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Latent classes of neuropsychiatric symptoms in NACC controls and conversion to MCI or dementia

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Abstract

Background—A number of studies have linked neuropsychiatric symptoms to increase risk of dementia.

Objective—To determine if risk of conversion to mild cognitive impairment or dementia among healthy controls varied as a function of their pattern of neuropsychiatric symptoms.

Method—We studied individuals in the National Alzheimer Coordinating Center dataset collected from 34 Alzheimer Disease Centers between 2005 and 2013. The analysis included 4,517 volunteers who were 60 years old, cognitively normal, and had complete Neuropsychiatric Inventory data at their baseline visit, and had at least one follow-up. We used latent class analysis to identify 4 classes based on patterns of NPI symptoms. We used a cox proportional hazards model to determine if time to MCI or dementia varied by baseline latent class membership.

Results—We identified 4 latent classes of neuropsychiatric symptoms: irritable, depressed, complex (depression, apathy, irritability and nighttime behaviors) and asymptomatic. 873 participants converted to MCI or dementia. Hazard ratios for conversion by class were 1.76 (95% CI: 1.34, 2.33) for the irritable class, 3.20 (95% CI: 2.24, 4.58) for the complex class, and 1.90 (95% CI: 1.49, 2.43) for the depressed class, with the asymptomatic class as the reference.

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Dr. Leoutsakos designed the study, conducted data analysis, interpreted analytic results, and prepared the manuscript. She had full access to all of the data in the study and takes responsibility for the integrity of the data and the accuracy of the data analyses.

Ms. Forrester assisted with data analysis, assisted in the preparation of the manuscript, and reviewed and approved the manuscript.

Dr. Lyketsos designed the study and reviewed and approved the manuscript.

Dr. Smith designed the study, assisted with the preparation of the manuscript, and reviewed and approved the manuscript.

Conflicts of Interest

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Conclusions—Membership in all 3 symptomatic classes was associated with greater risk of conversion to MCI or dementia; the complex class had the greatest risk. Different patterns of neuropsychiatric symptoms may represent different underlying neuropathological pathways to dementia. Further work imaging and pathology research is necessary to determine if this is the case.

Search Terms

Alzheimer; dementia; neuropsychiatric symptoms; depression; latent class analysis

Introduction

The burden of Alzheimer's disease (AD) is compounded by neuropsychiatric symptoms (NPS) which occur in almost all patients [1, 2], and are usually persistent [3], NPS are associated with accelerated cognitive and functional decline [4, 5], worse quality of life [6, 7], earlier institutionalization [8–10], and accelerated mortality [10, 11]. Depression, apathy, anxiety, agitation and irritability are the most commonly observed NPS [2, 12].

High rates of NPS (50–85%) are also reported in mild cognitive impairment (MCI) [2, 13–15]. and are a major predictor of dementia.[16, 17] Specific NPS linked to dementia incidence include depression [17–19], apathy [19–21], and nighttime behaviors [22–24]. Rates of NPS are generally lower among non-cognitively impaired individuals [13, 25], but depression in particular has been associated with dementia incidence [24, 26].

Mild behavioral impairment (MBI) is defined as behavioral change lasting six months or longer, in the absence of cognitive and functional impairment (excluding a diagnosis of dementia or MCI or a psychiatric diagnosis) [27]. One prospective outpatient cohort study found that MBI, in the absence of cognitive impairment or a psychiatric diagnosis, was associated with significant risk of dementia (68% to frontotemporal dementia) [27]. This study described the rates of specific NPS in the sample, but did not address the issue of which specific NPS or patterns of NPS are associated with cognitive decline and comprise the diagnosis of MBI.

As NPS are a major predictor of cognitive impairment and dementia, they may be helpful for identifying individuals to be targeted for dementia prevention trials [28]. Additionally, the NPS themselves may represent an important target for prevention development, if they are shown to be associated with pathology that subsequently results in dementia, but signal such pathology prior to the onset of any cognitive decline. To develop hypotheses regarding neurobiology of NPS, it is important to determine specifically which NPS, or patterns of NPS, are associated with dementia. Our aim was to assess whether there are specific patterns of NPS in cognitively normal individuals that are associated with conversion to MCI or dementia.

Latent class analyses of NPS in dementia have been published [29, 30], and may be useful for identifying groups with different underlying neuropathology. In prevalent AD cases, three classes were modeled: asymptomatic, predominantly affective, and one with psychotic symptoms [30]. A later analysis yielded similar results [29]. In the present study, latent class

analysis was applied to elucidate classes among cognitively unimpaired volunteers, and hazard of conversion to MCI or dementia was modeled as a function of class membership. This approach is preferable to looking at each symptom separately, as it avoids multiple comparisons, and facilitates the identification of *combinations* or interactions among NPS that confer risk. We hypothesized that the majority would be members of an asymptomatic class, but that at least one cluster of symptomatic individuals would be identified. We hypothesized that hazard of conversion to MCI or dementia would vary as a function of latent class membership, and would be lowest in the asymptomatic class.

Materials and Methods

National Alzheimer Coordinating Center

The sample were volunteers classified as cognitively unimpaired at their first visits to 34 past and present Alzheimer disease centers (ADCs) [31]. Data were collected between September 2005 and August 2013. All ADCs were overseen by local IRBs and written informed consent was obtained. Volunteers were evaluated (in ADCs or at home) annually by trained clinicians. A full description of NACC methods and the Uniform Data Set (UDS) (including demographics, medical history, family history, behavioral and functional assessments, and a neuropsychological battery), is published elsewhere [32]. Race/ethnicity were based on subjects' report [32–34].

2.2 Measures

The Neuropsychiatric Inventory-Questionnaire (NPI-Q) was administered to informants by trained and certified clinicians or health professionals; the administration instructions explicitly state that it should not be filled out by the participant themselves [34]. NPI-Q is a simplified clinical measure of dementia-related behavioral disturbances in 12 domains: agitation, delusions, hallucinations, depression, euphoria, aberrant motor behavior, apathy, irritability, disinhibition, anxiety, sleep, and eating [35]. Presence of each in the past month representing a change from baseline was measured as a dichotomous variable. The Mini-Mental Status Exam [36] and the 15-item Geriatric Depression Scale (GDS), a screening measure for depression in older adults were also administered [37].

Cognitive Impairment and Dementia Diagnoses

The majority of diagnoses were made via consensus conference, with the remainder made by a single physician, using all available data [34, 38]. Mild cognitive impairment (MCI) diagnoses were made using modified Petersen criteria [39, 40], Alzheimer disease (AD) diagnoses were made using NINCSD/ADRDA criteria [41]. Lewy body dementia (LBD) diagnoses were made using consortium criteria as described in McKeith et al, [42] vascular dementia diagnoses were made using NINDS/AIREN criteria, [43] and frontotemporal dementia (FTD) using criteria as described in Neary, et al [44].

Statistical Methods

Conversion was defined as incident MCI or dementia. Baseline comparisons between converters and non-converters used t-tests or chi-square tests. All tests were two-sided,

assumed unequal variances, and used Satterthwaite's approximation for degrees of freedom [45].

Our latent class analyses (LCA) used dichotomous ratings on each of the 12 NPI-Q domains. Domains were categorized as 0 (0) or 1 (>0). LCA posits the existence of underlying groups (classes) of individuals. LCA uses patterns of responses on categorical variables to estimate two sets of parameters: latent class probabilities and conditional probabilities. Latent class probabilities are the prevalences of each class. Conditional probabilities are rates of each observed variable given membership in each latent class. Using these estimates, it is possible to calculate each individual's probability of membership in each latent class, given their symptom pattern, and their modal (mostly likely) class membership. We used bootstrapped likelihood ratio tests and BIC to choose the optimal number of classes [46].

LCA assumes that after conditioning on latent class membership, observed variables are independent [47]; the latent classes should explain the associations among the observed variables. We tested this assumption using standardized bivariate residuals [48]. Model fit was assessed by comparing observed and model-based counts of individuals for each symptom pattern.

We fit Cox proportional hazards models with latent classes as predictors and adjusting for baseline age, MMSE, sex, race, education, and current antidepressant use. Time to conversion was measured in years. We used an adaptation of Vermunt's three-step method to correct for biases related to uncertainty in class membership, instead of fitting a joint latent class survival model. This was done to prevent survival status from affecting the estimation of the latent classes. [49, 50].

Our survival model allows for inclusion of right-censored individuals (those who have not failed), but inferences from these models assume that censorship is unrelated to failure [51]. In our case, this implies an assumption that individuals who have converted are as likely to attend follow-up visits as non-converters. This assumption could be violated both ways: impaired individuals might have more difficulty attending their next visit, but they might also be more motivated to be evaluated. This is a known limitation of the NACC [52], and many other longitudinal datasets [53]. We compared proportion of "administrative censoring" by class, as such censoring is generally considered to be non-informative. Individuals whose last visit was less than 24 months prior to the data freeze were considered administratively censored. We also compared lengths of follow-up by modal class assignment.

To compare the utility of latent class membership and NPI-Q total scores for prediction of conversion to mci or dementia, we used the R package risksetROC v.1.0.4 [54] to estimate ROC curves from the censored survival data and C index [55], and the R package boot v 1.5 [56] to construct confidence intervals for the C-indices. Because the data are censored survival data, it is not appropriate to calculate metrics such as sensitivity and specificity because these metrics assume all participants are observed for the same amount of time. There is currently no software package that we are aware of that accommodate both the uncertainty of class membership and the censored nature of the survival data. As such, it is

likely that estimates of the C-indices for modal class membership are biased downward by at least 5% [55], and should be interpreted with caution. All analyses were done using Stata v11.0 [57], MPLUS v7.1 [58], or R v3.1.2 [59].

Results

Our data request included all visits for all 7,424 volunteers who were cognitively normal at their first visit. We excluded 574 with missing NPI-Q, 1,812 without follow-up, and 521 under age 60. Of the 4,517 remaining, 3,644 (81%) were normal at last follow-up, 635 converted to MCI, 111 converted to MCI and then to dementia, and 127 individuals converted directly to dementia. Table 1 shows demographics, baseline assessments, and follow-up time by conversion status. At visits 1 through 8, the sample sizes were 4517, 4517, 3306, 3015, 2331, 1256, 682, and 168, respectively. Individuals without follow-up were younger (72.8 (8.0) vs. 74.6 (8.0)), and had higher GDS scores (1.57 (2.2) vs. 1.24 (1.9)). In comparing baseline characteristics between those with short (2–3 visits) to longer (4+ visits) follow-up, we observed that those with shorter follow-up had higher GDS scores (1.34 (2.0) vs. 1.17 (1.9)) and were more likely to be non-white (78.6% vs. 83.9%). Converters were older at baseline, and more likely to be male and white. Converters had slightly less education, lower MMSE, but higher NPI-Q scores. Depression was the most commonly endorsed NPI-Q symptom (13%), followed by irritability (11%), nighttime behaviors (9%), anxiety (8%), agitation (5%), eating behaviors (5%), and apathy (5%). All other domains had prevalences of 2% or less. Of the 4,096 possible unique patterns of the 12 dichotomous NPI-Q domain ratings, 218 were represented in our analytic sample.

We fit LCA models to the 12 dichotomous domains with 1–5 classes. Using bootstrapped likelihood ratio tests [46], a two class model fit significantly better than a one class model (–2LL difference: 2384.44, df=13, p<0.001), a three class model fit significantly better than a two class model (–2LL difference: 171.206, df=13, p<0.001), a four class model fit significantly better than a three class model (–2LL difference: 98.40, df=13, p<0.001), but a five class model did not fit significantly better than a four class model (–2LL difference: 20.34, p=0.09). The four class model also had the lowest BIC. As such, a four class model was chosen. We fit an analogous model including individuals without follow-up and the class structure did not differ.

The 264 standardized bivariate residuals showed no associations between domains with the possible exception of delusions and hallucinations. Given our low rate of hallucinations, this did not justify altering the model. Standardized residuals comparing observed and expected counts of individuals for each pattern of domains showed excellent fit.

Figure 1 shows estimated conditional probabilities for each NPI-Q domain, by class. Class 1 would be expected to include 10% of the sample, and had high probabilities of agitation (0.36) and irritability (0.49). Class 2 would include only 2% of the sample, and had high probabilities of almost all of the domains. Class 3 would include 11% of the sample, and had a high probability of depression. The majority (78%) of the sample would be in class 4, which had very low probabilities on all domains. Results from an LCA with 6,850 subjects (including those <60 and without follow-up) were quite similar. We refer to classes 1 and 3

as “irritable” and “depressed”, as those were the domains with the highest conditional probabilities.

A list of modal class assignment by every possible pattern of NPI-Q dichotomous responses would be intractable, so in order to demonstrate the makeup of the classes, a calculator for modal class assignment as a function of pattern of NPI domains can be found at www.hopkinsmedicine.org/psychiatry/bayview/research/Leoutsakos.html. The majority of individuals assigned to class 4 had no symptoms. Individuals with just one symptom were also assigned to class 4 unless that symptom were apathy (class 3) or agitation, elation, or disinhibition (class 1). Among individuals with 2 to 4 symptoms, those whose patterns included agitation or irritability were almost always assigned to class 1; those assigned to class 3 almost always had either depression or apathy. Those with 5 or more symptoms were typically assigned to class 2, particularly if they had symptoms characteristic of both classes 1 and 3 (e.g., both irritability and apathy). Individuals with psychosis were typically assigned to class 2.

All three non-reference classes were statistically significantly associated with greater hazard of conversion to MCI or dementia (table 2), with class 2 (complex) showing the greatest hazard. An analogous model that only considered dementia conversions showed similar patterns of associations, as did one which adjusted, for all of the tests in the full cognitive battery. We tested the proportional hazards assumption by regressing scaled Schoenfeld residuals on time, [60] and found no evidence of violation. Examination of Cox-Snell residuals [61] showed good fit. Rates of administrative censoring as a function of all censoring across classes were 40%, 37%, 31% and 31% for classes 1 through 4, respectively. Mean follow-up was 3.57, 3.60, 3.66, and 3.77 years, for classes 1 through 4, respectively.

In assessing predictive utility, we found that modal class membership had a bias-corrected C-index for prediction of progression to MCI or dementia for up to 6 years of 0.653 (0.637, 0.670), while total NPI-Q score had a C-index of 0.646 (0.638, 0.660).

Table 3 depicts conversions to MCI and clinical dementia type by modal class. Class 2 had the highest rate of MCI conversion (35%), and over half were amnesic, multi-domain MCI. The other classes had fewer MCI conversions and higher proportions of amnesic, single-domain MCI. The majority of dementia conversions were to probable or possible AD for each of the latent classes. There was only 1 conversion to FTD.

4. Discussion

Given the relationships between NPS, cognitive decline, and dementia, we hypothesized that one or more latent classes of cognitively normal NACC volunteers would be associated with conversion to MCI or dementia. We estimated 4 classes; a large asymptomatic class, an “irritable” class, a “depressed” class, and a small “complex” class with high probabilities of several NPS. There was a clear gradient of conversion risk as a function of class membership, with the complex class having the greatest risk, followed by the depressed and irritable classes.

Our findings are consistent with the bulk of the literature relating major depression or depressive symptoms to risk of cognitive decline. To our knowledge, this is the first demonstration of variable risk as a function of different latent classes estimated from patterns of NPS among cognitively normal individuals. Though formal depression diagnoses were not made, only a minority in each class (Irritable: 7%, Complex 9%: Depressed: 17%, Asymptomatic: 2%) would have screened positive on the GDS (e.g., score>5). We note that the NPI-Q depression domain focuses on depressed mood, feelings of worthlessness and suicidality and does not include vegetative symptoms, social withdrawal or psychomotor retardation that are aspects of the DSM-V diagnosis of major depressive disorder. We suggest that latent class analysis produced more nuanced groupings (for example, depression alone was not enough to get into class 3, another symptom was needed), and allowed identification of individuals at increased risk of conversion even among those with very mild NPS. Individually, every NPI-Q domain except elation was associated with statistically significantly greater conversion risk. Delusions and hallucinations, though rare, had the highest hazard ratios of 4.5 and 3.4, respectively. Looking solely at counts of symptoms, each additional positive NPI-Q domain increased risk. Beyond this however, our LCA allowed for the identification of *patterns* with different conversion risks. For example, individuals with depression, agitation, nighttime behaviors, and apathy would be placed in class 2, with the highest risk, whereas individuals with depression, agitation, nighttime behaviors, and motor symptoms would be placed in class 3, with lower risk. Although the c-index for classification using latent class membership was only very slightly better than for total NPI-Q score, we would argue that identification of patterns or interactions between NPS related to risk is important, because it allows for the identification of differences in the underlying pathology, whereas individual symptoms or counts of symptoms may not.

In the previous cohort study relating MBI to dementia incidence, the majority of non-cognitively impaired subjects ultimately developed clinically diagnosed frontotemporal dementia.[62] By contrast, the majority of dementia conversions in this sample were to clinical AD. This is likely a reflection of the sample: healthy ADC controls may be more likely to develop AD (due to family history) than either the general population or a specialty clinic. A specialty clinic might also be more likely to see patients with more severe NPS, and less common types of dementia. Delusions, disinhibition and anxiety were much more frequent in the previous cohort study than in the NACC sample.

Strengths of our analyses include the large sample, which allowed for precise estimation and the ability to include rare NPI domains and to identify relatively rare subtypes. An additional strength is the use of latent class analysis to model conversion risk based on NPS patterns. Weaknesses include potential selection biases; the sample were highly educated volunteers. As such, rates of NPS, latent class prevalences, and rates of conversion may be biased. We note that prevalences of each NPI-Q domain are quite similar to those found among cognitively normal participants in the Mayo Clinic Study of Aging, a population-based cohort study (N=1,587)[63]. Further, hazard rates of conversion by domain were also similar, with the possible exception of hallucinations and delusions, which were extremely rare in the Mayo Study and in NACC. In our analyses, Caucasian race was not associated with less risk in the survival model; this is inconsistent with reports showing minorities to be

at greater risk for dementia [64–66]. In looking at the relationship between class membership and race, when we assigned individuals to their modal class, we saw that class 2, had a higher percentage of non-whites (75%) than the other classes (87%, 86%, and 81%, respectively, for classes 1,3, and 4). As class 2 was associated with the greatest risk of conversion, it may be that the reason we did not see an effect of race on conversion risk after controlling for class membership was because the effect of race was at least partially mediated by class membership. Though diagnostic criteria were uniform across ADCs, there may be some variation in diagnostic practices[38]. In a study at a single ADRC, the authors found inter-rater reliability of the consensus diagnoses of 0.78[67], which is comparable other longitudinal aging studies[68]. We are not aware of site-to-site interrater reliability studies, but such differences are likely to bias estimates toward, rather than away from the null hypothesis of no association between NPS classes and conversion risk. We would argue that these methodological concerns are offset by the large and geographically widespread sample available from NACC. We are not aware of any other dataset in which it would have been possible to perform our analysis including all 12 NPI-Q domains.

We used dichotomous NPI-Q domain ratings made by each participant’s knowledgeable informant; they are subject to recall bias as well as cultural biases, and only refer to the month prior (as per the instrument instructions) [62]. Though ratings are made by an informant and not through direct observation by a clinician, NPI-Q ratings have been shown to have good correspondence to NPI ratings [35], and factor structure of the domains has been shown to be consistent between NACC and ADNI [69]. Dichotomization may have resulted in loss of information, but given the rarity of severe NPS in healthy controls, including the actual ratings (0–3) would likely not have added enough information to offset the tripling of parameters this would have entailed. We note that individuals with intermittent or treated NPS might not be identified, and antidepressant use was a clear predictor of conversion. To our knowledge, the NPI-Q has not been validated in cognitively normal subjects, and may not be sensitive to all neuropsychiatric changes in this population. The questionnaire is completed by a proxy; this is necessary when the participant has dementia, but in the case of a cognitively normal individual, a proxy may not be as good an informant as the participant themselves. Some of the domain questions are very clearly intended for use in a dementia population. For example, the anxiety question specifically refers to anxiety related to separation from a caregiver, though it does go on to ask if they have any other signs of nervousness. While the NPI-Q is likely not the ideal instrument to measure subtle NPS among cognitively normal individuals, we are not aware of another dataset with a better instrument which would cover the full range of NPS. Again, these issues would likely bias our results toward, rather than away from the null.

Although we limited our analyses to individuals classified as healthy controls with no cognitive impairment, and adjusted our survival models for MMSE, it is possible that some volunteers were already experiencing cognitive decline at baseline. We repeated our cox proportional hazards models with additional adjustment for logical memory, digit span forward and backward, category fluency, trail making, digit symbol substitution, and Boston naming, and found no substantial alteration in inference. 1,164 of the volunteers (26%) reported some subjective memory decline at baseline. We repeated our survival model with these individuals excluded and again found no substantial alteration in inference.

We fit the LCA using volunteers' baseline NPI-Q, thus including the most person-years at risk. This did not account for possible shifts between latent classes over time. We also fit an LCA for individuals' second visits, found a similar class structure, and 78% remained in the same class. Individuals in class 2 at both visits had the highest rate (62%) rate of conversion, but shifts from other classes into class 2 were also ominous: rates of conversion were 58% (class 1), 57% (class 3), and 42% (class 4). As some did change modal class membership over time, we do not suggest that the latent classes represent static groups of individuals. Rather, we suggest that the classes represent groups at a given time point who differ in their patterns of NPS, and who may be closer or further away from developing dementia in a way that relates to their pattern of NPS at that time point.

As NPS are not uncommon among healthy controls, and predict conversion, NPS may play an important role in prevention and treatment. They may afford an earlier view of emerging dementia pathology, and since they indicate increased dementia risk, they could be used to enrich future prevention trials. The medications used to treat the major psychiatric disorders (e.g., depression, psychosis) are not very effective at treating these symptoms in AD [70] and have not been fully investigated in MCI. Safe and effective treatments for NPS are needed and would have a substantial impact on the burden of AD to the patient and caregivers, as well as societal costs.

The neurobiological mechanisms underlying NPS and subsequent cognitive decline, particularly in the pre-clinical phase of dementia, are poorly understood. It is unclear whether NPS are part of a causal pathway for dementia, or represent a prodrome. The available data suggest that more complex neurobiological models are needed. For example, a longitudinal clinical-pathologic cohort study observed that "distress" (psychological distress, neuroticism and depressive symptoms) was associated with cognitive decline even when controlling for AD and cerebrovascular pathology [71]. Thus, a neurobiological model to explain the role of NPS in cognitive decline must include other mechanisms. Molecular imaging studies are ongoing to test such a neurobiological model in mild cognitive impairment and normal aging, based on observations of degeneration of monoamine systems that precede beta amyloid deposition in transgenic mouse models of amyloid pathology [72] and will be applied to evaluate the classes identified in the present study. In fact, as NPS precede many neurodegenerative diseases, the approach described in the present study may have implications for similar investigations in other neurodegenerative diseases, including Parkinson's and Huntington's disease.

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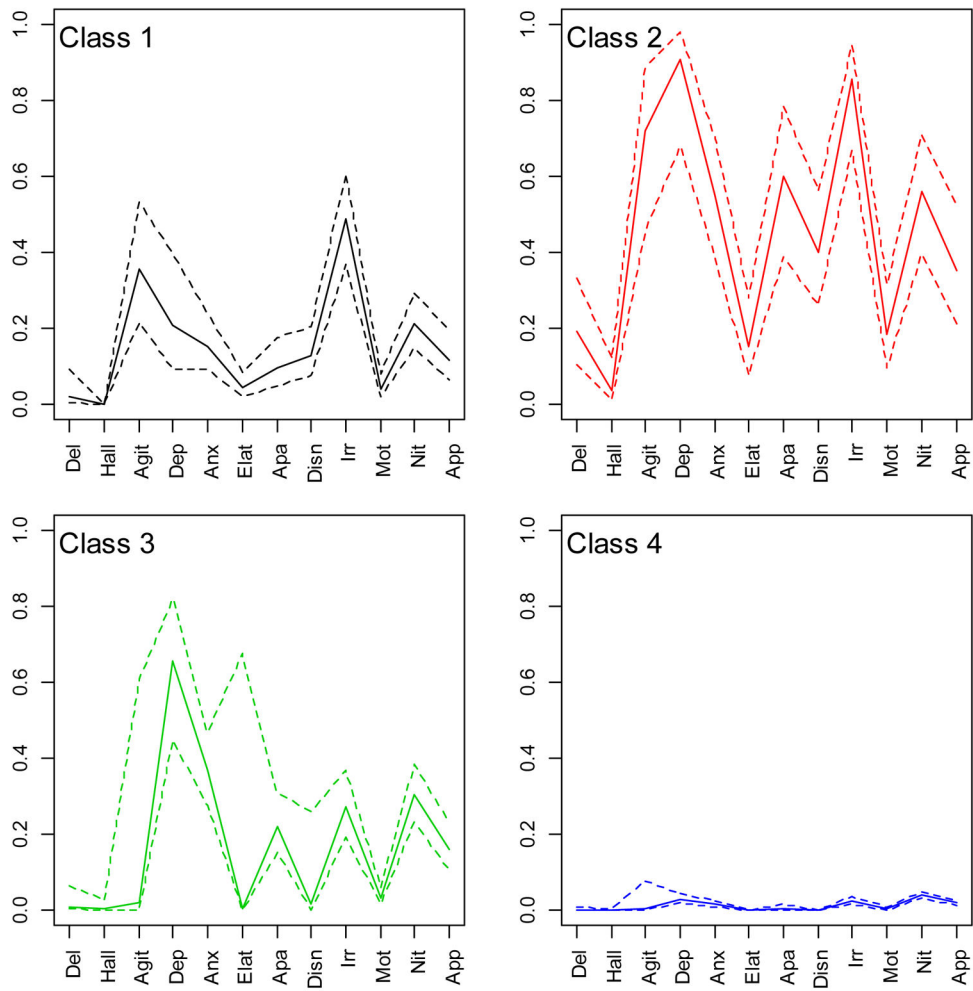


Figure 1. LCA Profile Plot

These plots show conditional probability estimates (y-axis) for each NPI-Q domain (x-axis) for each class. Solid lines are point estimates, dotted lines denote 95% confidence intervals.

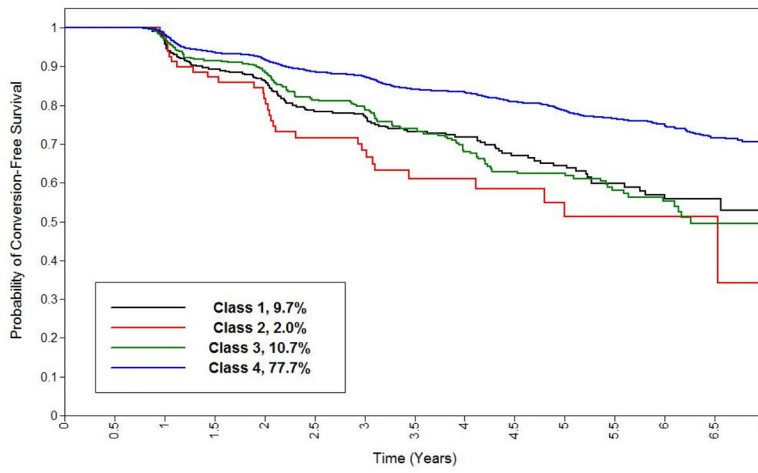


Figure 2. Kaplan-Meier Plot for Conversion to Cognitive Impairment or Dementia By Latent Class

This Kaplan-Meier plot shows survival (y axis) as a function of time (x-axis) for each latent class.

Table 1

Volunteer Characteristics at Baseline

	Non-Converters (N=3,644)	Converters (N=873)	<i>t</i> or χ^2 Statistic ^a
Age (yrs)	73.79 (7.78) ^b	77.97 (7.95)	-14.01, df=1301.28, <i>p</i> <0.001
Female	2,429 (67%) ^c	525 (60%)	13.23, df=1, <i>p</i> <0.001
Education (yrs)	15.61 (3.03)	15.26 (3.26)	2.92, df=1249.18, <i>p</i> =0.004
Race			
White	2950 (81%)	742 (85%)	8.52, df=2 <i>p</i> =0.014
African American	592 (16%)	116 (13%)	
Other	102 (3%)	15 (2%)	
MMSE	28.93 (1.41)	28.46 (1.66)	7.48, df=1150.15, <i>p</i> <0.001
NPI-Q	0.67 (1.53)	1.26 (2.61)	-6.43, df=1018.60, <i>p</i> <0.001
GDS	1.13 (1.79)	1.68 (2.27)	-6.68, df=1134.30, <i>p</i> <0.001
Depression (last two years)	567 (16%)	186 (21%)	16.79, df=1, <i>p</i> <0.001
Depression (past)	576 (16%)	103 (12%)	8.88, df=1, <i>p</i> =0.003
Current Use of Antidepressant	481 (13%)	144 (16%)	6.41, df=1, <i>p</i> =0.011
Number of Visits	4.16 (1.87)	4.67 (1.73)	-7.68, df=1402.73, <i>p</i> <0.001
Follow-up (yrs)	3.63 (2.00)	4.21 (1.81)	-8.33, df=1421.02, <i>p</i> <0.001

Abbreviations: MMSE – Mini-Mental Status Examination; NPI-Q - Neuropsychiatric Inventory-Q; GDS – Geriatric Depression Scale (15-item)

^a χ^2 test statistics are presented for categorical variables, *t* test statistics for continuous variables.

^b Continuous variables are described with mean (SD)

^c Categorical variables are described with number (%).

Table 2

Cox Proportional Hazards Model for MCI or Dementia

	Hazard Ratio (95% Confidence Interval)	p-value
Class 1	1.69 (1.28–2.22)	<0.001
Class 2	3.09 (2.16–4.4)	<0.001
Class 3	1.81 (1.41–2.31)	<0.001
Class 4	Reference Class	
Age (yrs)	1.06 (1.05–1.07)	<0.001
Female	0.83 (0.73–0.95)	0.007
Education (yrs)	0.99 (0.99–1)	0.048
White	1.01 (0.84–1.22)	0.889
MMSE	0.99 (0.99–0.99)	<0.001
Antidepressant use	1.47 (1.23–1.75)	<0.001

Abbreviations: MMSE – Mini Mental Status Exam

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Table 3

Conversion Type by Modal Class Assignment

	Class 1	Class 2	Class 3	Class 4
	Irritable (N=289) ¹	Complex (N=75)	Depressed (N=394)	Asymptomatic (N=3759)
Any Conversion	82 (28%) ²	30 (40%)	107 (27%)	654 (17%)
Any MCI	62 (21%)	26 (35%)	97 (25%)	569 (15%)
Amnestic Single	30 (48%) ³	8 (31%)	33 (34%)	251 (44%)
Amnestic Multi	16 (26%)	14 (54%)	36 (37%)	185 (33%)
Non-Amnestic Single	14 (23%)	3 (12%)	19 (20%)	102 (18%)
Non-Amnestic Multi	2 (3%)	1 (4%)	9 (9%)	31 (5%)
Any Dementia⁴	29 (10%)	9 (12%)	29 (7%)	171 (5%)
AD	20 (69%) ⁵	7 (78%)	21 (72%)	129 (75%)
VaD	2 (7%)	1 (11%)	2 (7%)	7 (4%)
Mixed AD/VaD	1 (3%)	0 (0%)	3 (10%)	15 (9%)
FTD	0 (0%)	0 (0%)	0 (0%)	1 (1%)
DLB	0 (0%)	1 (11%)	1 (3%)	2 (1%)
Other/Unknown	6 (21%)	0 (0%)	2 (7%)	17 (10%)

¹ All counts based on modal (most likely) class assignment, not true class assignment, which is unknown.

² For the any conversion, any MCI, and any dementia rows, the denominator for calculating percentages is the total count of individuals in that class.

³ For MCI subtypes, the denominator for calculating percentages is the total count of individuals in that class with MCI.

⁴ Individuals may be counted in this table twice if they converted first to MCI, and then to dementia.

⁵ For dementia subtypes, the denominator for calculating percentages is the total count of individuals in that class with dementia.