

The role of ultrasonographic findings to predict molecular subtype, histologic grade, and hormone receptor status of breast cancer

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PURPOSE

The correlation between imaging findings and pathologic characteristics of tumors may provide information for diagnosis and treatment of cancer. The aim of this study is to determine whether ultrasound features of breast cancer are associated with molecular subtype, histologic grade, and hormone receptor status, as well as assess the predictive value of these features.

METHODS

A total of 201 consecutive invasive breast cancer patients were reviewed from the database according to the Breast Imaging and Reporting Data System (BI-RADS). Tumor margins were classified as circumscribed and noncircumscribed. Noncircumscribed group was divided into indistinct, spiculated, angular, and microlobulated. The posterior acoustic features were divided into four categories: shadowing, enhancement, no change, and mixed pattern.

RESULTS

Tumors with posterior shadowing were more likely to be of nontriple negative subtype (odds ratio [OR], 7.42; 95% CI, 2.10–24.99; $P = 0.002$), low histologic grade (grade 1 or 2 vs. grade 3: OR, 2.42; 95% CI, 1.34–4.35; $P = 0.003$) and having at least one positive receptor (OR, 3.36; 95% CI, 1.55–7.26; $P = 0.002$). Tumors with circumscribed margins were more often triple-negative subtype (OR, 6.72; 95% CI, 2.56–17.65; $P < 0.001$), high grade (grade 3 vs. grade 1 or 2: OR, 5.42; 95% CI, 2.66–11.00; $P < 0.001$) and hormone receptor negative (OR, 4.87; 95% CI, 2.37–9.99; $P < 0.001$).

CONCLUSION

Sonographic features are strongly associated with molecular subtype, histologic grade, and hormone receptor status of the tumor. These findings may separate triple-negative breast cancer from other molecular subtypes.

Breast cancer is the most common malignant tumor and the major cause of death from cancer among women worldwide. Breast cancer is also a heterogeneous and complex disease with different morphologic, biologic, and molecular characteristics (1). Although histopathologic characteristics of tumors have been used to determine prognosis and treatment of breast cancer, they do not provide sufficient information due to tumor heterogeneity. For this reason, several distinct molecular subtypes of breast cancer have been defined based on gene expression patterns (2). The St. Gallen International Expert Consensus determined a new biologic classification system based on the expression of tumor markers: estrogen receptor (ER), progesterone receptor (PR), human epidermal growth factor 2–neu (HER2), and more recently, Ki-67, which are evaluated routinely because of their utility in guiding clinical care. The classification system categorizes invasive breast carcinomas into five molecular subtypes: luminal A, luminal B (HER 2-), luminal B (HER 2+), HER 2, and triple-negative (1–5).

Molecular subtyping of breast cancer is a common practice for individualized cancer management, to understand prognosis of disease and avoid overtreatment. Radiologic imaging has an important role in diagnosis, staging, treatment, and follow-up of patients with breast cancer, and it may also help to predict molecular subtypes of patients with breast cancer for guiding treatment (4, 5). It is important for breast radiologists to understand the differences of these molecular subtypes.

Many studies have already determined the imaging features of breast cancer and a few studies focused on the association between ultrasonography (US) findings, different histologic grades, and hormone receptor status. However, the relationship between US features

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and molecular subtypes is not clear yet. The prediction of triple-negative molecular subtype by US may be important for diagnosis, prognosis, treatment, and understanding of the biologic behavior. It may also predict treatment efficacy of breast cancer. The purpose of our study was to investigate whether US features (e.g., tumor margins and posterior acoustic features) of breast cancer are associated with molecular subtypes, histologic grade, and hormone receptor status, as well as assess the predictive value of these features.

Methods

Patients

The US features of 220 consecutive primary invasive breast cancer patients, who were treated and followed up at our breast cancer center between November 2011 and August 2013, were retrospectively evaluated using electronic database. Of 220 patients, 19 patients with treatment of neoadjuvant chemotherapy, prior cancer history, pregnancy, and bilateral and recurrent breast cancer were excluded. Thirty-three of 201 invasive breast cancers (16.4%) were multifocal; in these cases, the largest lesion was evaluated for the purpose of this study. All patients had histologically proven breast cancer and molecular subtypes from surgical specimens. The study was approved by the institutional review board.

Ultrasonography

US scans were performed with a 13–5 MHz linear transducer (Acuson Antares, Siemens Medical) and evaluated by two breast radiologists who had at least five-year experience

on breast imaging. Both radiologists were blinded to the histopathology results. One radiologist assessed the US images of each tumor from the PACS and the soft copy images; the second radiologist was consulted if a case was unclear. All US exams were performed by radiologists, and multiple images were recorded.

US findings of margins and posterior acoustic features were retrospectively analyzed based on the criteria of the breast imaging reporting and data system (BI-RADS) (6, 7).

Posterior acoustic features were divided into four categories: shadowing, enhancement, mixed pattern, and no change. Tumor margins were categorized as circumscribed and noncircumscribed. Noncircumscribed category was divided into subgroups as indistinct, spiculated, angular, and microlobulated. These US findings were then correlated with molecular subtype, histologic grade, and hormone receptor status. Noncircumscribed and circumscribed groups were compared with each other.

Histologic analysis

Histologic grading was based on the modified Scarff-Bloom-Richardson system (8) and classified as: grade 1 (well-differentiated), grade 2 (moderately differentiated) and grade 3 (poorly differentiated). For the purpose of the study, grade 1 and 2 were considered as low grade, whereas grade 3 was considered as high grade. Differentiation between ductal and lobular carcinomas was made using E-cadherin stains in cases of equivocal histologic appearance.

Immunohistochemistry

The expression status of the ER, PR, HER2, and Ki-67 antigen was assessed by an immunohistochemical analysis with antibodies. Breast cancers were classified as hormone receptor-positive and negative and grouped into five molecular subtypes. Cells that had receptors for one of the estrogen or progesterone hormones, or both of them (ER+ and/or PR+), were considered “hormone receptor-positive.” Molecular subtypes were defined as follows: Luminal A: ER+, PR+, HER2-, and low Ki-67 index; luminal B (HER2-): ER+, PR+ or PR-, HER2-, and high Ki-67 index; luminal B (HER2+): ER+, PR+, HER2+; HER2: ER-, PR-, HER2+; and triple-negative: ER-, PR-, HER2-. In our study, the Ki-67 index was scored as high when 14% or more of the tumor cells were immunohistostained in accordance with the St. Gallen International Expert Consen-

sus guidelines (1). Immunohistochemistry results were taken from the reports and recorded.

Statistical analysis

All analyses were performed with the use of statistical software (SPSS, version 17.0; SPSS), with $P < 0.05$ and 95% CI indicating a significant difference. Logistic regression analyses were used to determine the association between tumor molecular subtype, grade, hormone receptor status, and US features. Distribution of demographic, radiologic, and pathologic findings according to molecular classification were evaluated using Pearson's chi-square test and Fisher Freeman Halton test with Monte Carlo procedure. Multivariate logistic regression analyses were used to evaluate the association between posterior acoustic changes and tumor margins.

Results

Our study population's tumor characteristics and US imaging features are shown in Table 1. The mean age at diagnosis was 50 ± 23 years (range, 23–83 years) and the ultrasonographic mean tumor size was 22 ± 14.1 mm. Histologic grade was 1 or 2 in 73 patients (36.3%) and grade 3 in 128 patients (63.7%). Among 201 patients with invasive breast cancer, molecular subtype was luminal A in 58 (28.9%), luminal B in 99 (49.3%), HER2 in 18 (9.0%), and triple-negative in 26 (12.9%). Tumors with hormone receptor-positive status ($n=154$) were more likely to have noncircumscribed margins ($n=108$, 70.1%) and tumors with hormone receptor-negative status ($n=47$) were more likely to have circumscribed margins ($n=32$, 68.1%) ($P < 0.001$).

Tumors with posterior shadowing were more likely to be of nontriple-negative subtype (odds ratio [OR], 7.42; 95% CI, 2.10–24.99; $P = 0.002$), low histologic grade (grade 1 or 2 vs. grade 3: OR, 2.42; 95% CI, 1.34–4.35; $P = 0.003$), and having at least one positive hormone receptor (OR, 3.36; 95% CI, 1.55–7.26; $P = 0.002$) (Fig. 1).

Tumors with circumscribed margins were more often triple-negative subtype (OR, 6.72; 95% CI, 2.56–17.65; $P < 0.001$), high grade (grade 3 vs. grade 1 or 2: OR, 5.42; 95% CI, 2.66–11.00; $P < 0.001$) and hormone receptor-negative (OR, 4.87; 95% CI, 2.37–9.99; $P < 0.001$) (Fig. 2). Tumors with posterior acoustic enhancement were commonly high grade (OR, 1.65, 95% CI, 0.92–2.97)

Main points

- Radiologic imaging has an important role in diagnosis, staging, treatment, and follow-up of patients with breast cancer, and it may also help to predict molecular subtypes of patients with breast cancer for guiding treatment.
- The prediction of triple-negative molecular subtype by ultrasonography (US) may be important for diagnosis and management.
- Breast US performed by experienced radiologists may also help to predict hormone receptor status.
- Sonographic features such as margins and posterior acoustic features were found to be significantly associated with molecular subtype, histologic grade, and hormone receptor status.

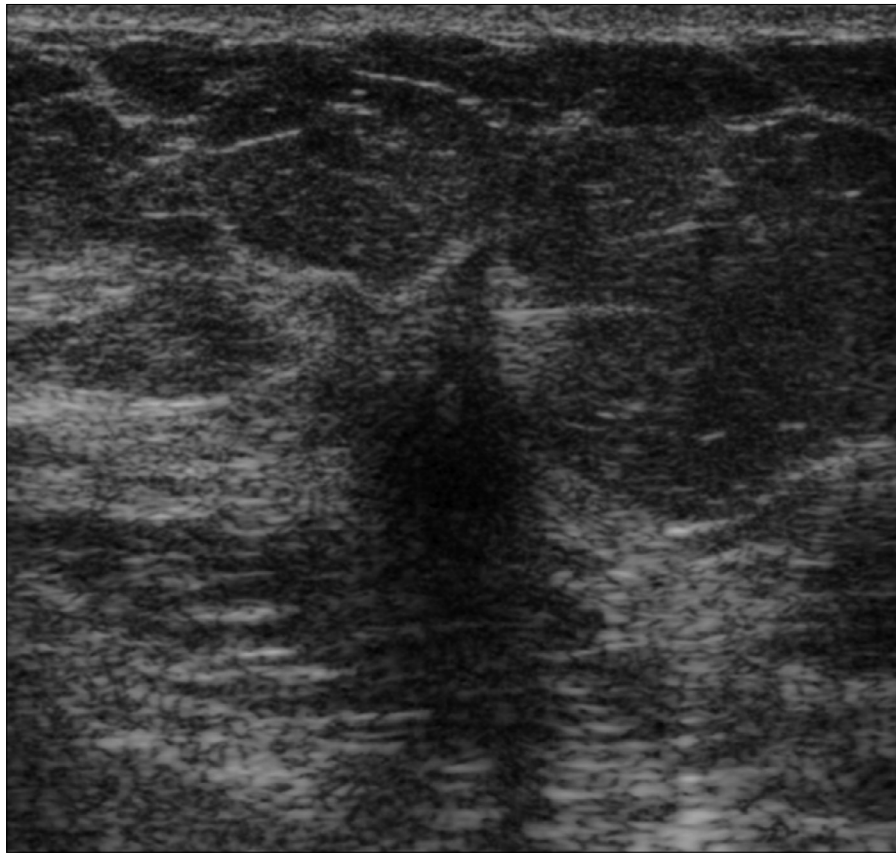


Figure 1. A 55-year-old woman with hormone receptor-positive (luminal B subtype) invasive ductal carcinoma (low grade). US image shows noncircumscribed hypoechoic mass with indistinct margins and posterior acoustic shadowing in the right upper breast.

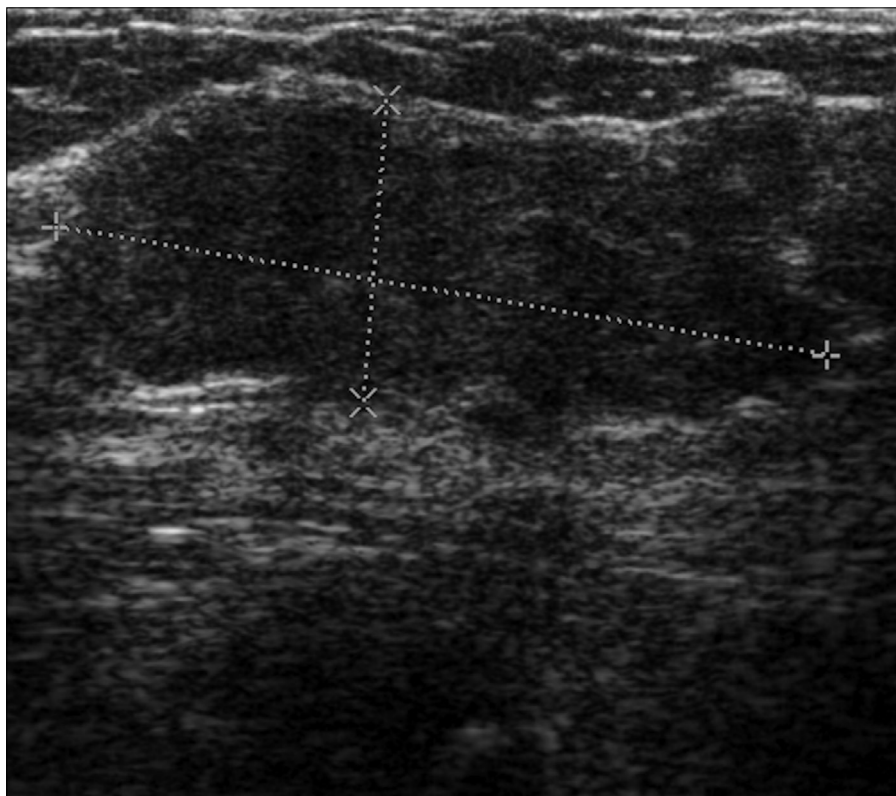


Figure 2. A 44-year-old woman with triple-negative invasive ductal carcinoma (high grade). US image shows lobulated, circumscribed hypoechoic mass with posterior enhancement in the left upper breast.

though there was no statistical significance ($P=0.095$). There was, however, a strong association between posterior enhancement and hormone receptor negativity (OR, 2.46; 95% CI, 1.26–4.8; $P=0.009$) and triple negativity (OR, 3.98; 95% CI, 1.59–9.95; $P=0.003$). Posterior tumor enhancement and circumscribed margins were together significantly associated with high grade, and they were different from tumors with only posterior acoustic enhancement. This situation increases the likelihood of hormone receptor negativity having a triple-negative status. Together, posterior shadowing and noncircumscribed margins were significantly correlated with low grade, hormone receptor positivity and nontriple-negative subtype (Table 2, Fig. 3).

In our study most patients had luminal A and luminal B subtypes (78%) and only 13% had triple-negative breast cancer. Luminal A ($n=41$, 70%) and luminal B ($n=68$, 68.7%) subtypes were more often associated with noncircumscribed margins. Triple-negative breast cancers often had circumscribed margins ($n=20$, 76.9%), with only 23% of them having noncircumscribed margins ($P < 0.001$) (Table 1).

Discussion

Our study showed that sonographic features such as posterior acoustic features and margins were significantly associated with molecular subtype and histologic grade. Luminal A and luminal B subtypes were more often associated with noncircumscribed margins and triple-negative breast cancers commonly had circumscribed margins.

Breast cancer is a heterogeneous disease with different histopathologic and biologic features. Hence, suitable classification is needed for appropriate individual management (9–11). Currently due to the inadequate prognostic power and predictive accuracy of existing classifications, a modified classification according to molecular characteristics of breast cancer was defined by the 13th St. Gallen Breast Cancer Conference to categorize breast cancers into molecular subtypes (1). Despite the lack of a complete overlap among molecular classes and their immunohistochemical status, the St. Gallen Breast Cancer Conference accepted immunohistochemistry as a basic methodology to identify breast cancer subtypes. This new molecular classification may overcome the limitations of previous schemes (9). Most of

Table 1. Distribution of demographic, radiologic, and pathologic findings according to molecular classification

Dependent variables	Independent variables, n (%)					P ^a
	All cases n=201	Lum A n=58	Lum B n=99	Her2 n=18	TNBC n=26	
Age (years)						0.038
≤50	102 (50.7)	23 (39.7)	52 (52.5)	8 (44.4)	19 (73.1)	
>50	99 (49.3)	35 (60.3)	47 (47.5)	10 (55.6)	7 (26.9)	
Menopausal status						0.189
Premenopausal	96 (47.8)	24 (41.4)	48 (48.5)	7 (38.9)	17 (65.4)	
Postmenopausal	105 (52.2)	34 (58.6)	51 (51.5)	11 (61.1)	9 (34.6)	
Sonographic tumor size (mm)						0.014
<20	106 (52.7)	39 (67.2)	51 (51.5)	5 (27.8)	11 (42.3)	
≥20	95 (47.3)	19 (32.8)	48 (48.5)	13 (72.2)	15 (57.7)	
Margins						<0.001
Circumscribed	78 (38.8)	17 (29.3)	31 (31.3)	10 (55.6)	20 (76.9)	
Noncircumscribed	123 (61.2)	41 (70.7)	68 (68.7)	8 (44.4)	6 (23.1)	
Posterior acoustic features						0.041
Shadowing	88 (43.8)	29 (50.0)	49 (49.5)	7 (38.9)	3 (11.5)	
Mixed	23 (11.4)	4 (6.9)	12 (12.1)	3 (16.7)	4 (15.4)	
Enhancement	27 (13.4)	7 (12.1)	10 (10.1)	2 (11.1)	8 (30.8)	
No change	63 (31.3)	18 (31.0)	28 (28.3)	6 (33.3)	11 (42.3)	
Tumor grade						<0.001
1 or 2	73 (36.3)	45 (77.6)	25 (25.3)	2 (11.1)	1 (3.8)	
3	128 (63.7)	13 (22.4)	74 (74.7)	16 (88.9)	25 (96.2)	

Lum A, luminal A breast cancer; Lum B, luminal B breast cancer; Her2, human epidermal growth factor 2-neu; TNBC, triple-negative breast cancer.
^aPearson's chi-square test.

the patients in our study had luminal A and B molecular subtypes (78%) and only 13% had triple-negative breast cancer.

The use of breast US exam has become an effective method to differentiate benign from malignant lesions, especially in young women with dense breast tissue (12). Although many previous studies have focused on the sonographic appearance of breast cancer according to grade, the same is not true for the association between tumor subtypes (3, 8). We have very limited imaging data with regards to the determination of the sonographic features of molecular subtypes. As compared with hormone receptor-negative status, tumors with hormone receptor-positive status were more likely to have noncircumscribed margins. In our study, tumors with posterior shadowing and noncircumscribed margins were more likely to be of nontriple-negative subtype.

Previously, the majority of malignant breast tumors were expected to have pos-

terior acoustic shadowing at US and have poorly defined spiculated margins (13, 14). However, it is now widely known that many tumors may have variable posterior acoustic features; new studies have shown that well-defined margins and posterior enhancement are more likely to represent higher grade tumors and negative receptor status (13, 15, 16). Our results are consistent with these findings and there is a strong statistically significant correlation between these parameters.

Lacroix et al. (20) determined that grade 1 tumors (low grade) and grade 2 tumors (intermediate grade) show stromal reaction, which results in spicules and perilesional hyperechogenic halo, while grade 3 (high grade) tumors do not develop stromal reaction and have a round shape. Conversely, Lamb et al. (15) found that higher grade tumors are significantly more likely than lower grade ones to show indistinct margins and posterior acoustic

enhancement. Rotstein and Neerhut (11) mentioned that grade 3 invasive ductal cancers show the classic feature of acoustic shadowing. These studies did not use BI-RADS lexicon to categorize sonographic features. All these studies showed different results and there was no concordance between them.

The presence of receptors is important for good prognosis and indicates hormone sensitivity. Shin et al. (16) showed that masses with circumscribed margins and posterior acoustic enhancement are associated with high grade and negative hormone status. In our study, similar to these results, tumors with circumscribed margins and posterior enhancement were more likely to be triple-negative, high grade, and hormone-receptor negative. Receptor positivity is associated with an irregular shape and spiculated margins, and it is significantly more frequent than triple-negative cancers (11). Our results are consistent with this

Table 2. Comparison of US features, hormone receptor status, histologic grade, and molecular subtypes

US features	Outcome characteristics	OR (95% CI)	P
Circumscribed vs. noncircumscribed	HR- vs. HR+	4.87 (2.37–9.99)	<0.001
	TNBC vs. Lum A+Lum B+Her2	6.72 (2.56–17.65)	<0.001
	High grade tumor	5.41 (2.66–11.00)	<0.001
Posterior tumor shadowing vs. no shadowing	HR+ vs. HR-	3.36 (1.55–7.26)	0.002
	Lum A+Lum B+Her2 vs. TNBC	7.24 (2.10–24.99)	0.002
	Low grade tumor	2.42 (1.34–4.35)	0.003
Noncircumscribed margins+shadowing vs. others	HR+ vs. HR-	4.75 (2.08–10.83)	<0.001
	Lum A+Lum B+Her2 vs. TNBC	10.58 (2.43–46.14)	0.002
	Low grade tumor	2.53 (1.40–4.57)	0.002
Posterior tumor enhancement vs. no enhancement	HR- vs. HR+	2.46 (1.26–4.81)	0.009
	TNBC vs. Lum A+Lum B+Her2	3.98 (1.59–9.95)	0.003
	High grade tumor	1.65 (0.92–2.97)	0.095
Posterior tumor enhancement +circumscribed margins vs. others	HR- vs. HR+	3.18 (1.37–7.39)	0.007
	TNBC vs. Lum A+Lum B+Her2	3.65 (1.39–9.52)	0.008
	High grade tumor	5.39 (1.56–18.57)	0.008

US, ultrasonography; OR, odds ratio; CI, confidence interval; HR, hormone receptor; TNBC, triple-negative breast cancer; Lum A, luminal A breast cancer; Lum B, luminal B breast cancer; Her2, human epidermal growth factor 2-neu. Logistic regression, $P < 0.05$.

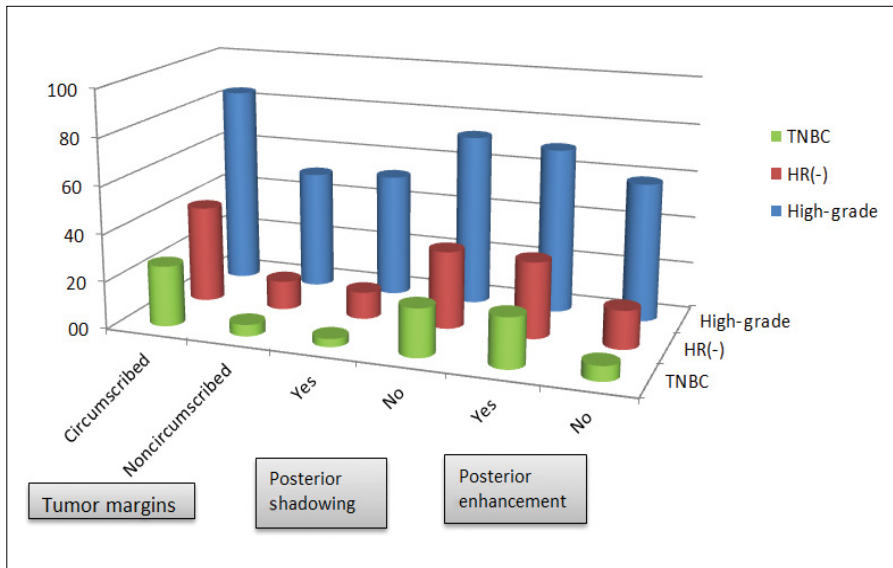


Figure 3. Comparison of US features, hormone receptor (HR) status, histologic grade, and molecular subtypes. TNBC, triple negative breast cancer.

finding and a strong relationship was observed between noncircumscribed margins and hormone receptor positivity.

Blaichman et al. (3) determined that triple-negative cancers were almost always high grade at diagnosis and showed posterior enhancement more commonly. Additionally, the presence of shadowing was strongly associated with low grade (about 92% were low grade in their study). Kojima

et al. (17) mentioned that triple-negative breast cancers were more likely to be lobulated in shape and having circumscribed margins and less likely to show posterior attenuating. Our results confirm the findings of these studies. Triple-negative breast cancers were likely to be histologically intermediate and high-grade tumors. This trend was the same for patients with ER-/PR-/HER2+ breast cancers, compared with pa-

tients with ER+/PR-/HER2- breast cancers. Although a triple-negative breast cancer may have similar sonographic features to a benign lesion, sonographic imaging recognition can assist in both pretreatment planning and understanding biologic behavior of this entity (18).

The posterior enhancement is seen more often in hormone receptor-negative HER2+ cancers (50%) than hormone receptor-positive HER2- cancers (29%) (16). Triple-negative tumors present with round, oval, and lobular shapes having an indistinct or microlobulated contour (19, 20). Posterior enhancement is determined in 35.5% to 49% of triple-negative cancers and 50% of hormone receptor-negative HER2+ cancers (16, 18). In our study, we did not investigate the enhancement for all subtypes separately; however, posterior acoustic enhancement was more common in the triple-negative subtype than in the other subtypes.

Histopathologic evaluation is an essential requirement for breast cancer diagnosis and treatment. Advanced pathologic procedures including ER, PR, and HER2/neu status are also needed as prognostic and predictive factors. The reliability of hormone receptor status is dependent on tissue handling and processing. These steps can lead to false-negative results if quality control is not sufficient. The issues related to patholo-

gy services in low-middle income countries include limited financial resources, limited equipment, as well as inadequate numbers of expert pathologists and technologists (21, 22). Due to these negative factors, hormone receptors are not routinely determined. Our findings suggest that breast US performed by experienced radiologists may help predict the hormone receptor status and molecular subtypes of tumors. In countries without resources for receptor testing, US features may be used to make the decision for hormone therapy.

Our study has many limitations such as its retrospective design, small sample size, and lack of some sonographic features determined in BI-RADS US lexicon. Furthermore, our results cannot completely reflect actual clinical conditions, because the analyses were carried out on selected images rather than images that were acquired during real-time scanning. Despite these limitations, our study provides a new additional insight into US features of breast cancer lesions.

In conclusion, sonographic features such as margins and posterior acoustic features were found to be significantly associated with molecular subtype, histologic grade, and hormone receptor status. Being able to predict the molecular subtype, especially the triple-negative subtype by US might also have an important role for earlier management and treatment. Further work with larger populations and prospective nature are necessary to determine the full potential of US in the evaluation of the molecular subtypes of malignant breast lesions.

Conflict of interest disclosure

The authors declared no conflicts of interest.

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