndromes, such as Apert, Crouzon, Pfeiffer, Muenke, and Jackson-Weiss syndromes. Apert syndrome *FGFR2* mutations also cause variable palatal anomalies such as high arched palate, cleft soft palate, or bifid uvula in humans (Wilkie et al., 1995; Slaney et al., 1996; Lajeunie et al., 1999) and lead to severe palate dysmorphologies in mice (Wang et al., 2005; Martinez-Abadias et al., 2013a). Although mice heterozygous for a Crouzon syndrome *FGFR2* mutation (*Fgfr2*<sup>C342Y/+</sup> mice) do not show significant palatal anomalies (Martinez-Abadias et al., 2013b), homozygous (*Fgfr2*<sup>C342Y/C342Y</sup>) mice have a high incidence of cleft palate (Snyder-Warwick et al., 2010; Snyder-Warwick and Perlyn, 2012). Both syndromic and non-syndromic cleft palate have been linked to *FGFR* mutations. Cleft palate is associated with Kallmann syndrome type 2 (KAL2), an autosomal dominant developmental disorder characterized by hypogonadotropic hypogonadism due to mutations in *FGFR1* (Dode et al., 2003). Mutations in *FGFR1*, *FGFR2*, *FGFR3*, and a ligand, *FGF8* have also been identified as the etiology of up to 5% of non-syndromic cleft lip and palate (NS-CLP) cases in humans (Riley et al., 2007; Riley and Murray, 2007).

We previously characterized cleft palate phenotypes in *Fgfr2*<sup>C342Y/C342Y</sup> mice (Snyder-Warwick et al., 2010). The C342Y substitution leads to gain-of-function receptor activity that affects mesenchymal FGFR2c without affecting epithelial FGFR2b (Eswarakumar et al., 2004). During palate development, *Fgfr2* is expressed in both the palatal epithelium and mesenchyme. While disruption of epithelial-expressed *Fgfr2b* or conditional disruption of *Fgfr2* in the palatal epithelium leads to cleft palate in mice (Rice et al., 2004; Hosokawa et al., 2009), disruption of mesenchymal-expressed *Fgfr2c* or conditional disruption of *Fgfr2* in mesenchymal tissues does not affect palate development (Eswarakumar et al., 2002; Yu et al., 2003). On the other hand, Kallmann syndrome *FGFR1* mutations (*KAL2*) reduce receptor activity (Dode et al., 2003; Pitteloud et al., 2005; Pitteloud et al., 2006; Thurman et al., 2012). As only 25–30% of Kallmann syndrome patients with *FGFR1* mutations have cleft palate (Dode and Hardelin, 2009), it suggests that loss of FGFR1 activity could be compensated for by FGFR2, both of which are commonly co-expressed in mesenchymal tissues during development (Orr-Urtreger et al., 1991; Peters et al., 1992). In this study, we examined expression patterns of *Fgfr1* and *Fgfr2* during murine palate development and used the conditional gene targeting approach to disrupt *Fgfr1* and *Fgfr2* in mesenchymal tissues. The results indicated that *Fgfr1* and *Fgfr2* expression partially overlap in the palatal mesenchyme and together maintained mesenchymal FGFR signaling to regulate palatal shelf elevation during palate development. Moreover, we found that both *Fgfr1* and *Fgfr2* are required for mandibular ossification and growth, which we propose is essential for removal of tongue obstruction before palatal shelf elevation occurs.

## Results

### ***Fgfr1* and *Fgfr2* are redundantly expressed in the palatal mesenchyme**

To determine if FGFR1 and FGFR2 function redundantly during palate development, we examined *Fgfr1* and *Fgfr2* expression at different stages of palate development along the anterior-posterior axis. During palate shelf outgrowth at E13.5, *Fgfr1* was expressed in the entire palatal mesenchyme but not in the palatal epithelium (Figure 1A–D and M). In the posterior regions, *Fgfr1* expression was more intense on the medial aspect of the palatal mesenchyme compared to the lateral aspect (Figure 1A and B). In the middle region, *Fgfr1* expression was intense on both the medial and lateral aspects of the palatal mesenchyme but was weak in the central region (Figure 1C). In the anterior region, *Fgfr1* was strongly expressed in the palatal mesenchyme at the tip but weakly expressed in the other areas of the palatal shelves (Figure 1D). At E13.5, *Fgfr2* was expressed in the entire palate epithelium without showing differences between the medial and lateral aspects (Figure 1E–H and O). *Fgfr2* was only weakly expressed in the medial aspect of the palatal mesenchyme in the posterior region of the developing palate (Figure 1F and O).

In mice, palatal shelf elevation occurs in a three-hour period at E14.5 (Walker and Fraser, 1956) and therefore the palatal shelves at E14.5 show a spectrum of morphologies that range from vertically oriented shelves to horizontally oriented and fused shelves (Yu and Ornitz, 2011). In vertically oriented E14.5 (E14.5 V) palatal shelves, *Fgfr1* expression showed reduced intensity in the posterior regions (Figure 1I, J and N) and in the anterior region (Figure 1L). In the middle region, *Fgfr1* expression became more intense in the lateral

aspect of the palatal mesenchyme than that of the medial aspect (Figure 1K). *Fgfr2* expression remained in the palatal epithelium and in the medial aspect of the posterior palatal mesenchyme (Figure 1P) (Snyder-Warwick et al., 2010). In horizontally oriented E14.5 (E14.5 H) palatal shelves, *Fgfr1* was weakly expressed throughout the entire palatal mesenchyme (Figure 1Q) and *Fgfr2* was expressed in the nasal aspect of the palatal mesenchyme (Figure 1S). At E15, when palate shelf fusion occurs, *Fgfr1* expression was intensified throughout the confluent palatal mesenchyme (Figure 1R) and *Fgfr2* expression remained in the nasal aspect of the confluent palatal mesenchyme (Figure 1T). Interestingly, *Fgfr2* expression was found in mesenchymal tissues surrounding the ossifying palatine bones but not in bony tissues at the center of the mesenchymal condensation where *Fgfr1* was weakly expressed (arrows in Figure 1R and T).

### Conditional disruption of *Fgf*s in the palatal mesenchyme leads to cleft secondary palate

We previously reported generation of the *Dermo1(Twist2)-Cre* driver, which specifically targets mesenchymal tissues during mouse development (Yu et al., 2003). During craniofacial development, *Dermo1-Cre* activity was present throughout the entire mesenchyme of the first pharyngeal arch at E10.5 (Huh and Ornitz, 2010). By mating *Dermo1-Cre* mice with double-fluorescent Cre reporter *mT/mG* mice (Muzumdar et al., 2007), we found that *Dermo1-Cre* activity was present throughout the maxilla, mandible, and tongue at E13.5 (green fluorescence in Figure 2A), but absent in neural tissues of the brain and the nasal region (red fluorescence in Figure 2A). By mating *Dermo1-Cre* mice with ROSA26 reporter (*ROSA26R*) mice (Soriano, 1999), we further examined *Dermo1-Cre* activity in the developing palate by detecting  $\beta$ -galactosidase activity. At E13.5,  $\beta$ -galactosidase activity was found in the entire palatal mesenchyme but was excluded from the palatal epithelium (Figure 2B).  $\beta$ -galactosidase activity was also found in the Meckel's cartilages (arrowhead in Figure 2C) and mesenchymal tissues of the developing mandible (arrow in Figure 2C). We previously used the *Dermo1-Cre* driver to generate *Fgfr2* conditional knockout (*Fgfr2<sup>cko</sup>*) mice (Table 1), which showed defects in skeletal development but had normal palate development (Yu et al., 2003). Similar results were found in mice that lost mesenchymal expressed *Fgfr2c* (Eswarakumar et al., 2002).

To further determine the function of FGFR signaling during palate development, we used the *Dermo1-Cre* driver to generate *Fgfr1* conditional knockout (*Fgfr1<sup>cko</sup>*) mice and compound *Fgfr1* conditional knockout (*Fgfr1<sup>cko</sup>*) mice that also lack one allele of *Fgfr2* (Table 1). *Fgfr1<sup>cko</sup>* and *Fgfr1<sup>cko</sup>* mice developed complete clefting of the secondary palate when compared with wild type control mice (Figure 2D and F). Of mice that only lost *Fgfr1* (*Fgfr1<sup>cko</sup>* mice), 16% (3 of 19) developed cleft palate. However, removal of one allele of *Fgfr2* greatly increased the incidence of cleft palate to 84% (16 of 19) in *Fgfr1<sup>cko</sup>* mice. In contrast, compound *Fgfr2* conditional knockout (*Fgfr2<sup>cko</sup>*) mice that lack one allele of *Fgfr1* did not show defects in palate development (Table 1). We further used the *Dermo1-Cre* driver to generate *Fgfr1* and *Fgfr2* double conditional knockout (*Fgfr1/2<sup>dcko</sup>*) mice (Table 1), all of which developed cleft palate (Figure 2H) and died within several hours after birth (20 of 20). Additionally, the cleft in *Fgfr1/2<sup>dcko</sup>* mice was considerably wider than that of compound *Fgfr1<sup>cko</sup>* mice (Figure 2F and H). Histological examination showed that at P0 unfused palatal shelves in *Fgfr1/2<sup>dcko</sup>* mice displayed an abnormal shape (asterisks in Figure

2K) and maintained a large gap between the nasal and oral cavity (Figure 2K), which are normally separated by a fused secondary palate in wild type mice (Figure 2J). Because the palate develops in contact with the tongue, examination of tongue morphology also can give insight into palate development. While the tongue showed a flattened shape in P0 wild type and *Fgfr1<sup>ccko</sup>* mice (Figure 2E and G), the tongue in P0 *Fgfr1/2<sup>dcko</sup>* mice remained inserted between the opposing palatal shelves and displayed an abnormally narrow shape (Figure 2H and I).

### FGFR signaling in the palatal mesenchyme is required for palatal shelf elevation

After outgrowth from the maxillary processes at E12, the palatal shelves increase their size through substantial growth in a vertical direction to form a wedge-shaped structure at E13.5. Histological examination indicated that at E13.5, the palatal shelves of *Fgfr1/2<sup>dcko</sup>* mice showed a similar size and shape to that of wild type mice in both the anterior (Figure 3A and A') and posterior (Figure 3B and B') regions, suggesting that loss of FGFR signaling in the palatal mesenchyme did not significantly affect vertical shelf growth. Since the palatal shelves of all E14.5 *Fgfr1/2<sup>dcko</sup>* mice examined were vertically oriented, we selected wild type E14.5 mice with the vertically oriented shelves to compare shelf morphology immediately before elevation. The palatal shelves of *Fgfr1/2<sup>dcko</sup>* mice had a narrower and more pointed tip in the anterior region and were smaller in the posterior region when compared with that of wild type mice (arrowheads in Figure 3C, C', D and D'). Such shelf morphological changes were also observed in E14.5 *Fgfr1<sup>ccko</sup>* mice (arrowheads in Figure 3G and I). It should also be noted that at E13.5 and E14.5, prior to elevation, the tongue of *Fgfr1/2<sup>dcko</sup>* mice showed similar morphology to that of wild type mice. At E15.5, the palatal shelves were elevated and fused in wild type mice (Figure 3E and F). However, the palatal shelves of *Fgfr1/2<sup>dcko</sup>* and *Fgfr1<sup>ccko</sup>* mice remained in the vertical position at E15.5 (Figure 3E', F', H and J). The tongue of E15.5 *Fgfr1/2<sup>dcko</sup>* mice remained inserted between the opposing shelves in the anterior region potentially physically preventing the shelves from moving into the horizontal position (Figure 3E'). In the posterior region, the palatal shelves and tongue of E15.5 *Fgfr1/2<sup>dcko</sup>* mice did not show significant morphological changes when compared with those of E14.5 *Fgfr1/2<sup>dcko</sup>* mice (Figure 3D' and F'). Unlike that of *Fgfr1/2<sup>dcko</sup>* mice, the tongue of *Fgfr1<sup>ccko</sup>* mice has moved out of the nasal cavity at E15.5 (Figure 3H and J). However, palatal shelf elevation in *Fgfr1<sup>ccko</sup>* mice either did not occur in the anterior region or proceeded partially in the posterior region, which was not enough to allow the opposing shelves to contact each other (Figure 3H and J). While tongue anomalies in *Fgfr1/2<sup>dcko</sup>* mice could result in failure of palatal shelf elevation, shelf morphology in *Fgfr1<sup>ccko</sup>* mice suggested that failure of palatal shelf elevation could also result from intrinsic shelf defects due to reduced FGFR signaling in the palatal mesenchyme. To test this possibility, we examined shelf behaviors in an *ex vivo* condition in which the tongue and lower jaw were removed immediately after specimen dissection. We found that tongue excision did not affect shelf orientation in post-fixed E14.5 wild type mice (Figure 4A), but induced rapid shelf movement in freshly dissected E14.5 wild type mice, which caused the vertical shelves of the anterior palate to approximate each other separated by a narrow gap (Figure 4B). In freshly dissected E14.5 *Fgfr1<sup>ccko</sup>* and *Fgfr1/2<sup>dcko</sup>* mice, tongue excision did not lead to significant changes in shelf orientation (Figure 4C and D), and the gap between the opposing shelves of the anterior palate was significantly larger than that of wild type

mice (Figure 4E). Palatal shelf movement during elevation is thought to be driven by an elevating force that result from accumulation of glycosaminoglycans (GAGs) especially hyaluronic acid (HA) in the palatal mesenchyme (Ferguson, 1988). We therefore used alcian blue staining to examine the level of total GAGs in the anterior palate prior to elevation. However, we did not detect significant changes of mesenchymal GAGs in the palatal shelves of both *Fgfr1<sup>ccko</sup>* and *Fgfr1/2<sup>dcko</sup>* mice (Figure 4F–H), suggesting that mesenchymal FGFR signaling may regulate factors other than mesenchymal GAGs to promote shelf movement in the anterior palate during elevation.

### Mesenchymal FGFR signaling regulates mandibular ossification

The association of cleft palate with tongue anomalies in *Fgfr1/2<sup>dcko</sup>* mice resembled the phenotypes of Pierre-Robin sequence (PRS), a congenital condition characterized by an unusually small mandible (micrognathia), posterior displacement or retraction of the tongue (glossoptosis), and cleft palate (Cohen, 1999). We therefore examined lower jaw development in *Fgfr1/2<sup>dcko</sup>* mice. Skeletal preparations showed that at E14.5, formation of Meckel's cartilages in the mandibular process of *Fgfr1/2<sup>dcko</sup>* mice was comparable to that of wild type mice (Figure 5A and A'). At E15, *Fgfr1/2<sup>dcko</sup>* mice showed a curved Meckel's cartilages and significant reduction of ossification in the mandibular process when compared with that of wild type mice (Figure 5B and B'). At P0, the mandible of *Fgfr1/2<sup>dcko</sup>* mice was shorter and smaller than that of wild type mice (Figure 5D and D'). In contrast, formation of Meckel's cartilage and ossification in the mandibular process appeared to be normal in *Fgfr1<sup>ccko</sup>* mice when compared with that of wild type mice (Figure 5B, C, D and E).

During mandibular development, bone formation does not use Meckel's cartilages as a cartilaginous template like that of other endochondral bones but rather mesenchymal cells lateral to Meckel's cartilages directly differentiate into osteoblasts to form a sheet-like bony structure (arrows in Figure 6A, D and G), which becomes mineralized at E15.5 (arrow in Figure 6I). Both *Fgfr1* and *Fgfr2* were expressed in the developing mandibular mesenchyme (Figure 6B, C, E and F). At E13.5, *Fgfr1* was strongly expressed in the entire mandibular mesenchyme but only weakly in Meckel's cartilages (asterisk in Figure 6B). *Fgfr2* was expressed in the periosteum of the developing mandible and Meckel's cartilages (asterisk in Figure 6C). At E14.5, *Fgfr1* expression was maintained in the bony tissues of the developing mandible (arrow in Figure 6E) but was reduced in other mandibular mesenchymal tissues and no longer expressed in Meckel's cartilages (asterisk in Figure 6E). *Fgfr2* expression was maintained in Meckel's cartilages (asterisk in Figure 6F) and was intensified in the periosteum of the developing mandible and in the perichondrium of Meckel's cartilages (arrowheads in Figure 6F). It should be noted that *Fgfr2* was not expressed in the bony tissues of the developing mandible (between arrowheads in Figure 6F). At E14.5, *Fgfr1* and *Fgfr2* expression showed a clear complementary pattern in the developing mandible, of which *Fgfr1* was expressed in the more differentiated central area and *Fgfr2* was expressed in the less differentiated surrounding periosteum. Histological examination and von Kossa staining indicated that mandibular ossification in *Fgfr1/2<sup>dcko</sup>* mice was greatly reduced and did not occur in the middle region (arrowheads in Figure 6G' and I'). By contrast, mandibular ossification in *Fgfr1<sup>ccko</sup>* mice was relatively normal when compared with that of wild type mice (Figure 6G, H, I and J). These results suggested that both *Fgfr1* and *Fgfr2*

are required for maintaining mesenchymal FGFR signaling to regulate osteoblast differentiation and patterning during mandibular development.

## Discussion

The importance of *Fgfr1* in palate development was first revealed in the study of mutant mice carrying a hypomorphic *Fgfr1* allele, which showed multiple craniofacial defects including cleft palate (Trokovic et al., 2003). Conditional disruption of *Fgfr1* using a neural crest cell-specific *Wnt1-Cre* driver resulted in severe midfacial clefting that included cleft lip and palate (Wang et al., 2013). These results suggested that *Fgfr1* function is required for development of neural crest cells, from which most craniofacial structures are derived (Chai et al., 2000; Jiang et al., 2002; Yoshida et al., 2008). As *Fgfr1/2<sup>dcko</sup>* mice did not show obvious craniofacial anomalies at E13.5, it suggested that the *Dermo1-Cre* driver would not disrupt the function of FGFR signaling during early craniofacial development, which makes it a suitable tool to study the function of mesenchymal FGFR signaling during palatogenesis. While molecular controls of palatal shelf outgrowth and fusion have been elucidated through genetic studies in mice, molecular events during palatal shelf elevation remain largely undefined (Bush and Jiang, 2012). Our finding that mesenchymal FGFR signaling is indispensable for palatal shelf elevation is a first step towards delineating the molecular mechanism of this critical morphological event during palatogenesis.

### How does mesenchymal FGFR signaling regulate palatal shelf elevation?

Past studies suggested that palatal shelf elevation is regulated by an elevating force, which is commonly thought to result from accumulation of GAGs, especially HA, in the palatal mesenchyme (Ferguson, 1988). Hydration of HA-rich extracellular matrix (ECM) increases turgidity within the palatal shelves to result in rapid shelf movement in the anterior palate, which has been referred to as “flipping-up” (Ferguson, 1978; Ferguson, 1988). As we found that shelf movement in the anterior palate was abolished in both *Fgfr1<sup>ccko</sup>* and *Fgfr1/2<sup>dcko</sup>* mice, it suggested that mesenchymal FGFR signaling may be important for regulating the elevating force. Interestingly, mesenchymal GAGs were not significantly reduced in the palatal shelves of both *Fgfr1<sup>ccko</sup>* and *Fgfr1/2<sup>dcko</sup>* mice, suggesting that the mesenchymal ECM may not be essential for generating the elevating force in the anterior palate. In a previous study, Brinkley and Vickerman used a pharmacological approach to enhance HA degradation and reduce the level of mesenchymal HA in the palatal shelves (Brinkley and Vickerman, 1982). They found that palatal shelf elevation in the posterior region was delayed during palatal organ culture but was not affected in the anterior region, further suggesting that hydration of HA-rich ECM may not play a predominant role in promoting shelf movement in the anterior palate.

Previous studies suggested that palatal shelf elevation in the anterior region could depend on epithelial cells located on the lateral aspect of the palatal shelves (Bulleit and Zimmerman, 1985). When lateral epithelial cells were surgically removed, palatal shelf elevation in the anterior region was abolished during palatal organ culture, but removal of medial epithelial cells did not affect palatal shelf elevation. In a previous study of limb bud development, we found that epithelial cells can be ablated by disrupting FGFR2b signaling using genetic

approaches to result in developmental defects that are comparable with those of using surgical approaches (Yu and Ornitz, 2008). We therefore compared cleft palate phenotypes that resulted from loss of mesenchymal FGFR signaling with those from loss of epithelial FGFR2 signaling during palate development. It appeared that complete loss of epithelial FGFR2 signaling affected palate shelf outgrowth resulting in much smaller palatal shelves in *Fgfr2b* knockout mice that failed to contact with each other at E15.5 (Rice et al., 2004). Conditional disruption of *Fgfr2* using an epithelial-specific *keratin 14 (K14)-Cre* driver overcame early outgrowth defects in *Fgfr2b* knockout mice. At E15.5, the palatal shelves of *K14-Cre, Fgfr2<sup>fl/fl</sup>* mice were elevated but failed to contact with each other owing to their small size (Hosokawa et al., 2009). By contrast, the palatal shelves of *Fgfr1<sup>cko</sup>* mice remained in a vertical position at E15.5 in the absence of tongue obstruction (Figure 3H). These results argued against *in vitro* findings that palatal epithelial cells could influence palatal shelf elevation and suggested that palatal shelf elevation in the anterior region could also rely on mesenchymal factors that remain to be identified but that would be regulated by mesenchymal FGFR signaling, especially that of FGFR1, which was the predominant receptor present in the anterior palatal mesenchyme.

### How does mandible growth regulate palatal shelf elevation?

Palatal shelf elevation not only requires the elevating force to direct shelf movement but also requires the tongue to be displaced from the center of the oral-nasal cavity to accommodate shelf movement. It is not known if the elevating force is required for tongue displacement. It has been suggested that the tongue descends or moves downward as the result of lower jaw downward growth or movement (Greene and Pratt, 1976). However, the evidence for coordinated downward movement of the tongue and lower jaw during palatal shelf elevation is inconclusive (Diewert, 1978; Ferguson, 1978). Tongue and lower jaw defects of *Fgfr1/2<sup>dcko</sup>* mice suggested that lower jaw growth is essential for tongue displacement during palatal shelf elevation. Meckel's cartilages form about one day before palatal shelf elevation (Bhaskar, 1953) and retardation in growth of Meckel's cartilages has been found to cause reduction in mandibular length and result in cleft palate (Seegmiller and Fraser, 1977; Murray et al., 2007). The results of our study suggest that growth of Meckel's cartilages depends on ossification at the lateral aspect of the mandibular process, which may provide rigidity to the lower jaw and allow Meckel's cartilages to continually extend longitudinally.

During *in vivo* elevation, the palatal shelves in the posterior region display an intermediate morphology of medial wall protrusion, of which the palatal mesenchyme in the medial aspect increases in volume to cause medial expansion of the palatal shelves (Yu and Ornitz, 2011). Using morphological analysis, we suggest that shelf medial wall protrusion could coordinate lower jaw growth and tongue morphological changes during palatal shelf elevation (Figure 7). As the posterior half of the tongue shows a descending curvature, anterior growth of the lower jaw could create a space superior to the dorsum of the tongue (arrow and asterisk in Figure 7A). This space would allow shelf medial wall protrusion to occur (asterisk and arrowhead in Figure 7B), which allows the tongue to expand laterally (arrow in Figure 7B) and eventually move out of the nasal cavity (Yu and Ornitz, 2011). Therefore, medial wall protrusion not only leads to shelf movement in the posterior palate



during elevation but also is important for removing tongue obstruction by coordinating growth or movement of craniofacial tissues that occurs on different anatomic axes. As we did not observe medial wall protrusion in *Fgfr1<sup>cko</sup>* and *Fgfr1/2<sup>dcko</sup>* mice (Figure 3), it is possible that *Fgfr1* and *Fgfr2* together maintain mesenchymal FGFR signaling to regulate this unique shelf morphological change during elevation. Our model thus explains why disruption of one allele of *Fgfr2* in the palatal mesenchyme dramatically increases cleft palate incidence in *Fgfr1<sup>cko</sup>* mice, as reduced mesenchymal FGFR signaling in the medial aspect of the posterior palate (blue-colored area in Figure 7B) could abolish medial wall protrusion and disrupt coordinated craniofacial tissue movements that are required for palatal shelf elevation.

## Experimental procedures

### Mice

Mice carrying genetically engineered alleles were maintained on a C57BL/6J x 129X1 mixed genetic background. The following mouse lines were used: *Dermo1-Cre* (*Dermo1<sup>Cre/+</sup>*) (Yu et al., 2003), *mT/mG* (Muzumdar et al., 2007), *ROSA26R* (Soriano, 1999), floxed *Fgfr1* (*Fgfr1<sup>f/+</sup>*) and null *Fgfr1* (*Fgfr1<sup>-/+</sup>*) (Jacob et al., 2006), floxed *Fgfr2* (*Fgfr2<sup>f/+</sup>*) and null *Fgfr2* (*Fgfr2<sup>-/+</sup>*) (Yu et al., 2003). *Fgfr1* conditional knockout (*Dermo1<sup>Cre/+</sup>; Fgfr1<sup>f</sup>*) mice were generated by mating *Dermo1<sup>Cre/+</sup>; Fgfr1<sup>-/+</sup>* mice with *Fgfr1<sup>f/f</sup>* mice. Breeding of *Dermo1<sup>Cre/+</sup>; Fgfr1<sup>-/+</sup>; Fgfr2<sup>-/+</sup>* compound heterozygous mice with *Fgfr1<sup>f/f</sup>; Fgfr2<sup>f/f</sup>* double homozygous mice generated *Fgfr1/2* double conditional knockout (*Dermo1<sup>Cre/+</sup>; Fgfr1<sup>f</sup>; Fgfr2<sup>f</sup>*), compound *Fgfr1* conditional knockout (*Dermo1<sup>Cre/+</sup>; Fgfr1<sup>f</sup>; Fgfr2<sup>+f</sup>*) and compound *Fgfr2* conditional knockout (*Dermo1<sup>Cre/+</sup>; Fgfr1<sup>+f</sup>; Fgfr2<sup>f</sup>*) mice. Mouse skeletons were prepared as described previously (Yu et al., 2003).

### Histology, fluorescent imaging, LacZ staining, and *in situ* hybridization

Embryos were dissected from the uterus in PBS at a designated post-coitum day (or embryonic day). Embryos were decapitated and the heads were fixed in 4% paraformaldehyde overnight at 4°C and embedded in paraffin. Specimens were sectioned coronally (4 μm) and stained with hematoxylin and eosin (H&E). Von Kossa staining was used to detect mineralized bone tissues (Yu et al., 2003). Alcian blue staining was used to detect total GAGs in the palatal mesenchyme (Snyder-Warwick et al., 2010). To visualize Cre-mediated recombination in the craniofacial regions of *Dermo1-Cre*, *mT/mG* mice, post-fixed heads were embedded in OCT and 100μm-thick coronal tissue slices were used for fluorescent imaging to detect expression of GFP (after Cre-mediated recombination) or dtTomato fluorescent protein (without Cre-mediated recombination). For β-galactosidase histochemistry, embryos were fixed in 0.2% glutaraldehyde in PBS for 60 minutes, washed in PBS and stained in β-gal staining buffer (5 mM K<sub>3</sub>Fe (CN)<sub>6</sub>, 5 mM K<sub>4</sub>Fe (CN)<sub>6</sub> · 3H<sub>2</sub>O, 1 mM MgCl<sub>2</sub>, 0.01% sodium desoxycholate, 0.009% NP40, 0.002% X-Gal) for 8 hours at 4°C. After post-fixing in 4% paraformaldehyde, embryos were embedded in paraffin for sectioning and counterstained with nuclear fast red. *In situ* hybridization using <sup>33</sup>P-UTP-labeled *Fgfr1* or *Fgfr2* riboprobes was carried out as described previously (Yu et al., 2003).

### **Ex vivo palatal shelf elevation**

The tongue and lower jaw were removed from the heads of freshly dissected E14.5 embryos by making two transverse incisions along the junction of the maxilla and mandible. The dissected heads were placed in PBS for 10–20 minutes before being transferred to 4% PFA for fixation. During the procedure and following incubation, the vertical shelves of wild type mice changed their orientation to become elevated. As a control, the tongue and lower jaw were also removed from the heads of post-fixed E14.5 embryos, which did not lead to shelf orientation changes. The degree of *ex vivo* elevation was quantified by measuring the gap distance between the opposing palatal shelves on H&E stained histological sections.

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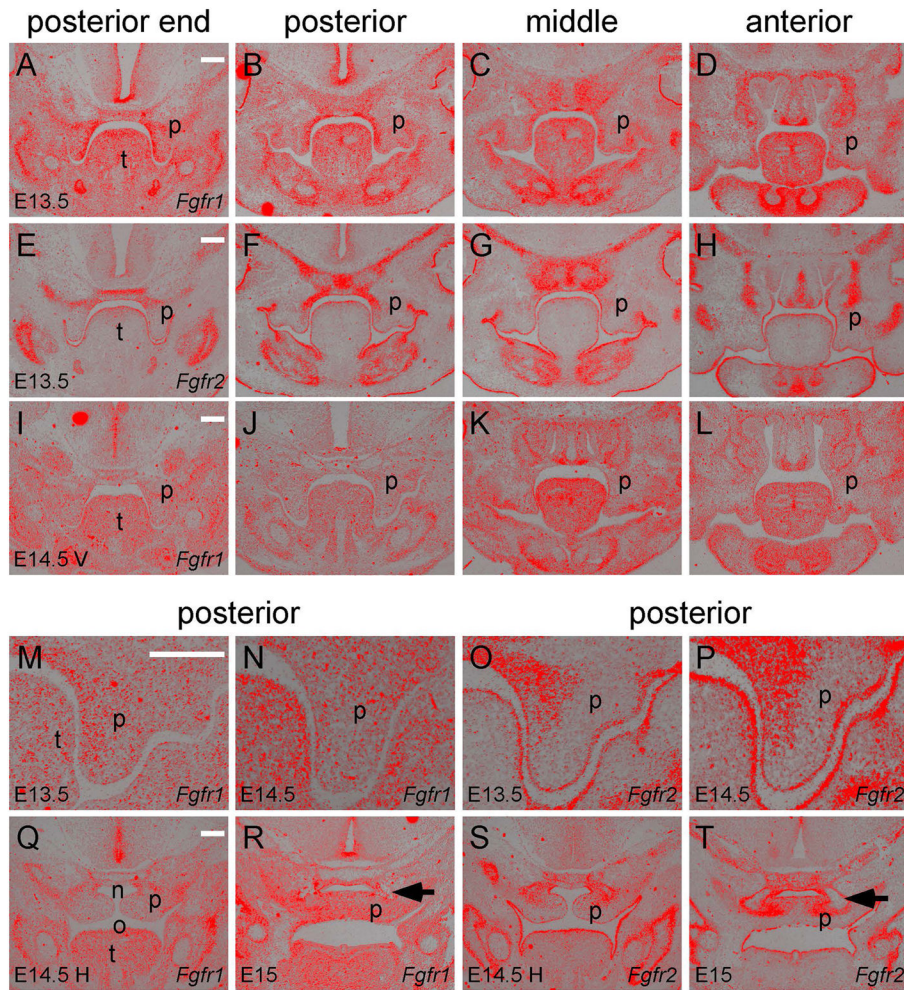
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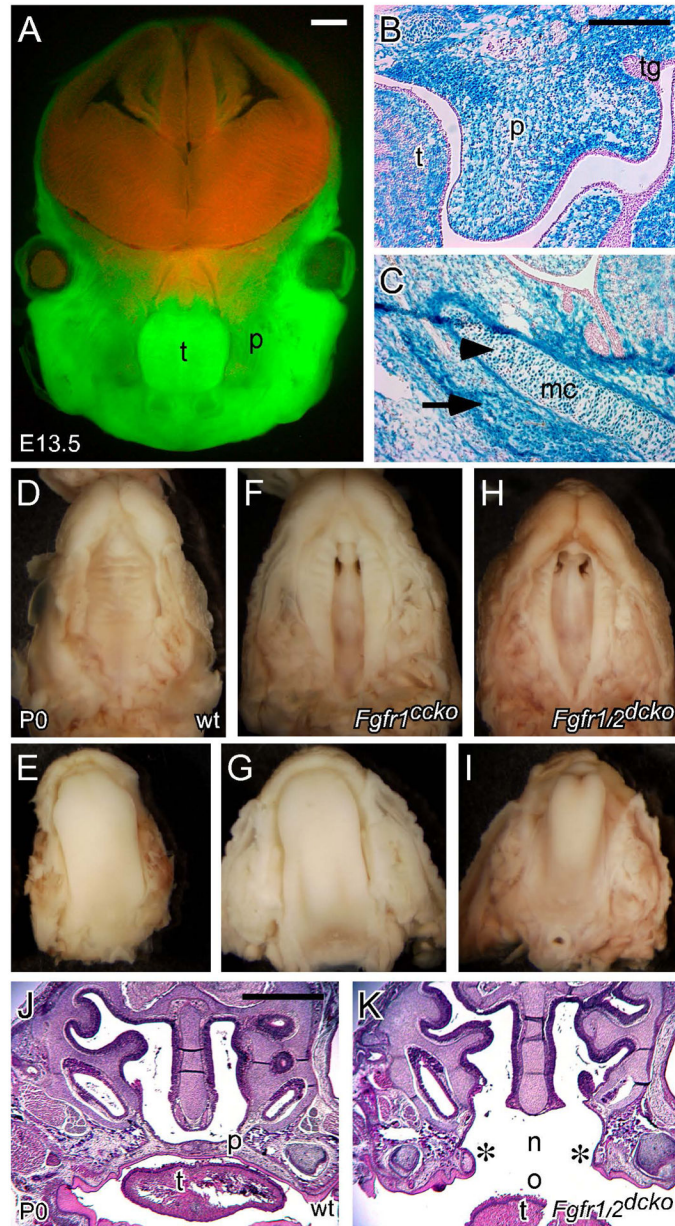
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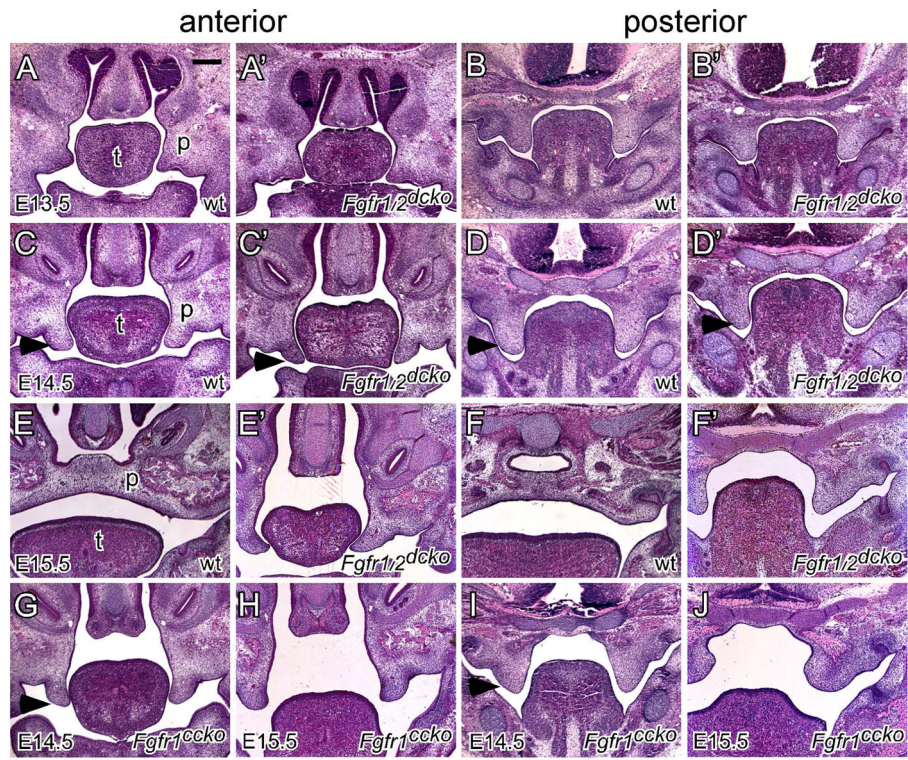
**Figure 1.**

*Fgfr1* and *Fgfr2* expression in different regions along the anterior-posterior axis of the secondary palate. (A–D) *Fgfr1* expression and (E–H) *Fgfr2* expression detected by *in situ* hybridization (red) on coronal sections of the posterior end, posterior, middle and anterior regions of an E13.5 palate. (I–L) *Fgfr1* expression in an E14.5 palate before elevation (V, vertical shelves). Noted that *Fgfr2* expression at E14.5 before elevation was shown in a previous study (Snyder-Warwick et al., 2010). (M and N) Magnified images comparing *Fgfr1* and *Fgfr2* expression in the palatal epithelium and mesenchyme of the posterior region at E13.5. (O and P) Magnified images comparing *Fgfr1* and *Fgfr2* expression in the palatal epithelium and mesenchyme of the posterior region at E14.5 before elevation. (Q) *Fgfr1* expression in the posterior region of an E14.5 palate after elevation (H, horizontal shelves). (R) *Fgfr1* expression in the posterior region of an E15 palate after fusion of the palatal shelves. (S) *Fgfr2* expression in the posterior region of an E14.5 palate after elevation. (T) *Fgfr2* expression in the posterior region of an E15 palate after fusion of the palatal shelves. Arrows in R and T indicate mesenchymal condensation of the palatine bones. n, nasal cavity; o, oral cavity; p, palatal shelf; t, tongue. Scale bars, 200µm.



**Figure 2.** Dermo1-Cre activity in the developing craniofacial regions and conditional disruption of *Fgfr1* and *Fgfr2* using the *Dermo1-Cre* driver. (A) Fluorescent images showing expression of GFP and dtTomato fluorescent protein in the craniofacial regions of an E13.5 *Dermo1-Cre, mT/mG* embryo. (B) LacZ staining showing  $\beta$ -galactosidase activity in the palatal mesenchyme of an E13.5 *Dermo1-Cre, Rosa26r* embryo. Noted the absence of  $\beta$ -galactosidase activity in the palatal epithelium and tooth germ. (C) LacZ staining showing  $\beta$ -galactosidase activity in the Meckel's cartilages (arrowhead) and the mandibular mesenchyme (arrow) of an E13.5 *Dermo1-Cre, Rosa26r* embryo. (D and E) Palate and tongue of a P0 wild type pup. (F and G) Cleft palate and normal tongue of a P0 *Fgfr1<sup>ccko</sup>* pup. (H and I) Cleft palate and abnormal tongue of a P0 *Fgfr1/2<sup>dcko</sup>* pup. (J) H&E stained

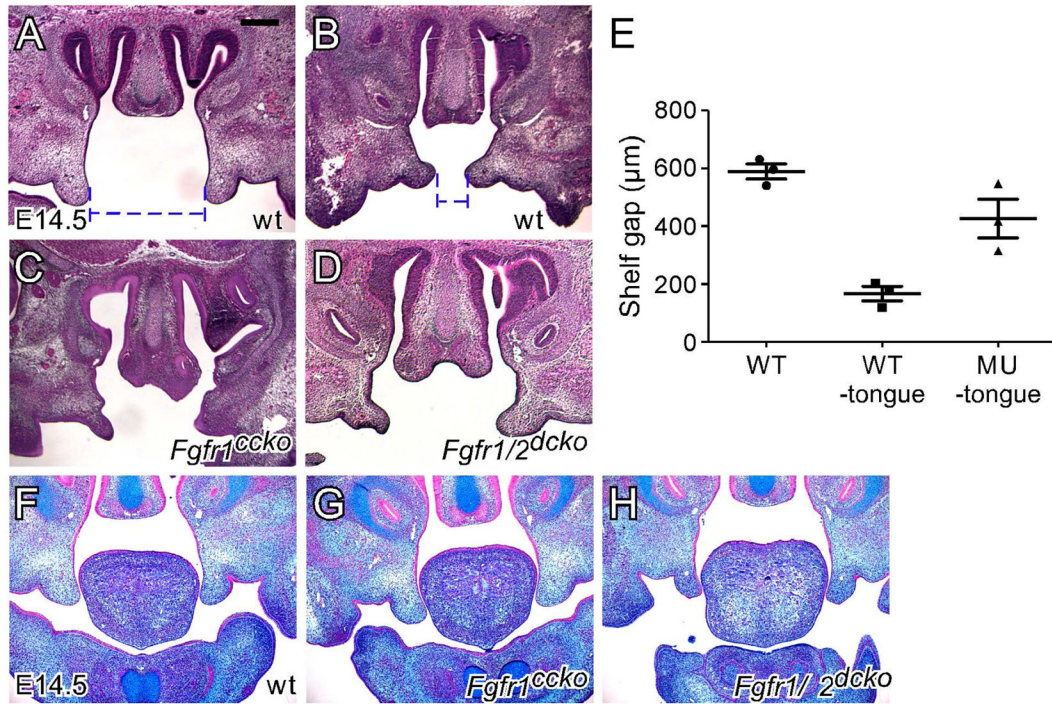
coronal section of the anterior part of a P0 wild type palate. (K) H&E stained coronal section of the anterior part of a P0 *Fgfr1/2<sup>dcko</sup>* palate. Asterisks indicate unfused palatal shelves. mc, Meckel's cartilages; n, nasal cavity; o, oral cavity; p, palatal shelf; t, tongue; tg, tooth germ. Scale bars in A and J, 50 $\mu$ m and in B, 200 $\mu$ m.



**Figure 3.**

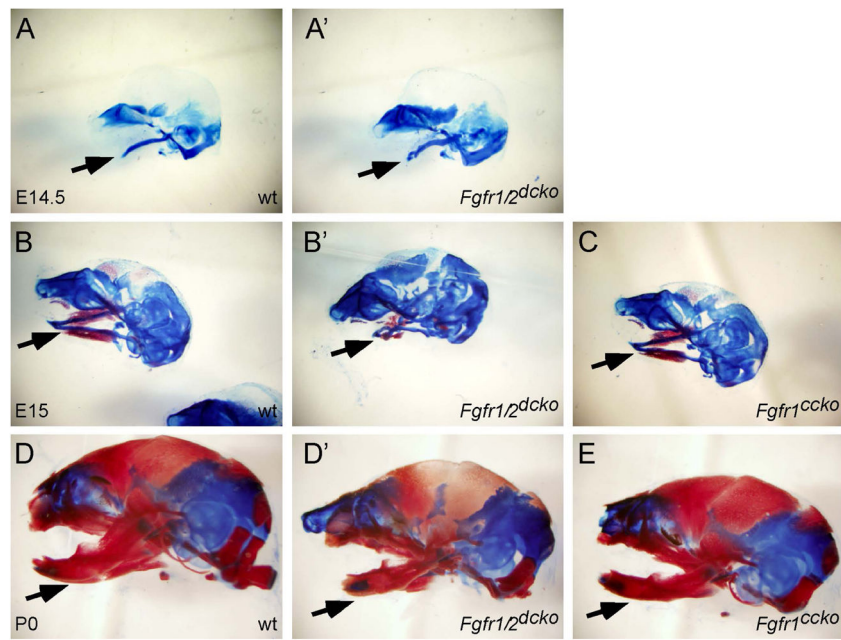
Palate development in wild type, *Fgfr1<sup>ccko</sup>* and *Fgfr1/2<sup>dcko</sup>* mice. (A and B) E13.5, (C and D) E14.5, and (E and F) E15.5 wild type palates shown on H&E stained coronal sections. (A' and B') E13.5, (C' and D') E14.5, and (E' and F') E15.5 *Fgfr1/2<sup>dcko</sup>* palates shown on H&E stained coronal sections. (G and I) E14.5 and (H and J) E15.5 *Fgfr1<sup>ccko</sup>* palates shown on H&E stained coronal sections. Noted that the palatal shelves start to show abnormal shapes in *Fgfr1/2<sup>dcko</sup>* and *Fgfr1<sup>ccko</sup>* mice at E14.5 before elevation (arrowheads in C, C', D, D', G and I). p, palatal shelf; t, tongue. At least three specimens of each genotype were examined at each stage. Scale bar, 200 $\mu$ m.



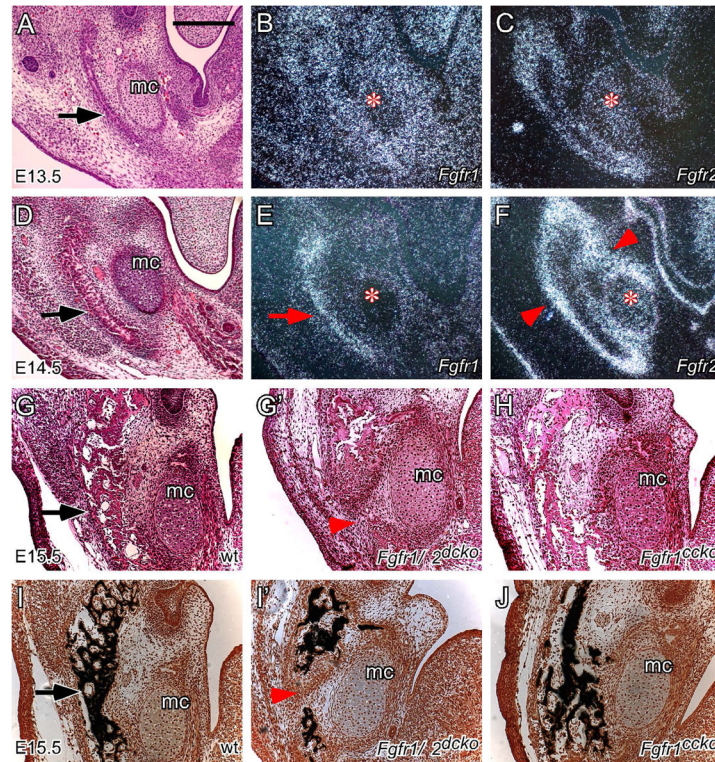


**Figure 4.**

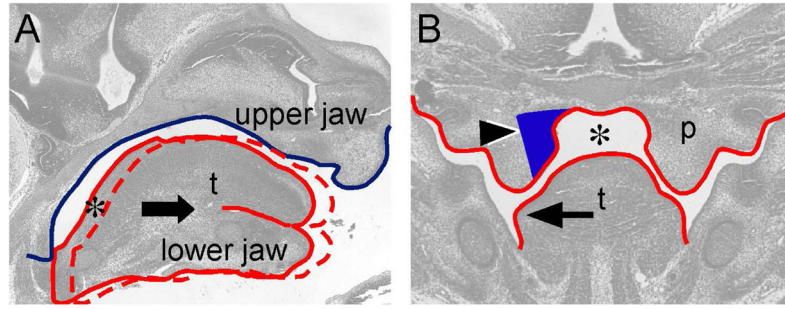
*Ex vivo* palatal shelf elevation and examination of total GAGs in the palatal shelves. (A) H&E stained coronal section of the anterior part of an E14.5 wild type palate with the tongue and lower jaw removed after fixation. (B) H&E stained coronal section of the anterior part of an E14.5 wild type palate with the tongue and lower jaw immediately removed after embryo dissection. (C) H&E stained coronal section of the anterior part of an E14.5 *Fgfr1<sup>ccko</sup>* palate with the tongue and lower jaw immediately removed after embryo dissection. (D) H&E stained coronal section of the anterior part of an E14.5 *Fgfr1/2<sup>dcko</sup>* palate with the tongue and lower jaw removed before fixation. Blue dashed lines in A and B show measurement of the gap distance between the opposing shelves without and with immediate *ex vivo* tongue excision, respectively. (E) Graph showing average gap distance between the opposing shelves of wild type palates with and without immediate *ex vivo* tongue excision and of *Fgfr1<sup>ccko</sup>* and *Fgfr1/2<sup>dcko</sup>* palates with immediate *ex vivo* tongue excision. (F) Alcian blue stained coronal section of the anterior part of an E14.5 wild type palate. (G) Alcian blue stained coronal section of the anterior part of an E14.5 *Fgfr1<sup>ccko</sup>* palate. (H) Alcian blue stained coronal section of the anterior part of an E14.5 *Fgfr1/2<sup>dcko</sup>* palate. At least three specimens were used in each experimental group.



**Figure 5.** Mandible development in wild type, *Fgfr1<sup>ccko</sup>* and *Fgfr1/2<sup>dcko</sup>* mice. (A) E14.5, (B) E15, and (D) P0 wild type mouse heads stained with alizarin red & alcian blue. (A') E14.5, (B') E15 and (D') P0 *Fgfr1/2<sup>dcko</sup>* heads stained with alizarin red & alcian blue. (C) E15 and (E) P0 *Fgfr1<sup>ccko</sup>* heads stained with alizarin red & alcian blue. Arrows indicate the developing mandible with Mechel's cartilages stained blue and ossified bones stained red. Noted the same magnification of specimens shown in A–E. At least three specimens of each genotype were examined at each stage.



**Figure 6.** *Fgfr1* and *Fgfr2* expression in the mandibular mesenchyme and regulation of mandibular ossification by mesenchymal FGFR signaling. (A) H&E stained coronal section of an E13.5 wild type mandible. (B) *Fgfr1* expression and (C) *Fgfr2* expression detected by *in situ* hybridization (dark field) on coronal sections of an E13.5 wild type mandible. (D) H&E stained coronal section of an E14.5 wild type mandible. (E) *Fgfr1* expression and (F) *Fgfr2* expression detected by *in situ* hybridization (dark field) on coronal sections of an E14.5 wild type mandible. Arrow in E indicates *Fgfr1* expression in bony tissues and arrowheads in F indicate *Fgfr2* expression in the periosteal tissues. Asterisks in B, C, E and F indicate the position of Meckel's cartilages. (G and I) E15.5 wild type mandible, (G' and I') E15.5 *Fgfr1/2<sup>dcko</sup>* mandible, and (H and J) E15.5 *Fgfr1<sup>ccko</sup>* mandible shown on H&E stained (G, G' and H) and von Kossa stained (I, I' and J) coronal sections. Arrowheads in G' and I' indicate the absence of mandibular ossification in *Fgfr1/2<sup>dcko</sup>* mice. Arrows in A, C, G and I indicate the developing mandible. mc, Meckel's cartilages. At least three specimens of each genotype were examined at each stage. Scale bar, 200µm.



**Figure 7.** Coordinated craniofacial tissue interactions during palatal shelf elevation. (A) Diagram showing space formation (asterisk) in the posterior palatal region through lower jaw-mediated tongue movement on a sagittal plane. The blue line contours the cranial base and upper jaw. The solid red line contours the tongue and lower jaw in the oral-nasal cavity before palatal shelf elevation. The arrow indicates anterior movement of the lower jaw and tongue during developmental progression. The dashed red line contours the tongue and lower jaw after anterior movement. (B) Diagram showing that space (asterisk) allows coordinated medial wall protrusion (arrowhead) and tongue flattening (arrow) occurred in the posterior palatal region. Noted that medial wall protrusion occurs in the region where both *Fgfr1* and *Fgfr2* are expressed (blue-colored area). p, palatal shelf; t, tongue.

Genotypes and phenotypes of *Fgfr1* and/or *Fgfr2* conditional knockout mice using the *Dermo1-Cre* driver.

**Table 1**

<i>Fgfrs</i> conditional knockout (cko)	<i>Fgfr1</i> <sup>cko</sup>	<i>Fgfr2</i> <sup>cko</sup>	Compound cko <i>Fgfr1</i> <sup>cko</sup>	Compound cko <i>Fgfr2</i> <sup>cko</sup>	Double cko <i>Fgfr1/2</i> <sup>cko</sup>
Genotypes	<i>Dermo1</i> <sup>Cre/+</sup> , <i>Fgfr1</i> <sup>fl/fl</sup>	<i>Dermo1</i> <sup>Cre/+</sup> , <i>Fgfr2</i> <sup>fl/fl</sup>	<i>Dermo1</i> <sup>Cre/+</sup> , <i>Fgfr1</i> <sup>fl/fl</sup> , <i>Fgfr2</i> <sup>+/+</sup>	<i>Dermo1</i> <sup>Cre/+</sup> , <i>Fgfr1</i> <sup>+/+</sup> , <i>Fgfr2</i> <sup>fl/fl</sup>	<i>Dermo1</i> <sup>Cre/+</sup> , <i>Fgfr1</i> <sup>fl/fl</sup> , <i>Fgfr2</i> <sup>fl/fl</sup>
Cleft palate incidence	16% (3 of 19)	0	84% (16 of 19)	0	100% (20 of 20)
Small mandible	no	no	no	no	yes
Tongue anomaly	no	no	no	no	yes