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Obesity, insulin resistance and incident small vessel disease on MRI: the Atherosclerosis Risk in Communities Study

Jennifer L. Dearborn, MD, MPH¹, Andrea L. C. Schneider, MD, PhD², A. Richey Sharrett, MD, DrPH², Thomas H. Mosley, PhD³, Daniel C Bezerra, MD, PhD^{2,4}, David S. Knopman, MD⁵, Elizabeth Selvin, PhD², Clifford R. Jack, MD⁶, Laura H. Coker, PhD⁷, Alvaro Alonso, MD, PhD⁸, Lynne E. Wagenknecht, DrPH⁹, Beverly G. Windham, MD, MHS³, and Rebecca F. Gottesman, MD, PhD^{2,10}

¹Department of Neurology, Yale University School of Medicine, New Haven, CT

²Department of Epidemiology, Johns Hopkins Bloomberg School of Public Health, Baltimore MD

³Department of Medicine, Division of Geriatrics, The University of Mississippi School of Medicine, Jackson MS

⁴Department of Neurology, Pro Cardiac Hospital, Rio de Janeiro, Brazil

⁵Department of Neurology, Mayo Clinic, Rochester MN

⁶Department of Radiology, Mayo Clinic, Rochester MD

⁷Division of Public Health Sciences, The Wake Forest University, Winston-Salem NC

⁸Division of Epidemiology and Community Health, School of Public Health, University of Minnesota, Minneapolis MN

⁹Division of Public Health Sciences, Wake Forest School of Medicine, Winston-Salem NC

¹⁰Department of Neurology, Johns Hopkins University School of Medicine, Baltimore, MD

Abstract

Background and purpose—The term “metabolic syndrome” (MetS) describes the clustering of risk factors found in many individuals with obesity. Due to their pathophysiology, we hypothesized that two features of MetS, central obesity and insulin resistance (IR), would be associated with cerebrovascular changes on MRI, and specifically with incident lacunar disease and not white matter hyperintensity progression (WMH).

Methods—Risk factors were defined at study baseline in 934 participants in the Atherosclerosis Risk in Communities (ARIC) study who completed two brain MRIs approximately ten years apart. WMH progression and incident lacunes between the two MRIs were determined. An IR score for each participant was created using principal component analysis of 11 risk factors, including

Corresponding Author: Rebecca F. Gottesman, MD PhD; Address: Phipps 4th floor; 600 North Wolfe Street; Baltimore, MD 21287
Phone: 410-614-2381; Fax: 410-955-0672; rgottesm@jhmi.edu.

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(among others): insulin, HOMA-IR, body mass index (BMI) and waist circumference. MetS (presence/absence), using standard clinical definitions, and IR score at the first MRI, were independent variables, evaluated in multivariate logistic regression to determine odds of WMH progression (Q5 vs. Q1–4) and incident lacunes.

Results—MetS (adjusted OR 1.98; 95% confidence interval (CI) 1.28, 3.05) and IR score (adjusted OR per 1-standard deviation increase: 1.33, 95% CI 1.05, 1.68) were associated with incident lacunes but not with WMH progression. Insulin, HOMA-IR and BMI were not associated with incident lacunes or WMH progression in separate models.

Conclusions—The IR score and central obesity are associated with incident lacunar disease but not WMH progression in individuals. Central obesity and IR may be important risk factors to target to prevent lacunar disease.

Keywords

metabolic syndrome; white matter hyperintensities; lacunes; obesity; insulin resistance; leukoaraiosis

Introduction

Brain small vessel disease, including white matter hyperintensities (WMH) and lacunes, often leads to cognitive impairment and dementia.¹ As dementia and obesity are increasing in prevalence, it is important to understand their association. In obese patients, fat metabolism is dependent on insulin, which suppresses lipolysis in fat cells (adipocytes). In insulin resistance (IR), adipocytes fail to respond to the actions of insulin, resulting in lipolysis, which explains why patients with IR often have dyslipidemia.² Although IR is associated with diabetes, not all people with IR develop diabetes. Metabolic syndrome (MetS) is a commonly used term used to describe the clustering of central obesity, hypertension, hyperglycemia and dyslipidemia and attempts to capture associations with cardiovascular risk. This may be a hypothetical construct less relevant than its individual components, in part because the individual risk factors may adequately explain disease endpoints.^{3, 4}

The mechanisms of small vessel disease may provide insight into risk factor associations. WMHs are thought to arise from chronic ischemia and blood-brain barrier breakdown,^{5–7} and abnormal venous pathology, known as venous collagenosis, may also be present in these lesions.⁶ Two distinct pathologies are described in lacunes:^{8, 9} 1) plaque-like accumulations with the vessel that may represent microatheromas and 2) fibrinoid necrosis of the blood vessel wall (lipohyalinosis). A prior ARIC study determined that smaller lacunes (<7mm) and larger lacunes (8–20mm) have different risk factor profiles, possibly due to unique pathologic mechanisms.¹⁰ We hypothesize that WMH are more similar to smaller lacune subtypes. Given the association with IR and dyslipidemia, we hypothesized that midlife central obesity and IR would be associated with incident lacunes, especially larger lesions (microatheromatous disease), but not with WMH progression.

Methods

Study Population

The ARIC study was conducted at four sites, with 15,792 participants aged 45 to 64 years upon initial recruitment in 1987–1989.¹¹ Five main study visits occurred: visit 1–4 (each three years apart) and visit 5 in 2011–13. At visit 3, participants from Forsyth County, North Carolina and Jackson, Mississippi (black subjects only) were invited for the first brain MRI (1993–5).¹² Ten years after, these participants were invited to participate in the Brain MRI ancillary study in 2004–06.¹² Of the 1,134 participants who underwent both scans, 999 had interpretable MRI's at both visits. Other exclusions were as follows: 9 with a prior history of stroke, 3 for non-physiologic values of MRI measurements and 53 missing MetS variables. The analytic population included 934 participants. All participants signed informed consent, and each institution's institutional review board approved the study.

Definition of MetS and IR score

MetS was defined at visit 1 by presence of at least 3 of the following criteria: 1) waist circumference ≥ 102 cm in men or ≥ 88 cm in women; 2) HDL < 40 mg/dL in men and < 50 mg/dL in women or on drug treatment; 3) elevated blood pressure ≥ 130 mmHg systolic or ≥ 85 mmHg diastolic or on drug treatment; 4) elevated triglycerides ≥ 150 mg/dL or on drug treatment; and 5) elevated fasting glucose ≥ 100 mg/dL or on treatment for diabetes.¹³

An IR score was defined to examine the clustering of risk factors using principal components analysis (PCA). This ad hoc construct was created to better capture the joint effects of central obesity and IR, and is specific to this population studied. Eleven metabolic factors were included: log-transformed values for insulin, HOMA-IR and triglycerides (to normalize the distributions), waist circumference, body mass index (BMI), waist-to-hip ratio, systolic blood pressure, diastolic blood pressure, fasting glucose, HDL and LDL. PCA was computed using orthogonal rotation to look for linear combinations between the exposures to establish a new set of fewer variables comprised of uncorrelated components. Components retained for the analysis had an Eigen value greater than 2.0, leaving one component explaining 36.3% of the sample variance. This component was defined by correlated metabolic factors with a loading > 0.30 that included: BMI, waist-to-hip ratio, waist circumference, log insulin and log HOMA-IR (Supplemental Table I). Each participant was assigned an IR score based on these factor loadings.

Waist circumference was measured in centimeters at the level of the umbilicus. BMI was calculated in kg/m^2 . Fasting blood samples were collected and frozen at 70°C for storage and methods for serum triglycerides and high-density lipoprotein were described previously.^{14–16} Serum glucose was measured with the hexokinase method. Insulin was measured by radioimmunoassay (125-I Insulin 100 test kit; Cambridge Medical Diagnostics, Billerica, MA). The homeostatic model of IR (HOMA-IR) was calculated using the formula: $(\text{glucose mg/dL} \times \text{insulin uU/mL}) / 405$ with glucose and insulin measured from visit 1.¹⁷

Magnetic Resonance Imaging

Eligibility and screening protocols for the MRI visits are described in detail elsewhere.^{18, 19} Scans were completed on 1.5 Tesla machines, and spin-echo, spin-density/T2* weighted and T1 weighted images were collected in 5mm axial slices. A “lacune” was defined as 3–20mm in size. Locations included were the basal ganglia, thalamus, brainstem, internal capsule, deep cerebellum, and subcortical white matter. Only non-hemorrhagic lesions hyperintense on proton density and T2-weighted images and hypointense on T1-weighted images were selected. The number of lacunes at the visit 3 MRI was subtracted from the number of Brain MRI lacunes and if this value was one or greater, the individual had an “incident lacune”. Lacunes were further subdivided by size into two groups: 3–7mm and >7 to 20mm, based on maximum anterior-posterior or right-left diameter.

WMH progression was determined with two methods. Using the Cardiovascular Health Study rating scale (0–9),^{20, 21} periventricular and subcortical WMH were graded from visual comparison with 8 template images for both MRI visits. The change in grade between visits was calculated. At the Brain MRI visit, an automated algorithm was used to segment WMH volume on the axial fluid-attenuated inversion recovery images, with manual editing to exclude infarcts and other lesions.²² Volumetric measurements were standardized to an intracranial volume of 1500 cm³. The actual data (visual grades and measured volumes) from the brain MRI visit were used to impute the estimated volumes at visit 3, WMH progression between the two MRIs was calculated using predicted volumes for visit 3 subtracted from measured volumes at the Brain MRI.²³

Statistical methods

Descriptive statistics were evaluated stratified by MetS status, using t-tests for continuous variables and chi-squared tests for categorical variables. Univariate and multivariate logistic regression was used to assess the odds of incident lacunes with each 1-SD increase in risk factors (insulin, HOMA-IR, BMI and triglycerides). MetS (presence/absence) and each 1-SD increase in the IR score were used as composite measures of these risk factors. Outcomes were WMH progression (top quintile (Q5) vs. Q1–4) and incident lacunes 3–20mm, which were further subdivided by size. Because of the possibility of non-differential misclassification of volumetric WMH progression using the prediction equation, and therefore under estimation of effect size, the analysis was also conducted using change in visual WMH grade.

Models were built sequentially, with demographic values added first, and then history of coronary artery disease and hypertension. Hypertension was included in all models, except those in which it was a part of the variable definition (e.g. MetS). Other covariates included: age (years), sex, race (black, white), education, history of coronary artery disease, history of alcohol use, and history of tobacco use. Interaction terms were tested between MetS and sex, age and race, each, but were not included in the final model due to the lack of statistical significance. Because of the overlap between diabetes and IR, a sensitivity analysis was performed excluding all individuals with diabetes (n=73). A two-sided p-value of <0.05 was considered significant for all analyses.

Results

The mean change in WMH was 5 cm³ (standard deviation, SD 8.5) and the range of incident lacunes was 0 to 5, with 33% of those with a lacune having more than one. At baseline (ages 45–64), participants with MetS did not differ significantly from those without MetS by age, race or sex (Table 1).

MetS and its components, incident infarcts, and WMH progression

Lipid markers were associated with all lacunes (triglycerides and inverse association with HDL) but not WMHs (Supplemental Table II, Table 2) in unadjusted and adjusted models. Systolic blood pressure was associated with WMH and incident lacunes in unadjusted models (Supplemental Table II and III), but did not reach statistical significance for lacunes in adjusted models (Table 2 and 3).

Mean WMH progression did not differ between those with and without MetS (Table 1). When using change in WMH grade instead of quantitative WMH progression, associations with MetS components remained null (Supplemental Table IV, Table 2). Persons with MetS had more incident lacunes than those without MetS (14.8% vs. 8.5%, Table 1). This increase in lacunes in persons with MetS was predominantly due to an increase in infarcts >7–20mm (8.6% versus 3.3%, Table 1). These associations remained significant after adjustments (Tables 2 and 3). A sensitivity analysis excluding diabetics revealed similar but attenuated associations, even though diabetes (as defined by fasting glucose ≥ 100) is part of the MetS definition (Supplemental Tables V, VI).

Central obesity, incident infarcts and WMH progression

Increasing values of insulin, HOMA-IR and BMI were not associated with WMH progression or all incident lacunes (Table 2). Each 1-SD increase in HOMA-IR and BMI was associated with increased odds of incident large lacunes in unadjusted models (Supplemental Table III), but this result was attenuated with adjustment (Table 3). Central obesity as is measured by waist circumference, and waist-to-hip ratio was associated with incident lacunes, with a larger effect size for larger lacunes in unadjusted (Supplemental Table II and III) and adjusted models (Table 2, 3).

IR score

A higher IR score represents increased values of each of the weighted measures of central obesity and IR in a participant. Unlike MetS, hypertension and hyperglycemia contribute to a small part of the weighting, with higher weights given to waist circumference, waist-to-hip ratio, HOMA-IR, insulin and BMI (Supplemental Table I). Each 1-SD increase in the score was associated with increased odds of all incident lacunes, (adjusted OR 1.33, 95% CI 1.05, 1.68, Table 2). Participants with a higher IR score had increased odds of having both larger and small lacunes, with increased effect size for larger lacunes (Figure 1, Table 3).

Discussion

In this study we found unique associations with metabolic factors and brain small vessel disease in a prospective community-based cohort. Serum insulin, HOMA-IR and BMI were not independently associated with incident small vessel disease. An IR score, which may better capture their joint effects, and MetS were associated with all lacunes but not WMH progression. Although the magnitude of the association for MetS was larger than that for the IR score, we hypothesized that since MetS includes hypertension, a well-known risk factor for lacunar disease,⁸ its association with lacune progression may be primarily driven by hypertension. Our results instead demonstrate that this difference may be partially driven by HDL or other unmeasured covariates. These results are compelling because they demonstrate different risk factor profiles for small vessel disease, which may have important implications for dementia and cognition in later life.¹

MetS was previously shown in other cohorts to be associated with WMH cross-sectionally,^{24, 25} and with changes in cerebral white matter microstructure, as measured by diffusion tensor imaging^{26, 27} These earlier studies did not, however, separate out the effect of hypertension between groups. In our study we examined WMH progression, which is a more robust measurement of microvascular disease than single measurements of WMH, as it likely partially accounts for potential confounding by social and demographic factors (which would affect WMH but not necessarily its progression). Our results suggest that WMH progression is unrelated to central obesity. Whether the difference between our study and prior work is related to unmeasured confounders is unknown and suggests that this result requires confirmation in other populations.

As lacunar stroke has different histopathology than WMH, it is not surprising that there are differential risk factor associations with these disease subtypes. The MetS components hypertension, diabetes, triglycerides and HDL are associated with incident lacunes in other work.²⁸ A prior ARIC study reported that lacunes ≥ 7 mm were associated with diabetes and hemoglobin A1C, and larger lacunes were associated with elevated LDL. This study brought to the forefront that descriptions of two lacune subtypes, by C. M. Fisher and others, seem to withstand the epidemiologic evidence.⁹ The current study did not focus on hyperglycemia, and we in fact demonstrated that in patients without diabetes, IR trended to increase odds of lacunes. It may be that patients who have abnormally glycosylated end products, as are present in diabetes, may have more lipohyalinosis, while abnormal central obesity, resulting in IR, predisposes to lacunes via a distinct mechanism. This theory requires further investigation. Also requiring investigation is whether targeting the “metabolic profile” of obesity and IR might be particularly important to prevent incident lacunes (especially the larger subtype). A limitation of the analysis is that we were unable to approximate how changes in metabolic factors through life affect brain small vessel disease, in that those with earlier exposures might have more disease than those who developed risk factors later. Another limitation is potential selection bias affecting subjects who received two MRIs, who may have been healthier than those who did not return for a second MRI. In addition, we did not obtain direct volumetric measurements from the visit 3 MRI, however, we also used WMH grade to compare to imputed WMH progression and found similar results. The

relative health and age of the population (often before the onset of dementia) limits analysis of the extremes of disease.

In addition, although the recent STRIVE consortium recommended a definition of lacunes as 3 to 15 mm in subcortical locations; we used 3–20 mm as a size cutoff based on prior work in ARIC to allow comparability.²⁹ Although neurologists generally attribute stroke mechanism to stroke location, there is often overlap between mechanisms at different locations (hypoperfusion, embolism and lipohyalinosis). As this is an observational study, there may be unmeasured confounders, such as socioeconomic indicators or medication adherence that contribute to the observed associations. In addition, we only evaluated whether each participant had one or more of a given type of infarct, so there is no “dose-response” effect, according to the number of lacunes. This could lead to less precision in risk factor differentiation. Finally, some participants had more than one type of incident infarct, which could lead to misclassification bias.

The strength of our study is the large prospective design rigorously measured confounders in participants with good rates of follow-up. We believe that incident imaging findings are more robust than cross-sectional data. Our exposures were measured at baseline, with outcomes measured up to 17 years later, since midlife risk factors have been shown to have greater associations with later-life disease outcomes.³⁰

Summary

Our results lend further support that brain small vessel disease is not homogeneous, and that unique risk factors profiles exist for WMH and lacunar disease. The IR score is associated with silent lacunes, but not WMH, which may be a result of differences in pathology. This composite score focusing on central obesity and IR may better capture the effects of adiposity, as compared with BMI, which did not have the same associations. Future studies are warranted to evaluate whether interventions to treat central adiposity reduce silent MRI markers of brain disease.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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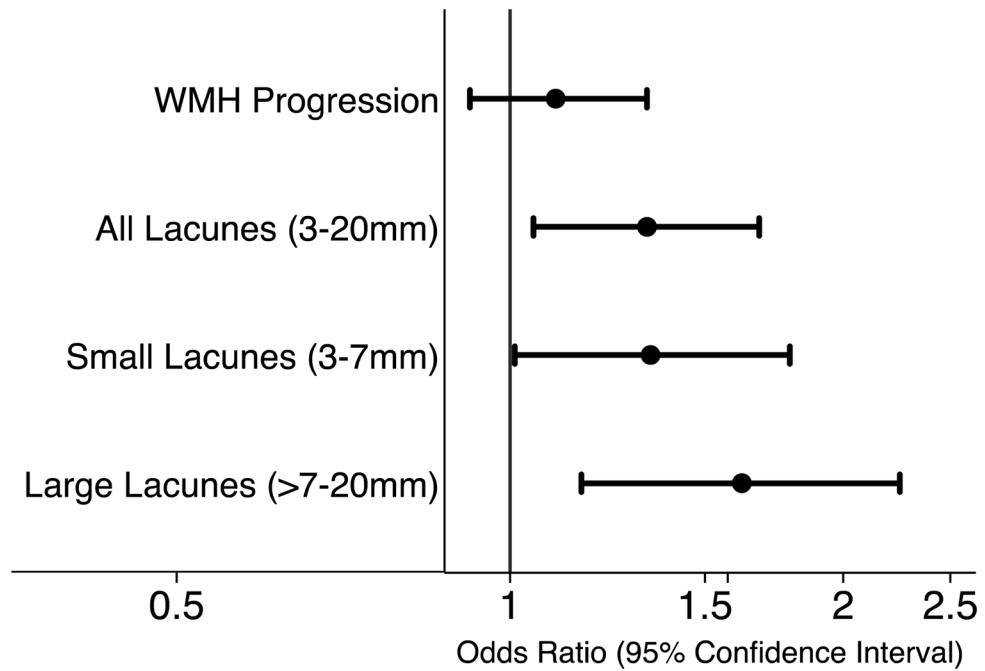


Figure 1. Insulin resistance (IR) score and incident small vessel disease

The association of the IR score is shown in association with white matter hyperintensity (WMH) progression, incident small infarcts and incident large infarcts. The odds of being in the top quintile of WMH progression, or of having incident large or small infarcts are shown per standard deviation increase in the score.

Table 1

Sample Characteristics

	Without MetS (n=609)	With MetS (n=325)
Black	282 (46.3)	171 (52.6)
Women	365 (59.9)	210 (64.6)
Age (y \pm SD)	55.8 \pm 4.5	56.0 \pm 4.2
<u>Education</u>		
Less than high school	110 (18.1)	90 (13.8) [‡]
High school graduate*	159 (26.2)	92 (28.3)
More than high school	339 (55.7)	143 (44.0)
Prevalent CHD	22 (3.6)	12 (3.7) [‡]
Diabetes	23 (3.7)	50 (15.4) [‡]
Elevated waist circumference	223 (36.6)	263 (80.9)
Low high-density lipoprotein	82 (13.5)	194 (59.7)
Elevated blood pressure	197 (32.4)	243 (74.8)
Elevated triglycerides	48 (7.9)	175 (53.9)
Elevated fasting glucose	167 (27.4)	256 (78.8)
Current or former smoking	297(48.8)	156 (48.1)
Current or former alcohol	385 (63.6)	185 (57.1)
Mean WMH progression (cm ³ , mean \pm SD)	4.8 \pm 8.7	5.3 \pm 8.3
<u>Participants with incident lesions</u>		
Lacunae 3–7mm	32 (5.3)	29 (8.9)
Lacunae >7–20mm	20 (3.3)	28 (8.6)
Lacunae 3–20mm	52 (8.5)	48 (14.8)

Values are N (%) unless otherwise specified;

* also includes GED or vocational school

= ten participants had incident infarcts in both groups;

WMH = white matter hyperintensity; SD = standard deviation; MetS = metabolic syndrome; CHD = coronary heart disease.

[‡] = p<0.05

Table 2

Association of obesity and insulin resistance with small vessel disease

	WMH progression (Q5 v. Q1-4)		
	Odds ratio, 95% Confidence Interval	Incident lacunes 3-20mm	
Insulin *	0.95	0.79, 1.14	1.11
HOMA-IR *	1.00	0.84, 1.19	1.15
Body mass index *	1.01	0.84, 1.21	1.04
Waist circumference *	1.05	0.89, 1.25	1.12
Waist-to-hip ratio *	1.08	0.90, 1.30	1.30
Triglycerides *	0.93	0.76, 1.13	1.24
High density lipoprotein *	1.12	0.93, 1.36	0.77
Systolic blood pressure *	1.33	1.12, 1.58	1.20
MetS	1.12	0.79, 1.59	1.98
IR score *	1.11	0.92, 1.33	1.33

Q= quintile; HOMA-IR = Homeostatic model assessment, insulin resistance;

* 1-standard deviation increase; Adjustments for age, sex, education, race, history of coronary artery disease, history of alcohol use, tobacco use and hypertension;

No adjustments for hypertension

Table 3

Association of obesity and insulin resistance with incident lacunes, by size

	Incident lacunes3–7mm		Incident lacunes>7–20mm	
	Odds ratio, 95% Confidence Interval		Odds ratio, 95% Confidence Interval	
Insulin*	1.13	0.89, 1.42	1.16	0.89, 1.51
HOMA-IR*	1.19	0.96, 1.46	1.23	0.97, 1.55
Body mass index*	1.00	0.75, 1.33	1.18	0.87, 1.58
Waist circumference*	1.07	0.82, 1.41	1.34	1.00, 1.81
Waist-to-hip ratio*	1.33	1.00, 1.80	1.43	1.02, 2.00
Triglycerides*	1.32	1.09, 1.60	1.21	0.97, 1.50
High density lipoprotein*	0.73	0.53, 1.02	0.67	0.45, 1.00
Systolic blood pressure*	1.22	0.94, 1.60	1.28	0.96, 1.71
MetS	1.85	1.09, 3.14	3.34	1.78, 6.30
IR score*	1.34	1.01, 1.79	1.62	1.16, 2.25

HOMA-IR = Homeostatic model assessment, insulin resistance;

* 1 standard deviation increase; Adjustments for age, sex, education, race, history of coronary artery disease, history of alcohol use, tobacco use and hypertension;

No adjustments for hypertension