

Identification of methylation markers for the prediction of nodal metastasis in oral and oropharyngeal squamous cell carcinoma

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Abbreviations: FFPE, formalin-fixed paraffin-embedded; OOSCC, oral and oropharyngeal squamous cell carcinoma; pN status, pathologically determined nodal status; (Q)MSP, (quantitative) methylation-specific PCR.

Hypermethylation is an important mechanism for the dynamic regulation of gene expression, necessary for metastasizing tumour cells. Our aim is to identify methylation tumour markers that have a predictive value for the presence of regional lymph node metastases in patients with oral and oropharyngeal squamous cell carcinoma (OOSCC). Significantly differentially expressed genes were retrieved from four reported microarray expression profiles comparing pN0 and pN+ head-neck tumours, and one expression array identifying functionally hypermethylated genes. Additional metastasis-associated genes were included from the literature. Thus genes were selected that influence the development of nodal metastases and might be regulated by methylation. Methylation-specific PCR (MSP) primers were designed and tested on 8 head-neck squamous cell carcinoma cell lines and technically validated on 10 formalin-fixed paraffin-embedded (FFPE) OOSCC cases. Predictive value was assessed in a clinical series of 70 FFPE OOSCC with pathologically determined nodal status. Five out of 28 methylation markers (*OCLN*, *CDKN2A*, *MGMT*, *MLH1* and *DAPK1*) were frequently differentially methylated in OOSCC. Of these, *MGMT* methylation was associated with pN0 status ($P = 0.02$) and with lower immunoexpression ($P = 0.02$). *DAPK1* methylation was associated with pN+ status ($P = 0.008$) but did not associate with protein expression. In conclusion, out of 28 candidate genes, two (7%) showed a predictive value for the pN status. Both genes, *DAPK1* and *MGMT*, have predictive value for nodal metastasis in a clinical group of OOSCC. Therefore DNA methylation markers are capable of contributing to diagnosis and treatment selection in OOSCC. To efficiently identify additional new methylation markers, genome-wide methods are needed.

Introduction

Oral and oropharyngeal squamous cell carcinomas (OOSCC) compose the largest subgroup of head and neck cancer, and are estimated to have caused over 42,000 new cases in the United States in 2014.¹ OOSCC are characterized by regional metastatic spread to the lymph nodes of the neck in an early stage. Patients with regional lymph node metastases are generally treated with curative intent. When regional metastases are not adequately treated, distant spread results, which is considered as incurable disease. Therefore, it is essential to make an accurate assessment of the nodal (N) status of the neck to adequately treat patients with OOSCC.² However, current imaging methods to assess the presence of metastases in the palpation-negative neck showed a

sensitivity of 60–70%.³ Sentinel lymph node biopsy, when performed intra-operatively on frozen sections, has a comparable sensitivity of 50–70%.^{4,5}

DNA hypermethylation is an important mechanism for the regulation of gene expression, in both physiological and pathological conditions.⁶ DNA hypermethylation is a form of epigenetic regulation, in which the genetic sequence is not altered, but CH₃-groups are added to the cytosine of CpG dinucleotides which, when present in the promoter region of a gene, leads to transcriptional repression of the associated protein. This process is reversible, and hypomethylation leads to reactivation of gene transcription.⁷ Thus, hypermethylation of tumor suppressor genes and hypomethylation of oncogenes may contribute to carcinogenesis and cancer progression.⁸ Because of its dynamic

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nature, methylation is a possible candidate mechanism for the dynamic regulation of gene expression during metastatic progression of OOSCC cells.⁹

Moreover, several demethylating drugs have been developed and show that treatment results in re-expression of formerly hypermethylated genes. Decitabine and Azacitidine are therapeutic demethylating agents and have already been used in treatment of specific hematological malignancies.¹⁰ Therefore, methylation can also be therapeutically targeted.¹¹

Methylation-specific PCR (MSP) is one of the most widely used methylation detection methods, because of its cost-effectiveness and high sensitivity.¹² The availability of such a sensitive detection method may allow methylation to become a prognostic or diagnostic tool in the clinical setting. For example, hypermethylation of *MGMT* in gliomas has been shown to predict patient response to alkylating chemotherapy.¹³

Various studies have identified several genes that are frequently hypermethylated in OOSCC,^{14,15} such as *CDH1*, *CDKN2A*, *O-6-methylguanine-DNA methyltransferase (MGMT)*, *death-associated protein kinase 1 (DAPK1)*, *RARB*, and *RASSF1*, but only few of those have been associated with metastasis.^{16,17} In other cancers, various methylation markers have been associated with cell migration and invasion *in vitro*^{18,19} and the presence of nodal metastasis.^{19,20}

In this study, we set out to identify novel methylation markers that are associated with the presence of lymph node metastases in patients with OOSCC. We selected candidate genes with a CpG island from the most differentially expressed genes, as reported in 4 published metastasis-associated gene profiles,²¹⁻²⁴ and the genes from these 4 profiles that were functionally methylated (showing increased expression after demethylating treatment), as determined in a previous study performed in our lab.²⁵ Additionally, we selected several genes that were reported to be associated with metastasis in previous studies in squamous cell carcinomas. These methylation markers were tested by MSP in a clinical series of OOSCC with pathologically determined N status for their predictive value for the presence of lymph node metastases.

Results

Candidate gene selection and initial testing

Using the strategy outlined in Figure 1, 28 candidate genes were selected for analysis (Table 1). Two markers did not show any product during the optimization phase and were excluded. Of the 26 markers tested on the initial set of 5 N0 and 5 N+ formalin-fixed, paraffin-embedded (FFPE) OOSCC samples, 17 markers were methylated in none of the 10 OOSCC samples, 3 markers (*PPT2*, *BTG2*, *CAVI*) were methylated in only one sample, and one marker (*TJPI*) was methylated in all samples. Five markers showed methylation in 2 or more tumor samples and were considered eligible for further analysis (*OCLN*, *CDKN2A*, *MGMT*, *MLH1*, and *DAPK1*).

Predictor gene identification

OCLN, *CDKN2A*, *MGMT*, *MLH1*, and *DAPK1* were tested on 32 pN0 and 38 pN+ cases (Table 2). *MGMT* was methylated in 13/32 (41%) of pN0 and 6/38 (16%) of pN+ cases and showed a significant association with nodal status ($P = 0.02$). *DAPK1* methylation was also significantly associated with nodal status ($P = 0.008$); however, in contrast to *MGMT*, *DAPK1* was more frequently methylated in pN+ (10/38, 26%) than in pN0 cases (1/32, 3%). *OCLN*, *CDKN2A*, and *MLH1* showed more methylation in pN+ tumors also, but the difference was not statistically significant (Table 3).

MGMT had a predictive value of OR = 0.28 [95% confidence interval (CI): 0.09–0.84] and *DAPK1* had an OR = 11.1 (95% CI: 1.33–92.1) for the pN status (Table 4). The wide 95% CI is probably attributable to the relatively small patient sample ($n = 70$) used in this study. Multivariate regression analysis revealed that both markers were not independent from currently used clinicopathological predictors, reflected in the cN status. However, the predictive values of *MGMT* and *DAPK1* were independent from each other (Table 5A). The combined regression model of *MGMT* and *DAPK1* had a negative predictive value for the pN status of 76% (Table 5B).

Immunohistochemistry

To assess if methylation of the 2 predictive markers *MGMT* and *DAPK1* was associated with decreased expression, we performed immunohistochemistry on the available tumor tissue of the same cases that had been used to assess the predictive values of methylation. Because *MGMT*²⁶ and *DAPK1*,²⁷ in particular, are known to be heterogeneously expressed within the tumor, we investigated expression in the tumor center and tumor front separately in 66 OOSCC cases that were present on the tissue microarrays (Fig. 2). *MGMT* methylation was associated with low expression both in the tumor front (12% expression in methylated vs. 43% in unmethylated cases) and in the tumor center (26% in methylated vs. 36% in unmethylated cases), but this was only statistically significant in the tumor front ($P = 0.02$; Table 6; Figure 3). For *DAPK1* methylation, no associations were found with expression in tumor front ($P = 1.0$) or center ($P = 0.14$; Table 6).

Discussion

The goal of our study was to identify novel methylation markers for the prediction of nodal metastasis. We selected 28 candidate genes, of which 2 (7%) showed a predictive value for the nodal (N) status. Both genes, *DAPK1* and *MGMT*, have been described as frequently methylated in OOSCC^{16,17} and other cancers.²⁸

Most candidate genes (12/28) were selected from the most differentially expressed genes in independent microarray studies of N0 vs. N+ HNSCC. We hypothesized that gene-specific promoter methylation lead to the observed gene silencing in N+ cases. However, none of these selected genes showed any

Flowchart for candidate gene selection and testing

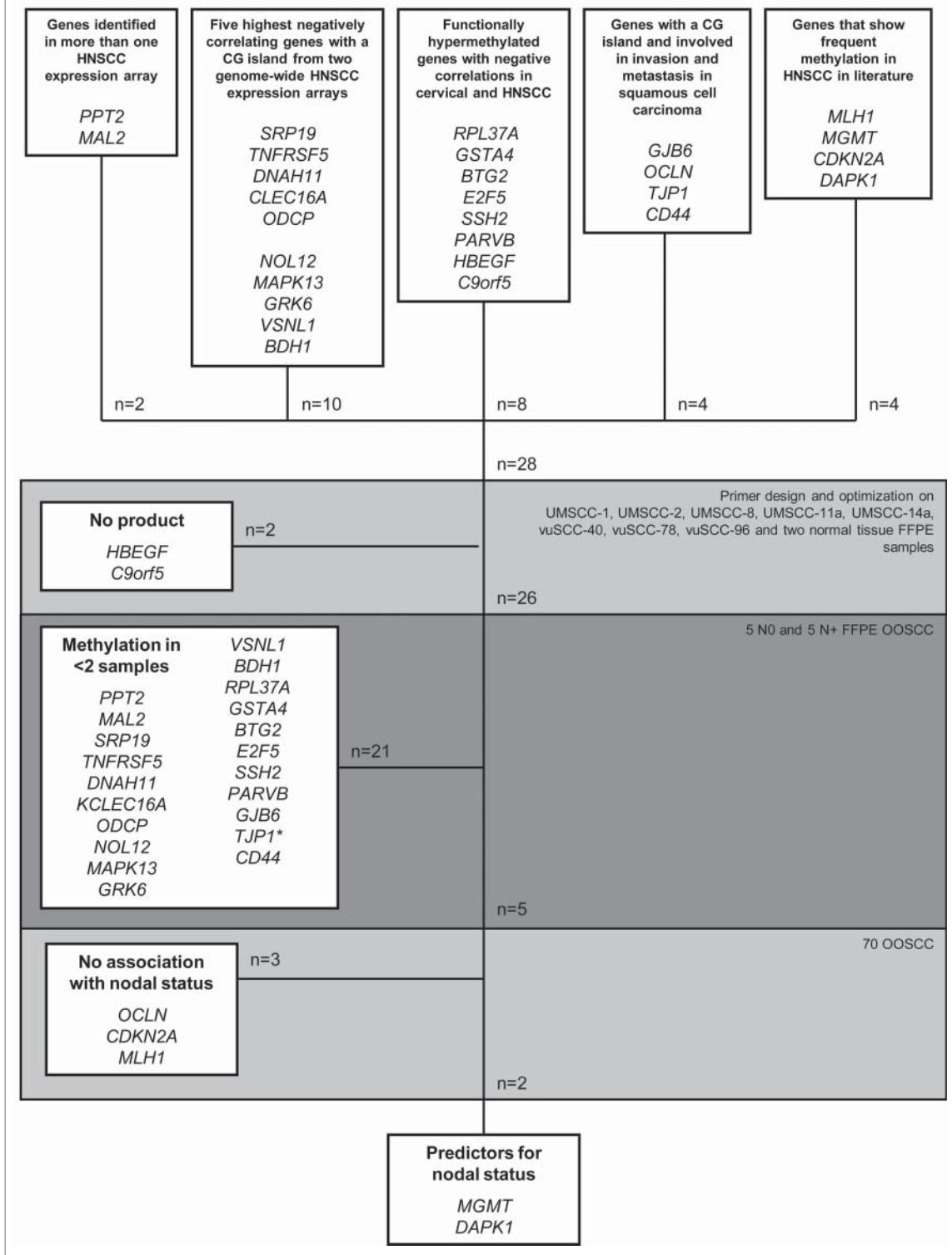


Figure 1. Flowchart for candidate gene selection and testing * *TJP1* showed methylation in all samples and was therefore excluded.

Table 1. Selected candidate genes

Genes identified in more than one HNSCC expression array			
Gene	GenBank ID	Study	Correlation ²¹
<i>PPT2</i>	NM_005155	21,22,24	-0.417
<i>MAL2</i>	NM_052886	21,22	-0.544
Five highest negatively correlating genes with a CpG island from 2 genome-wide HNSCC expression arrays			
Gene	GenBank ID	Study	Correlation ²¹ or P-value ²⁴
<i>SRP19</i>	NM_003135	21	-0.814
<i>TNFRSF5 (=CD40)</i>	NM_001250	21	-0.802
<i>DNAH11</i>	NM_003777	21	-0.776
<i>KIAA0350(=CLEC16A)</i>	NM_015226	21	-0.760
<i>ODCP</i>	NM_052998	21	-0.741
<i>NOL12</i>	NM_024313	24	0.0001
<i>MAPK13</i>	NM_002754	24	0.0003
<i>GRK6</i>	NM_001004106	24	0.0009
<i>VSNL1</i>	NM_003385	24	0.0013
<i>BDH1</i>	NM_004051	24	0.0020
Functionally hypermethylated genes with negative correlations in cervical and HNSCC			
Gene	Affymetrix ID	HNSCC study	Correlation ²¹ , P-value ²⁴ or z-score ²³
<i>RPL37A</i>	213459_at	21	-0.162
<i>GSTA4</i>	202967_at	23	-3.91
<i>BTG2</i>	201236_s_at	23	-4.58
<i>E2F5</i>	221586_s_at	21	-0.356
<i>SSH2</i>	230970_at	21	-0.475
<i>PARVB</i>	37966_at	21	-0.286
<i>HBEGF</i>	38037_at	23	-4.11
<i>C9orf5</i>	230764_at	23	-0.075
Genes with a CpG island and involved in invasion and metastasis in squamous cell carcinoma			
Gene	GenBank ID	Study	
<i>GJB6</i>	NM_001110221	52	
<i>OCLN</i>	NM_002538	53	
<i>TJP1</i>	NM_003257	54	
<i>CD44</i>	NM_000610	55	
Genes that show frequent methylation in HNSCC			
Gene	GenBank ID	Study	
<i>MLH1</i>	NM_001258271	56,57	
<i>MGMT</i>	NM_002412	41,43,56	
<i>CDKN2A</i>	NM_000077	41,43,56	
<i>DAPK1</i>	NM_004938	41,43	

Primer sequences are reported in Supplementary Data 2.

methylation, indicating that other mechanisms are responsible for their downregulation. One explanation for the finding that the most differentially expressed genes lack promoter methylation is that our selection might have caused a bias toward genes down-regulated by other mechanisms because methylation rarely causes complete transcriptional repression.²⁹

We also selected 8 genes that had predictive value in the metastatic gene profiles^{21-24,30} and showed upregulation after demethylating treatment in cell lines.²⁵ However, the functional regulation of these genes by methylation *in vitro* might not apply to clinical tumor samples, due to (*in vivo*) intra-tumor heterogeneous methylation.³¹ Additionally, genes selected from

Table 2. Clinicopathological characteristics

	Total	pN0	pN+
Total patients	70 (100)		
Total tumors	70 (100)	32	38
Sex			
Male	39	19	20
Female	31	13	18
Age at diagnosis (y)			
Median	63.5	64	63.5
Range	25–94	25–89	25–94
Site			
Tongue	26	15	11
Floor of mouth	22	12	10
Oropharynx	9	1	8
Other	13	4	9
cN status			
0	48	31	17
+	22	1	21
pT status			
1–2	50	27	23
3–4	20	5	15
Extranodal spread (only pN+)			
No	21		21
Yes	17		17
Perineural invasion			
No	53	28	25
Yes	14	2	12
Unknown	3	2	1
Lymphovascular invasion			
No	48	25	23
Yes	12	5	7
Unknown	10	2	8
Histological differentiation			
Well	14	13	1
Moderate	42	16	26
Poor	9	1	8
Unknown	5	2	3
Infiltration depth (mm) (n = 65)			
Median	8.0	5.70	10.0
Range	0.52–30.0	0.52–25.0	1.90–30.0
High-risk HPV status			
Negative	61	30	31
Positive	3	1	2
Unknown	6	1	5

metastatic profiles reported in microarray studies do not accurately reflect the metastatic genotype, because these signatures are largely platform and analysis related and composition of predictive profiles varies enormously between different studies.³² In fact, comparing the 4 microarray studies, shows that no single gene was reported in all 4 profiles.^{21–24} This demonstrates that using expression profiles to identify new metastasis-specific OOSCC methylation markers is not effective.

Differentially hypermethylated regions (DMRs) in cancer are frequently found in or overlapping CpG islands (~40% of hypermethylated DMRs). Another 30% of hypermethylated DMRs are located in a region of 500 bp flanking the CpG islands.³³ Our MSP primers were designed in the conventional areas [in CpG islands within –500 to +500 bp from the transcription start site (TSS)], which include 40–70% of the DMRs.

Table 3. Cross table analyses of the 5 genes eligible for testing on the patient series

		pN status		P-value
		N0	N+	
OCLN	U	14	16	0.67
	M	2	4	
CDKN2A	U	27	27	0.19
	M	5	11	
MGMT	U	19	32	0.02
	M	13	6	
MLH1	U	32	36	1.00
	M	0	1	
DAPK1	U	31	28	0.008
	M	1	10	

U = unmethylated; M = methylated.

However, it is possible that the regions most responsible for transcriptional regulation are located in specific regions outside these areas (CpG island shores).³³ The CpG island shores are not CG-rich and consequently not useful for optimal MSP primer design. Because we restricted our analysis to the CpG-rich regions close to the TSS to enable optimal MSP design, we cannot exclude that the differentially expressed genes are regulated by DNA methylation in other regions, such as CpG island shores, which contain ~15% of the hypermethylated DMRs.

The selection of 4 genes that show frequent methylation in HNSCC produced the 2 methylation markers that were ultimately found to have predictive value for the presence of lymph node metastases (*DAPK1* and *MGMT*).

DAPK1 is one of the most widely studied methylated genes. *DAPK1* methylation is frequently found in a wide array of over 20 tumor types.³⁴ *DAPK1* is a tumor suppressor gene, and methylation of this gene has been associated with shorter disease-free survival in surgically treated Stage I lung tumors³⁴ and with metastasis in several tumor types including, head and neck tumors.³⁵ This latter study, which used similar primers, found comparable rates of *DAPK1* methylation, 15/79 (19%) overall (compared to 16% in our study), and a significant association with N status (27% methylation in N+ group, compared to 26% in our study), confirming the results found in our study. In contrast to the studies in leiomyosarcoma and urothelial carcinoma that utilized the same immunohistochemical scoring method and found associations with methylation status,^{36,37} we did not find an association between *DAPK1* methylation and protein expression. Because this scoring method might not be reliable in OOSCC, we also analyzed high- and low-expression compared to the median (percentage of tumor cells having moderate or strong expression), and associated this with *DAPK1* methylation. Again, no significant associations were found. *DAPK1* is a serine/threonine kinase involved in several mechanisms linked to cell death and autophagy. It has pro-apoptotic activity by suppressing integrin-mediated survival signals, thus inducing a specific form of apoptosis, called anoikis. Tumor cells that have loss of anoikis by inactivated *DAPK1* are more likely to

Table 4. Univariate and multiple logistic regression with pN status

Variable		Univariate logistic regression		Multiple logistic regression	
		OR	95% CI	OR	95%CI
cN status	0	1		1	
	+	38.3	4.7–310	38.5	3.5–422
pT status	1	1			
	2	3.5	1.11–11.2		
Perineural invasion	No	1			
	Yes	6.7	1.4–33.0		
Lymphovascular invasion	No	NS			
	Yes				
Histological differentiation	Well	1		1	
	Moderate-poor	26.0	3.1–215	25.9	1.9–351
Infiltration depth	(per mm)	1.1	1.0–1.3		
HR-HPV status	Negative	NS			
	Positive				
<i>MGMT</i>	U	1			
	M	0.28	0.09–0.84		
<i>DAPK1</i>	U	1			
	M	11.1	1.33–92.1		

All assessed with univariate logistic regression. Infiltration depth is continuous (per millimeter). CI: confidence interval; U = unmethylated; M = methylated.

survive during migration and, therefore, more likely to cause metastases.³⁸ Furthermore, *DAPK1* has an antimigratory effect by blocking integrin-mediated cell polarization.³⁹ Therefore, *DAPK1* downregulation by hypermethylation increases metastasis and tumor cell survival.

MGMT is a DNA repair enzyme. *MGMT* methylation is mostly known for being predictive for better response to alkylating chemotherapy in glioblastoma and, to a lesser extent, to radiotherapy.⁴⁰ In OOSCC, several studies assessing *MGMT* methylation using various techniques did not find associations with N status.^{41,42} However, in a large study of >200 laryngeal

and hypopharyngeal tumors, *MGMT* methylation was significantly associated with N0 status.⁴³ In that study, the same primers were used and a comparable *MGMT* methylation rate of 27% was found (also 27% in our study). How the higher methylation rates in pN0 cases affect the metastatic potential of OOSCC is not clear. Loss of the repair function of *MGMT* may increase the accumulation of mutations, especially in smoking-induced tumors, such as OOSCC. Because smoking is associated with higher methylation rates in general⁴⁴ and methylation of *MGMT* specifically,⁴⁵ *MGMT* methylation might be a pseudo marker for smoking-induced tumors, rather than for HPV-associated tumors, which are more frequently pN+, according to some authors.⁴⁶ However, *MGMT* methylation was not associated with HPV status in our study (data not shown), nor in another study with more HPV-positive cases.⁴⁷ In our series, we show for the first time that in OOSCC, *MGMT* methylation is associated with a decreased expression in the invasive tumor front, but not in the tumor center (Fig. 3). This is in line with the reported heterogeneity of methylation markers and their associated proteins,^{26,31} and with the fact that methylation is associated with heterogeneous rather than with overall low expression.²⁹

The negative predictive value (NPV) of the combined model of *DAPK1* and *MGMT* methylation of 76% in the current study is even slightly better than the 72% found in a 696-gene expression signature.⁴⁸ However, a NPV of over 80% is needed to outperform current clinical nodal staging techniques,³³ including sentinel lymph node biopsy.⁴⁹ Obviously, further validation of the methylation markers, especially on the clinically most relevant subgroup of pT1-2cN0 cases, is needed. In the current study, both *DAPK1* and *MGMT* were non-significant predictors in the pT1-2cN0 subgroup (n = 37; data not shown). Treatment of OOSCC patients using demethylating drugs may not be effective, as our study shows that demethylation of *DAPK1* might be beneficial, but demethylation of *MGMT* might result in nodal disease.

MSP is not a quantitative technique. Although quantitative MSP for *DAPK1* and *MGMT* enables specific cut-off values, thus customizing sensitivity and specificity, MSP is a more suitable technique for assessing a set of markers because it is a quick, low-cost and sensitive technique, able to detect a single methylated allele in a background of 1,000 unmethylated alleles.⁵⁰ However, selecting and testing of various possible methylation markers proved to be an inefficient method to identify new predictive markers. To improve marker selection efficiency, genome-wide methods are needed.⁵¹

In conclusion, we analyzed 28 candidate methylation markers for their predictive value for N status by MSP on a large clinical group of OOSCC. *MGMT* and *DAPK1* were identified as predictors of nodal metastasis in OOSCC with a high predictive value and specificity and sensitivity comparable to other markers previously reported. In addition, we showed for the first time that *MGMT* methylation is associated with a decreased expression in the invasive tumor front. This confirms the predictive value of methylation markers and the biological impact of methylation on the metastatic

Table 5. (A) Multiple logistic regression of *DAPK1* and *MGMT* for pN status. (B) Crosstable for the *DAPK1* and *MGMT* test combined vs. pN status

(A)		Multivariate logistic regression	
Variable		OR	95%CI
<i>DAPK1</i> methylation	U	0	
	M	11.1	1.28–96.7
<i>MGMT</i> methylation	U	0	
	M	0.27	0.08–0.90

(B)		pN status	
		0	+
<i>DAPK1</i> M or <i>MGMT</i> U	No	13	4
	Yes	19	34

P = 0.003; sensitivity = 89%; specificity = 41%; positive predictive value (PPV) = 64%; negative predictive value (NPV) = 76%; U = unmethylated; M = methylated.

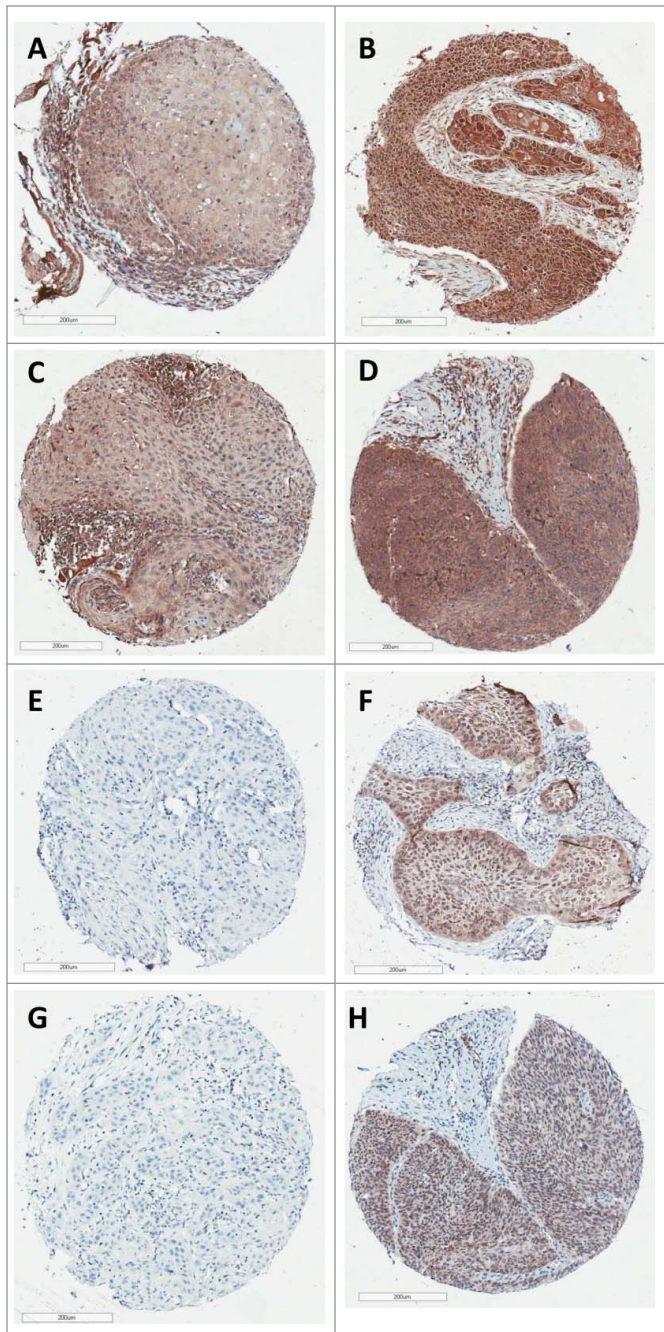


Figure 2. Representative examples of immunohistochemical staining. (A) DAPK1 low expression core, tumor center; (B) DAPK1 high expression, core tumor center; (C) DAPK1 low expression core, tumor front; (D) DAPK1 high expression core, tumor front; (E) MGMT low expression core, tumor center; (F) MGMT high expression core, tumor center; (G) MGMT low expression core, tumor front; (H) MGMT high expression core, tumor front.

potential of OOSCC. In the future, *DAPK1* and *MGMT* might be included in a panel of methylation markers that aid the clinician in the assessment of the N status, improving patient diagnosis and treatment selection.

Table 6. Associations between methylation and expression for *MGMT* and *DAPK1*

MGMT expression		MGMT methylation		P-value
		U	M	
Front	Low	28	15	0.02
	High	21	2	
Center	Low	28	14	0.44
	High	16	5	

DAPK1 expression		DAPK1 methylation		P-value
		U	M	
Front	Low	4	1	1.0
	High	51	10	
Center	Low	9	0	0.14
	High	44	11	

U = unmethylated; M = methylated.

MGMT expression was not assessable in the tumor center for 3 cases.

DAPK1 expression was not assessable in the tumor center for 2 cases.

Materials and Methods

Selection of candidate genes

To select candidate genes that are regulated by methylation and associated with lymph node metastasis, we used reported microarray data from 4 independent studies in HNSCC.²¹⁻²⁴ All selected candidate genes should have a CpG island present in the promoter region of the gene, and a negative correlation with nodal metastases, as methylated genes have an associated downregulation on mRNA level. From these lists of genes we selected (Fig. 1): (1) all genes found in more than one of the 4 expression profiles²¹⁻²⁴; (2) the 5 highest ranking genes from the 2 studies that performed genome-wide arrays^{21,24}; (3) candidate genes that were reported in the 4 HNSCC expression profiles²¹⁻²⁴ and showed functional methylation (increased expression after treatment with 5-aza-2'-deoxycytidine (DAC)/ trichostatin A (TSA) *in vitro* and an association with lymph node metastasis in cervical squamous cell carcinoma, in a previous study performed in our lab.^{25,30} Furthermore, 4 genes were selected that have been described to be associated with invasion and metastasis in squamous cell carcinoma: *GJB6*,⁵² *OCLN*,⁵³ *TJPI*,⁵⁴ and *CD44*.⁵⁵

In this way, a total of 24 genes were selected that were not reported to be methylated in OOSCC and, consequently, were potential new candidate metastasis-associated genes whose expression might be regulated by methylation.

Four genes (*MLH1*, *MGMT*, *CDKN2A*, and *DAPK1*) were included that showed frequent methylation in HNSCC in the literature^{41,43,56,57} (Fig. 1).

MSP primer design

For optimal MSP primer design in a region with the highest chance of finding differentially methylated regions,³³ all candidate genes were checked for the presence of a CpG island in a range of -500 to +500 bp relative to the TSS, and primers were

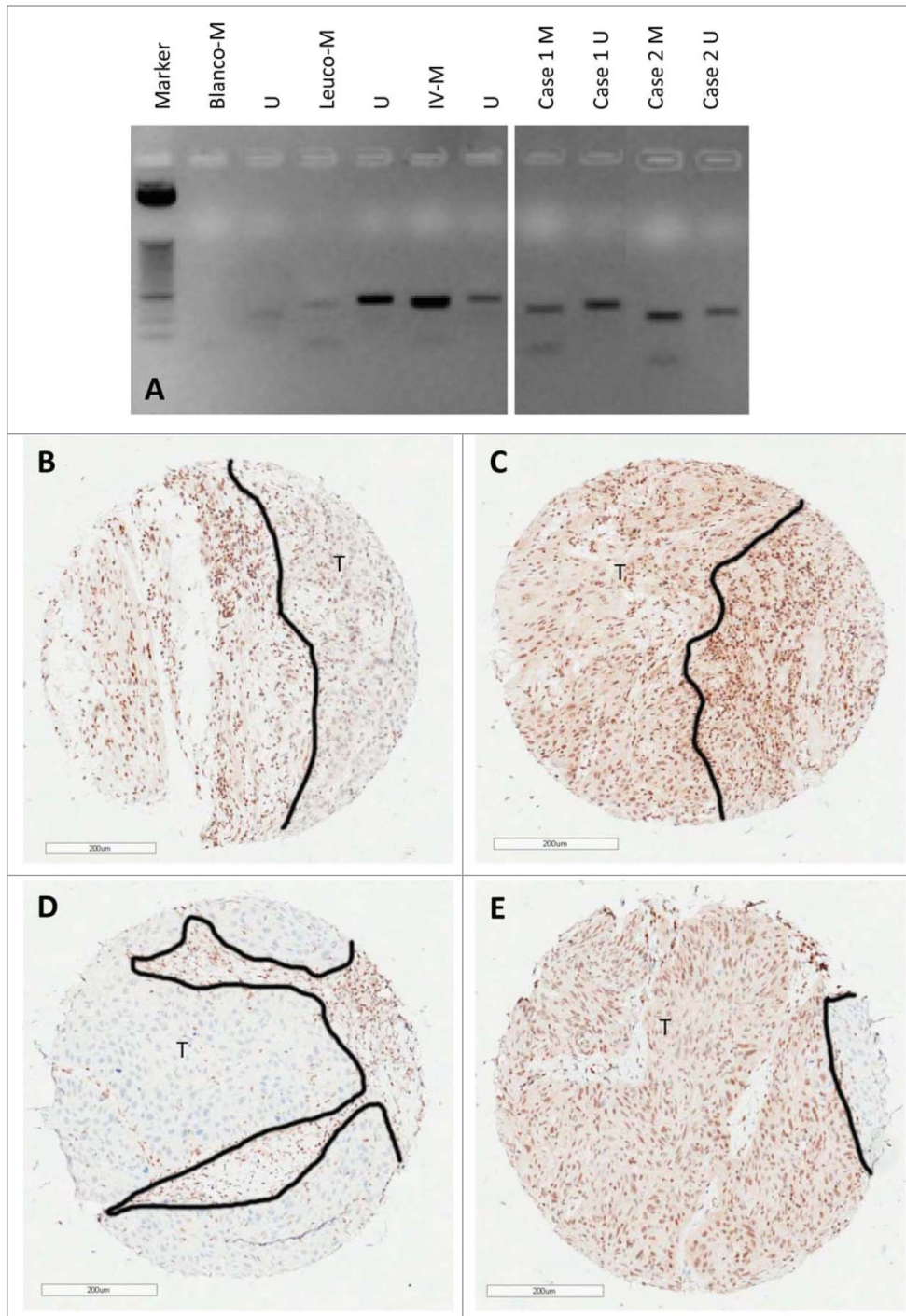


Figure 3. Examples of 2 cases that showed *MGMT* methylation, associated with low expression in the invasive tumor front, but high expression in the tumor center. (A) *MGMT* methylation controls [pure water, leucocytes, and IV (*in vitro* SssI methylated leucocytes)] and 2 cases. (B) Low *MGMT* expression in the tumor invasive front (Case 1). (C) High *MGMT* expression in the tumor center (Case 1). (D) Low *MGMT* expression in the tumor invasive front (Case 2). (E) High *MGMT* expression in the tumor center (Case 2). U: unmethylated; M: methylated; Blanco: pure water control; Leuco: leucocytes; IV: *in vitro* SssI methylated leucocytes. T: tumor tissue. The border of the tumor area is indicated by a black line.

designed in this region using Methyl Primer Design software [Applied Biosystems, Foster City, CA, USA]. Primers that were selected generally had 3 CGs in their sequence. Maximum

product size was set at 160 bp, due to working with DNA isolated from FFPE tissue. For *MGMT*, *CDKN2A*, and *DAPK1*, primer sequences from literature were used^{50,58,59} (see supplementary data 2 for all primer sequences).

Candidate gene testing strategy

Selected candidate genes were tested for optimal annealing temperature and MgCl₂ concentration on a set of 8 HNSCC cell lines (UMSCC-1, UMSCC-2, UMSCC-8, UMSCC-11a, UMSCC-14a, vuSCC-40, vuSCC-78, vuSCC-96) and 2 normal tonsil FFPE samples. After optimization, MSPs were performed on a set of 5 N0 and 5 N+ tumors. All markers that showed methylation in 2 or more tumor samples were further tested on our total patient series (n = 70: 32 pN0 and 38 pN+; Fig. 1). All tumor samples were tested twice in separate experiments. Samples with discordant results were tested for a third time.

Patient selection

From the database of the Netherlands Cancer Registry, all records with the following criteria were retrieved: oral or oropharyngeal primary tumor location (ICD-O-3 locations 00.3–6.9 and 9.0–10.9), histologically proven squamous cell carcinoma, diagnosed between 1997 and 2008, treated in the UMC Groningen, without prior head and neck or systemic oncological treatment, as reported previously.⁶⁰ For all tumors, information was collected regarding patient characteristics (e.g., previous cancer treatments, other diagnoses, last follow-up, recurrences, date, and cause of death), clinical tumor characteristics (e.g., localization, lateralization, synchronicity, cTNM, method of nodal diagnosis, and treatment), and pathological tumor characteristics (e.g., pTNM, histology, perineural and lymphovascular invasion status, margin status, nodal status, and infiltration depth). All FFPE tissue

blocks and original hematoxylin and eosin (HE)-slides were retrieved from the archives of the Department of Pathology. The histopathological diagnoses were revised for all cases by an experienced head and neck pathologist. All patient tissues were coded. All data and tissues were treated according to the Code for Proper Secondary Use of Human Tissue in the Netherlands,⁶¹ as well as to the relevant institutional and national guidelines.

Tissue microarrays (TMAs) were constructed as reported previously.⁶⁰ For the current study, we selected 2 TMAs that contained 70 randomly selected first primary tumors (32 pN0 and 38 pN+) that were treated by resection and neck dissection and for which tissue was available to perform MSP and immunohistochemistry (Table 2). HPV status was previously assessed for 64/70 (91%) cases using a triple algorithm, including p16 expression, HPV-PCR and HPV-BRISH, which identified high-risk HPV in 3/64 (5%).⁶²

DNA isolation

From the FFPE blocks of the tumors, 2 10- μ m thick sections were cut and used for DNA extraction. Subsequently, a 3- μ m thick section was cut and HE-stained to check if tumor load was sufficient through the sections (preferably >60%). After deparaffinization, DNA isolation was performed, using standard salt-chloroform extraction and ethanol precipitation.⁶² For quality control, genomic DNA was amplified in a multiplex PCR containing a control gene primer set resulting in products of 100, 200, 300, 400, and 600 bp, according to the BIOMED-2 protocol.⁶³ Only cases with products \geq 200 bp were included for further analysis.

Bisulfite treatment and methylation-specific PCR (MSP)

Bisulfite-converted DNA (bisDNA) was made using the EZ DNA methylation kit according to the manufacturer's protocol (Zymo Research, Irvine, CA, USA). Methylation specific PCR (MSP) was performed using 20 ng bisDNA. All MSPs were run as follows: 10 min 95°C, 40 times (1 min 95°C, 1 min T_a, 1 min 72°C), 10 min 72°C, ∞ 4°C. Controls consisted of leukocyte DNA that was *in vitro* methylated by SssI methyltransferase (methylated control) or untreated leukocyte DNA (unmethylated control). Adequate bisulfite conversion was checked by β -actin MSP (Forward: 5'TAGGGAGTATATAGGTTGGGGAAGTT 3'; Reverse: 5'AACACACAATAACA AACACAAATTCAC 3'). A sample was considered methylated when the methylated product of the right size was visible. It was considered unmethylated when the unmethylated product of the right size was visible and no methylated product was visible. A sample was considered not assessable, when no unmethylated and methylated products of the right size were present. Methylation- and unmethylation-specific PCRs were performed in parallel, and performed at the same annealing temperature (T_a), on the same plate.

Immunohistochemistry

TMA sections were deparaffinized in xylene and rehydrated in a graded alcohol series. Antigen retrieval was performed by

heating in a microwave oven for 15 min in either Tris/EDTA pH = 9.0 (for MGMT) or EDTA pH = 8.0 (for DAPK1). After antigen retrieval endogenous peroxidase was blocked by incubating the slide in 0.3% peroxide solution. After one-hour incubation with anti-MGMT 1:100 (MT3.1, Millipore, Billerica, MA, USA) or anti-DAPK1 1:200 (D1319, Sigma-Aldrich, St. Louis MO, USA), a horseradish peroxidase-conjugated secondary antibody was used, followed by a horseradish conjugated tertiary antibody. Slides were developed with di-aminobenzidine chromogen solution, followed by hematoxylin counterstaining. In addition to the control tissues included on the TMA slide, full sections of the control tissue, specific for each staining, were also included (normal liver for MGMT⁶⁴; normal duodenum for DAPK1⁶⁵).

Analysis of immunohistochemistry

Cases were semi-quantitatively scored, assessing percentage of tumor cells stained and the intensity of staining (0, no staining; 1, weak; 2, moderate; 3, strong). Staining was scored by 2 observers, independently. Discordant results were discussed until consensus was reached. High MGMT expression was defined as moderate to strong nuclear expression in \geq 10% of tumor cells, as reported previously.⁶⁶⁻⁶⁸ For DAPK1, scores were given to cell proportion: 0: staining in <1% of tumor cells; 1: staining in 1–10%; 2: staining in 11–50%; and 3: staining in >50% of tumor cells. Intensity was then scored as 0: negative; 1: weak; 2: moderate; and 3: strong. The final score (ranging 0–9) was obtained by multiplying the cell proportion by the intensity. A final score of <4 was considered to indicate low expression, and \geq 4 was considered high expression.^{36,37}

Statistical analysis

Statistical analysis was performed with SPSS version 20. Categorical data were compared using the Chi-square test, or Fisher's exact test, when appropriate. Univariate logistic regression was used to assess the relationship between predictor variables and the dichotomous pN status. All predictor variables with $P < 0.10$ in univariate logistic regression were entered in multiple logistic regression. All tests were performed 2-tailed. Results were considered significant when $P < 0.05$.

Disclosure of Potential Conflicts of Interest

No potential conflicts of interest were disclosed.

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Supplemental Material

Supplemental data for this article can be accessed on the publisher's website.

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