



An altered GABA-A receptor function in spinocerebellar ataxia type 6 and familial hemiplegic migraine type 1 associated with the *CACNA1A* gene mutation



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ABSTRACT

Background: Mutations in the *CACNA1A* gene encoding the voltage-gated calcium channel $\alpha 1A$ subunit have been identified in patients with autosomal dominantly inherited neurological disorders, including spinocerebellar ataxia type 6 (SCA6) and familial hemiplegic migraine type 1 (FHM1). In order to investigate the underlying pathogenesis common to these distinct phenotypic disorders, this study investigated the neuronal function of the GABAergic system and glucose metabolism in vivo using positron emission tomography (PET).

Methods: Combined PET studies with [¹¹C]-flumazenil and [¹⁸F]-fluorodeoxyglucose (FDG) were performed in three FHM1 patients and two SCA6 patients. [¹⁸F]-FDG-PET using a three-dimensional stereotactic surface projection analysis was employed to measure the cerebral metabolic rate of glucose (CMRGlC). In addition, the GABA-A receptor function was investigated using flumazenil, a selective GABA-A receptor ligand.

Results: All patients displayed a significant decrease in CMRGlC and low flumazenil binding in the cerebellum compared with the normal controls. The flumazenil binding in the temporal cortex was also decreased in two FHM1 patients.

Conclusions: Cerebellar glucose hypometabolism and an altered GABA-A receptor function are characteristic of FHM1 and SCA6.

General significance: An altered GABA-A receptor function has previously been reported in models of inherited murine cerebellar ataxia caused by a mutation in the *CACNA1A* gene. This study showed novel clinical characteristics of alteration in the GABA-A receptor in vivo, which may provide clinical evidence indicating a pathological mechanism common to neurological disorders associated with *CACNA1A* gene mutation.

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1. Introduction

Neuronal Ca²⁺ plays a role in various neuronal pathways for excitability and neurotransmitter release and is regulated by calcium-permeable channels and calcium binding proteins. Voltage-gated calcium channels (VGCCs) help to regulate neuronal Ca²⁺ and function as heteromultimeric complexes that mediate calcium influx into cells in response to changes in the membrane potential. The $\alpha 1A$ subunit, encoded by the *CACNA1A* gene, is a pore-forming structure specific to the brain specific P/Q-type VGCC, which is diffusely expressed in the brain, especially in the Purkinje cells of the cerebellum [1].

Mutations in the *CACNA1A* gene have been identified in autosomal dominantly inherited human neurological disorders, including

spinocerebellar ataxia type 6 (SCA6), episodic ataxia 2 (EA2) and familial hemiplegic migraine type 1 (FHM1) [2]. SCA6 is caused by small expansions in the CAG repeat at the 3' end of the *CACNA1A* gene, while EA2 and FHM1 are the result of point mutations in the *CACNA1A* gene. The phenotypes of FHM1 exhibit remarkable variation, including episodic neurological symptoms, such as seizures, transient blindness and permanent neurological symptoms of cerebellar ataxia [3,4]. Phenotypic variation is also observed in patients with specific *CACNA1A* gene mutations and family members with a single mutation [4,5]. Cerebellar ataxia is the most frequently observed phenotype in FHM1 patients, and some FHM1 patients develop ataxia as the only neurological sign, without migraines [3,6]. Therefore, cerebellar signs are clinically indistinguishable in cases of FHM1 and SCA6.

Several inherited mouse neurological disorders can be caused by the *CACNA1A* gene. Mutations at the orthologous site of the mouse *CACNA1A* gene induce a group of recessive neurological disorders, including the tottering phenotypes with ataxia and absence epilepsy, as well as the rolling Nagoya phenotype with ataxia without seizures [2].

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These mice have been suggested to therefore be appropriate as an animal model of human spinocerebellar ataxia, and recent studies of these animals have demonstrated an abnormal glutamic acid to γ -aminobutyric acid (GABA)-A receptor function and expression in the cerebellar and cerebral cortices resulting from an abnormal P/Q type VGCC activity [7–10]. These findings led us to speculate that the GABA-A receptor function may also be compromised in patients with SCA6 and FHM1. This study therefore investigated the neuronal function of the GABAergic system using positron emission tomography (PET) with a radioligand, [^{11}C]-flumazenil, that binds selectively to the GABA-A receptor and evaluated brain glucose metabolism using [^{18}F]-fluorodeoxyglucose (FDG) in FHM1 and SCA6 patients. We herein describe functional GABA-A receptor alterations in patients with the *CACNA1A* gene mutation.

2. Patients and methods

2.1. Patients

After obtaining permission from the local ethics committee and written informed consent according to the Declaration of Helsinki, three FHM1 patients (one male and two females) and two SCA6 patients (one male and one female) were included in this study.

Neurological specialists excluded other possible causes of cerebellar ataxia, including infection, other autoimmune conditions, vitamin deficiencies, cerebrovascular diseases and neoplasms. FHM1 was diagnosed based on clinical criteria published by the International Classification of Headache Disorders [11]. The degree of cerebellar ataxia was evaluated using a uniform clinical examination with a scale for the assessment and rating of ataxia (SARA) [12]. All patients underwent brain magnetic resonance imaging (MRI) after the initial presentation of symptoms.

2.2. Mutation analysis

Genomic DNA was extracted from the leukocytes of the subjects' family members using a DNA Isolation kit (WAKO, JAPAN). Primers corresponding to the intronic sequences flanking the exons of the *CACNA1A* gene were designed using the Primer3 software program. Polymerase chain reaction (PCR) was performed with the GoTaq system (Promega, USA) under standard conditions. The PCR products were purified with ExoSAP (USB, USA) and sequenced for both forward and reverse strands using the BigDye Terminator chemistry version 3 kit according to the standard protocol (Applied Biosystems, CA). Sequences were subsequently obtained using the ABI Genetic Analyzer 3100 (Applied Biosystems) with the sequence analysis software program GENETYX, ver. 9 (GENETYX, JAPAN).

2.3. PET imaging

The FDG-PET studies were performed with the patient in a resting state with his or her eyes open under a dim light following the intravenous injection of 185 MBq [^{18}F]-FDG. All scans were realigned to the anterior–posterior commissure line and spatially normalized to the Talairach and Tournoux atlas using an affine transformation with 12 parameters, followed by nonlinear warping. This process yielded a standardized image set with 2.25 mm voxels. The spatially normalized FDG-PET scan for each subject was compared with a normative reference database generated from the FDG-PET scans of normal individuals. Each scan was compared with the database after controlling the global activity using Neurostat scaling procedures. Z scores ($Z = 5 [\text{mean subject} - \text{mean database}] / \text{SD database}$) were calculated voxel-by-voxel at a threshold of $P < 0.01$ (one-sided) corresponding to $Z > 2.33$ for a reduced [^{18}F]-FDG uptake in the patient relative to the control mean. Three-dimensional stereotactic surface projections (3D-SSPs) of the Z scores were then generated to allow for the visualization of [^{18}F]-FDG uptake abnormalities and an examination of the extent

and topography of hypometabolism according to a previously described method [13].

The GABAergic system was examined using PET and [^{11}C]-flumazenil an antagonistic radioligand that binds to the central benzodiazepine receptor site of the GABA-A complex. The flumazenil-PET study was performed with the patient in a resting state with his or her eyes open under a dim light following the intravenous injection of 5 MBq/kg of [^{11}C]-flumazenil. The accumulation of flumazenil was recorded for 60 min using dynamic serial scanning. Prior to the PET scan, MRI (0.3-T MRP/7000 AD; Hitachi, Tokyo, Japan) was performed with 3-dimensional mode sampling to determine the brain areas for setting the regions of interest (ROIs). Accordingly, ROIs were placed on the pons and cerebellum on MRI images then transferred onto the corresponding PET images, and the semiquantitative ROI/pons ratio was subsequently calculated by dividing the number of ROIs by the number of pons based on a previously described method [14]. Two different researchers calculated the ratios three times. Since flumazenil binding declines with age, we used age-matched controls for comparison to eliminate the effects of aging. The values for flumazenil binding were compared between the patients and normal controls.

3. Results

3.1. Clinical and genetic outcomes

The clinical characteristics of each patient are summarized in Table 1. Patient 1, who was the mother of Patients 2 and 3, presented with hemiplegic migraines with progressive cerebellar ataxia lasting for approximately 20 years. Patients 2 and 3 presented with a several-year history of progressive cerebellar ataxia accompanied by migraines with visual and motor aura. A genetic analysis of the *CACNA1A* gene showed a homozygous R1347Q mutation (reference sequence: X99897) in all three patients, and they were diagnosed with FHM1. Patients 4 and 5 had also experienced cerebellar ataxia for approximately 20 years, although without migraines. These subjects were diagnosed with SCA6 based on a genetic analysis of the *CACNA1A* gene, which showed abnormal CAG expansion at the 3' end of the *CACNA1A* gene. MRI disclosed symmetric cerebellar atrophy of the bilateral hemisphere and vermis in all patients (Fig. 1); however, no atrophy was noted in the cerebral cortices or brainstem. The SARA scores were in the range of 9 to 10 in all cases.

3.2. PET analysis

The flumazenil-PET analyses of the semi-quantitative ROI/pons ratio showed a significant reduction in flumazenil binding in the cerebellar vermis and bilateral cerebellar hemisphere in all patients, compared with that observed in the normal controls (Fig. 2, Table 2). The level of

Table 1
Characteristics of the patients with *CACNA1A* mutations.

Patient No./sex/age Phenotype	Ataxia Age at onset (y) Progression	SARA score	Migraine Age at onset (y) Type of aura	<i>CACNA1A</i> gene mutation
1/F/55	35	10	13	R1437Q/wt
FHM1	Progressive		Motor	
2/M/31	22	9	9	R1437Q/wt
FHM1	Progressive		Visual	
3/F/24	20	9	12	R1437Q/wt
FHM1	Progressive		Motor & visual	
4/M/48	30	9	(–)	(CAG) ₂₁ /(CAG) ₁₀
SCA6	Progressive			
5/F/54	34	10	(–)	(CAG) ₂₁ /(CAG) ₁₀
SCA6	Progressive			

CACNA1A reference sequence: X99897.

SARA: scale for the assessment and rating of ataxia.
wt: wild-type.

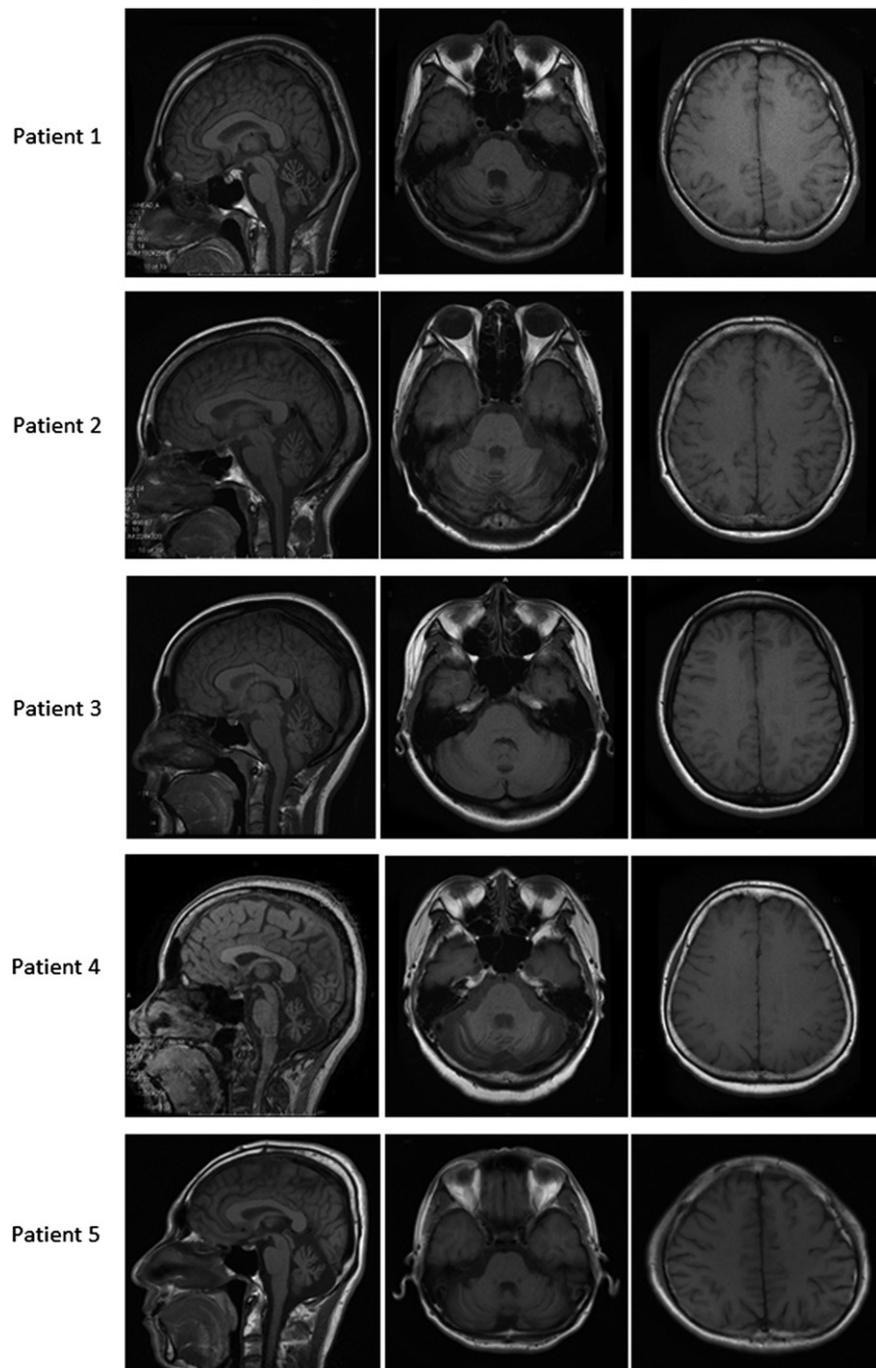


Fig. 1. T1-weighted MRI images of the patients. All patients showed cerebellar atrophy on axial and sagittal images, whereas cerebral atrophy was not observed.

flumazenil binding in the temporal cortex was also decreased in FHM1 Patients 1 and 2.

The metabolic rate of glucose (MRGlc) measured on FDG-PET was evaluated using a 3D-SSP analysis (Fig. 3). Consequently, all patients exhibited a significant decrease in the MRGlc in the bilateral cerebellar cortex, which presented with mild atrophy on MRI. The MRGlc was also reduced in the frontotemporal cortex in both the FHM1 and SCA6 patients.

4. Discussion

GABA-A receptor impairment has been demonstrated in ataxic mice of the rolling mouse Nagoya and tottering mouse strains due to spontaneous *CACNA1A* gene mutations. However, no previous studies

have investigated the GABA-A receptor function in human neurological diseases resulting from *CACNA1A* gene mutations. The rolling mouse Nagoya strain carries a point mutation in the voltage-sensing S4 segment of the third repeat of the $\alpha 1$ subunit encoded by the *CACNA1A* gene [15]. In these mice, the GABA receptor function assessed using a GABA receptor agonist is impaired in the cerebellum, thalamus, pons and medulla [7]. Meanwhile, tottering mice present with seizures and involuntary movements, as well as ataxia, and genetic analyses have revealed a point mutation in the *CACNA1A* gene encoding the pore-forming subunit of the P/Q type VGCC [16]. Furthermore, histochemical analyses in tottering mice have demonstrated a decreased number and impaired function of GABA-A receptors in the cerebellum and cerebral cortex, in which aberrant GABA-A receptor subunits are expressed [8–10]. These findings led us to hypothesize that the GABA-A receptor

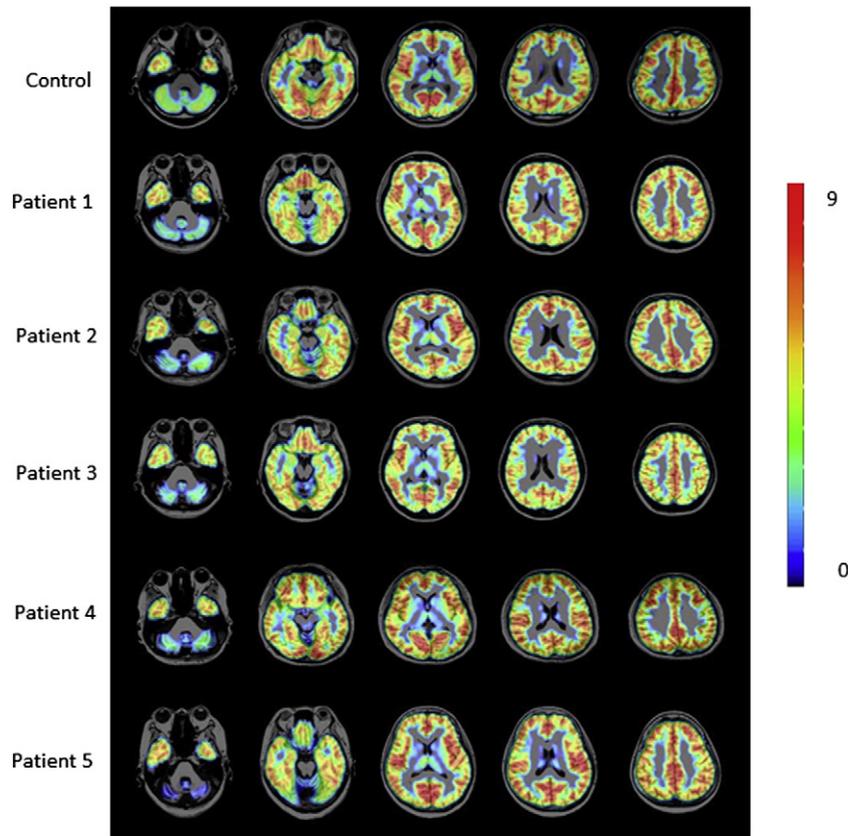


Fig. 2. Superimposed axial PET/MRI images of [^{11}C]-flumazenil in the patients and an age-matched normal control. The colored bar denotes the [^{11}C]-flumazenil standardized uptake value ratio. The red bar indicates flumazenil binding above the level of the blue bar.

may also be impaired in human neurological diseases associated with *CACNA1A* gene mutations. PET using [^{11}C]-flumazenil, a radioactive ligand of the GABA-A receptor, is a useful neuroimaging modality for assessing the in vivo GABA-A receptor function in the living brain. However, there are no flumazenil-PET studies in patients with hereditary spinocerebellar ataxia, including SCA6 and FHM. In the present study, all patients with SCA6 and FHM displayed reduced flumazenil binding in the cerebellum, whereas decreased flumazenil binding in the temporal cortex was observed only in the FHM1 patients. These PET findings suggest that P/Q VGCC mutations contribute to impairment of the GABA-A receptor function, thus resulting in damage to the cerebellar GABAergic network, as previously observed in *CACNA1A* mutant ataxic mice. Mutant ataxic mice and cases of FHM1 in humans are caused by

specific point mutations in the *CACNA1A* gene. In addition, biochemical studies in the mice brain show an aberrant GABA-A receptor expression in the cerebral cortex as well as cerebellum [8,9,17]. The characteristic finding of flumazenil-PET studies in FHM1 patients is regional decreased GABA-A receptor binding in the temporal cortex. The cerebrocortical GABA-A receptor impairment observed in FHM1 patients may be associated with pathological mechanisms caused by point mutations. However, it remains unclear how P/Q VGCC mutations induce dysfunction of the GABA-A receptor. Biochemical studies of tottering mice show the upregulation of cerebellar L-type voltage-activated calcium channel m-RNA, which may contribute to the dystonia observed in these animals [18]. L-type voltage-activated calcium channels regulate the GABA-A receptor expression in cultured neurons and cerebellar

Table 2

Regional levels of binding potential for [^{11}C]-flumazenil in the patients and controls.

	Controls N = 6	Patient 1 FHM	Patient 2 FHM	Patient 3 FHM	Patient 4 SCA6	Patient 5 SCA6
Right cerebellar hemisphere	2.35 ± 0.20	1.71 ^a	1.34 ^a	1.42 ^a	1.61 ^a	0.98 ^a
Left cerebellar hemisphere	2.27 ± 0.15	1.71 ^a	1.31 ^a	1.47 ^a	1.65 ^a	1.02 ^a
Cerebellar vermis	2.60 ± 0.17	1.44 ^a	1.62 ^a	0.97 ^a	1.44 ^a	1.60 ^a
Right frontal cortex	3.21 ± 0.12	3.23	3.07	2.76 ^a	3.26	3.25
Left frontal cortex	3.20 ± 0.12	3.20	3.01	2.68 ^a	3.26	3.25
Right temporal cortex	3.26 ± 0.12	2.93 ^a	2.90 ^a	2.68 ^a	3.31	3.33
Left temporal cortex	3.22 ± 0.12	2.94 ^a	2.91 ^a	2.67 ^a	3.20	3.46
Right parietal cortex	3.34 ± 0.24	3.18	2.90	2.92	3.24	3.31
Left parietal cortex	3.30 ± 0.22	3.15	3.03	2.90	3.30	3.42
Right occipital cortex	3.10 ± 0.15	2.95	2.83	2.92	3.12	3.16
Left occipital cortex	3.11 ± 0.17	2.94	2.91	2.93	3.14	3.17

The data are presented as the mean ± SD.

The estimated [^{11}C]-flumazenil binding was calculated as the region of interest (ROI)/pons ratio.

^a Below the range of mean-2 SD for normative data.

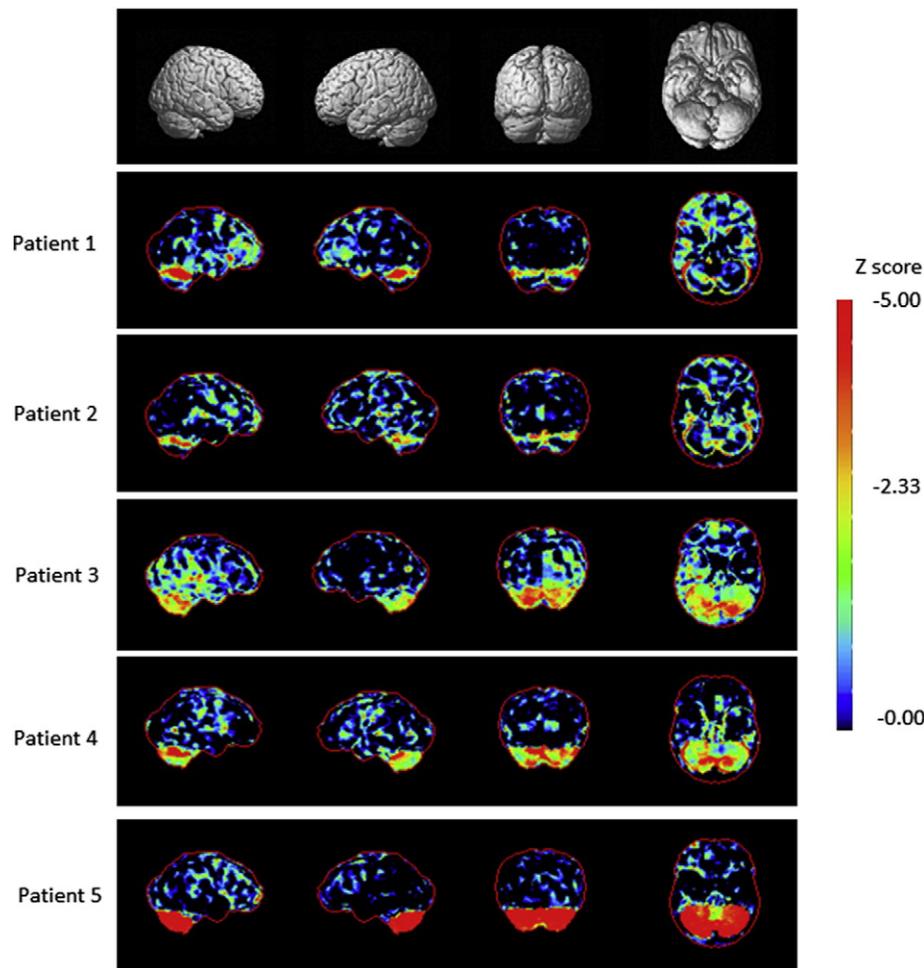


Fig. 3. 3D-SSP analysis of the cortical [^{18}F]-FDG PET patterns in the patients. 3D-SSP maps and corresponding Z scores showing metabolic rate of glucose reduction in the patients compared with that observed in the database of normal subjects are displayed on a color-coded scale ranging from black to red. The Z scores were calculated voxel-by-voxel at a threshold of $P < 0.01$ corresponding to $Z > 2.33$.

granule cells [19,20]. Future pathological studies of the expression of GABA-A receptors and L-type voltage-activated calcium channels may provide clues to elucidating the pathological mechanisms underlying the development of *CACNA1A*-associated neurological diseases.

The present study demonstrated clinical characteristics common to FHM1 and SCA6. The regional patterns of brain hypometabolism obtained using a voxel-based analysis of FDG binding showed glucose hypometabolism in the frontotemporal cerebellar cortices as well as cerebellum in both the SCA6 and FHM1 patients. FDG-PET studies have been reported previously in SCA1, SCA2, SCA3 and SCA6 patients [21,22]. Decreased regional glucose metabolism is found in the cerebellum in all SCA patients, while decreased glucose metabolism is observed in the brainstem in SCA1, SCA2, SCA3 and the basal nucleus of SCA3 [21, 22]. In SCA6 patients, glucose metabolism is diminished in the frontal and prefrontal cortices in addition to the cerebellum [22]. A previous FDG-PET study of FHM1 patients demonstrated hypometabolism in the frontotemporal cortex and cerebellum [23]. These previous FDG-PET findings are consistent with the PET features reported in this study.

Cerebella ataxia is an intriguing neurological sign, noted in two-thirds of FHM1 patients [4]. Some FHM1 patients develop ataxia with cerebellar atrophy prior to their first migraine attack, while others in various affected families show only cerebellar ataxia [3,6]. In addition, some *CACNA1A* gene point mutations causing FHM1 may be associated with impairment of the cerebellar system. SCA6 is characterized by inherited neurodegeneration in the cerebellar system due to a mutation in the *CACNA1A* gene with expansion of the CAG repeats and may be

categorized as a CAG repeat disorder. Recent molecular biological studies have revealed changes in the P/Q channel activity resulting in alterations in Ca^{2+} influx into cells transfected with the expanded CAG repeats in the *CACNA1A* gene causing SCA6 and missense mutations causing FHM1 [24–26]. These findings suggest that the pathological mechanisms of SCA6 have the potential to alter the P/Q VGCC activity, as well as induce a novel toxic gain of function, independent of the channel kinetics, as hypothesized for other CAG repeat diseases.

5. Conclusions

Neurological disorders associated with *CACNA1A* gene mutations have distinct clinical phenotypes. However, recent detailed clinical reports and molecular pathological studies have identified pathological mechanisms common to neurological disorders associated with *CACNA1A* gene mutation. The present PET study revealed glucose hypometabolism and GABA-A receptor dysfunction with novel clinical characteristics common to FHM1 and SCA6 patients, which may provide clinical evidence of pathological mechanisms caused by *CACNA1A* gene mutations.

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