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An Investigation of Care-Based vs. Rule-Based Morality in Frontotemporal Dementia, Alzheimer's Disease, and Healthy Controls

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Abstract

Behavioral changes in dementia, especially behavioral variant frontotemporal dementia (bvFTD), may result in alterations in moral reasoning. Investigators have not clarified whether these alterations reflect differential impairment of care-based vs. rule-based moral behavior. This study investigated 18 bvFTD patients, 22 early onset Alzheimer's disease (eAD) patients, and 20 healthy age-matched controls on care-based and rule-based items from the Moral Behavioral Inventory and the Social Norms Questionnaire, neuropsychological measures, and magnetic resonance imaging (MRI) regions of interest. There were significant group differences with the bvFTD patients rating care-based morality transgressions less severely than the eAD group and rule-based moral behavioral transgressions more severely than controls. Across groups, higher care-based morality ratings correlated with phonemic fluency on neuropsychological tests, whereas higher rule-based morality ratings correlated with increased difficulty set-shifting and learning new rules to tasks. On neuroimaging, severe care-based reasoning correlated with cortical volume in right anterior temporal lobe, and rule-based reasoning correlated with decreased cortical volume in the right orbitofrontal cortex. Together, these findings suggest that frontotemporal disease decreases care-based morality and facilitates rule-based morality possibly from disturbed contextual abstraction and set-shifting. Future research can examine whether frontal lobe disorders and bvFTD result in a shift from empathic morality to the strong adherence to conventional rules.

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Keywords

morality; dementia; brain; neuropsychology; neuroimaging; frontotemporal

1. Introduction

Moral behavior, or rules of conduct for what is right or wrong, is a necessary component of human societies (Bzdok et al., 2012). This universality of moral behavior implies that it has origins in evolution and substrates in cognition and the human brain. Many of the cognitive processes involved in moral behavior are located in the frontal regions of the brain (Fumagalli & Priori, 2012). For example the prefrontal cortex has particular salience in adhering to social norms (Moll, de Oliveira-Souza, Bramati, & Grafman, 2002), and it is generally more activated in those who are applying moral principles to moral dilemmas (Prehn et al., 2007). Frontal involvement is also implicated when determining moral value of actions (Shenhav & Greene, 2010). The medial frontal gyrus demonstrates increased activation when judging emotionally charged, unpleasant social scenes which represent moral violations (Moll, de Oliveira-Souza, Eslinger, et al., 2002). Thus frontal system functioning is crucial for moral judgments that involve emotional processes, cognitive control, and mediating between emotional and rational considerations.

In addition to frontal regions, several regions within the temporal lobe are implicated in moral reasoning. In particular, the area around the superior temporal sulcus plays a role in moral processing of emotion (Kédia, Berthoz, Wessa, Hilton, & Martinot, 2008; Young, Cushman, Hauser, & Saxe, 2007; Young & Saxe, 2009), social cognition (Greene, Nystrom, Engell, Darley, & Cohen, 2004; Moll, de Oliveira-Souza, Eslinger, et al., 2002), and decision-making (Greene et al., 2004; Heekeren, Wartenburger, Schmidt, Schwintowski, & Villringer, 2003). Additionally, the identification of self-and group-oriented goals involves the anterior temporal cortex, particularly the superior temporal gyrus, where self-referential semantic knowledge converges with social perceptive processes (Allison, Puce, & McCarthy, 2000; Moll, Zahn, de Oliveira-Souza, Krueger, & Grafman, 2005; Zahn et al., 2009). Consequently, the temporal lobe seems to be important for cognitive processing of morally salient stimuli that involves social cues, complexity, and theory of mind (ToM).

Several cognitive processes underlie moral behavior. The capacity for empathy, both cognitive and emotions, and the presence of ToM, or the ability to appreciate that others have thoughts, feelings, and beliefs, are essential for moral behavior. Social cognitive process like the attribution of intentionality or agency in right inferior parietal cortex (Castelli, Happé, Frith, & Frith, 2000), and mechanisms of reward-punishment and impulse control in orbitofrontal cortex. For example, Kédia and colleagues (2008) asked participants to imagine scenarios of violent actions in order to evoke anger, guilt and compassion. Each scenario activated in traditional ToM regions (dorsal medial prefrontal cortex, precuneus, and bilateral temporal-parietal junctions), but also, being concerned by a harmful action recruited emotion centers – the bilateral amygdala, anterior cingulate, and basal ganglia. In the case of J.S.'s “acquired sociopathy” degradation of the right orbitofrontal cortex inhibited appropriate social cognition and social norms while facilitated inappropriate

emotional attribution (Blair & Cipolotti, 2000). Finally, the interplay between neural activity in brain regions associated cognitive and emotional process highlights the importance of cognitive control in moral reasoning (Greene, Paxton, & Raichle, 2009).

The study of moral behavior has a history of competing dichotomies. The classic morality literature features the distinction between deontological - intuitive emotional judgments such as rights or duties (Kant, 1909) - vs. utilitarian reasoning - values actions that benefit the “greater good” (Mill, 1863). Modern neuroimaging studies associate deontological reasoning with ventromedial frontal functions and utilitarian reasoning with dorsolateral frontal functions (Chiong et al., 2013; Mendez et al., 2005, Mendez & Shapira, 2009). The moral dilemma literature presents a related distinction, with similar brain localizations, between actively causing another individual harm, or personal moral reasoning, from passively causing a person harm, or impersonal moral reasoning (Greene, 2009; Greene, Sommerville, Nystrom, Darley, & Cohen, 2001). Two further themes that reoccur within these prior categories are care-based behavior and rule-based behavior. Care-based behaviors emphasize mutual responsiveness and moral actions that affect others, particularly the most vulnerable, such as a hungry mother’s choice to prioritize feeding her children (Gilligan, 2008). In contrast, rule-based emphasize societal heuristics or conventions regardless of situation, such as always stopping at road signs even in a rural area with high visibility (Greene, Paxton, & Raichle, 2009). Whereas the personal and impersonal moral reasoning examine agency, care-based and rule-based distinguishes behavior by empathy for others vs. adherence to social norms. Care-based may be an altruistic person-based reasoning as it seeks to protect and nurture others instead of merely avoiding harming them. Similarly, care-based reasoning may involve emotional processes associated with deontological reasoning, but without the “duty” component. In contrast, rule-based morality and impersonal reasoning connote then need to follow societal guidelines and an overlap with utilitarian reasoning in that social norms develop around doing the greater good for society over the individual. As care-based and rule-based methods differ in the interpretation and response to social information, identifying the predominant mode of moral reasoning may be helpful in determining how a person afflicted with dementia will act or which social norm they are likely to violate.

There is limited information on the neuroanatomical and neuropsychological correlates of care-based and rule-based moral behavior. Care-based morality may be associated with mechanisms of emotional empathy (Eslinger, 1998; Rankin et al., 2006), and rule-based morality may be associated with executive functions that mediate the application of rules in various tasks (Goodwin, Blackman, Sakellaridi, & Chafee, 2012). Neuroimaging studies have implicated the anterior temporal lobe (ATL) and the orbitofrontal cortex (OFC) in moral cognition and socioemotional reasoning (Bzdok et al., 2012; Sevinc & Spreng, 2014). The right ATL may be responsible for maintaining knowledge of appropriate social behavior (Zahn et al., 2009) and the right temporal pole is involved in the processing of empathy (Rankin et al., 2006). In contrast, the OFC has been implicated in behaving in a socially appropriate manner and suppressing inappropriate behavior (Blair & Cipolotti, 2000). Taken together, these findings suggest that care and rule-based moral behavior rely on activity in the ATL and executive functions embedded within the OFC.

Given the focal involvement of frontal and anterior temporal disease, behavioral variant frontotemporal dementia (bvFTD) may be a particularly valuable model for clarifying the brain-behavior relationships of studying care-based vs. rule-based morality. BvFTD is the dementia most known for social disturbances. BvFTD is a progressive neurodegeneration of the frontal and anterior temporal lobes which results in alterations in social and emotional behavior. Specifically, the core features of bvFTD involve transgression of social norms including lack of concern for others, apathy, disinhibited behavior, a loss of awareness, and a loss of insight for their behavior and its consequences (Rascovsky et al., 2011). Alterations in the patient's moral reasoning, results in formerly rule-abiding patients violating social norms and conventions and even resulting in law-breaking and antisocial acts (Mendez, Chen, Shapira, & Miller, 2005; Mendez & Shapira, 2009). BvFTD patients also fail to recognize when others violate social norms, and they are unable to identify instances in which their personal judgments transgress social conventions (Lough et al., 2006). Altered morality in bvFTD extends to atypical patterns in moral reasoning, as exemplified in moral scenarios such as the "Trolley Dilemma". When these patients are presented with a runaway trolley barreling towards five people, distinct from normal controls, the bvFTD patients endorse pushing a man off a bridge to save five people on the trolley's track (Mendez et al., 2005), suggesting moral decisions biased toward rational rather than empathy-based behaviors

In addition to bvFTD, other dementia syndromes may impair cognitive reasoning with consequent alterations in moral reasoning (Irish, Hodges, & Piguet, 2014; Kemp, Després, Sellal, & Dufour, 2012). Patients with early-onset AD (eAD), beginning before age 65, may be particularly vulnerable to disturbed executive processes, which may impact on reasoning abilities (Smits et al., 2012). Executive and behavioral symptoms combined with decreased memory and language functioning affect an eAD patient's capacity for sound decision-making in social settings (Gregory et al., 2002). EAD patients have demonstrated significant deficits in mundane moral decisions and social cognition tasks compared to age-matched controls (Torralva, Dorrego, Sabe, Chemerinski, & Starkstein, 2000). Although limited data suggest that the incidence rate of "sociopathic" behavior is as elevated in eAD as in bvFTD, those with eAD might behave in socially unacceptable manner in times when they are overwhelmed with complex tasks that require the integration of several social elements (Werner, Stein-Shvachman, & Korczyn, 2009).

The current study sought to examine care-based and rule-based moral behavior in bvFTD and eAD patients in comparison to healthy controls. We desired to understand the neurocognitive processes involved in care and rule-based moral behavior both from a neuropsychological and neuroanatomical standpoint. This study assessed the relationship between ratings of moral transgressions with other neurocognitive measures and utilized magnetic resonance imaging (MRI) to examine cortical regions of interests (ROIs) previously implicated in social and moral behavior. The OFC and ATL were specifically chosen as a priori ROIs because they have been implicated in socioemotional reasoning (Bzdok et al., 2012; Sevinc & Spreng, 2014) and are vulnerable structures in bvFTD and AD (Yi, Bertoux, Mioshi, Hodges, & Hornberger, 2013). Given prior studies in bvFTD, we hypothesized that bvFTD patients would demonstrate more apathetic (i.e. less severe) appraisals of care-based transgressions but harsher (i.e. more severe) ratings of rule-based

moral transgressions compared to eAD and normal controls. We further hypothesized that increased ratings of severity of care-based moral transgressions would be associated with decreased cortical volume in the ATL whereas severe ratings rule-based transgressions would be associated with reductions in the OFC.

2. Method

2.1. Participants

Sixty total participants (18 patients with bvFTD, 22 with eAD, 20 healthy controls) were recruited for this study from the Neurological Clinics of the University of California (UCLA) Medical Center. The patients with bvFTD were diagnosed according to International Consensus Criteria (Rascovsky et al., 2011). Diagnosis criteria included: apathy, loss of empathy, disinhibition, compulsive-like behaviors, and dietary changes that occur concurrently with disproportionate executive functioning in neuropsychological testing. Patients with eAD were diagnosed according to the guidelines of the National Institute of Communicable Disease and Stroke-Alzheimer's Disease and Related Disorders Association for clinically problem AD and subsequently verified with the National Institutes of Aging-Alzheimer Association criteria (McKhann et al., 2011). Additionally, in order to match with the younger bvFTD patients, only eAD patients were included. Individuals with major medical or non-dementia related psychiatric illnesses were excluded from the study (with the exception of hypertension and diabetes). None of the patients had a history of criminal behavior and were considered "good citizens" by their caregiver. The study was reviewed and approved by the UCLA Institutional Review Board (IRB) and participants were enrolled according to IRB procedures.

2.2. Procedures

Each participant completed an initial screening interview and standard neurological examination. The participants completed the Moral Behavioral Inventory (Mendez et al., 2005); the Social Norms Questionnaire (Rankin, 2008); Montreal Cognitive Assessment (MoCA; (Nasreddine et al., 2005), the Clinical Dementia Rating (CDR; (Morris, 1993) auditory comprehension (modified from Boston Aphasia Battery) (Goodglass & Kaplan, 1983), DKEFS Proverbs (Delis, Kaplan, & Kramer, 2001), Trailmaking A & B (Tombaugh, 2004), Controlled Oral Word Associates Test (FAS) (Tombaugh, Kozak, & Rees, 1999); Wisconsin Card Sorting Task (WCST) (Kongs, Thompson, Iverson, & Heaton, 2000); and Western Aphasic Battery repetition subtest (Kertesz, 1982).

2.2.1. Neuroimaging—The bvFTD and eAD patients underwent MRI using a standardized protocol on a 1.5T high-resolution scanner. Images were acquired in the coronal plane using an MPRAGE sequence with the following acquisition parameters: TR=2000 ms, TE=2.49 ms, TI = 900ms, flip angle = 8°, slice thickness = 1mm, 25.6 cm field of view, voxel size = 1.0×1.0×1.0 mm³. Two cortical labels were extracted per hemisphere, from the Desikan-Killiany atlas from all native space T1-weighted structural MRI scans using FreeSurfer version 5.3 (<http://surfer.nmr.mgh.harvard.edu/>)(Desikan et al., 2006). For best results, the FreeSurfer cortical delineations were manually adjusted as

needed using controls points in the white and gray matter structures. For each cortical label, or region of interest (ROI), we extracted the cortical thickness as output by FreeSurfer.

2.2.2. Instrumentation—The Moral Behavior Inventory is a questionnaire consisting of 24 items based on prior moral questionnaires and inventories but updated to the current U.S. population (Mendez et al., 2005). The participant was asked to rate various behaviors (e.g., driving after one drink) as “not wrong,” “mildly wrong,” “moderately wrong,” or “severely wrong,” on a 4-point Likert scale. In our preliminary study, the split-half reliability (Cronbach's coefficient alpha) for 78 participants was $r_{kk} = .73$. The Social Norms Questionnaire (SNQ, Rankin 2008) is a 22-item inventory used to measure perceptions of abnormal social behavior. Respondents are asked if a certain behavior is social acceptable, answering with either “yes” or “no.” In order to facilitate administration and comprehension in dementia patients, the items were read aloud to the participants. The items were repeated as many times as necessary to assure comprehension.

Subsequently, these items were analyzed by three members of the research team using an iterative, grounded theory process. Using Gilligan's (1987) conceptual framework, *care-based* behaviors was defined grounded in mutuality, respect, and responsiveness for each involved party. In contrast, *rule-based* behaviors were grounded in Kohlberg's (1971) notion of conventional behavior based on social norms that are to be followed all circumstances. MBI and SNQ directly tap into sociomoral behavior and, therefore, must be divisible into these literature derived care and rule-based items. Each item was coded to reflect the type of moral behavior reflected in each item. Each coded item was placed in its respective category (inter-rater reliability $\kappa = .81$). A category score was created by adding the sum of each individual item score. Each scale yielded adequate internal consistency (α 's = .76–.78) by the three person team. A group of 15 people comprised of physicians, students, and research assistants were asked to further validate these categorical scales. They were given a copy of the MBI and SNQ items with the operational definition of both care-based and rule-based behavior located at the top of the page, and they were asked to make a force choice decision whether the item was “care” or “rule” based moral behavior. These scales were analyzed using Hayes and Krippendorff (2007)'s macro yielding acceptable inter-rater reliability (care-based Krippendorff's $\alpha = .79$, LLCI .61, ULCI .85; rule-based Krippendorff's $\alpha = .81$, LLCI .60, ULCI .89).

2.3. Statistical analyses

Each statistical analysis was conducted using SPSS 21.0 software. Demographic (age, race, education) descriptive statistics were generated for each group. Next, these characteristics were compared between the groups using Chi-square and t-test for categorical and continuous variables, respectively. Pearson correlations were computed to examine the relations between the moral behaviors and demographic variables to identify any covariants. The formal hypotheses that postulated group differences in moral behavior were tested by analyses of variance (ANOVAs). An analysis of covariance (ANCOVAs) was utilized when the correlation analyses identified study variables with significant relationships with demographic variables. The main effects were compared using the Sidak confidence interval adjustment set at 95%. A series of bivariate correlations were used to explore possible

neuropsychological and neuroanatomical correlates. A Bonferroni correction was used to correct for multiple comparisons. To further test for any potential mediational properties, Hayes (2012) bootstrapping macro *process* was used. Confidence intervals were set at 95%.

3. Results

3.1. Descriptives

There were no significant participant group differences on any of the demographic variables (See Table 2). There was only one significant correlation between study and demographic variables; patient education had a positive correlation with severity of care-based moral judgments, $r = .33$, $p = .010$. In terms of neuropsychological profiles, as noted on Table 3, the bvFTD and eAD only differed by their CDR score, $p = .009$, after correcting for multiple comparisons. BvFTD patients were significantly more impaired on the CDR than their eAD counterparts. Compared to their eAD counterparts, bvFTD patients had a less right ATL ($p = .004$) and right OFC ($p = .005$) volume.

3.2. Group Differences on Care-Based vs. Rule-Based Items (See Figure 1)

An ANCOVA with patient education as covariate revealed significant overall group differences ($\eta_p^2 = .07$, $F(1, 58) = 4.32$, $p < .05$). For care-based moral behaviors, there was a significant group effect ($\eta_p^2 = .16$, $F(2, 58) = 3.74$, $p = .007$). Sidak comparison procedure indicated that the bvFTD group rated care-based items significantly lower (less severe) than the eAD patients, $p = .026$. For rule-based moral behaviors, there was also a significant group effect ($\eta_p^2 = .12$, $F(2, 59) = 3.93$, $p = .025$). BvFTD patients rated rule-based behaviors more severely than healthy controls according to pairwise comparisons, $p = .021$.

3.3. Neuropsychological correlates

Correlations of moral behaviors and neuropsychological tests were demonstrated on Table 4. Care-based morality was associated with FAS, $r = .48$, $p = .009$. Rule-based behaviors were inversely associated with WAB Repetition, $p = .021$; and WCST total, $p = .015$. However only the relationships between WCST with rule-based morality and FAS with care-based morality remained significant after a Bonferroni correction for multiple comparisons.

3.4. Cortical volume correlates

Correlations of moral behaviors and cortical volume were demonstrated on Table 5 for the both dementia groups. Across groups, care-based morality was initially negatively related to volume in the left ATL lobe, $p = .020$, and right ATL, $p = .009$. Only the relationship with the right anterior lobe remained significant after multiple comparison correction. Rule-based morality however, had a negative relationship with the right OFC, $p = .008$. However, we did additional analyses to determine the strength of each relationship for each group. In bvFTD patients, rule-based morality was negatively linked to the right OFC, $r = -.49$, $p = .010$, but not left OFC, $p = .135$. Also in bvFTD, care-based morality was linked to the right ATL, $r = .38$, $p = .031$, but not the left ATL, $p = .257$. For eAD patients, rule-based morality was negatively linked to the right OFC, $r = -.39$, $p = .015$, but not to the left OFC, $p = .091$. Care-based morality was related to both the left ATL, $r = .46$, $p = .017$, and right ATL, $r = .51$, $p = .004$.

3.5. Neurocognitive mechanisms in morality

The neurocognitive mechanisms in rule and care-based morality were examined by mediation models using Hayes's Model process. We first tested whether verbal fluency mediated the relationship between care-based morality and right ATL volume. However the right ATL did not significantly predict performance on verbal fluency (FAS), $p = .584$. Thus, mediation procedures were terminated. As illustrated in Figure 2, there was evidence that cognitive flexibility (WCST) mediated the relationship between rule-based morality and the right OFC (95% LCI = .05, 95% UCI .80).

4. Discussion

This study explored care-based and rule-based moral reasoning using bvFTD, in comparison to eAD and HC, as a window to their neuropsychological and neuroanatomical correlates. We found that bvFTD patients rated care-based morality transgressions less severely than the eAD patients and rule-based transgressions more severely than healthy controls. Severe care-based judgments correlated with increased verbal fluency and thinning volume in the right ATL, whereas rule-based reasoning correlated with increased difficulty on a set-shifting task and decreased cortical volume in the right OFC. Together, these findings suggest that disease involving right ATL and OFC in bvFTD results in a shift from empathic, care-based morality to an adherence to conventional rules.

As predicted group differences occurred with respect to both care-based and rule-based morality. Although neither group reached significant differences from healthy controls, the bvFTD patients rated care-based morality less severely than the eAD patients. Larger numbers might have revealed a significance difference from the HC as a directional trend appeared for bvFTD to rate care-based moral behaviors less severely. The discrepancy in care-based morality between bvFTD and eAD may be accounted for a number of ways. From a clinical syndrome perspective, decreased empathy is characteristic of bvFTD (Rascovsky et al., 2011); thus they would be likely to have decreased care-based moral reasoning which is reliant upon empathy.

Neuroanatomically, care-based moral transgressions were associated with reductions in right ATL volume, and the bvFTD patients had less volume in the right ATL. Several prior studies have indicated the prominence of the vmPFC-right ATL in empathy based moral functioning (Fumagalli & Priori, 2012; Gu, Hof, Friston, & Fan, 2013). In addition, given the right ATL lobe's involvement in ToM (Irish et al., 2014), bvFTD patients may also have impaired moral reasoning from decreased social cognition. An impairment of the ATL, which lies next to and has strong bidirectional connection with temporal operculum of the insular and other limbic structures, may be enough to cause deficit in emotion awareness and empathy and lead to decreased care-based morality. This is partially supported by evidences showing a correlation between volume of ATL with empathy score (Rankin et al., 2006), and an altered neural activity in the temporal operculum, superior temporal sulcus, has been associated with callousness and psychopathy (Decety, Chen, Harenski, & Kiehl, 2015). In contrast, in eAD, the emotion-relevant Salience Network, which directly involves fronto-insular and anterior cingulate, (Seeley, Crawford, Zhou, Miller, & Greicius, 2009; Seeley et al., 2007; Seeley, Zhou, & Kim, 2012) tends to be spared and has recently been implicated

in heightened emotional experiences or contagion among patients with AD (Sturm et al., 2013). In sum, lesions of the ATL may mediate impaired care-based morality, whereas, a release of Salience Network in eAD may heighten care-based moral reasoning.

Our hypothesis that bvFTD patients would rate rule-based moral transgressions more severely than their peers is also partially supported. The bvFTD patients have higher mean scores than other groups; this difference was statistically significant when compared to the healthy controls. This performance is consistent with prior studies that have found bvFTD patients tend to make calculated and impersonal moral decisions (Lough et al., 2006; Mendez & Shapira, 2009). This study further finds an inverse correlation between ratings of rule-based transgressions and volume in the right OFC, a region involved in social functioning. The correlation suggests that those with frontal lesions rely upon absolute principles as guides in complex cognitive and emotional social situations. However, as the mediation analysis indicates, this relationship depends upon the ability to set shift and learn from feedback. In this case, those with severe frontal dysfunction, held onto to the social norms unable to shift sets due to executive dysfunction. Thus when people are unable to understand the social context they rigidly apply the same logic, or rule-based reasoning, in impersonal ways.

Although the bvFTD patients were most rule-based, the absence of difference in rule-based moral behavior between bvFTD and eAD suggests that the eAD patients also tend towards rule-based moral reasoning. Both groups have deficits in social problem solving and ToM (Gregory et al., 2002; Kemp et al., 2012; Le Bouc et al., 2012). Both dementia groups may fall back to social rules on how to behave. The eAD patients, not as much as the bvFTD patients, have frontal dysfunction and might have a proclivity towards rule-based decisions.

Moreover, previous studies have highlighted the importance of the frontal executive system in rule tasks (Edwards, Balldin, & O'Bryant, 2014; Goodwin et al., 2012). This study demonstrates that when these executive functions become compromised, people become rigid in their application of particular social norms. When taken in tandem with the positive correlation of verbal fluency and care-based ratings, intact frontal-executive systems may enable prosocial socioemotional reasoning, regulate emotional states, and integrate information from social contexts. If these systems are compromised, people may have an inability to consider contextual information in making moral decisions thus rely upon previously learned social rules.

This study has potential limitations. Foremost, our study examines the behavior of a small and somewhat homogenous sample size. Nevertheless, this study demonstrates group differences in care-based and rule-based reasoning. Expanding the sample size in future studies can further elucidate these patterns noted in this study. Second, this study relies on behavioral scales to measure morality instead of clinical observation or responses to moral vignettes. These inventories represent subjects' perception of behavior rather than observed occurrences. Behavioral inventories have demonstrated considerable reliability as stand-ins whenever direct observation is unavailable. Although caregivers reported the study participants were previously "good citizens" having no forensic history, this design did not

assess moral behavior in real life. Future studies can compare similar inventories to real world moral behavior.

In summary, this investigation highlights differences in care-based vs. rule-based moral reasoning in two early onset dementia samples. Deterioration in areas involved in empathy seems to mitigate a person's indignation of empathic-based judgments while increasing their reliance on social conventions. This pattern is particularly characteristic of bvFTD, but qualitative evidence indicates eAD patients react to rule-based infractions as well. These findings suggest examining moral behavior in dementia patients may be a window for understanding the neurological substrates of morality.

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Highlights

- Studied care vs. rule-based morality in early onset dementia patients and controls.
- EAD patients rated care-based infractions more severely than bvFTD patients
- BvFTD patients rated rule-based more severely than healthy controls.
- Care-based linked to right anterior temporal lobe; inversely with phonemic fluency.
- Rule-based linked to reductions in right orbitofrontal cortex and set-shifting.

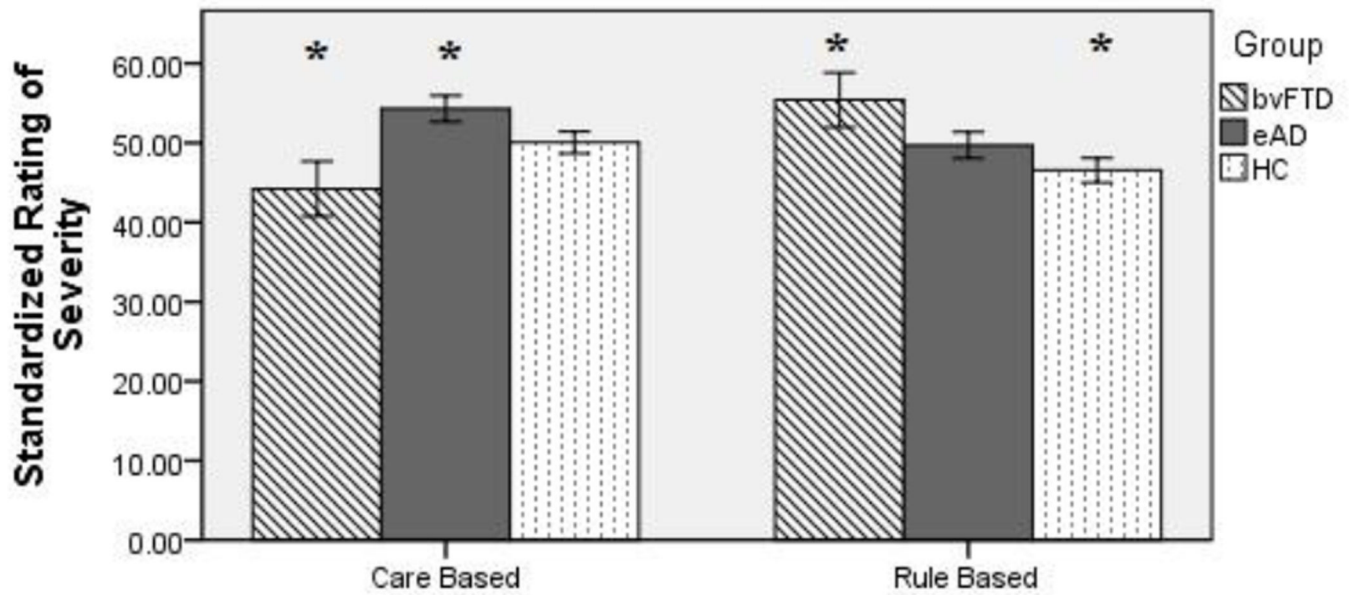


Figure 1.

Standardized Ratings of Care-Based and Rule-Based Judgments of Moral Behavior

Note. * Group differences between bvFTD and eAD on care-based morality at $p < .05$ level according to Sidak pairwise comparison. ** Indicates group differences between bvFTD and healthy controls by rule-based morality at the $p < .05$ level according to Sidak pairwise comparison. Vertical axis represents total severity of rating moral behaviors in the Moral Behavioral Inventory and Social Norms Questionnaire. Error bars represent 1 SD.

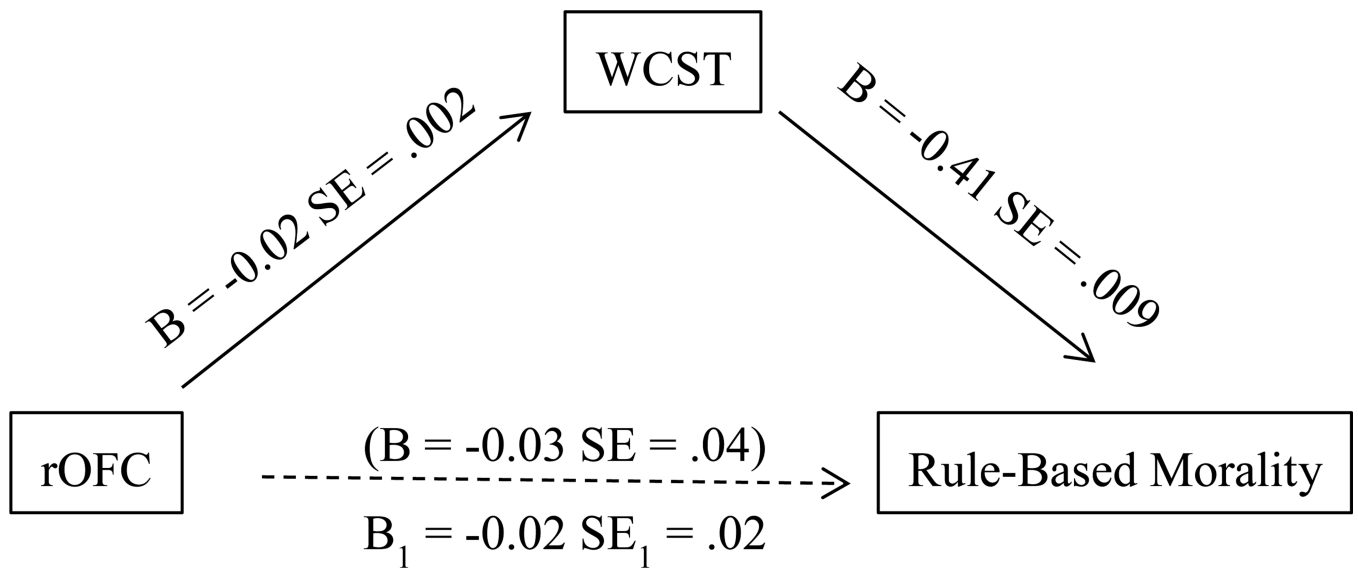


Figure 2.

Mediation of Right Orbital Frontal Cortex on Rule-based Morality by WCST

Note. B is the effect size generated by bootstrapping procedures. Figure in parenthesis indicates original relationship between rOFC and rule-based morality that was no longer significant when accounting for the WCST. B_1 indicates the indirect effect of rOFC on Rule-Based Morality

Table 1

Care-Based vs. Rule-Based Items from MBI and SNQ judged to be

Care-based	Rule-based
<i>MBI Items</i>	<i>MBI Items</i>
Are mean to someone you don't like.	Keep money found on the ground.
Drive out the homeless from your community.	Take the largest piece of a pie.
Not offer to help after an accident.	Ask another to do some of your homework.
Ignore a hungry stranger.	<i>SNQ Items</i>
Refuse to help people who don't deserve it.	Spit on the floor
<i>SNQ Items</i>	Cry in a public place
Tell a stranger you don't like their hairstyle.	Wear the same clothing every day.
Laugh when a stranger trips and falls	Hug a stranger without asking first

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Table 2

Group Demographics and Morality Ratings by Frequency or Means and Standard Deviations

Demographic Variable	bvFTD N = 18	eAD N = 22	Healthy Control N = 20
Age	59.44 (10.78)	59.59 (5.24)	55.57(8.81)
Gender			
Male	10 (55%)	8 (33%)	7 (34%)
Female	8 (45%)	14 (67%)	14 (66%)
Education	15.67 (2.64)	16.41(2.00)	16.00 (1.75)
Handedness			
Right	16 (89%)	20 (91%)	18 (90%)
Left	2(11%)	2 (9%)	2 (10%)
Years since onset	3.67 (3.03)	3.64 (2.17)	
Ethnicity			
White	16 (89%)	21 (95%)	17 (81%)
Non-white	2 (11%)	1 (5%)	4 (19%)
Care-based	15.86 (8.23)*	21.77 (4.50)*	19.23 (3.68)
Rule-based	13.00 (6.15)*	10.45 (3.47)	9.04 (3.15)*

Note.

* $p < .05$ according to Sidak pairwise comparison. Age, Education and Time since onset measured in years, M (SD). Gender and ethnicity measured by frequency of participants, n (percentage of sample). No significant differences exist by group on any demographic variable.

Table 3
 Neurocognitive Performance and Region of Interest Cortical Volume by Dementia Group

	bvFTD		eAD	
	M	SD	M	SD
MOCA	18.67	6.04	19.17	5.61
CDR**	1.11	0.44	0.71	0.29
Comprehension	-1.03	0.98	-0.72	1.44
Proverbs	-1.44	3.05	-0.83	2.58
Trails B	-1.88	1.70	-1.83	0.93
FAS*+	-2.03	1.09	-0.72	1.67
WCST	31.82	10.21	35.57	13.90
WAB	5.50	0.90	5.35	0.86
L. ATL	15116.06	689.03	1992.00	291.92
R. ATL**	1378.47	565.74	1934.41	296.48
L. OFC*+	5110.18	1532.60	6253.05	838.79
R. OFC**	5291.35	1307.57	6083.68	898.16

Note.

** $p < .01$,

* $p < .05$

+ Not significant after Bonferroni correction. All anatomical volumes are calculated in mm³ units.

Table 4

Neuropsychological Correlates of Care-Based and Rule-Based Moral Behavior

	Care-Based	Rule-Based
Age	.12	.08
Education	.33*	.01
MoCA	.16	-.04
CDR	.09	.14
Comprehension	-.10	.05
Proverbs	.18	-.28
Trails A	.01	-.05
Trails B	.20	-.03
FAS	.48**	.11
WAB – Repetition	-.25	-.41* ⁺
WCST	.11	-.43*

Note.

* $p < .05$;

** $p < .01$.

⁺ Not significant after Bonferroni correction.

Table 5

Regional Volume Correlations with Care-based and Rule-based Moral Behavior

	Care-based	Rule-based
Left Orbitofrontal Cortex	.15	-.28
Right Orbitofrontal Cortex	.01	-.41**
Left Anterior Temporal Lobe	.36* ⁺	-.10
Right Anterior Temporal Lobe	.40**	-.15

Note.

*
 $p < .05$;**
 $p < .01$.⁺ Not significant after Bonferroni correction.