

Original Article

Up regulation of the long non-coding RNA NEAT1 promotes esophageal squamous cell carcinoma cell progression and correlates with poor prognosis

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Abstract: Dysregulation of NEAT1 plays critical oncogenic roles and facilitates tumorigenesis on various human tumor entities. However, little information is available about the expression pattern of NEAT1 in esophageal squamous cell carcinoma (ESCC). The contributions of this lncRNA to tumorigenesis and progression of ESCC also remains unclear. By performing quantitative real-time polymerase chain reaction (qRT-PCR) in 96 cases of ESCC, we found that the expression of NEAT1 was higher in ESCC tissues and cells compared with the normal counterparts. Pearson analysis showed that elevated NEAT1 levels were extraordinarily correlated with the tumor size ($P=0.026$), lymph node metastasis ($P=0.035$) and clinical stage ($P=0.004$). Moreover, Kaplan–Meier curves with the log-rank test showed that higher expression of NEAT1 led to a significantly poorer survival and multivariate Cox proportional hazards analysis revealed that NEAT1 was an independent risk factor of overall survival (OS). We also assessed the function of NEAT1 *in vitro* by gain-/loss-of-function studies. Results showed that enhanced expression of NEAT1 stimulated the proliferation of ESCC cells, and promoted their ability of forming foci, migration, and invasion. Conversely, knockdown of NEAT1 showed the opposite effect. Overall, our study indicated that the inappropriate activation of NEAT1 predicts poor prognosis and has a crucial regulatory role in ESCC. Targeting NEAT1 could be a novel therapeutic choice for treating ESCC patients.

Keywords: NEAT1, esophageal squamous carcinoma, prognosis, proliferation, metastasis

Introduction

Human esophageal cancer (EC) is the third malignancies of digestive system with a high mortality worldwide [1]. Its high recurrence and metastasis leads to a total crude 5-year survival [2, 3]. Esophageal squamous cell carcinoma (ESCC) accounts for more than 90% of EC cases, which showed distinct aetiological and pathological characteristics compared to esophageal adenocarcinoma [4]. Searching the prognostic predictor and key molecular mechanism of ESCC could be not only helpful with the clinical prevention and monitoring but also suggestive of therapeutic schemes.

Nuclear paraspeckle assembly transcript 1 (NEAT1), a long non-coding RNA (lncRNA) retained in the nucleus where it forms the core structural component of the paraspeckle sub-organelles [5], has earned the reputation as a transcriptional regulator for numerous genes. NEAT1 was firstly transcribed from the multiple

endocrine neoplasia locus [6], suggesting that this lncRNA can exert its functions in cancer progression, which was subsequently confirmed by series of researches in multiple cancers [7-13]. However, whether the expression of NEAT1 in ESCC is associated with malignancy or prognosis remains unknown.

In the present study, we investigated whether NEAT1 is detectable and altered in ESCC tissues compared with adjacent normal tissues. Then, we analyzed the potential relationship between NEAT1 levels and clinicopathological features of ESCC. We also assessed whether NEAT1 influence the ESCC cell proliferation, migration or invasion *in vitro* by gain-/loss-of-function studies.

Materials and methods

Patients collections

A total of 96 patients (aged 34~79 years; mean, 60.8 years) with ESCC were selected from the

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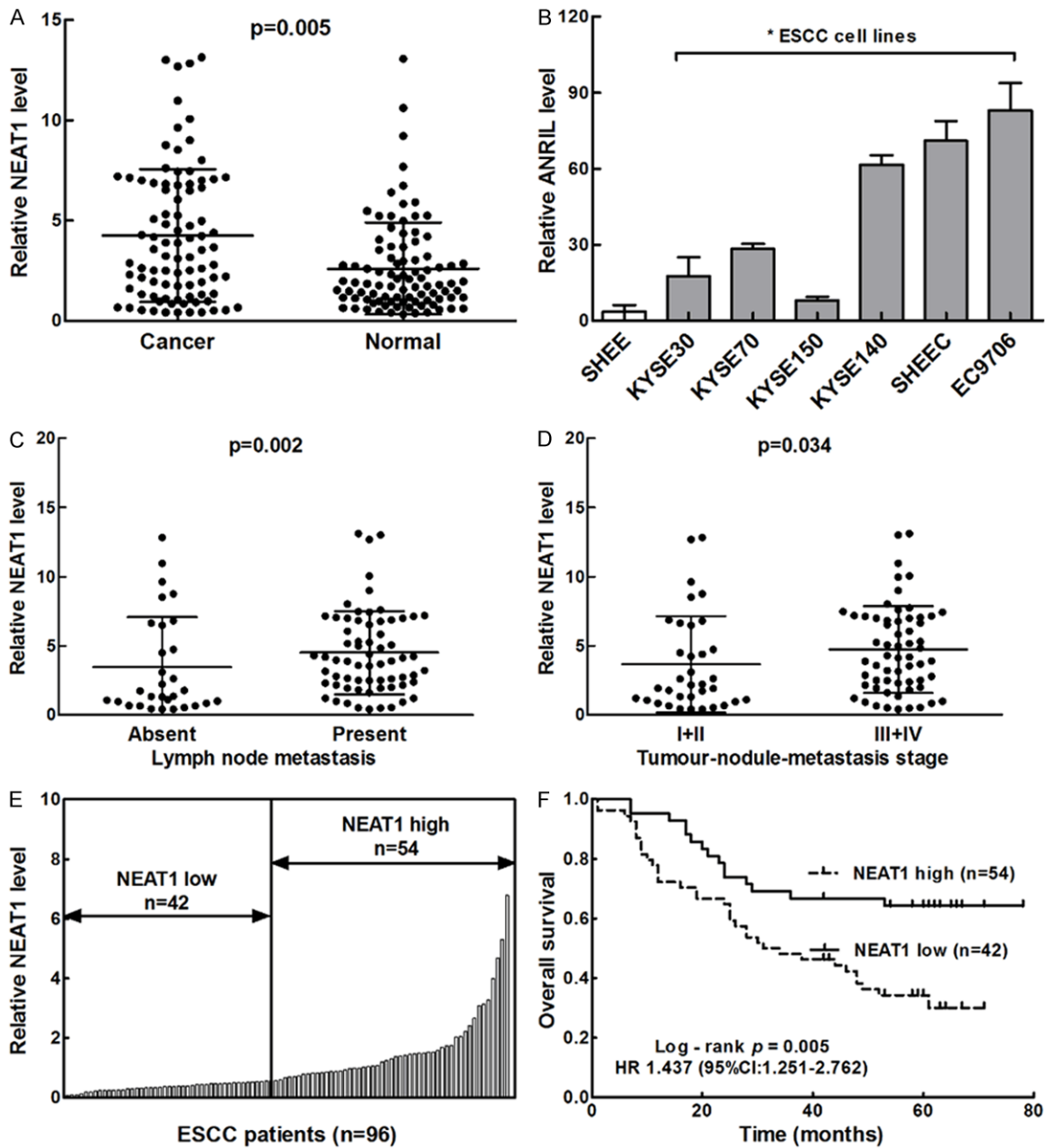


Figure 1. NEAT1 was highly expressed and predicted poor prognosis in ESCC. A. Representative qRT-PCR results of NEAT1 in ESCC tissues and adjacent normal tissues showed that the majority of cases exhibited elevated levels of NEAT1 in tumours compared with levels observed in noncarcinoma tissues ($P=0.005$). B. Representative qRT-PCR results of NEAT1 in 6 EC cell lines and one normal human esophageal epithelial cell line * $P<0.05$. C. NEAT1 levels categorised according to lymph node status ($P=0.002$). D. NEAT1 levels categorised according to tumour-nodule-metastasis stage ($P=0.034$). E. The total of 96 ESCC patients included in this study were divided into a low-NEAT1 expression group ($n=42$) and a high-NEAT1 expression group ($n=54$) according to the Youden index. F. Kaplan-Meier overall survival (OS) curve of patients with different expressions of NEAT1 in ESCC (Low vs. High).

First Affiliated Hospital of Henan University of Science and Technology from 2009-2010. All the specimens were immediately frozen in liquid nitrogen and stored at -80°C until use. None of the patients had received preoperative local or systemic treatment. The collected clinical

copathological characteristics included tumor size, location, differentiation, lymph node metastasis, distant metastasis, and TNM stage. The follow-up interval was from the date of surgery to the date of death or the last clinical investigation. This study was approved by

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Table 1. The relationship between NEAT1 expression and clinicopathologic parameters

Characteristics	No. of patients	Low expression	High expression	P value
Age (years)				0.198
≤60	47	18	29	
>60	49	24	25	
Gender				0.076
Male	72	35	37	
Female	24	7	17	
Location				0.257
Upper	21	11	10	
Middle	45	13	32	
Lower	30	18	12	
Differentiation				0.067
Well	18	11	7	
Moderate	41	11	30	
Poor	37	21	15	
Tumor size				0.026*
≤4.0 cm	30	18	12	
>4.0 cm	66	24	42	
Lymph node metastasis				0.035*
Absent	46	26	20	
Present	50	18	32	
Distant metastasis				0.108
Absent	73	35	38	
Present	23	7	16	
TNM stage				0.004*
I+II	35	22	13	
III+IV	61	20	41	

*P value is statistically significant.

The Clinical Research Ethics Committee of First Affiliated Hospital of Henan University of Science and Technology. Written informed consent was obtained from all participants.

Cell lines and culture conditions

The immortalized human esophageal epithelial cell line (SHEE) and the malignant transformed esophageal carcinoma cell line (SHEEC) were gifts from Professor Yi Ceng (Institute of Virology, Chinese Academy of Preventive Medicine). The human ESCC cell line KYSE150 cells were purchased from Shanghai Ruilu Technology Co., Ltd. KYSE30, KYSE70, and KYSE140 were purchased from Public Health England, UK. All cells were grown and maintained in RPMI-1640 medium (Gibco, Carlsbad, CA, USA) supplemented with 10% fetal bovine serum (FBS) (Gibco, Carlsbad, CA, USA) and 1%

penicillin and streptomycin (Invitrogen, Carlsbad, CA, USA), maintain at 37°C in a humidified atmosphere with 5% CO₂.

Total RNA isolation and RT-qPCR

Total RNA was isolated from tissues and cells by Trizol (Invitrogen, Life technology, USA). The RT and qPCR reactions were performed as previously described [14], and β-Actin was used as an endogenous control to normalize the data. Primer sequences used in this study were the following: 5'-ATGCCACAACGC-AGATTGAT-3' (forward) and 5'-CG-AGAAACGCACAAGAAGG-3' (reverse) for NEAT1, 5'-AAAGACCTGTACGCC-AACAC-3' (forward) and 5'-GTCATA-CTCCTGCTTGCTGAT-3' (reverse) for β-Actin.

Reagents and cell transfection

For reagents used: Lipofectamine 2000 transfection reagent (Invitrogen, Carlsbad, CA, USA). The pcDNA3.1-NEAT1 and empty vector (used as a negative control) was purchased from Invitrogen, Shanghai, China. The siRNAs of NEAT1 (5'-UGGUA AUGGUGGAGGAAGAUU-3', 5'-GUGAGAAGUUGCUUAGA-AUU-3') and scramble siRNA were purchased from Ribobio, Guangzhou, China. Cells were seeded in 96- or 6-well plates 24 h before the experiment. KYSE150 cells were transfected with pcDNA3.1-NEAT1 or negative control; EC9706 cells were transfected with siNEAT1 or scramble siRNA).

Cell proliferation assays

Proliferation was measured using the CCK-8 kit (Dojindo, Japan). Approximately 3 × 10³ transfected cells in 100 μl were incubated in triplicate in 96-well plates. The CCK-8 reagent (10 μl) was added to each well and incubated at 37°C for 2 h at various time points. The optical density at 450 nm was measured using an automatic microplate reader (Synergy4; BioTek, Winooski, VT, USA).

Colony formation assays

Transfected cells were plated into 6-well plates (800 cells per hole) and cultured in media con-

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Table 2. Univariate and multivariate analysis of different prognostic factors for OS in 96 patients with ESCC

Prognostic factors	Univariate analysis			Multivariate analysis		
	HR	95% CI	P value	HR	95% CI	P value
Age (>60/≤60)	0.772	0.445-1.338	0.356			
Gender (Male/Female)	1.083	0.585-2.003	0.800			
Tumor size (≤4 cm/>4 cm)	1.752	1.005-3.055	0.048*			
Location (Upper/Middle+Lower)	0.819	0.593-1.132	0.227			
Differentiation (Poor/Well+Moderate)	1.303	0.702-2.418	0.402			
Lymph node metastasis (Presence/Absence)	5.165	2.195-7.154	0.000*			
Distant metastasis (Presence/Absence)	3.554	1.988-6.352	0.004*			
TNM stage (I+II/III+IV)	7.247	3.072-9.100	0.000*	5.854	2.399-7.287	0.000*
NEAT1 (High/Low)	2.383	1.302-4.361	0.005*	1.919	1.399-6.489	0.033*

*P value is statistically significant.

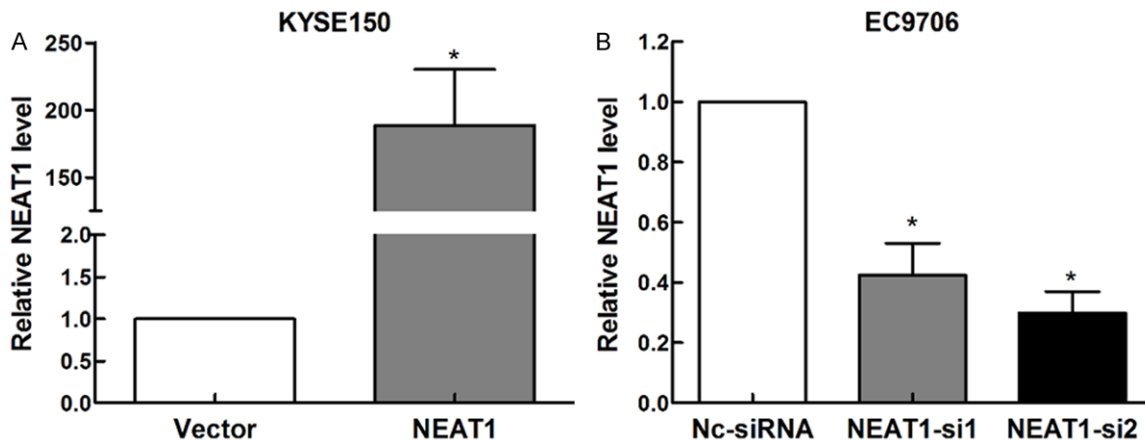


Figure 2. Efficiencies of overexpression and knockdown of NEAT1 in esophageal squamous carcinoma. A. The expressions of NEAT1 mRNA in KYSE150 cells transfected with pcDNA3.1-NEAT1 and vector detected by RT-qPCR. B. The mRNA levels of NEAT1 in EC9706 cells transfected with siNEAT1 or and siRNA detected by RT-qPCR.

taining 10% FBS, which was replaced every 5 days. After 10~14 days, colonies were washed with PBS, fixed with ethanol for 30 min, and stained with 0.1% crystal violet (Sigma, St. Louis, MO) for 30 min. The visible colonies were then manually counted. For each treatment group, wells were counted in triplicate.

Cell migration and invasion detection

The Transwell assay was used to assess cell migration and invasion with the transwell system of Corning co. Ltd., USA. Inserts containing 8- μ m pore filters were uncoated for the migration assays or coated with Matrigel (Becton-Dickinson Labware, Bedford, MA) for the invasion assays. A total of $1\sim 3 \times 10^5$ cells transfected with pcDNA3.1-NEAT1 or pcDNA3.1 (KYSE150 cells) or with siNEAT1 or scramble siRNA (EC9706 cells) in 100 μ l of serum-free medium were added to each well. After 24 h of

incubation at 37°C, the cells that had migrated through the filters were fixed with methanol, stained with Giemsa and counted from 10 random fields under a microscope at 200 \times magnification. Each experiment was performed in triplicate.

Statistical analysis

All statistical analyses were performed using SPSS 20.0 (IBM, SPSS, Chicago, IL, USA). Statistical tests for data between groups analysis included the χ^2 -test and Student's two-tailed t-test. Two-tailed Student's t-test was employed for analyzing *in vitro* data. Pearson analysis was used to calculate the correlation between clinicopathological features and the expression of NEAT1. OS curves were calculated with the Kaplan-Meier method and were analyzed with the log-rank test. Univariate analysis and multivariate models were fit using a Cox proportional

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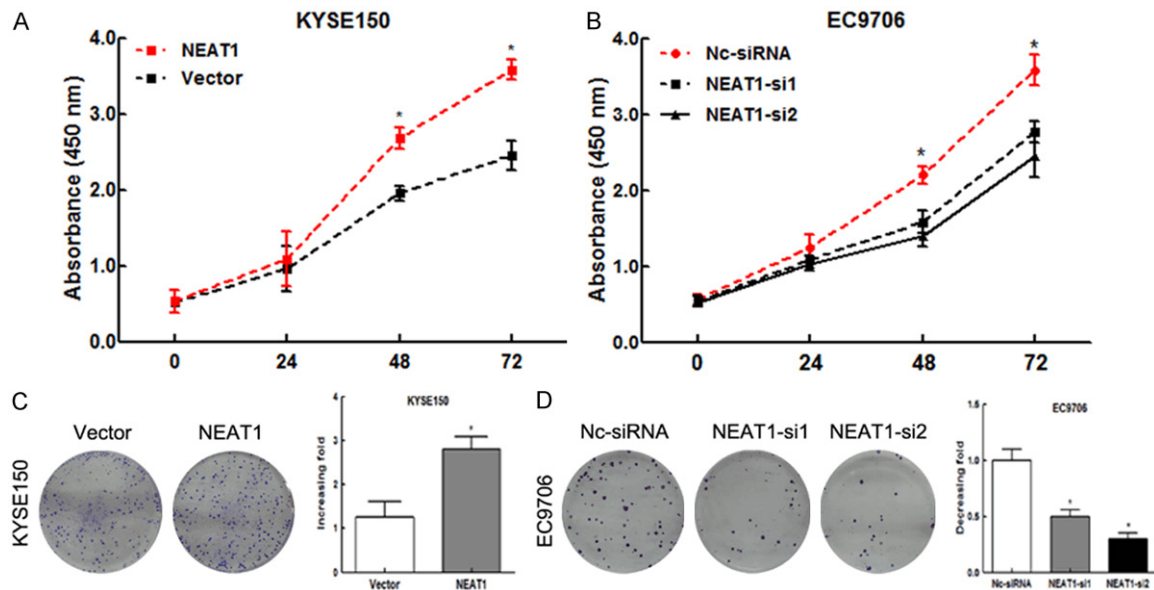


Figure 3. NEAT1 stimulated tumor cell proliferation in ESCC. A. The CCK8 cell counting assays revealed cell growth curves of KYSE150 cells. B. The CCK8 cell counting assays revealed cell growth curves of EC9706 cells. C. The representative pictures (left) and quantification (right) of Giemsa-stained KYSE150 cells in colony formation assay. * $P < 0.05$. D. The representative pictures (left) and quantification (right) of Giemsa-stained EC9706 cells in colony formation assay. * $P < 0.05$.

hazards regression model. A threshold of $P < 0.05$ was defined as statistically significant.

Results

NEAT1 was upregulated in ESCC

To investigate whether NEAT1 could be detected and whether its expression was altered in ESCC, we conducted QRT-PCR in 96 patients with ESCC. As shown in **Figure 1A** and **1B**, comparative analyses demonstrated that NEAT1 was elevated in human ESCC samples compared with the adjacent non-neoplastic tissues ($P = 0.005$), and NEAT1 was upregulated in all of the 6 analysed ESCC cell lines compared with the normal esophageal epithelial cell line (SHEE) (all $P < 0.05$). These results suggested that NEAT1 was overexpressed in ESCC. Furthermore, NEAT1 expression levels were analyzed in cancer tissue samples according to lymph node status and TNM stage. As shown in **Figure 1C** and **1D**, the expression of NEAT1 was positively correlated with the lymph node metastasis ($P = 0.002$) and TNM stage ($P = 0.034$) in ESCC patients, suggesting that NEAT1 up regulation is associated with the progression of human ESCC.

NEAT1 upregulation correlates with clinicopathologic characteristics and the survival of patients with ESCC

Based on the expression levels of NEAT1 obtained by QRT-PCR, we divided the 95 ESCC patients into a high-NEAT1 expression group ($n = 54$) and a low-NEAT1 expression group ($n = 42$) according to the Youden index (**Figure 1E**). Then we analyzed the correlation of NEAT1 expression levels with the clinicopathological characteristics of patients with ESCC (**Table 1**). The expression levels of NEAT1 was associated with the tumor size ($P = 0.026$), lymph node metastasis ($P = 0.035$), as well as the TNM stage of ESCC ($P = 0.004$) (**Table 1**). However, there was no significant correlation between the expression of NEAT1 with age, differentiation or remote metastasis.

When linked to the prognosis, the Kaplan-Meier analysis showed that patients with high levels of NEAT1 expression had significantly shorter OS ($P = 0.005$, log-rank test; **Figure 1F**) than those with low levels. A univariate Cox analysis showed that tumor size, lymph node metastasis, distant metastasis, TNM stage, and NEAT1 expression were correlated with the survival (**Table 2**). Multivariate analysis using the Cox

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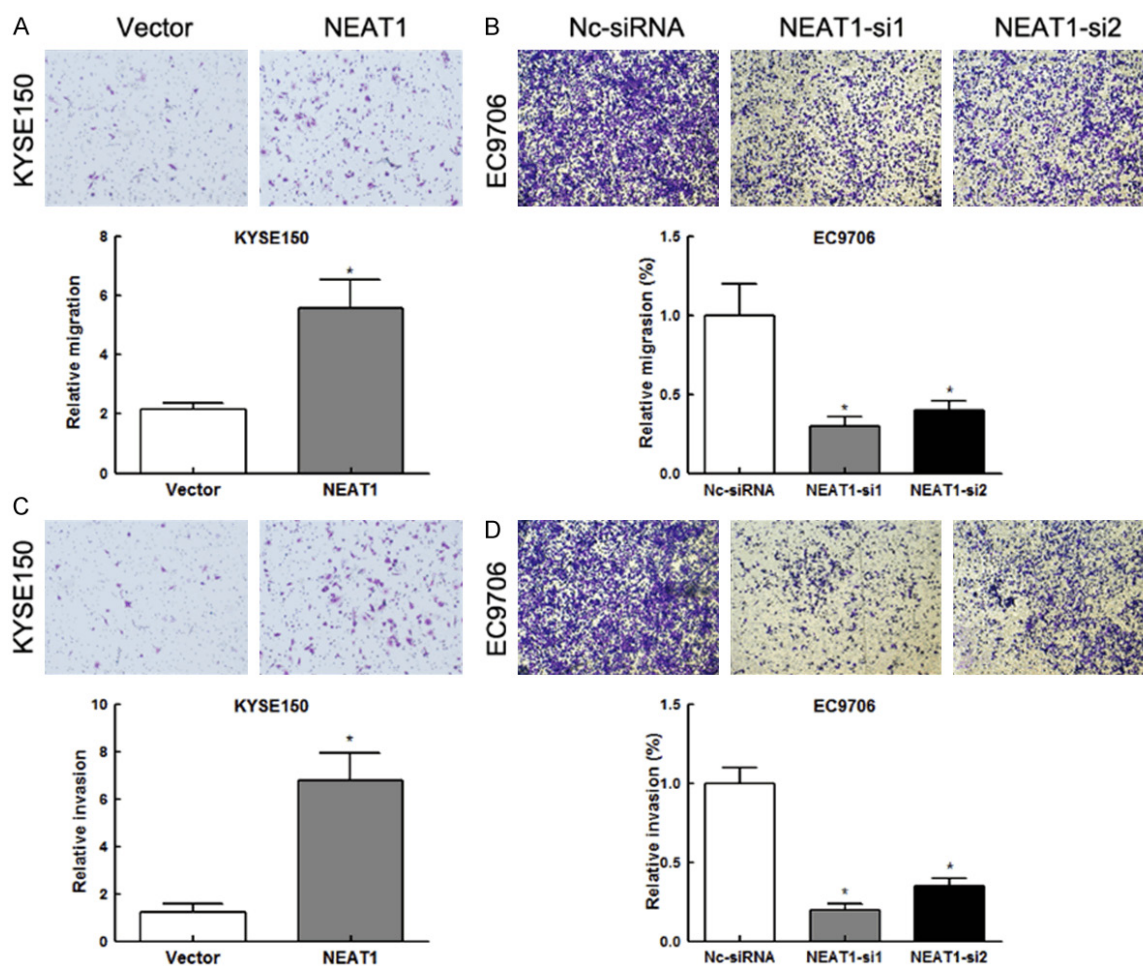


Figure 4. NEAT1 promoted cell invasion and migration in ESCC. A. Representative images (up) and quantification (down) of transwell migration assays for KYSE150 cells. (Scale bars=50 μ m). * P <0.01. B. Representative images (up) and quantification (down) of transwell migration assays for EC9706 cells. (Scale bars=50 μ m). * P <0.01. C. Representative images (up) and quantification (down) of transwell invasion assays for KYSE150 cells. (Scale bars=50 μ m). * P <0.01. D. Representative images (up) and quantification (down) of transwell invasion assays for EC9706 cells. (Scale bars=50 μ m). * P <0.01.

proportional hazard model demonstrated that NEAT1 expression was an independent risk factors for OS ($P=0.033$, **Table 2**) in addition to TNM stage ($P=0.000$, **Table 2**). These results identified that overexpression of NEAT1 seemed to be a predictive factor of poor survival of ESCC, suggesting that overexpression of NEAT1 may contribute to ESCC pathogenesis and can be employed as a powerful independent prognostic factor.

Identification of the efficiencies of NEAT1 overexpression and knockdown in ESCC cells

KYSE150 cells transfected with pcDNA3.1-NEAT1 or negative control, and EC9706 cells with siNEAT1 or scramble siRNA transfection

for 48 h were followed by qRT-PCR. The mRNA levels of NEAT1 were significantly increased in pcDNA3.1-NEAT1 transfecting KYSE150 cells compared with the vector-transfecting counterparts (**Figure 2A**). While in EC9706 cells the NEAT1 mRNA levels was decreased in the cells transfected with siNEAT1 (**Figure 2B**).

NEAT1 promotes esophageal tumor cell proliferation

To observe the influence of NEAT1 on tumor cell proliferation, we conducted the CCK-8 cell counting assays. Results showed that the tumor cell growth of the NEAT1-overexpressing KYSE150 cells was significantly accelerated compared with the control (**Figure 3A**). While in

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EC9706 cells, knockdown of NEAT1 by siRNA effectively inhibited the cell proliferation (**Figure 3B**). Similarly, the colony formation assay revealed that clonogenic survival was significantly improved in NEAT1-overexpressed KYSE150 cell lines (**Figure 3C**). And knockdown of NEAT1 could obviously reduce the clonogenic survival (**Figure 3D**). These results suggested that NEAT1 could promote cell proliferation in ESCC *in vitro*.

NEAT1 enhanced tumor cell migration and invasion in vitro

To investigate whether NEAT1 has a direct functional role in tumor cell invasion and migration in ESCC, we performed Transwell assays. Overexpression of NEAT1 in KYSE150 cells resulted in a significant increase in the migration (**Figure 4A**, $P < 0.01$) and invasion ability through an extracellular matrix (**Figure 4C**, $P < 0.01$). While knockdown of NEAT1 effectively abolished the mobility (**Figure 4B**, $P < 0.01$) and invasiveness (**Figure 4D**, $P < 0.01$) of EC9706 cells. Collectively, these data suggested that NEAT1 induced tumor cell migration and invasion in ESCC.

Discussion

In the present study, we explored the expression of NEAT1 and its biological functions in ESCC. We confirmed that NEAT1 was overexpressed in ESCC. Clinically, high expression levels of NEAT1 correlated with worse survival of ESCC patients, indicating that NEAT1 might be a novel prognostic indicator for patients with ESCC. In addition, NEAT1 contributes to the malignant characters of ESCC through involvement in proliferation, migration and invasion.

NEAT1 was firstly found in the multiple endocrine neoplasia locus [6], assumed as a cancer related regulator. In the past five years researchers gradually found that overexpression of NEAT1 exists in multiple malignancies, such as acute promyelocytic leukemia [7], prostate cancer [8, 15], glioma [9], lung cancer [10, 12], and ovarian carcinoma [13]. The present study showed that higher levels of NEAT1 expression were observed in ESCC tissues compared with adjacent normal tissues, suggesting that the up regulation of NEAT1 may also be an important molecular event in ESCC.

The correlation of NEAT1 up regulation with a higher tumor burden including larger tumor

size, presence of lymph node metastasis and advanced TNM stage in our study, suggesting that NEAT1 may accelerate tumor progression in ESCC mainly via stimulating malignant biological behavior of tumor cells, which was supported by the results of overexpression of NEAT1 in KYSE150 cells. Considering that the clinical stage was majorly concerned about tumor growth and metastasis, it was not surprising that the expression of NEAT1 got intense along with the advance of stages and was tightly correlated with TNM stage. Indeed, NEAT1 might push the advance of clinical stage by inducing tumor migration and invasion in ESCC. Therefore, it was reasonable that overexpression of NEAT1 suggested a poorer survival time in ESCC patients. Taken together, monitoring the levels of NEAT1 might be an effective biomarker for the prognosis monitoring of ESCC.

Furthermore, knocking down of NEAT1 potently suppressed the tumor-promoting effects of NEAT1 in EC9706 cells. These results on one hand inversely supported that overexpression of NEAT1 should be responsible for the tumor progression in ESCC, and on the other hand suggested that suppression of NEAT1 could be an effective therapeutic choice in clinical practice, which shed a light on developing effective NEAT1-targeting chemotherapy in ESCC. Further studies might consider NEAT1 as a major molecular target in future chemotherapy regimens designing.

Previous work has identified that as an oestrogen receptor alpha specific lncRNA, NEAT1 trigger the oncogenicity of prostate cancer by altering the epigenetic landscape of target gene promoters [8]. Besides, overexpression of miR-449a could significantly downregulating NEAT1 and inhibits the cell growth and apoptosis [10]. Moreover, it can also facilitate cellular growth by modulating HIF-2 α under a hypoxia environment in breast cancer [11]. However, little was known whether the dysregulation of these tumorigenesis-related genes in ESCC can explain the underlying mechanisms of overexpression of NEAT1 facilitate tumor progression. Future studies might focus on the specific mechanisms of NEAT1 in the development of ESCC.

Conclusion

Our study suggests that NEAT1 predicts poor prognosis and has a vital role in the development and progression of esophageal squa-

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mous cell carcinoma. NEAT1 might be applied as a biomarker to monitor the progression of tumor and to predict the prognosis of patients. Understanding the precise role of NEAT1 in the pathogenesis of ESCC may lead to a development of therapeutic strategy for ESCC.

Disclosure of conflict of interest

None.

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