Aim of the study: A number of observations have indicated that the immune system plays a significant role in patients with epithelial ovarian cancer (EOC). In cases of EOC, the prognostic significance of tumour infiltrating lymphocytes has not been clearly explained yet. The aim is to determine the phenotype and activation molecules of cytotoxic T cell and NK cell subpopulations and to compare their representation in malignant ascites and peripheral blood in patients with ovarian cancer.

Material and methods: Cytotoxic cells taken from blood samples of the cubital vein and malignant ascites were obtained from 53 patients with EOC. Their surface and activation characteristics were determined by means of a flow cytometer. Immunophenotype multiparametric analysis of peripheral blood lymphocytes (PBLs) and tumour infiltrating lymphocytes (TILs) was carried out.

Results: CD3+ T lymphocytes were the main population of TILs (75.9%) and PBLs (70.9%). The number of activating T cells was significantly higher in TILs: CD3+/69+ 6.7% vs. 0.8% (p < 0.001). The representation of (CD3<sup>-</sup>/16<sup>+</sup>56<sup>+</sup>) NK cells in TILs was significantly higher: 11.0% vs. 5.6% (p = 0.041); likewise CD56<sup>bright</sup> and CD-56<sup>bright</sup> from CD56<sup>+</sup> cells were higher in TILs (both p < 0.001). The activation receptor NKG2D was present in 45.1% of TILs vs. 32.3% of PBLs (p = 0.034), but we did not find a significant difference in the numbers of CD56+/NK-G2D+ in TILs and PBLs.

Conclusions: These results prove that the characteristics and intensity of anti-tumour responses are different in compared compartments (ascites/PBLs). The knowledge of phenotype and functions of effector cells is the basic precondition for understanding the anti-tumour immune response.

**Key words:** tumour-infiltrating lymphocytes, NK cells, NKG2D, flow cytometry, ovarian cancer.

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# Comparative study of various subpopulations of cytotoxic cells in blood and ascites from patients with ovarian carcinoma

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## Introduction

Epithelial ovarian cancer is the fifth leading cause of cancer-related death in women [1]. This disease is manifested by vague clinical symptoms and the fact that specific diagnostic molecular markers are missing. Most patients are diagnosed at an advanced stage with limited therapeutic options. Chemotherapeutic strategies usually achieve only a partial response, and therefore it is necessary to seek other therapeutic options. Immunotherapy of ovarian tumours is considered one of the possible modalities for use in a primary or consolidation approach [2].

The central effector role of T cytotoxic lymphocytes and natural killer (NK) cells in an anti-tumour response is well known [3-6]. However, objective findings indicate that tumour cells grow in the presence of mononuclear cell infiltration. These studies suggest that tumour-associated lymphocytes are not capable of evoking an effective immune response [7]. It is clear that the plasticity of tumour cells in changing the expression of cell-surface molecules, for example downregulation of human leukocyte antigen (HLA) molecules, or low density or even absence of tumour-specific antigens, is an important cause of the failure of the anti-tumour response. Cytotoxicity of T cells depends on the expression of HLA class I molecules. These properties mediate a negative effect on immune surveillance. Tumours can also fail to present their antigens owing to defects in the intracellular peptide transporter TAP or in the components of immunoproteasome genes [8–10]. Besides the insufficient tumour immune response due to alteration of the processing cascade of a specific antigen, a great number of studies document the direct suppressive effect of the tumour environment on cytotoxic lymphocyte effectiveness [11]. Although cancer stroma lymphocyte infiltrations are present in the majority of human neoplasms, these lymphocytes are mostly functionally defective, incompletely activated and anergic [3]. Through the downregulation of activation and proliferation of tumour-specific cytotoxic T lymphocytes and NK cells, cancers are able to overcome immune surveillance. Incompletely activated tumour infiltrating lymphocytes (TILs) and cancer cells produce chemokines that are chemoattractants for other leukocytes and regulate their migration into tumour stroma. The prognostic significance of TILs has been recently recognized in various neoplasms. In epithelial ovarian cancer, most of the studies performed in paraffin-embedded tissue have shown that the presence of TILs is an independent favourable prognostic factor. Numerous investigators have reported that TILs obtained from patients with ovarian carcinoma contain activated cytotoxic T lymphocytes and NK cells, which were subsequently *ex vivo* cultivated with a low concentration of IL-2. In these instances, the CD8 T cell, TcR positive and NK cell population expanded, and the cells exhibited primarily autologous tumour cell cytotoxicity [4, 12, 13].

The presence of ascites in advanced stages of ovarian cancer offers a unique opportunity to obtain tumour-associated lymphocytes (TALs) directly from the site of a malignant process. There is still limited data about the prognostic significance of cytotoxic T cells and NK cell subtypes freshly isolated from a tumour or ascites [17, 18]. But some studies show that TALs may play an important role in the immune response against tumours [17].

For the above reasons, we began to study prospectively the population of cytotoxic cells in ascites associated with epithelial ovarian cancer concomitantly with peripheral blood lymphocytes. Here, we present the results of a flow cytometric phenotype analysis of both cytotoxic T cells and NK cell subtypes and their activation molecules in ascitic fluid and peripheral blood. Due to our better knowledge we have used a brighter spectrum of activation markers than before.

# Material and methods

# Patients

Ascites and peripheral blood were obtained from 53 patients with diagnosed ovarian cancer or patients undergoing surgery for suspected ovarian cancer prior to neo-adjuvant, adjuvant or palliative chemotherapy. The study was carried out between January 2006 and 2013 at the University Hospital Hradec Králové, Czech Republic. Inclusion in the study was confirmed after a histopathologic diagnosis of invasive epithelial ovarian carcinoma (Table 1). All patients gave their written informed consent prior to their participation in the study. The study was approved by the Institutional Review Committee. The median age of the patients was 64 (range 40-83). In 38 patients (71%), a radical operation was performed, although only 11 of them (29%) received neo-adjuvant chemotherapy. The most frequent tumour stage was FIGO IIIc (60%); in our group there were only four patients (7%) with metastatic disease. Most of the patients received at least one chemotherapy line; only 12 patients (22%) did not start any chemotherapy due to their altered clinical performance. The most usual chemotherapy was based on paclitaxel and carboplatin; the other cytostatic agents used during therapy were topotecan, cisplatin and gemcitabine. The time to first progression (measured from time of diagnosis to relapse after the first line treatment and/or surgery) was 154 days (0–1711), and in 18 patients (34%) no progression had occurred at follow-up. The median survival in our group

was 1996 days (25–2563) and at the end of follow-up on  $1^{st}$  January 2013, 22 (41%) were still alive.

### Methods

Heparinized test tubes were used to collect peripheral blood from the cubital vein. The malignant ascites were obtained via open surgery, laparoscopy or paracentesis before chemotherapy in tubes with a heparin solution in a final concentration of 25 U/ml. The ascitic fluids were centrifuged at 1000 rpm for 10 minutes at 4°C. Isolated cells were twice washed and diluted to an approximate concentration of 5 × 10<sup>5</sup> cells. The samples were processed up to 2 hours after their sampling. Each time, 25 μl of heparinized blood and cellular suspension were incubated for 20 minutes in the dark at room temperature with 10 µl of diluted conjugated monoclonal antibodies (Immunotech and Beckman Coulter). The combinations were as follows: CD45-FITC/CD14-PE (for gating), CD3-FITC/CD16/56-PE/ CD19-PC5, CD45RO-FITC/CD45RA-PE/CD4-PC5, CD8-FITC/ DR-PE/CD3-PC5, CD69-FITC/CD25-PE/CD3-PC5, CD57-FITC/ TcRαβ-PE/CD8-PC5, CD8-PC5/CD56-PE/NKG2D-FITC and CD3-FITC/CD8-PC5/TcRγδ-PE. After lysing erythrocytes with a lysing solution (0.5 ml of OptiLyse C, Beckman Coulter), a buffer was added with 0.5% foetal calf and 0.01% sodium azide. The measurement was carried out by means of a three-colour fluorescence Coulter Epics XL flow cytometer (the Coulter Company, Fullerton, USA). At least 5000 gated events were analysed. Subpopulations were measured as a percentage of the total number of CD45-positive cells (and the total number of CD3-positive cells). The expression of activation markers CD69, HLA-DR and CD25 molecules was assessed on gated CD3 positive cells by plotting CD4 or CD8 positive cells vs. the given activation markers. The evaluation of measured samples was carried out by means of CPX analysing software (Fig. 1). The results were calculated from the data of 53 patients suffering from EOC.

Statistical evaluation of the measured values was carried out by means of NCSS 2007 Statistica. The statistics were processed using standard methods for a statistical comparison of two groups. Normality value tests were unsuccessful in the evaluated cases; for this reason, the one-way ANOVA on ranks test was carried out to determine the level of statistical significance. The median was an indicator of the value position. Using Cox proportional-hazards regression, we observed the potential effect of acquired immunological parameters from ascitic fluid, both on the overall survival, and also on the length of time to first progression. Differences were considered significant at p < 0.05.

# Results

The phenotype of cytotoxic cells was determined by means of the three-colour immunofluorescence method, and their representation in peripheral blood (PB) and ascites was compared. The both compartments exhibited a dominant CD3 $^+$  T lymphocyte population. The number of CD3 $^+$ /CD69 $^+$  (activated) cells was significantly higher in ascites (p < 0.001). The CD8 $^+$  T lymphocyte count was

 Table 1. Clinical and histopathological characteristics of patients with ovarian carcinoma

1	Patient number	Age (years)	FIGO classification	Histology	Grade	Radical surgery Yes/No	Neoadjuvant treatment Yes/No	Number of treatment lines	Time to first progression (days)	Overall survival (days)
3   53   IIIC   Serous   3   yes   yes   3   993	1	60	IIIC	serous	1	no	yes	3	343	986
62	2	56	IIIC	serous	2	yes	yes	2	0	2315
S	3	53	IIIC	serous	3	yes	no	5	719	2267
6   55   IIIC   Serous   3   Yes   Yes   5   640     7   47   IIIC   Serous   3   Yes   No   5   1211     8   69   IIIC   Serous   3   No   No   0   0     10   65   IIIC   Serous   3   Yes   No   0   0     11   61   IIIC   Serous   3   Yes   No   0   0     12   70   IIIC   Serous   3   Yes   Yes   1   0     12   70   IIIC   Serous   3   Yes   Yes   1   0     12   70   IIIC   Serous   3   Yes   Yes   5   589     13   74   14   Unodifferentiated   2   Yes   No   0   0     14   69   IIIC   Endometrioid   2   Yes   Yes   2   452     15   69   IIC   Endometrioid   3   Yes   No   1   0     16   71   IV   Serous   3   Yes   No   1   No     17   64   IIIC   Serous   3   Yes   No   1   No     19   64   IIIC   Serous   3   Yes   No   1   0     19   64   IIIC   Serous   3   Yes   No   2   450     20   55   IIIA   Endometrioid   2   Yes   No   2   450     20   55   IIIA   Endometrioid   2   Yes   No   2   0     21   51   IIIC   Undifferentiated   3   Yes   No   2   0     22   78   IIIB   Serous   3   Yes   No   2   0     23   65   IIIC   Serous   3   Yes   No   2   0     24   83   IIIC   Serous   3   Yes   No   1   NO     25   40   IIIA   Serous   3   Yes   No   1   0     26   64   IIIC   Serous   3   Yes   No   1   0     27   51   IV   Serous   3   Yes   No   1   0     28   71   IIIC   Serous   3   Yes   No   1   0     29   57   IB   Endometrioid   2   Yes   No   1   0     29   57   IB   Endometrioid   2   Yes   No   1   0     29   57   IB   Endometrioid   2   Yes   No   1   0     30   74   IIIA   Serous   3   Yes   No   1   0     31   67   IIIC   Serous   3   Yes   No   0   1   0     33   77   IIIC   Serous   3   Yes   No   0   1   0     34   56   IIIA   Serous   3   Yes   No   0   0   No     35   72   IIIC   Undifferentiated   3   Yes   No   0   0   No     37   71   IIIC   Undifferentiated   3   Yes   No   0   0   No     39   56   IIIB   Serous   3   Yes   No   0   0   No     30   54   IIIA   Undifferentiated   3   Yes   No   0   0   No     37   71   IIIC   Undifferentiated   3   Yes   No   0   0   No     38   59   Ia	4	62	IIIC	serous	3	yes	yes	3	993	1367
7	5	51	IV	serous	3	no	no	1	no	134
Second   S	6	55	IIIC	serous	3	yes	yes	5	640	2374
9 62 IIIC serous 2 yes no 0 0 0 1 1 65 IIIC serous 3 yes no 4 489 111 61 IIIC serous 3 yes yes 1 0 0 1 2 70 IIIC serous 3 yes yes 5 589 13 74 IA unodifferentiated 2 yes no 0 0 0 0 14 69 IIIC endometrioid 2 yes yes 2 452 15 69 IC endometrioid 3 yes no 1 0 0 16 71 IV serous 3 yes no 1 0 0 17 64 IIIC serous 3 yes no 1 0 0 17 64 IIIC serous 3 yes no 1 0 0 17 64 IIIC serous 3 yes no 1 0 0 18 82 IIIC endometrioid 3 yes no 1 0 0 19 64 IIIC serous 3 yes no 1 0 0 10 19 64 IIIC serous 3 yes no 1 0 0 10 19 64 IIIC serous 3 yes no 1 0 0 10 19 64 IIIC serous 3 yes no 1 0 0 10 19 64 IIIC serous 3 yes no 1 0 0 10 19 64 IIIC serous 3 yes no 1 0 0 10 19 64 IIIC serous 3 yes no 1 0 0 10 19 64 IIIC serous 3 yes no 1 0 0 10 19 64 IIIC serous 3 yes no 1 0 0 10 10 10 10 10 10 10 10 10 10 10	7	47	IIC	serous	3	yes	no	5	1211	2395
10	8	69	IIIC	serous	3	no	no	2	217	754
11	9	62	IIIC	serous	2	yes	no	0	0	2228
12   70	10	65	IIIC	serous	3	yes	no	4	489	2093
13	11	61	IIIC	serous	3	yes	yes	1	0	2262
14   69	12	70	IIIC	serous	3	yes	yes	5	589	1321
15	13	74	IA	unodifferentiated	2	yes	no	0	0	2492
16         71         IV         serous         3         no         no         1         no           17         64         IIIC         serous         3         yes         no         2         580           18         82         IIIC         endometrioid         3         yes         no         1         0           19         64         IIIC         serous         3         yes         no         1         0           20         55         IIIA         endometrioid         2         yes         no         5         938           21         51         IIIC         undifferentiated         3         yes         no         2         0           22         78         IIIB         serous         3         no         no         n         1         No           23         65         IIIC         serous         3         yes         no         1         0           24         83         IIIC         serous         1         yes         no         1         0           26         64         IIIC         serous         3         yes         no         1	14	69	IIIC	endometrioid	2	yes	yes	2	452	615
17	15	69	IC	endometrioid	3	yes	no	1	0	2190
18         82         IIIC         endometrioid         3         yes         yes         2         450           20         55         IIIA         endometrioid         2         yes         no         5         938           21         51         IIIC         undifferentiated         3         yes         no         2         0           22         78         IIIB         serous         3         no         no         no         1         NO           23         65         IIIC         serous         3         yes         no         1         0           24         83         IIIC         endometrioid         2         no         no         2         377           25         40         IIIA         serous         1         yes         no         1         0           27         51         IV         serous         3         yes         no         1         0           28         71         IIIC         serous         3         yes         no         1         0           29         57         IB         endometrioid         2         yes         no	16	71	IV	serous	3	no	no	1	no	89
19	17	64	IIIC	serous	3	yes	no	2	580	963
20         55         IIIA         endometrioid         2         yes         no         5         938           21         51         IIIC         undifferentiated         3         yes         no         2         0           22         78         IIIB         serous         3         no         no         1         NO           23         65         IIIC         serous         3         yes         no         1         0           24         83         IIIC         endometrioid         2         no         no         2         377           25         40         IIIA         serous         1         yes         no         1         0           26         64         IIIC         serous         3         yes         no         1         0           27         51         IV         serous         3         yes         no         1         0           28         71         IIIC         serous         3         no         no         1         0           30         74         IIIA         serous         3         no         no         0         no	18	82	IIIC	endometrioid	3	yes	no	1	0	338
21         51         IIIC         undifferentiated         3         yes         no         2         0           22         78         IIIB         serous         3         no         no         1         NO           23         65         IIIC         serous         3         yes         no         1         0           24         83         IIIC         endometrioid         2         no         no         2         377           25         40         IIIA         serous         1         yes         no         1         0           26         64         IIIC         serous         3         yes         no         1         0           27         51         IV         serous         3         yes         no         1         0           28         71         IIIC         serous         3         yes         no         1         0           30         74         IIIA         serous         3         no         no         1         0           31         67         IIIC         serous         3         no         no         0         no	19	64	IIIC	serous	3	yes	yes	2	450	529
22         78         IIIB         serous         3         no         no         1         NO           23         65         IIIC         serous         3         yes         no         1         0           24         83         IIIC         endometrioid         2         no         no         2         377           25         40         IIIA         serous         1         yes         no         1         0           26         64         IIIC         serous         1         yes         no         1         0           27         51         IV         serous         3         yes         no         1         0           28         71         IIIC         serous         3         yes         yes         4         854           29         57         IB         endometrioid         2         yes         no         1         0           30         74         IIIA         serous         3         no         no         0         no           31         67         IIIC         serous         3         no         no         0         no	20	55	IIIA	endometrioid	2	yes	no	5	938	1222
23         65         IIIC         serous         3         yes         no         1         0           24         83         IIIC         endometrioid         2         no         no         2         377           25         40         IIIA         serous         1         yes         no         1         0           26         64         IIIC         serous         1         yes         no         1         0           27         51         IV         serous         3         yes         no         1         0           28         71         IIIC         serous         3         yes         yes         4         854           29         57         IB         endometrioid         2         yes         no         1         0           30         74         IIIA         serous         3         no         no         0         no           31         67         IIIC         serous         3         no         no         0         no           32         79         IIIC         endometrioid         2         no         no         5         703     <	21	51	IIIC	undifferentiated	3	yes	no	2	0	2169
24         83         IIIC         endometrioid         2         no         no         2         377           25         40         IIIA         serous         1         yes         no         1         0           26         64         IIIC         serous         1         yes         no         1         0           27         51         IV         serous         3         yes         no         1         0           28         71         IIIC         serous         3         yes         yes         4         854           29         57         IB         endometrioid         2         yes         no         1         0           30         74         IIIA         serous         3         no         no         no         0         no           31         67         IIIC         serous         3         no         no         0         no           32         79         IIIC         serous         3         yes         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0	22	78	IIIB	serous	3	no	no	1	NO	267
25         40         IIIA         serous         1         yes         no         1         0           26         64         IIIC         serous         1         yes         no         1         0           27         51         IV         serous         3         yes         no         1         0           28         71         IIIC         serous         3         yes         yes         4         854           29         57         IB         endometrioid         2         yes         no         1         0           30         74         IIIA         serous         3         no         no         0         no           31         67         IIIC         serous         3         no         no         0         no           32         79         IIIC         serous         3         no         no         0         no           33         77         IIIC         endometrioid         2         no         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0         0	23	65	IIIC	serous	3	yes	no	1	0	2116
26         64         IIIC         serous         1         yes         no         1         0           27         51         IV         serous         3         yes         no         1         0           28         71         IIIC         serous         3         yes         yes         4         854           29         57         IB         endometrioid         2         yes         no         1         0           30         74         IIIA         serous         3         no         no         0         no           31         67         IIIC         serous         3         no         no         0         no           32         79         IIIC         serous         3         no         no         0         no           34         56         IIIA         serous         3         yes         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0         0         114           36         61         IIIC         serous         3         yes         no         3	24	83	IIIC	endometrioid	2	no	no	2	377	583
27         51         IV         serous         3         yes         yes         4         854           28         71         IIIC         serous         3         yes         yes         4         854           29         57         IB         endometrioid         2         yes         no         1         0           30         74         IIIA         serous         3         no         no         0         4         102           31         67         IIIC         serous         3         no         no         0         no         32         79         IIIC         serous         3         no         no         0         no         no         0         no         0         no         3         77         IIIC         endometrioid         2         no         no         0         no         0         0         no         3         72         IIIC         undifferentiated         3         no         no         0         0         114         36         61         IIIC         serous         3         yes         no         0         0         114         36         61         IIIIC	25	40	IIIA	serous	1	yes	no	1	0	2499
28         71         IIIC         serous         3         yes         yes         4         854           29         57         IB         endometrioid         2         yes         no         1         0           30         74         IIIA         serous         3         no         no         0         no           31         67         IIIC         serous         3         no         no         0         no           32         79         IIIC         serous         3         no         no         0         no           33         77         IIIC         endometrioid         2         no         no         0         no           34         56         IIIA         serous         3         yes         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0         0           37         71         IIIC         serous         3         yes         no         3         185           38         59         Ia         mucinous         2         yes         no         1         42 <td>26</td> <td>64</td> <td>IIIC</td> <td>serous</td> <td>1</td> <td>yes</td> <td>no</td> <td>1</td> <td>0</td> <td>2190</td>	26	64	IIIC	serous	1	yes	no	1	0	2190
29         57         IB         endometrioid         2         yes         no         1         0           30         74         IIIA         serous         3         no         no         0         no           31         67         IIIC         serous         3         no         no         0         no           32         79         IIIC         serous         3         no         no         0         no           33         77         IIIC         endometrioid         2         no         no         0         no           34         56         IIIA         serous         3         yes         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0         0         114           36         61         IIIC         serous         3         yes         no         0         0         0           37         71         IIIC         mucinous         3         yes         no         3         185           38         59         Ia         mucinous         3         yes         no <td>27</td> <td>51</td> <td>IV</td> <td>serous</td> <td>3</td> <td>yes</td> <td>no</td> <td>1</td> <td>0</td> <td>2563</td>	27	51	IV	serous	3	yes	no	1	0	2563
30         74         IIIIA         serous         3         no         no         0         102           31         67         IIIIC         serous         3         no         no         0         no           32         79         IIIC         serous         3         no         no         0         no           33         77         IIIC         endometrioid         2         no         no         0         no           34         56         IIIA         serous         3         yes         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0         0         114           36         61         IIIC         serous         3         yes         no         0         0         0           37         71         IIIC         mucinous         3         yes         no         3         279           39         56         IIIB         serous         3         yes         no         0         0           40         54         IIIA         endometrioid         3         yes	28	71	IIIC	serous	3	yes	yes	4	854	2041
31         67         IIIC         serous         3         no         no         0         no           32         79         IIIC         serous         3         no         no         0         no           33         77         IIIC         endometrioid         2         no         no         0         no           34         56         IIIA         serous         3         yes         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0         0         114           36         61         IIIC         serous         3         yes         no         0         0         0           37         71         IIIC         mucinous         2         yes         no         3         185           38         59         Ia         mucinous         2         yes         no         3         279           39         56         IIIB         serous         3         yes         no         0         0           40         54         IIIA         endometrioid         3         yes         n	29	57	IB	endometrioid	2	yes	no	1	0	2116
32         79         IIIC         serous         3         no         no         0         no           33         77         IIIC         endometrioid         2         no         no         0         no           34         56         IIIA         serous         3         yes         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0         0         114           36         61         IIIC         serous         3         yes         no         0         0           37         71         IIIC         mucinous         2         yes         no         3         185           38         59         la         mucinous         2         yes         no         3         279           39         56         IIIB         serous         3         yes         no         0         0           40         54         IIIA         endometrioid         3         yes         no         1         428           41         72         IIIA         undifferentiated         3         yes         no	30	74	IIIA	serous	3	no	no	4	102	2081
33         77         IIIC         endometrioid         2         no         no         0         no           34         56         IIIA         serous         3         yes         no         5         703           35         72         IIIC         undifferentiated         3         no         no         0         0         114           36         61         IIIC         serous         3         yes         no         0         0           37         71         IIIC         mucinous         2         yes         no         3         185           38         59         la         mucinous         2         yes         no         3         279           39         56         IIIB         serous         3         yes         no         0         0           40         54         IIIA         endometrioid         3         yes         no         1         428           41         72         IIIA         undifferentiated         3         yes         no         1         0           42         61         IIIB         endometrioid         2         yes         ye	31	67	IIIC	serous	3	no	no	0	no	28
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Table 2. Phenotyping analysis of CD45 positive cells from peripheral blood and malignant ascites from 53 patients with ovarian cancer

Cell population	Peripheral blood (%)	Ascites (%)	p
CD3 <sup>+</sup>	70.9 (61.8–75.1)	75.0 (71.1–82.4)	0.097
CD3+/CD69+	0.8 (0.2–2.1)	6.7 (4.5–15.2)	< 0.001
CD3+/CD25+	4.9 (3.6–5.8)	3.7 (2.4–5.3)	0.381
CD3+/DR+	1.4 (0.7–2.8)	4.0 (1.1–7.0)	0.068
CD3+/CD4+	48.7 (39.1–57.1)	49.1 (34.1–52.0)	0.446
CD4+/45RA+	8.5 (2.7–11.0)	2.6 (1.3–5.7)	0.007
CD4+/45RO+	30.6 (19.2–34.2)	37.7 (25.3–45.1)	0.013
CD8+	21.1 (15.7–24.8)	30.0 (20.3–36.6)	0.033
CD3+/CD8+	19.1(17.1–20.8)	28.7 (16.8–33.2)	0.012
CD3 <sup>-</sup> /8 <sup>+</sup>	2.9 (1.2–6.5)	3.9 (3.0–4.9)	0.205
CD8+/DR+	0.6 (0.3–1.4)	2.3 (0.7–5.3)	<0.001
CD+/CDaβ+	25.6 (8.8	37.4 (19.9–52.6)	0.065
CD8+/CDγδ+	0.5 (0.2–2.5)	0.4 (02–0.8)	0.698
CD8+/CD57+	3.8 (2.7–6.9)	1.3 (0.5–8.1)	0.235
CD19 <sup>+</sup>	10.6 (7.8–16.7)	6.8 (4.1–8.6)	0.009

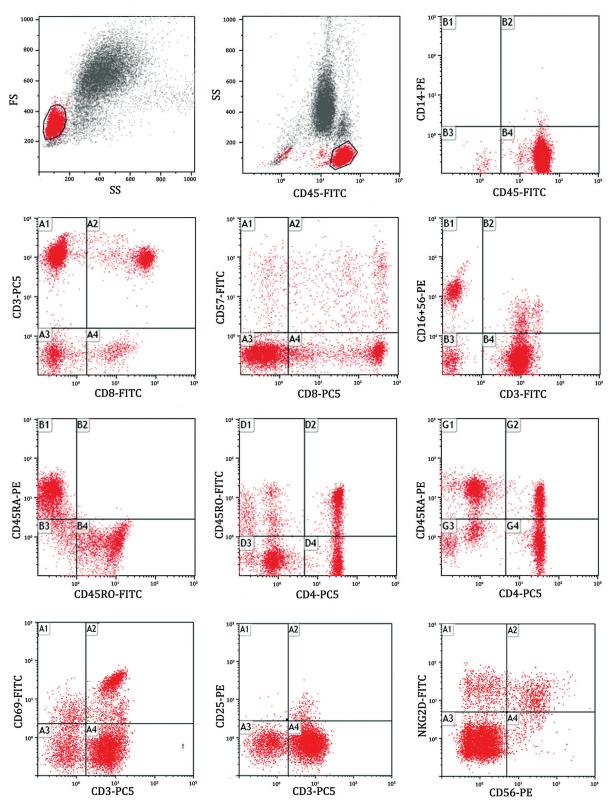
Table 3. Expression of membrane molecules on the NK cell subsets

Cell population	Peripheral blood (%)	Ascites (%)	Significance
CD3 <sup>-</sup> /CD16 <sup>+</sup> /CD56 <sup>+</sup>	5.6 (2.7–10.7)	11.0 (7.1–12.5)	0.041
CD3+/CD16+/CD56+	5.5 (1.2–7.2)	3.1 (0.6–4.8)	0.080
CD3 <sup>-</sup> /CD56 <sup>+</sup>	11.7 (7.7–13.8)	12.9 (5.1–15.5)	n.s.
CD3 <sup>-</sup> /CD16 <sup>+</sup>	8.2 (3.1–12.5)	12.1 (7.8–15.1)	0.036
CD56 <sup>-</sup> /CD16 <sup>+</sup>	2.1 (0.6–4.8)	1.5 (0.2–3.9)	n.s.
CD56bright	2.5 (1.9–7.4)	10.3 (7.0–25.65)	< 0.001
CD56bright from CD56+	45.9 (31.5–73.5)	83.2 (53.7–92.4)	< 0.001
CD56bright 16 <sup>-</sup>	1.9 (0.9–5.8)	8.25 (3.8–16.3)	< 0.001
CD56dim 16 <sup>+</sup>	4.4 (1.4–7.8)	1.7 (0.6–6.9)	0.021
NKG2D+	32.3 (25.2–37.6)	45.05 (30.9–58.1)	0.034
NKG2D+ from CD56+	89.9 (85.0–93.1)	91.0 (86.5–95.0)	n.s.
CD8+/CD56+	5.8 (3.2–9.5)	7.7 (3.75–10.55)	n.s.

n.s. – not significant

significantly higher in ascites (p=0.033). The CD8+ population was predominantly composed of CD3+/8+ T cells, which was significantly higher in ascites (p=0.012). The number of CD8+/DR+ lymphocytes was higher in ascites (p<0.001). The relative count of CD4+ T lymphocytes did not differ in between the two compartments. The number of B lymphocytes CD19+ was significantly higher in peripheral blood (p=0.009). The results of the analysis are presented in Table 2.

The results of NK cell analysis are presented in Table 3. Under physiological conditions, NK lymphocytes represent 10–15% of the lymphocytic population [14]. In patients with advanced EOC, counts of NK cells in peripheral blood (5.6%) were significantly lower (p=0.041) than those in ascites (11.0%). In both samples, NK cells were gated from the mononuclear CD45+/CD3- population. The CD-56<sup>bright</sup> subpopulation was determined in the lymphocyte and NK cell population. In both cases, significantly high-



**Fig. 1.** Representative dot plots gating for ascitic fluid and peripheral blood; identification of cell populations and expression of various markers from CD45<sup>+</sup> cells. (A) Side Scatter (SS) vs. Forward Scatter (FS) plot for identification of leukocytes; (B) Identification of CD45<sup>+</sup> lymphocytes; and (C) identification of CD45<sup>+</sup>CD14<sup>-</sup> lymphocytes; (D-K) Representative dot plots for each monoclonal antibody used in study

er representation of CD56<sup>bright</sup> NK cells was ascertained in the ascitic fluid. In contrast, the representation of CD56<sup>dim</sup> CD16<sup>+</sup> NK cells was significantly higher in peripheral blood. No differences were found in the expression of the natu-

ral-killer group 2, member D (NKG2D) activation receptor in the population of NK cells; a significantly higher count of lymphocytes isolated from ascites was expressed by the NKG2D receptor (p = 0.034).

**Table 4.** Influence of various immunological cells in ascitic fluids on time to first progression using multivariate model with coefficient of determination of 0.49 with a significance level of p < 0.007

HR 95% CI р CD4+RO 0.996 0.911-1.089 0.933 CD4<sup>+</sup>RA 0.912-1.323 1.098 0.314 CD3+CD69+ 0.986 0.896-1.085 0.771CD8+CD57+ 1.157 0.796-1.682 0.448 CD3+CD25+ 1.288 0.903-1.837 0.156 CD8+DR+ 0.869 0.371 - 2.0370.748 CD3+DR+ 1.057 0 510-2 192 0.882 CD3+CD16+CD56+ 0 945 0.668-1.337 0.743 CD3-CD16+CD56+ 0.907 0.717-1.147 0.406 CD3-CD8+ 1.160 0.256 0 904-1 489 CD3+CD8+ 1.011 0.900-1.135 0.857 CD19+ 1.085 0.943-1.248 0.239 CD8+ 0.982 0.892-1.081 0.709 CD4<sup>+</sup> 1.016 0.930-1.109 0.729 CD3+ 1.060 0.264 0 957-1 174

**Table 5.** Influence of various immunological cells in ascitic fluids on overall survival using multivariate model with coefficient of determination of 0.65 with a significance level of p < 0.001

	HR	95% CI	р
CD4+RO	0.954	0.877-1.037	0.272
CD4+RA	1.037	0.924–1.164	0.539
CD3+CD69+	1.074	0.967-1.193	0.173
CD8+CD57+	1.092	0.789–1.153	0.596
CD3+CD25+	0.848	0.603-1.192	0.330
CD8+DR+	0.897	0.332-2.424	0.830
CD3+DR+	1.066	0.472-2.411	0.878
CD3+CD16+CD56+	1.335	1.079-1.652	0.014
CD3-CD16+CD56+	0.692	0.543-0.880	0.003
CD3-CD8+	1.314	1.021–1.691	0.044
CD3+CD8+	0.850	0.753-0.959	0.002
CD19+	1.085	0.947–1.243	0.225
CD8+	1.021	0.927–1.123	0.674
CD4 <sup>+</sup>	1.213	1.038-1.416	0.010
CD3+	1.000	0.895–1.119	0.995

The influence of various immunological cells in ascitic fluid on overall survival was calculated with a multivariate model using a coefficient of determination of 0.65 with a significance level of p < 0.001 (Tables 4, 5). The presence of lymphocytes with the surface marker CD4 in ascitic fluid led to an increased risk of death with a hazard ratio (HR) of 1.2127 (95% CI: 1.0384-1.4162). When all the lymphocyte subsets were examined, we found that the presence of CD3<sup>-</sup>/CD16<sup>+</sup>/CD56<sup>+</sup> and CD43<sup>+</sup>/CD8<sup>+</sup> lymphocytes in ascetic fluid indicated a reduced risk of death with an HR of 0.6917 (95% CI: 0.5434-0.8804) and 0.8449 (95% CI: 0.7534-0.9587), respectively. But in the case of CD3-/ CD8+ and CD3+/CD16+/CD56+ lymphocytes, the trend was reversed, with an HR of 1.3139 (95% CI: 1.010-1.6909) and 1.3350 (95% CI: 1.0788–1.6520), respectively. For other cell subsets no impact on survival was found.

In the case of the impact of the observed immunological cells in ascetic fluid on time to the first progression, we did not observe any correlation or impact.

# Discussion

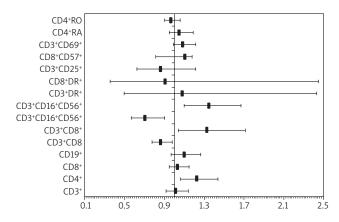
Ascites in cancer patients can reflect different pathogenic mechanisms of development. Peritoneal carcinomatosis is the most common cause of malignancy-related ascites, followed by massive liver metastases and the obstruction of lymph nodes and vessels. Lymphocytes present in the ascites were consistently referred to as TILs. In the case of malignancy-related ascites not associated with peritoneal carcinomatosis, the term "tumour-associated

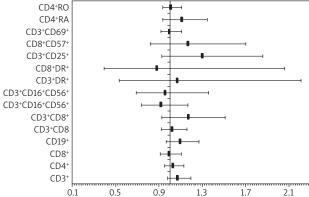
lymphocytes" (TALs) is much more suitable. Unfortunately, in individual cases it is difficult to identify prevailing mechanisms of ascites formation. The progression of cancer in the peritoneal cavity and the frequent formation of ascites, which characterize the advanced stage of ovarian cancer, make this tumour a model for the study of different lymphocytic populations [15].

Studies comparing the representation of cellular populations in tumour-induced ascites fluid with findings of ascites for other reasons can be reproduced and interpreted only with difficulty because no "normal" ascites exist. It is always a pathologic finding induced by some of the above-mentioned mechanisms that possesses an inflammatory component of various intensity, e.g. cirrhosis [16, 17].

The presence of tumour-infiltrating lymphocytes in the EOC stroma is important in terms of prognosis, which is confirmed by the outcomes of numerous studies [19, 20]. In our study, obtained data from Cox proportional-hazards regression describing the relationship between each immunological cellular subset and overall survival or time to progression needs to be interpreted with caution due to the heterogeneity of the studied population (Figs. 2, 3). But it shows that the presence of NK cells and cytotoxic T cells in ascitic fluid may play a positive role.

CD3+ T lymphocytes are the main cellular population situated at the site of the tumour as well as peripheral blood. The number of CD3+CD4+ lymphocytes dominated the CD3+/CD8+ lymphocytes and did not differ between the two compartments studied. This result is in compliance with a study by Bamias *et al.*; nevertheless, in the case of





**Fig. 2.** Potential effect of various immunocompetent cells from ascitic fluid on overall survival calculated using Cox proportional-hazards regression model

**Fig. 3.** Potential effect of various immunocompetent cells from ascitic fluid on length of time to first progression calculated using Cox proportional-hazards regression model

tumours of another origin, for instance a non-small-cell lung carcinoma or renal carcinoma, the representation of CD4+ and CD8+ lymphocytic subpopulations was different [17, 19, 21]. The number of CD4+/CD45RO+ lymphocytes in the ascites of our patients was significantly higher than in peripheral blood. Also, the ratio of CD4<sup>+</sup> T lymphocytes which had undergone rearrangement of gene segments for TcR (CD45RO+) to naïve (CD45RA+) was higher in ascites. This finding can be considered proof of the activation of a specific cellular anti-tumour response [19]. CD4+T lymphocytes play an ambivalent role in the anti-tumour response. The cytotoxic anti-tumour response is ensured by the Th1 subset, whereas the prevailing function of the Th2 subset is accompanied by the progression of tumours. The immune response of both Th1 and Th2 is accompanied by production of the following cytokines: interleukin-2 (IL-2), IL-12, interferon  $\gamma$  (IFN- $\gamma$ ), tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ) (Th1 response), IL-3, IL-4, IL-10 and IL-13 (Th2 response) [22, 23]. In ascites, these cytokines can also be produced by tumour cells, and together with chemokines they are involved in the formation of a gradient determining the migration and function of leukocytes [24]. Despite the fact that the prognostic role of individual cytokines in tumours has been studied on a long-term basis, no explicit answer can be given to this question. An idea predominates in which cytokines strengthening the Th1 response are considered favourable in terms of prognosis [24].

The cytotoxic reaction as ensured by cytotoxic CD8+ T lymphocytes and NK cells is crucial for the achievement of an effective anti-tumour response. In the majority of CD3+ and CD8+ T lymphocytes, the receptor for antigen (TcR) is created by the  $\alpha\beta$  heterodimer, and the relationship between TcR  $\alpha\beta$  and TcRy $\delta$  lymphocytes is the same both in the peripheral blood and in ascites [25, 26]. Our findings, along with those from experimental studies, have indicated that the specific anti-tumour response is not restricted by the TcR type [27, 28]. The relative count of CD3+/8+ lymphocytes was significantly higher in ascites. Under physiological conditions, the CD8+ population expressing the CD56 and CD57 molecule (NK type of T lymphocytes) is a minori-

ty population in peripheral blood; however, its presence is higher in lymphatic nodes, the spleen and bone marrow. The NK type CD8+ of lymphocytes exhibits an oligoclonal expansion Vβ of the TcR chain different from other CD8+ T lymphocytes after antigenic stimulation. Based on this fact, CD56+ and CD57+ T lymphocytes are considered an independent population of cytotoxic lymphocytes. Their role is not known precisely, however [29, 30]. These lymphocytes of CD8<sup>+</sup> NK type produce IFN-γ more intensively than CD8<sup>+</sup> T lymphocytes [31]. The count of CD8+/57+ cytotoxic lymphocytes, although not achieving significance, was lower in the ascitic fluid of our patients than in their peripheral blood. Such a reduction can be caused both by insufficient proactivation and strengthened inhibition signals and, subsequently, by an enhanced switch of activated cytotoxic cells into apoptosis [29]. The switch of stimulated cells into apoptosis takes place in the absence of accessory intercellular bindings, but apoptosis can also be induced by soluble factors from the family of TNF proteins produced by cells of the tumour stroma, mainly macrophages associated with the tumour [32–34]. Activated T lymphocytes express CD 69 and HLA-DR membrane molecules. The early activation antigen CD69 belongs to the superfamily of C-type lectin. Following activation of T lymphocytes, it is one of the first proteins expressed within the membrane. It is involved in strengthening intercellular interactions as a co-stimulation molecule. The CD69 molecule is not line-specific and is also situated on other blood cells [35]. The finding of a significantly higher representation of CD3+/CD69+ cells in the ascites than in peripheral blood indicates a stimulation of T lymphocytes in which the cytokine/chemokine microenvironment is also involved together with tumour antigens. The enhanced representation of CD3+/DR+ lymphocytes in ascites did not reach statistical significance in our patients compared to peripheral blood, but it exhibited a similar trend as mentioned in the paper by Bamias et al., who observed a significantly higher representation of CD4+/HLADR and CD8+/HLADR of T lymphocytes in ascites [17].

The CD3+/CD25+ population of lymphocytes was considered proof of the alpha-chain expression for IL-2 on T lym-

phocytes; its representation in ascites was lower than that in PB, but the difference was not significant. This phenotype of T lymphocytes includes the CD4\*/CD25\* subpopulation expressing the FoxP3 molecule identified as the regulatory T lymphocytes (Tregs) [13]. The presence of Tregs in the EOC stroma is considered as an unfavourable finding in terms of prognosis, associated with a shorter length of overall survival. A higher representation of CD4\*/CD25\* lymphocytes was described in malignant ascites than in non-malignant ones and was higher in the tumour stroma than in draining nodes [36, 37]. Therefore, the finding of a higher number of CD3\*/CD25\* lymphocytes in the peripheral blood of our female EOC patients was surprising to us, and we are going to subject it to further analysis.

The precise role of B lymphocytes in the anti-tumour response is not known. Their presence in the tumour stroma confirms their involvement in the anti-tumour response [38]. In the case of tumours, the antibody response to tumour antigens after Ig binding can lead to blockage of epitopes distinguished by specific cytotoxic T lymphocytes, and the tumour growth can be potentiated. Higher occurrence of B lymphocytes among TILs was observed in patients with prevailing activity of CD4+ infiltrating lymphocytes, respectively the Th2 population. The significance of the role of the antigen-presenting cell has not been explicitly demonstrated in the case of B lymphocytes in tumour diseases [39–43]. In our patients, the incidence of B lymphocytes in ascites was significantly lower than that in peripheral blood.

NK cells constituting an important component of the natural immunity play an essential role in the anti-tumour response. They are a significant producer of IFN-γ and TNF- $\alpha$ . Activation of NK cells and the cytotoxic response are not dependent on the preceding sensitization [44]. In experimental studies, NK cells exhibited cytotoxicity targeted against EOC cells after IL-2 activation. In the case of EOC, the expression of mucin molecules (MUC) on tumour cells is important [45]. In particular, the presence of MUC16, the carrier for the CA125 tumour marker, is able to inhibit an efficient cytotoxic reaction as mediated by NK cells [6]. In compliance with data from literature resources, significantly higher counts of CD56bright NK cells in ascites were also found in EOC patients' ascites in our case [46]. Such a finding can be caused by blockage of the lymphatic drainage on suppression induced by the expanding tumour. Even under physiological conditions, lymphatic nodes can contain up to 95% of CD56<sup>bright</sup> NK cells [47]. Selective uptake of CD56bright NK cells by the CD62L molecule expressed on NK cells in lymphatic nodes can be another mode of action. Based on the latest studies, it is understood that CD56dim and CD56bright cells represent various differentiation stages of NK lymphocytes, with the CD56<sup>dim</sup> cells representing a more mature type [48]. It is impossible to exclude the possibility that maturation of NK cells is blocked after encountering tumour antigens. This possibility follows from experimental observations where MUC16 positive tumour cells inhibited expression of the CD16 molecule on NK cells from healthy donors [49]. The cytotoxic activity of CD56<sup>bright</sup> CD16<sup>-</sup> NK cells is lower, although granules containing perforins and granzymes are

abundantly present in cytoplasm [50]. The situation when the CD16<sup>-</sup> cells accounted for more than 75% of CD56<sup>bright</sup> ascitic NK cells in our female patients can be considered a manifestation of the inhibited NK-mediated cytotoxicity.

NKG2D is a type II trans-membrane-anchored C-type lectin-like receptor. In the homodimer form it can be found not only on NK cells but also on NKT cells, CD8+ cytotoxic T cells and  $\gamma\delta T$  cells, and it has also been described on CD4<sup>+</sup> T lymphocytes. It is a highly evolutionary conserved receptor that distinguishes ligands exhibiting homology with class I MHC molecules [51]. A short intracellular section of the NKG2D receptor has no signal motif; it is associated with other signal-transducing proteins in the trans-membrane section [52]. In addition, two isoforms of NKG2D have been described, which differ by the presence or absence of 13 amino acids in the N end of the cytoplasmic part of the molecule. The long NKG2D isoform binds exclusively with DAP10 adapter protein and recruits phosphoinositide kinase-3 (PI3K) and growth factor receptor-bound proteins 2 (Grb2) by means of YINM. The short NKG2D isoform is associated both with DAP10 and the DAP12 signal-transducing protein [53]. The cytoplasmic part of the DAP12 protein carries the immunoreceptor tyrosine-based activation motif (ITAM) phosphorylation, which leads to involvement of the zeta-chain-associated protein kinase 70 (Zap70) and spleen tyrosine kinase (Syk) signal cascade. Each NK-G2D homodimer is associated with two DAP10 and DAP12 homodimers. It is not known whether DAP10 and DAP12 can be simultaneously contained in the hexameric receptor complex; this would, however, lead to a marked amplification of signal paths [54, 55].

A higher NKG2D positive lymphocyte count was found in the ascites of EOC patients than in peripheral blood, with this count being at the level of significance. Nevertheless, the lymphocytic population studied also included B lymphocytes, which do not express the CD94 and CD4+ molecule T lymphocytes, in which only a minority population is NKG2D positive. This fact is also confirmed by  $\ensuremath{\mathsf{NKG2D}}$  expression on NK cells of ascites and PB when the two compartments exhibited identical relative representation of positive cells. In practice, it is impossible to assess the function of NK cells according to the expression of activation molecules because these molecules are also expressed on cells at peace under physiological conditions [56]. The NK cell function is regulated by the balance of signals from activation and inhibition receptors. Inhibition signals are mediated mainly by receptors binding HLA I molecules, including killer cell Ig-like receptors (KIRs) such as NKG2A and leukocyte Ig-like receptor B1 (LILR-B1) [57]. Signals coming by means of NKG2C, NKG2D, DNAX accessory molecule-1 (DNAM-1) receptors and natural cytotoxic receptors (NKp30, NKp44 and NKp46) are responsible for the activation. The CD16 molecule mediates Ab-dependent cellular cytotoxicity. The function of the co-stimulation of LFA-1 and 2B4 molecules is also important. The combination of activation and inhibition signals is decisive for the function of NK cells [58].

Although our quantitative analysis showed similarities with previous studies [24, 43], the aforesaid data, as well as our findings, have confirmed the present idea of EOC

cells and cells of other tumours affecting both the expression of membrane receptors of cytotoxic cells and the expression of their ligands, whether directly or by means of soluble mediators. The combination of chemotherapy and immunotherapy is still under consideration, and therefore molecules such as NKG2D still remain at the centre of interest. Although treatment using recombinant IFN- $\alpha$  and IFN-γ in clinical practice leads to strengthening of the NK-G2D expression on cytotoxic cells, at the same time downregulation of its HP60 ligand takes place on sarcoma cells, or of the MICA ligand on melanoma cells, thereby facilitating their evasion [56, 59]. Results of experimental studies in experimental animals, in which a strengthened cytotoxic effect of molecularly/genetically modified cytotoxic lymphocytes expressing the chimeric NKG2D on tumour cells, leading to long-term tumour-free survival, was observed, have been published. The modification of the anti-tumour response of natural-immunity mechanisms remains one of the most promising ways of treating tumours. But many more functional studies are still necessary in order to determine the role of each immunocompetent cell population in ovarian cancer.

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