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## METFORMIN USE AND RISK OF COLORECTAL ADENOMA AFTER POLYPECTOMY IN PATIENTS WITH TYPE 2 DIABETES MELLITUS

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### Abstract

**Background**—Existing literature suggests that metformin, the most commonly used biguanide, may lower colorectal cancer (CRC) risk. Because most CRCs originate in pre-cancerous adenomas, we examined whether metformin use lowered colorectal adenoma risk after polypectomy in patients with type-2 diabetes.

**Methods**—Retrospective cohort study of 40-89-year-old Kaiser Permanente Northern California patients who had type-2 diabetes, and 1 adenoma detected at baseline colonoscopy during 2000-2009 and a repeat colonoscopy 1-10 years from baseline adenoma diagnosis through 2012. Cox models evaluated the association between metformin use during follow-up and subsequent adenoma diagnoses, controlling for age, race/ethnicity, sex, body mass index, and repeat examination indication.

**Results**—Study included 2,412 patients followed for a median of 4.5 years; cumulatively, 1,117 (46%) patients had 1 adenoma at repeat colonoscopy. Compared to patients not receiving diabetes medications (n=1,578), metformin-only use (n=457) was associated with lower adenoma recurrence risk (adjusted hazard ratio (HR)=0.76, 95% confidence interval (CI): 0.65-0.89), and the association was stronger with increasing total metformin dose (quartile (Q) 1: HR=0.90, CI 0.72–1.12; Q2: HR=0.89, CI 0.70-1.12; Q3: HR=0.80 CI 0.63–1.01; Q4: HR=0.50 CI 0.42–0.60, *P-value for trend*<0.001). Findings were unchanged in sensitivity analyses including evaluating only outcomes during the 3-10-year period from baseline.

**Conclusion**—Our study suggests a potential benefit of metformin use in lowering the risk of subsequent adenomas after polypectomy in patients with type-2 diabetes.

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**Impact**—Metformin may lower CRC risk by reducing the formation of pre-cancerous lesions, reinforcing the potential additional benefits of its use.

### Keywords

chemoprevention; colonoscopy; colorectal adenoma; colorectal cancer; metformin; pharmacoepidemiology; polypectomy; type 2 diabetes; screening; surveillance

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## Introduction

Colorectal cancer (CRC) is the second leading cause of cancer death in the United States,(1) with most originating as precancerous adenomas.(2) Many patients in whom colorectal adenomas have been removed develop subsequent lesions.(3-5) In the United States, based on Clinical Outcomes Research Initiative data, surveillance procedures aimed at detecting such new or recurrent lesions account for about 22% of all colonoscopies among persons 50 years and older and is the most common reason for colonoscopy among those 75 years and older.(6, 7) Thus, therapies that reduce adenoma recurrence may reduce both CRC risk and the need for surveillance colonoscopy.

Previous studies have found that commonly used medications, such as aspirin and celecoxib, may reduce adenoma and CRC risk,(8-11) but there is a paucity of published studies evaluating metformin's effect and its potential chemopreventive role. Metformin is the most commonly prescribed drug for the prevention or treatment of type 2 diabetes mellitus and associated conditions.(12) Studies show that a diagnosis of diabetes is associated with an increased risk of diagnosis with colorectal adenomas and adenocarcinoma.(13, 14) A number of studies have suggested that metformin may reduce CRC risk,(15-18) and others have found no association.(19, 20) However, there is limited literature on metformin use and colorectal adenoma risk,(21) and no prior studies have examined whether metformin reduces the risk of adenoma formation after polypectomy. In this study, we examined the relationship between metformin use and detection of new or recurrent adenomas at follow-up examination after polypectomy in patients with type 2 diabetes.

## Materials and Methods

### Study Design and Setting

This is a retrospective cohort study of patients receiving care in Kaiser Permanente Northern California (KPNC), an integrated healthcare delivery organization serving approximately 3.3 million people in urban, suburban, and semirural regions within a large geographic area. The integrated structure allows access to stable enrolled populations for longitudinal studies. The study was approved by the Institutional Review Boards of Kaiser Permanente Northern California and the University of Pennsylvania.

### Population

The study cohort was comprised of patients with type 2 diabetes who were 40-89 years old at the time they underwent a colonoscopy between January 1, 2000 and December 31, 2009, in which 1 histologically confirmed colorectal adenomas were found and removed. Patients

were followed from the index examination date to the date of a follow-up colonoscopy on or before December 31, 2012, the last date of follow-up. We restricted the study to patients who had a repeat colonoscopy one to ten years after the index examination (Figure 1).

Patients with diabetes were identified using the Northern California Kaiser Permanente Diabetes Registry, which was started in 1993.(22) The updated criteria for inclusion in the registry are: 1) one or more prescriptions within the diabetes therapeutic class, one or more inpatient diabetes diagnosis, or two or more outpatient diabetes diagnoses in a prior five-calendar-year period; or 2) two or more pertinent abnormal labs (serum glycosylated hemoglobin (HbA1c)  $\geq 6.5\%$ , fasting glucose  $\geq 126$  mg/dL, or glucose  $\geq 200$  mg/dL) in a prior two-calendar-year period. Patients with gestational diabetes, type 1 diabetes, or who were prescribed diabetes medications for indications other than type 2 diabetes, such as lipodystrophy, metabolic syndrome, pre-diabetes, polycystic ovary syndrome, or amenorrhea, were excluded. Other exclusion criteria were:  $<2$  years of health plan membership prior to cohort entry; history of CRC diagnosis or colectomy prior to or within one year after the index colonoscopy; and documented familial CRC syndromes such as Lynch syndrome or familial adenomatous polyposis.

To focus on new metformin users, we excluded those on diabetes medications more than one year prior to the index colonoscopy. This approach minimizes time-related biases (23) and also minimizes the potential to selectively include lesions that may have been resistant to the effect of metformin and thus are destined to recur. This approach also minimizes the inclusion of patients who may have had diabetes for longer duration prior to study baseline or used metformin for indications other than type 2 diabetes. We also excluded patients who discontinued diabetes medication before the index colonoscopy; used metformin for less than six months; or used other diabetes medications without metformin (Figure 1).

### Data Sources

Information on clinical diagnoses was obtained from electronic databases using International Classification of Disease, Ninth Edition, Clinical Modification (ICD-9-CM) codes. Drug dispensing data were obtained from pharmacy files using National Drug Codes. Receipt of initial and follow-up colonoscopies were ascertained using Current Procedural Terminology and ICD-9-CM codes, as previously described.(24) Adenoma diagnosis, location, and histology were obtained from pathology reports using Systematized Nomenclature of Medicine Clinical Terms (SNOMED) codes.(24)

### Outcome Measurements

The primary outcome was colorectal adenoma recurrence, defined as  $\geq 1$  histologically confirmed adenoma or adenocarcinoma at the repeat colonoscopy. Person-time was computed from the index colonoscopy date. Adenoma location was classified as right colon (proximal to and including the splenic flexure, irrespective of whether adenomas were detected in the distal colon), left colon/rectum, or unspecified using SNOMED codes.(24) The location was unspecified for approximately one-third of baseline adenomas.

## Exposure Measurement

The primary exposure of interest was metformin-only use during the follow-up period based on dispensings. We also evaluated metformin used in combination with other diabetes medications such as sulfonylureas (any-metformin). We computed the metformin total dose (with or without other diabetes medications) dispensed during the follow-up period to assess dose-related effects; discontinuous dispensing periods were summed together. Total dose quartiles (Q) were calculated among all metformin users as: 50-399 (Q1); 400-799 (Q2); 800-1499 (Q3); and 1,500 (Q4) grams. We assumed that patients used all dispensed metformin.

## Covariates

Information was available on patient age, sex, race/ethnicity, and baseline body mass index (BMI). BMI is related to the risk of type 2 diabetes, type of diabetes medication prescribed, disease severity, and likelihood of receiving colonoscopy.(25, 26) The performing physician and colonoscopy indications were derived using previously validated algorithms.(27, 28) We obtained serum HbA1c levels from laboratory databases. HbA1c levels correlate with serum glucose levels, which may be related to disease risk.(29, 30)

## Statistical Analyses

We first used the Kaplan-Meier product limit estimator to evaluate the association between metformin use and adenoma recurrence risk. Multilevel Cox proportional hazard models with clustering on the performing provider were used to estimate hazard ratios (HR) and 95% confidence intervals (CI) for the association between exposure to metformin in the one-to-ten-year period after the index colonoscopy and risk of adenoma recurrence. The reference group in all analyses was patients who did not receive any diabetes medications during the study period. Multivariable models were adjusted for the covariates noted above, except HbA1c, which was not statistically significantly associated with adenoma recurrence risk ( $p\text{-value}>0.05$ ), and did not influence the main effects. We stratified on repeat colonoscopy indication (surveillance vs. diagnostic) on some of our analyses because of statistically significant interaction with metformin use. We also performed analyses according to baseline adenoma location (right vs. left colon) due to *a priori* interest and possible biological differences in colorectal lesions according to location.(31) In secondary analyses, we evaluated the association between any-metformin exposure (with or without other diabetes medications) and adenoma recurrence risk, as either a binary variable, or according to total dispensed dose quartiles.

We performed several sensitivity analyses including limiting the cohort to new metformin initiators ( $n=2,213$ ), and to those with repeat examinations three to six, or six to ten years from baseline consistent with surveillance recommendations.(32) Type 2 diabetes occurs insidiously and patients may remain in a pre-diabetic state or have undetected diabetes for many years prior to clinical diagnosis.(33-35) We assumed this pre-clinical phase to be <5 years and performed analyses in which accrued person-time was computed from no further than five years prior to the diabetes diagnosis date. We also assessed the duration of therapy in our sensitivity analyses (Supplementary Table S1). Because of reports of potential gender differences in the association between having diabetes and colorectal neoplasia risk, we

performed further analysis stratified on gender,(36) although, there was no statistically significant gender-metformin interaction observed. We examined and did not find a statistically significant association with level of glycemic control based on an HbA1C of 7% versus higher. All analyses were performed using SAS 9.3 software (SAS Institute, Cary, NC).

## Results

### Baseline Characteristics

We identified 288,079 patients who were 40-89 years old and had undergone colonoscopy during 2000-2009, of whom 95,927 had 1 histologically confirmed adenoma. Of those, 2,412 eligible patients with type 2 diabetes were included in the study (Figure 1). The median time to repeat exam (4.5 years) did not differ significantly across exposures. On average, metformin users were on therapy for 878 days (range: 146-3,066), received 0.99 grams per day (range: 0.10-3.17), and had a total dose of 800 grams (range: 50-5,900) during the study period.

### Association with Adenoma Recurrence Risk

A total of 834 patients had used metformin, including 377 patients who received it in combination with other drugs, most commonly sulfonylurea, and 1,578 who did not receive diabetes therapy (Figure 1). Cumulatively, 196 (42.9%) of the 457 patients on metformin-only had adenoma recurrence compared to 739 (46.8%) patients who did not receive diabetes therapy (untreated) (Table 1). In Kaplan-Meier analysis, untreated patients had a higher rate of adenoma recurrence than those on metformin (Figure 2, log-rank test  $p$ -values  $<0.001$ ). Cox modeling showed a 24% lower risk of adenoma recurrence (adjusted HR=0.76, CI: 0.65-0.89) (Table 2) in those on metformin-only. This association was observed in analyses stratified by repeat colonoscopy indication (surveillance  $n=1,329$ , adjusted HR=0.89, CI: 0.73-1.09; diagnostic  $n=1,083$ , adjusted HR=0.62, CI: 0.50-0.79;  $p$ -value for test of interaction = 0.02), and by location of the index lesion (right colon  $n=1,117$ , adjusted HR=0.75 CI: 0.62-0.90; left colon/rectum  $n=421$ , adjusted HR=0.66 CI: 0.41-1.05;  $p$ -value for test of interaction = 0.95, Table 2). However, the association was not statistically significant for left colon lesions or surveillance examinations.

In secondary analyses, any-metformin use (alone and in combination with other diabetes medications) was similarly associated with a lower adenoma recurrence risk (adjusted HR=0.74, CI 0.66-0.84) (Table 2). The risk of adenoma recurrence was monotonically lower with increasing total dose. Compared to no therapy, and with increasing quartiles denoting increasing dose, the adjusted HR (95% CI) was 0.90 (CI 0.72–1.12) for Q1, 0.89 (CI 0.70-1.12) for Q2, 0.80 (CI 0.63–1.01) for Q3, and 0.50 (CI 0.42–0.60) for Q4 ( $p$ -value for trend  $<0.001$ ). The findings were similar for analysis of average daily dose dispensed and the duration of therapy (Supplementary Table S1). The associations with metformin use were stable in sensitivity analyses excluding patients who had used anti-diabetes medication prior to the index colonoscopy, or person-time accrued  $>5$  years prior to the diabetes diagnosis date (Supplementary Table S2), excluding repeat colonoscopies performed  $<3$

years of index date (Supplementary Table S3), or according to gender (Supplementary Table S4).

## Discussion

We found that, in patients with type 2 diabetes, metformin use was associated with a lower risk of colorectal adenoma recurrence when compared to no diabetes therapy. The observed risk was inversely related to metformin total dose and was stable in various sensitivity analyses, including models that were restricted to new therapy initiators. These findings suggest that, in addition to its established role in treating diabetes and related conditions, metformin use may also confer additional benefits in lowering the risk of adenoma.

The association of metformin with colorectal cancer or adenoma risk is controversial. Our findings are consistent with, and support previous reports that metformin use was associated with CRC risk. Our findings were stable in various secondary and sensitivity analyses. This study's findings may also suggest a potential explanation for how metformin may lower CRC risk through the adenoma-carcinoma sequence. Metformin's effect on adenoma risk could be mediated through several posited biological mechanisms such as mTOR (mammalian target of rapamycin) pathway inhibition and insulin-like growth factor signaling suppression.(37)

There are no previous studies to directly compare with ours, but Lee *et al.* reported that, in patients with type 2 diabetes who had undergone CRC resection, metformin use was associated with lower odds (odds ratio)=0.27, 95% CI: 0.10-0.76) of recurrent adenoma.(38) Kanadiya *et al.* also reported that metformin use was associated with lower odds (odds ratio=0.55, 95% CI: 0.34-0.87) of adenoma in a study of 405 patients with type 2 diabetes undergoing screening colonoscopy (n=148).(21) In contrast, our study examined adenoma risk during the surveillance phase of the cancer care continuum on a large cohort of 2,412 patients, with 834 exposed to metformin. Our design addressed several potential time-related biases of observational studies.(23)

Our study has some potential limitations. First, we restricted the follow-up time to first repeat colonoscopy, which limited the time interval for detecting recurrent lesions as well as our ability for direct causal inference. However, there was no substantive difference in the follow-up time according to exposure group. Also, we could not account for exposure to other potential adenoma chemopreventive strategies, such as cyclooxygenase-2 inhibitors, aspirin and other non-steroidal anti-inflammatory drugs, statins, lifestyle factors, smoking history, and dietary factors such as folic acid and calcium.(9-11, 39-41)

In conclusion, we found an inverse association between metformin use and risk of adenoma recurrence in patients with type 2 diabetes that was independent of other factors assessed. These findings suggest a possible role for metformin in the secondary chemoprevention of adenomas.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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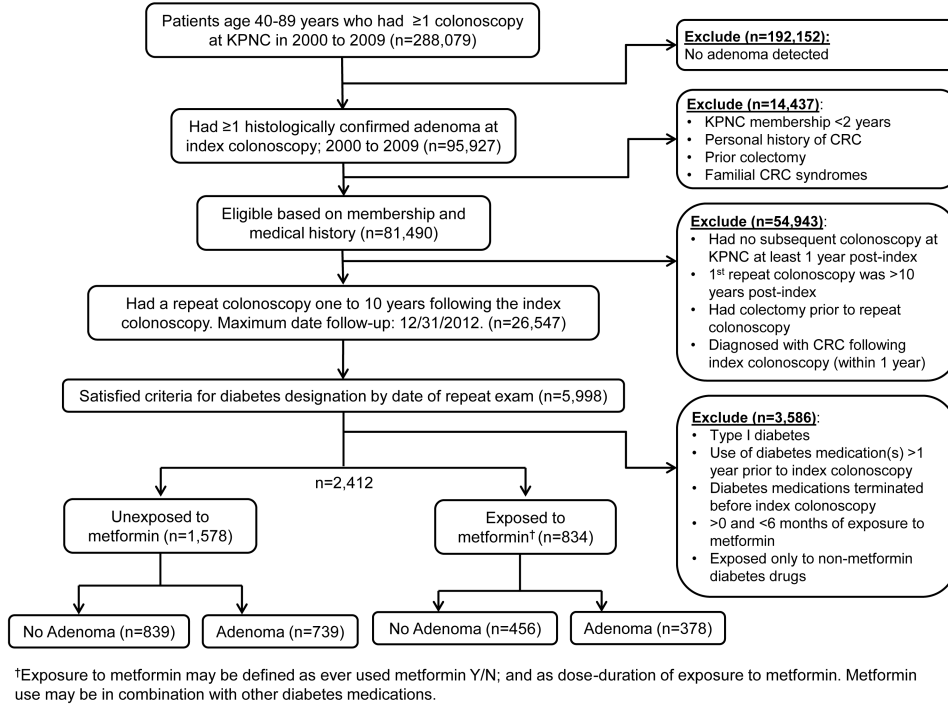
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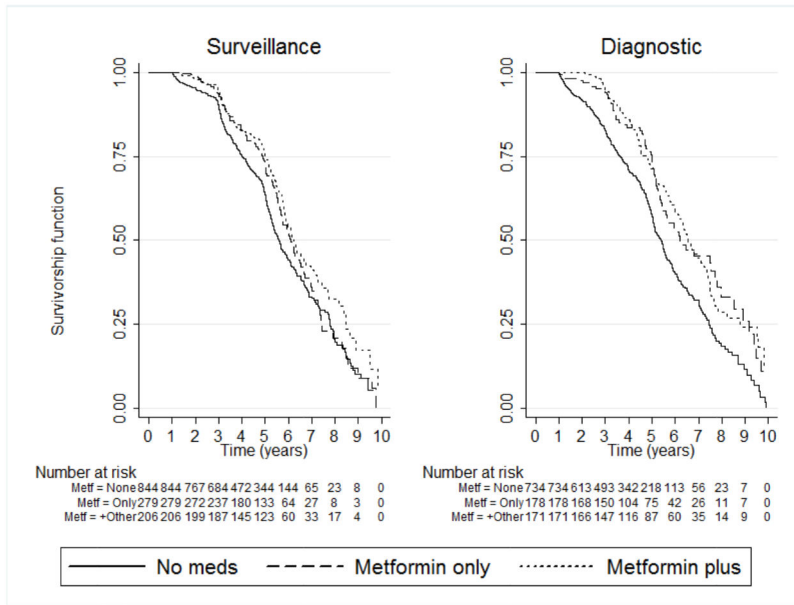
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**Figure 1.**  
Flow diagram of study participant selection  
Representative flow chart of the study design and selection of patient data for inclusion in the study.



**Figure 2.** Kaplan-Meier curves of relationship of metformin use and adenoma recurrence. The curves are stratified by indication for the repeat examination. The log-rank test p-values were, for surveillance: 0.051 for metformin-only and 0.002 for metformin plus other; for diagnostic: <0.001 for both metformin-only and metformin plus other.

**Table 1**

Characteristics of the cohort according to treatment type, KPNC 2000-2009 (n=2,412)

Characteristics	No Diabetes Medication (n=1,578)	Metformin-Only (n=457)	Metformin Plus Other (n=377)
<b>Age at Baseline, years</b>			
40-49	54 (3.4)	27 (5.9)	33 (8.8)
50-54	164 (10.4)	71 (15.5)	79 (21.0)
55-59	199 (12.6)	83 (18.2)	80 (21.2)
60-64	289 (18.3)	94 (20.6)	72 (19.1)
65-69	322 (20.4)	74 (16.2)	64 (17.0)
70-74	318 (20.2)	73 (16.0)	32 (8.5)
75+	232 (14.7)	35 (7.7)	17 (4.5)
<b>Sex</b>			
Female	575 (36.4)	178 (38.9)	149 (39.5)
Male	1,003 (63.6)	279 (61.1)	228 (60.5)
<b>Race/Ethnicity</b>			
Non-Hispanic white	943 (59.8)	253 (55.4)	222 (58.9)
Hispanic	199 (12.6)	64 (14.0)	57 (15.1)
Black	112 (7.1)	33 (7.2)	16 (4.2)
Asian/Pacific Islander	216 (13.7)	76 (16.6)	48 (12.7)
Other*	108 (6.8)	31 (6.8)	34 (9.0)
<b>BMI Closest to Baseline Exam</b>			
<25.0	208 (13.2)	42 (9.2)	30 (8.0)
25.0-29.9	484 (30.7)	109 (23.9)	76 (20.2)
30+	801 (50.8)	279 (61.1)	252 (66.8)
Unknown	85 (5.4)	27 (5.9)	19 (5.0)
<b>Indication for Repeat Exam</b>			
Surveillance	844 (53.5)	279 (61.1)	206 (54.6)
Diagnostic	734 (46.5)	178 (38.9)	171 (45.4)
<b>Recurrent Adenoma</b>			
No	839 (53.2)	261 (57.1)	195 (51.7)
Any	739 (46.8)	196 (42.9)	182 (48.3)
<b>Mean HbA1c, Mean (SD) (n=2,293)</b>	6.18 (0.59)	6.73 (0.72)	7.35 (0.88)
<b>Time to Repeat Exam, Median (IQR)</b>	4.1 (2.4)	4.9 (2.5)	5.2 (2.6)

\* Other race/ethnicity includes Native American, Multiracial/other, and unknown

**Table 2**  
Associations between metformin use and risk of colorectal adenoma recurrence in patients with type 2 diabetes

Exposure categories	Sample, n	Adenoma detected, n	Recurrent Adenoma (all indications and locations)	Adjusted hazard ratio (95% confidence interval)			
				Diagnostic	Surveillance	Right colon	Left colon/rectum
<b>Separate categories for metformin-only and combination therapy</b>							
No Diabetes Medication (Reference)	1578	739	1.00	1.00	1.00	1.00	1.00
Metformin-Only	457	196	0.76 (0.65-0.89)	0.62 (0.50-0.79)	0.89 (0.73-1.09)	0.75 (0.62-0.90)	0.66 (0.41-1.05)
Metformin + Other	377	182	0.72 (0.62-0.85)	0.66 (0.52-0.83)	0.80 (0.64-1.02)	0.73 (0.58-0.92)	0.96 (0.63-1.47)
<b>Any metformin</b>							
No Diabetes Medication (Reference)	1578	739	1.00	1.00	1.00	1.00	1.00
Any Metformin	834	378	0.74 (0.66-0.84)	0.64 (0.53-0.77)	0.85 (0.71-1.02)	0.74 (0.64-0.86)	0.79 (0.54-1.15)
<b>Total Dose, Quartiles §</b>							
No Diabetes Medication (Reference)	1578	739	1.00	1.00	1.00	1.00	1.00
Quartile 1	205	88	0.90 (0.72-1.12)	0.63 (0.46-0.86)	1.32 (1.01-1.73)	0.86 (0.66-1.13)	1.17 (0.61-2.23)
Quartile 2	209	95	0.98 (0.79-1.21)	0.97 (0.69-1.37)	1.02 (0.79-1.31)	0.91 (0.73-1.15)	0.62 (0.32-1.21)
Quartile 3	205	95	0.80 (0.63-1.01)	0.69 (0.48-0.97)	0.91 (0.69-1.20)	0.71 (0.51-0.98)	1.14 (0.70-1.85)
Quartile 4	215	100	0.50 (0.42-0.60)	0.47 (0.35-0.62)	0.55 (0.41-0.72)	0.58 (0.46-0.72)	0.49 (0.28-0.88)

\* Models were run separately for each set of exposure categories. All models are adjusted for age category, sex, race/ethnicity, BMI, and indication for repeat examination, as appropriate

\*\* P-value for interaction = 0.02 for indication, but was 0.95 for tumor location

¶ Unspecified adenoma location at baseline (n=874)

§ Includes patients receiving metformin alone and metformin in combination with other medications Trend  $p < 0.001$