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Dectin-1 Activation by a Natural Product β -Glucan Converts Immunosuppressive Macrophages into an M1-like Phenotype

Min Liu^{*,#,1}, Fengling Luo^{*,#,1}, Chuanlin Ding^{*,1}, Sabrin Albeituni[†], Xiaoling Hu^{*}, Yunfeng Ma^{*}, Yihua Cai^{*}, Lacey McNally^{*}, Mary Ann Sanders[‡], Dharamvir Jain^{*}, Goetz Kloecker^{*}, Michael Bousamra II[§], Huang-ge Zhang[†], Richard M. Higashi^{¶,||}, Andrew N. Lane^{*,¶,||}, Teresa W-M. Fan^{¶,||}, and Jun Yan^{*,†}

^{*}Division of Hematology/Oncology, Department of Medicine, James Graham Brown Cancer Center, University of Louisville, Louisville, KY, U.S.A.

[†]Department of Microbiology and Immunology, University of Louisville School of Medicine, Louisville, KY, U.S.A.

[‡]Department of Pathology, University of Louisville School of Medicine, Louisville, KY, U.S.A.

[§]Department of Cardiovascular Thoracic Surgery, University of Louisville, Louisville, KY, U.S.A.

[¶]Department of Chemistry and Center for Regulatory and Environmental Analytical Metabolomics, University of Louisville, Louisville, KY, U.S.A.

[#]Department of Immunology, Wuhan University School of Medicine, Wuhan, China

Abstract

Tumor-associated macrophages (TAM) with an M2-like phenotype have been linked to tumor-elicited inflammation, immunosuppression, and resistance to chemotherapies in cancer, thus representing an attractive target for an effective cancer immunotherapy. Here, we demonstrate that particulate yeast-derived β -glucan, a natural polysaccharide compound, converts polarized M2 macrophages or immunosuppressive TAM into an M1-like phenotype with potent immunostimulating activity. This process is associated with macrophage metabolic reprogramming with enhanced glycolysis, krebs cycle and glutamine utilization. In addition, particulate β -glucan converts immunosuppressive TAM via the C-type lectin receptor dectin-1-induced Syk-Card9-Erk pathway. Further *in vivo* studies show that oral particulate β -glucan treatment significantly delays tumor growth, which is associated with *in vivo* TAM phenotype conversion and enhanced effector T cell activation. Mice injected with particulate β -glucan-treated TAM mixed with tumor cells have significantly reduced tumor burden with less blood vascular vessels compared to those with TAM plus tumor cell injection. In addition, macrophage depletion significantly reduced the therapeutic efficacy of particulate β -glucan in tumor-bearing mice. These findings have established a new paradigm for macrophage polarization and immunosuppressive TAM conversion and shed the light on the action mode of β -glucan treatment in cancer.

To whom correspondence should be addressed: Jun Yan, M.D., Ph.D, Tumor Immunobiology Program, James Graham Brown Cancer Center, Clinical & Translational Research Building, Rm 319, University of Louisville, 505 South Hancock Street, Louisville, KY 40202, Tel: 502 852-3628, Fax: 502 852-2123, jun.yan@louisville.edu.

^{||}Present Address: Department of Toxicology and Markey Cancer Center, University of Kentucky, Lexington, KY, U.S.A.

¹These authors contributed equally to this work

Introduction

Macrophages are the major tumor-infiltrating leukocytes and play a critical role in cancer-related inflammation (1, 2). Depending on the different activation signals, macrophages may undergo polarized activation (3). Classically activated macrophages (M1) are characterized by elevated expression of MHC class II, expression of IL-12 and TNF- α , generation of reactive oxygen species (ROS) and nitric oxide (NO), and have tumoricidal activity. In contrast, macrophages alternatively activated (M2) have potent tumor-promoting activity. Macrophage polarization is also linked to differential metabolic programming (4). In the tumor microenvironment, most macrophages have an M2-like phenotype (5); they express low levels of MHC class II, IL-12 and TNF- α while expressing high levels of vascular endothelial growth factor (VEGF), arginase-1, and cyclo-oxygenase (COX-2)-derived prostaglandin E2 (PGE2) as well as the anti-inflammatory cytokine IL-10. Tumor immune evasion has been linked to a switch from M1 activation in the early stages of tumor initiation towards an M2-like phenotype during tumor progression, a process that highlights the heterogeneity and plasticity of macrophage activation and which offers a possible therapeutic target directed against repolarizing the TAM phenotype in the tumor (6, 7). Although using the M1/M2 model to describe macrophage polarization is a question of debate (7), clinical studies have demonstrated that high macrophage density correlates with poor patient prognosis (8–10). In human non-small cell lung carcinoma (NSCLC) patients, there is a strong association between poor survival and increased macrophage infiltration within the tumor microenvironment (11, 12). TAM also limit the efficacy of chemotherapeutic agents (13–15). These findings collectively suggest that targeting macrophages within the tumors may provide effective immunotherapy for cancer.

Natural product β -glucans have been investigated for their anti-tumor and anti-infective activity (16). Most β -glucans, which are derived from yeast, fungi, bacteria, or barley have a backbone structure of linear β -1, 3-linked D-glucose subunits (β -1,3-D-glucan). As a pattern recognition molecule, fungal β -glucans have been shown to trigger phagocytosis, generation of superoxide by the NADPH oxidase, and inflammatory cytokine production on macrophages (17–20). In addition, fungal β -glucan binds to its receptor dectin-1 to form a phagocytic synapse thus initiating direct cellular anti-microbial responses (21). On the contrary, dectin-1 activation by fungal ligand zymozan induces regulatory macrophage phenotype (22). However, it is unknown whether β -glucan has any effect on polarized macrophages in tissues such as TAM. In addition, it is unclear whether β -glucan stimulation alters macrophage metabolism.

In this study, we demonstrated that yeast-derived particulate β -glucan treatment converts polarized M2 bone marrow-derived macrophages (BMM) and immunosuppressive TAM to an M1-like phenotype leading to reduced tumor progression. This effect is associated with macrophage metabolic reprogramming and mediated through the dectin-1-dependent canonical Syk-Card9-Erk pathway. Further in vivo studies showed that tumor-bearing mice orally administered with particulate β -glucan had significantly reduced tumor burden with converted TAM phenotype and enhanced effector T cell activation. These findings reveal an

unprecedented effect of natural compound β -glucan on immunosuppressive macrophage conversion and tumor microenvironment modulation.

Materials and Methods

Mice and *in vivo* tumor models

Wildtype (WT) C57Bl/6 mice were purchased from the National Cancer Institute (NCI). Dectin-1-knockout (KO), CD11b KO, and Card9 KO mice were described previously (23, 24). OT-I and OT-II mice were purchased from Taconic. For WGP β -glucan treatment protocol, mice were implanted s.c. with LLC cells (2×10^5 /mouse) or EO771 cells (6×10^5 /mouse). On day 8 after palpable tumors formed, mice were treated with WGP β -glucan orally (800 μ g/mouse) or 100 μ L of PBS given every day using an intragastric gavage needle. WGP β -glucan was from Biothera (Eagan, MN). In some experiments, LLC cells were mixed with TAM (2.5:1) treated with or without WGP β -glucan and then injected into mice. For macrophage depletion protocol, mice were injected intravenously with 100 μ L Clodronate (5 mg/ml, Clodrosome) one day prior to LLC subcutaneous inoculation. Mice were then injected with Clodronate weekly during the experiment. Tumor diameters were measured every third day and mice were euthanized when tumors reached 15 mm in diameter. Tumor volume was calculated by the following formula: length \times wide²/2. For an *in vivo* imaging analysis, TAM were labeled with XenoLight DiR dye (PerkinElmer) while LLC were labeled with Vivo Track 680 (PerkinElmer). Mice were imaged with Spectral Ami (Spectral Instrument Imaging, LLC). The murine tumor protocols were performed in compliance with all relevant laws and institutional guidelines and were approved by the Institutional Animal Care and Use Committee (IACUC) of the University of Louisville.

Immunohistochemistry staining and Immunofluorescence staining

Formalin fixed, paraffin embedded (FFPE) human breast cancer tissue blocks corresponding to the reviewed cases were pulled from the University of Louisville's Department of Pathology archives. Five micron sections from the FFPE tissue blocks were placed on glass slides. The slides were immunostained for anti-human CD68 (KP1; eBioscience), anti-CD163 (1:400; 10D6; Novacastra, Buffalo Grove, IL) or anti-HLA-DR (1:50; LN-3; Novacastra, Buffalo Grove, IL) via an automated system (Bond Max, Leica) using both the DAB-Bond Polymer Refine Detection System and the Red-Bond Polymer Red Detection System (Leica, Buffalo Grove, IL) according to manufacturer's instructions. Appropriate positive and negative controls were used throughout the study.

Cryosections from fresh human lung cancer tissues or mouse tumor tissues were fixed with ice-cold acetone for 20 min. The slides were blocked with 5% BSA in PBS for 1 h and were subjected to incubation at 4°C overnight with the following primary Ab mixtures: biotin-anti-human CD68 (1:100), biotin-anti-CD163, Alexa 647-anti-HLA-DR (1:100) or biotin-anti-CD31 (1:100). Slides were washed and then incubated with streptavidin-Alexa fluor 488 conjugate (1:200) or streptavidin Alexa fluor 594 conjugate (1:200) for 90 min. The slides were co-stained with 4',6-diamidino-2-phenylindole (DAPI) and mounted with fluoro-gel (Electron microscopy science). Confocal images were acquired by Leica TCS SP5 confocal microscope system and quantitated by ImageJ software.

Macrophage polarization and TAM purification

BM cells were isolated from the femurs and tibias and resuspended in DMEM supplemented with 10% FBS, 100 U/ml penicillin and 100 µg/ml streptomycin. Cells were washed, counted, and then added (4×10^6) into 60 mm² petri dishes. GM-CSF (50 ng/ml, BD) or M-CSF (100 ng/ml, Peprotech) was added to polarize the M1 or the M2 macrophages, respectively. The medium was changed on day 4. On day 7, adherent cells were used for the subsequent experiments. For some experiments, BM cells were cultured in complete DMEM containing 10 ng/ml M-CSF. The medium was changed on day 3 and cells were cultured for additional 3 days to generate M0 macrophages. On day 6, medium was changed with fresh DMEM containing 20 ng/ml IL-4 and IL-13. The cells were cultured for 2 days. These cells were designated as IL-4/IL-13-polarized M2 BMM.

For TAM purification, tumors (12–15 mm) were minced and then digested with buffer containing collagenase IV, hyaluronidase and DNase-I at 37°C for 30 min. Single cell suspensions were separated using 60% and 30% Percoll and the middle layer of cells was collected, washed, and resuspended in MACS running buffer. Cells were first blocked with Fc-blocking mAb for 15 min on ice and then stained with biotin-anti-mouse F4/80 Ab. The cells were washed and incubated with streptavidin microbeads on ice for 15 min. TAM were purified by AutoMACS separator (Miltenyi Biotec). These cells were CD11b⁺F4/80^{hi} and the purity was 90% assessed by flow cytometry. In some experiments, these cells were further sorted based on Ly6C and MHC class II expression. Ly6C⁻MHCclassII⁻TAM were further sorted by FACS Aria III.

In vitro TAM-T cell co-culture assay

TAM purified from LLC-bearing mice were treated with or without the Erk inhibitor PD98059 (30 µM) for 2 h and then washed and stimulated with WGP for 24 h. TAM were collected and co-cultured with CFSE-labeled splenocytes from OT-I or OT-II mice in the presence of OVA for 3 days. Cells were restimulated with PMA+ionomycin for 6 h and then stained with CD4 or CD8 mAbs, fixed and permeabilized for intracellular cytokine staining.

RNA microarray analysis and quantitative real-time PCR (qRT-PCR)

RNAs were extracted from polarized M2 BMM stimulated with or without WGP β-glucan for 6 h with a QIAGEN RNeasy kit (QIAGEN). Agilent oligonucleotide arrays were performed and analyzed at the James Graham Brown Cancer Center Microarray core facility, University of Louisville. Complete array data were deposited in a public database (<http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?acc=GSE71814>). For qRT-PCR analysis, RNA samples were transcribed into cDNA with a Reverse Transcription Kit (Bio-Rad). qRT-PCR was then performed on MyiQ single color RT-PCR detection system with SYBR Green Supermix (Bio-Rad). Primer sequences for each gene were as follows. *IL-10*, forward: 5'-AGTGGAGCAGGTGAAGAGTG-3', reverse: 5'-TTCGGAGAGAGGTACAAACG-3'; *Arginase*, forward: 5'-CAGAAGAATGGAAGAGTCGA-3', reverse: 5'-CAGATATGCAGGGAGTCACC-3'; *IL-1β*, forward: 5'-CCCAACTGGTACATCAGCAC-3', reverse: 5'-TCTGCTCATTACGAAAAGG-3', *IL-6*, forward: 5'-TCCCTCCAGGAGCCAGCTA-3', reverse: 5'-CAGGGCTGAGATGCCGTCGAG-3';

TNF- α , forward: 5'-ACCCACGGCTCCACCCTCTC-3', reverse: 5'-CCCTCTGGGGGCCGATCACT-3'; *iNOS*, forward: 5'-AATAGAGGAACATCTGGCCAGG-3', reverse: 5'-ATGGCCGACCTGATGTTGC-3'; *IL-12p35*, forward: 5'-CAGAATCACAACCATCAGCAG-3', reverse: 5'-CACCTGTTGATGGTCACGAC-3'. Gene expression was measured by the change-in-threshold ($\Delta\Delta Ct$), where $\Delta Ct = Ct_{\text{target gene}} - Ct_{\text{House keeping gene}}$ and $\Delta\Delta Ct = Ct_{\text{induced}} - Ct_{\text{reference}}$. We normalized gene expression levels to house keeping genes as indicated.

Western blot (WB) analysis

For immunoblot analysis, BMM and TAM stimulated with or without WGP β -glucan were lysed in Triton X-100 lysis buffer containing protease and phosphatase inhibitors. In some experiments, the Syk inhibitor piceatannol (30 $\mu\text{g/ml}$, Sigma) was added. The whole cell extracts were separated by SDS-PAGE and electro-transferred to PDVF membrane. After blocking, the membranes were probed overnight at 4°C with appropriate primary Abs and then secondary Ab. The primary Abs included p-Erk1/2 (Thr202/Tyr204, Cell Signaling), Erk1/2 (MK1, Santa Cruz), p-Stat3 (Tyr705, Cell Signaling), p-AKT (Ser473, Cell Signaling), p-p38 (Thr180/Tyr182, Cell Signaling), and pZap/Syk (Try352, Cell Signaling). The blots were developed using ECL Plus Western Blotting Detection Reagents (GE Healthcare).

Tracer treatment and SIRM Analyses

M2 BMM treated with or without WGP β -glucan (100 $\mu\text{g/ml}$) and M1 BMM were maintained for 25 h in DMEM supplemented with either 2 mM $^{13}\text{C}_5, ^{15}\text{N}_2\text{-Gln}$ or 10 mM $^{13}\text{C}_6\text{-Glc}$. Aliquots of the medium were taken at 0 and 25 h. The cells were rinsed in cold PBS, quenched in cold acetonitrile, extracted for metabolites and prepared for GC-MS, FT-ICR-MS, and NMR analysis described previously (25). NMR spectra were recorded at 14.1 T under standard acquisition conditions using 1D proton and 1D $^1\text{H}\{^{13}\text{C}\}$ -HSQC for isotopomer analysis. GC-MS was performed on a Thermo Finnigan Polaris™ instrument. Peaks were assigned and quantified as previously described (25).

Flow cytometry

Single cell suspensions were blocked in the presence of anti-CD16/CD32 for cells from mice at 4°C for 15 min and stained on ice with the appropriate antibodies and isotype controls in PBS containing 1% FBS. Fluorochrome-labeled CD11b, CD45, F4/80, CD4, CD8, IFN- γ , and Foxp3 mAbs were purchased from Biolegend or eBiosciences. The samples were acquired using FACSCalibur or Canto II cytometer (BD Bioscience, San Jose, CA) and analyzed using FlowJo software (Tree Star, Ashland, OR).

Statistical analysis

Data are expressed as means \pm s.e.m. The unpaired Student t test was used to determine the significance of differences between M1 versus M2 or M2+WGP versus M2 datasets. To correct for multiple testing, the false discovery rate (FDR) q values were calculated according to the formula:

$$q_i = p_i * N / \text{rank}(i)$$

where p_i is the uncorrected p value for the i^{th} metabolite, N is the number of metabolites tested and $\text{rank}(i)$ is the ordinal rank order of the p values. Significance was assumed to be reached at $p < 0.05$. Statistical analysis was performed using Prism 5.0 (GraphPad Software).

Results

Macrophages with the M2 phenotype are the major constituents of leukocytes within the tumor microenvironment

Previous studies have shown that macrophage infiltration in human breast cancer and NSCLC is associated with tumor invasion and progression (9, 12). As shown in Supplemental Fig. 1A, there were abundant macrophages infiltrated within the human breast cancer tissue (CD68⁺, brown). In humans, HLA-DR and CD163 are used to co-stain with CD68 to differentiate between M1 (CD68/HLA-DR double positive) and M2 macrophages (CD68/CD163 double positive) (26, 27). In human breast cancer tissues, macrophages co-stained with CD68 and CD163 (brown and red, respectively) but not with HLA-DR (Supplemental Fig. 1A). Similarly, we observed accumulation of macrophages with the M2 phenotype in the human NSCLC tumors (Supplemental Fig. 1B). Additionally, three murine tumor models were established including Lewis lung carcinoma (LLC), mammary carcinoma EO771 and B16 melanoma. Among CD45⁺ leukocytes infiltrated within the tumors, CD11b⁺ myeloid cells constituted the predominant population and F4/80⁺ macrophages were the significant infiltrated leukocytes (Supplemental Fig. 1C). These macrophages showed the typical M2 phenotype with potent immune suppressive function (Supplemental Fig. 1D and data not shown).

β -Glucan converts *in vitro* polarized M2 BMM into an M1-like phenotype via dectin-1 receptor

Because the majority of TAM is of the M2 phenotype, we first examined whether β -glucan treatment would alter the M2 macrophage phenotype. To this end, BMM were polarized into the M2 phenotype (28). We used a gene microarray to determine the gene expression changes in the M2 BMM after stimulation with yeast-derived particulate β -glucan WGP (whole glucan particles). As depicted in Fig. 1A, the expression of the conventional M2 marker genes including *Cd163*, *Mrc1*, *Il-23a*, *Fcgr*, *Ccl17*, *Ccl19*, and *Maf* were significantly downregulated upon WGP treatment. Conversely, the M1 markers, such as *Il-12*, *Ifng*, *Cd40* and *Cd86* were up-regulated after stimulation. β -Glucan treatment also decreased the mRNA levels of arginase I and IL-10 whereas it increased mRNA levels of iNOS, IL-12, TNF- α , IL-1 β and IL-6 (Fig. 1B). We also used IL-4/IL-13 protocol to polarize M2 BMM. Similarly, β -glucan treatment promoted M1 signature gene expression including iNOS, IL-12p35, TNF- α , IL-1 β and IL-6 while it down-regulated IL-10 and arginase mRNA expression levels (data not shown). These effects were mediated through the dectin-1 pathway and were independent of CD11b. Next, we examined whether β -glucan treatment alters M2 suppressive function. To this end, splenocytes from OT-I and OT-II mice were CFSE labeled and then stimulated with OVA in the presence of M2 BMM treated with or without particulate β -glucan. As shown in Fig. 1C, M2 indeed showed potent

immunosuppressive activity on both CD4 and CD8 T cell proliferation. Particulate β -glucan treatment significantly abolished M2-mediated immunosuppression, suggesting that M2 macrophages are converted both phenotypically and functionally upon β -glucan *in vitro* treatment. In contrast, WGP treatment had minimal effect on M1 BMM (Supplemental Fig. 1E).

Previous studies have shown that the dectin-1 pathway signals via Syk kinase to recruit and activate CARD9/Bcl10 and subsequently the p65/p50 pathway and Malt1 (29). WGP stimulation induced phosphorylation of Syk, Akt, and Erk1/2 dependent of dectin-1 receptor but did not induce phosphorylation of STAT3 or p38 (Fig. 1D). To investigate whether Erk is downstream of the canonical dectin-1-Syk/Card9 pathway, the Syk inhibitor and Card9 KO BMM were used. The phosphorylation of Syk and Erk1/2 stimulated by WGP β -glucan was abolished by the Syk inhibitor, suggesting Erk phosphorylation is downstream of Syk activation (Fig. 1E). In addition, β -glucan-induced Erk phosphorylation was abolished in Card9 KO mice (Fig. 1F). These data suggest that particulate β -glucan is capable of converting M2 BMM into an M1-like phenotype via the dectin-1/Syk/Card9/Erk signaling pathway.

M1 versus M2 BMM display distinct metabolism and β -glucan-treated M2 BMM exhibit M1-like metabolic activity

Increasing evidence indicates that macrophage activation and function are controlled by cellular metabolism (30). As the M1 and M2 macrophages are functionally distinct and may involve altered expression of genes that regulate metabolism, we thus employed the Stable Isotope Resolved Metabolomics (SIRM) approach by using uniformly ^{13}C -labeled glucose ($^{13}\text{C}_6\text{-Glc}$) and ^{13}C -/ ^{15}N -labeled glutamine ($^{13}\text{C}_5, ^{15}\text{N}_2\text{-Gln}$) as tracers, followed by nuclear magnetic resonance (NMR) and mass spectrometry (MS) analysis of isotopic labeling patterns of various metabolites to enable the reconstruction of altered metabolic networks induced by M2/M1 polarization and β -glucan activation (31, 32). M1 and M2 BMM exhibited distinct Glc and Gln metabolism via glycolysis, the Krebs cycle, the pentose phosphate pathway (PPP), and the nucleotide/glutathione/lipid biosynthetic pathways (Fig. 2). Compared to the M2 BMM, M1 exhibited elevated Glc metabolism, based on the ^1H NMR analysis of increased consumption of medium $^{13}\text{C}_6\text{-Glc}$ and increased release of triply ^{13}C -labeled lactate ($^{13}\text{C}_3\text{-Lac}$) into the medium; the latter indicates elevated glycolytic activity (Fig. 3A, bottom panel). Gln consumption was also accelerated in M1 macrophages. Subsequent $^{13}\text{C}_6\text{-Glc}$ oxidation by the Krebs cycle and incorporation into nucleotides and glutathiones was enhanced in M1 macrophages, as revealed by the heteronuclear single quantum coherence (HSQC) NMR analysis (Fig. 2 and Fig. 3B, top panel). Moreover, gas chromatograph (GC)-MS and fourier transform ion cyclotron (FT-ICR)-MS analysis of the same sets of cell extracts both corroborated and complemented the NMR analysis in revealing enhanced PPP/nucleotide biosynthesis activity (Fig. 3B, middle two panels) and increased lipid biosynthesis (Fig. 3B, bottom panel) as well as enhanced oxidation of $^{13}\text{C}_5, ^{15}\text{N}_2\text{-Gln}$ via glutaminase and the Krebs cycle (Fig. 3C, top panel and cf. Supplemental Fig. 2 for pathway tracing). These metabolic activation events are consistent with a higher capacity of M1 macrophages for expansion, as evidenced by their elevated protein levels (Fig. 3A, top panel) and essential amino acid content (Fig. 3C, bottom panel).

Although WGP β -glucan treatment did not stimulate M2 macrophage proliferation, it activated many of the metabolic events as occurring in M1 macrophages (Fig. 3A–C). In addition, the accumulation of arginine in M1 and WGP β -glucan-treated M2 BMM (Fig. 3C, lower panel) is consistent with the suppression of arginase in these macrophages (Fig. 1B). Thus, it is likely that these events are important to M1 activation or converting the immunosuppressive property of M2 macrophages by β -glucan.

β -Glucan treatment repolarizes immunosuppressive TAM phenotypically and functionally

We next analyzed whether β -glucan treatment is capable of modulating TAM to reverse their immunosuppressive activity. F4/80^{hi} TAM obtained from LLC-bearing mice (WT and *dectin-1*^{-/-}) were stimulated with WGP β -glucan. Similar to polarized M2 BMM, β -glucan treatment significantly downregulated the mRNA levels of arginase and IL-10 while it upregulated the mRNA levels of iNOS, IL-12, TNF- α , IL-1 β and IL-6 in a *dectin-1* receptor dependent manner (Fig. 4A). Since TAM are heterogeneous populations, we further sorted F4/80⁺MHC classII⁻Ly6C⁻CD11b⁺PDL-1^{lo} TAM which previously were shown to be associated with enhanced M2 marker gene expression (33). WGP treatment significantly decreased the mRNA levels of IL-10 and arginase while it upregulated iNOS, IL-12, TNF- α , IL-1 β and IL-6 mRNA expression levels (Supplemental Fig. 3). In addition, WGP stimulated Erk1/2 phosphorylation via the *dectin-1* receptor (Fig. 4B). To further study the Erk signaling pathway in β -glucan-mediated TAM repolarization, TAM were treated with or without Erk inhibitor in the presence of WGP β -glucan treatment. The mRNA levels of TNF- α , IL-6, IL-10, and arginase were significantly affected by the Erk inhibitor treatment (Fig. 4C). However, the Erk inhibitor did not show any effect on IL-12 mRNA expression levels.

To determine the functional activity of β -glucan-treated TAM, TAM treated with or without WGP β -glucan were co-cultured with CFSE-labeled splenocytes from OVA CD4 or CD8 TCR Tg mice. As depicted in Fig. 4D, TAM significantly inhibited IFN- γ production by CD4 and CD8 T cells. β -Glucan *in vitro* treatment completely abrogated TAM-induced CD4 and CD8 T cell suppression and even induced augmented CD4 and CD8 T cell proliferation and IFN- γ production as compared to the Ag alone-stimulated CD4 and CD8 T cell responses (Fig. 4D). The Erk inhibitor completely abrogated β -glucan-induced CD4 T cell response while partly inhibited CD8 T cell activation. Taken together, these data suggest that particulate β -glucan WGP not only converts TAM phenotypically but also alters TAM functionally to induce potent Ag-specific T cell responses partly via the *dectin-1*-Erk pathway.

WGP β -glucan *in vivo* treatment reduces tumor burden and polarizes the TAM phenotype

To determine the *in vivo* effect of β -glucan treatment on TAM polarized activation, tumor-bearing mice were daily administered orally either with PBS or WGP. Mice treated with WGP had a significantly decreased tumor burden compared with the mice treated with PBS (Fig. 5A, 5C). We next analyzed the phenotype of freshly isolated TAM. In the LLC tumor model, the mRNA level of arginase in TAM was significantly decreased in β -glucan-treated mice while the mRNA levels of IL-12, TNF- α , and IL-6 were significantly increased (Fig. 5B). The mRNA level of IL-10 showed decreasing trend in TAM of WGP-treated mice.

Similarly, the mRNA levels of IL-12, TNF- α , and IL-6 in TAM significantly increased in EO771 tumor model upon β -glucan treatment (Fig. 5D) although the mRNA levels of arginase and IL-10 were not significantly altered. We further examined T cell responses in these mice and found that the frequency of CD4⁺Foxp3⁺Treg cells both in tumor and spleen tended to decrease in WGP treated mice whereas IFN- γ -producing CD4 T cells were significantly increased (Supplemental Fig. 4A). WGP treatment also significantly promoted CD8⁺ IFN- γ -producing T cells in the tumor milieu (Supplemental Fig. 4B). These results suggest that the anti-tumor efficacy of β -glucan could be mediated via its effect on the TAM.

To further support this notion, we mixed LLC tumor cells with TAM treated with or without β -glucan and then injected into mice. Mice injected with LLC plus TAM had significant tumor progression as compared to tumors in mice injected with LLC and β -glucan-treated TAM (Fig. 5E). This was also shown by an *in vivo* imaging analysis (Fig. 5F). We also observed that tumors from LLC plus TAM had more vascular structure as revealed by CD31 staining (Fig. 5G). Since previous studies have shown that particulate β -glucan treatment also activates DCs and reverses myeloid-derived suppressor cells (MDSC) (23, 34), we thus examined the relative contribution of TAM conversion in the setting of β -glucan treatment by depleting macrophages. Mice were injected with Clodronate to deplete macrophages prior tumor inoculation. As shown in Fig. 6, tumor-bearing mice received WGP treatment showed significant reduced tumor progression regardless of macrophage depletion. However, mice with macrophage depletion showed significant increased tumor burden upon WGP treatment compared to those without macrophage depletion (Fig. 6). Overall, these results are consistent with the view that converting TAM phenotype and function is, at least in part, responsible for the antitumor activity of β -glucan.

Discussion

Macrophages can be polarized into extreme M1 or M2 phenotype depending on the environmental cues. Although macrophage phenotype within the tumor microenvironment is more complicated, it is clear that TAM phenotypically more resemble M2 macrophages with potent immunosuppressive activity, thus representing attractive targets for cancer immunotherapeutics (35, 36). However, efforts to steer TAM functions are still in their infancy (37). Currently, strategies to target TAM include depletion or blocking of recruitment (38–40) and decreasing M2-like TAM via re-education (41–43). These approaches employ chemotherapeutic drugs, Abs, or small molecule inhibitors, which may cause unwanted adverse effect. In addition, some of these approaches may impact all macrophage subsets including M1-like macrophages. Here, we showed that a natural product yeast-derived β -glucan treatment converts the immunosuppressive M2 and TAM toward the M1 anti-tumor phenotype. Particulate β -glucan can be administered orally with proven safety profile and low cost.

We found that *in vitro* treatment of M2 BMM with β -glucan reverses M2 phenotype characterized as increased expression of IL-12, iNOS, TNF- α , IL-6, and IL-1 β and downregulation of IL-10 and arginase in mice. This is regardless of different M2 polarization protocols. In addition, this conversion is accompanied by metabolic reprogramming to the M1 metabotype, particularly in terms of enhanced glycolysis, Krebs

cycle, and glutamine utilization, which can fuel both energy and anabolic demands for activating macrophages. Although a previous study showed that macrophages with different activation signals exhibited different metabolic profiles using a [1,2-¹³C₂]glucose tracer-based metabolomics approach (44), our studies demonstrated that glutamine uptake and subsequent metabolism to glucose and glutathione were enhanced when M2 BMM were converted into an M1-like phenotype using the SIRM technology. Stable isotope tracing at the atomic level enables the determination of the flow of atoms and groups of atoms from a precursor such as ¹³C glucose or ¹³C,¹⁵N glutamine through metabolic pathways, thereby discriminating which branches of a pathway differ between different cells such as M1 versus M2 macrophages. We found that glycolysis and associated anabolic activities are enhanced in M1 and β-glucan activated M2 BMM. As tumor cells are the major nutrient consumer within the tumor microenvironment (45), this limits nutrients for other cells such as macrophages. This may be one of the mechanisms by which tumors polarize TAM into M2-like phenotype. Indeed, a recent study showed that lactic acid secreted by tumors via anaerobic glycolysis induces M2-like TAM (46).

Similarly, we demonstrated that immunosuppressive TAM from tumor-bearing mice are also phenotypically and functionally altered upon β-glucan treatment. We showed that TAM-mediated inhibition of CD4 and CD8 T cell responses is completely reversed upon β-glucan treatment *in vitro*. Further *in vivo* studies demonstrate that β-glucan treatment significantly decreases tumor burden and alters TAM phenotype, which correlates with more IFN-γ production by T cells and less Treg infiltration. It is worth noting that TAM contain heterogeneous populations and the relative percentage of different TAM subsets changes as tumor progresses (33). Clearly, we showed that β-glucan treatment significantly alters M2-like TAM phenotypically and functionally. However, it needs further investigation whether different subsets of TAM could be differentially affected by β-glucan treatment.

C-type lectin receptor dectin-1 has been identified as the main receptor for particulate β-glucan binding and signaling (29). We showed that WGP β-glucan-mediated M2 and TAM conversion is dependent on the dectin-1 receptor. Upon β-glucan stimulation, dectin-1 can directly recruit and activate Syk kinase (47, 48). Subsequently Syk triggers Card9 recruitment to form Card9/Bcl10/Malt-1 complex that activates the IκB kinase complex for NF-κB signaling (29). We found that the Erk inhibition partly abolishes β-glucan-mediated TAM phenotype conversion. Interestingly, glucose assumption and lactate production in macrophages are also associated with the Erk1/2 signaling (49). Although the Erk inhibitor completely abrogates β-glucan-treated TAM-mediated CD4 T cell proliferation and IFN-γ production, CD8 T cell activation is only partially affected by the Erk inhibitor, suggesting differential TAM cytokine profiles regulated by the dectin-1-Erk signaling. Previous studies have demonstrated that the dectin-1 signaling activates p38, Erk and JNK cascade and NFAT (50, 51). Although activation of p38 and JNK via the receptor Nod2 has been associated with Card9 (52), it is unknown whether Erk activation is dependent on Card9. We showed that Erk phosphorylation induced by β-glucan is dependent on Card9 as Erk activation by β-glucan is completely abolished in Card9-deficient mice. These data suggest that the canonical dectin-1-Syk-Card9-Erk pathway is partially involved in β-glucan-

mediated M2 BMM and TAM conversion. It still needs to be explored whether other pathway(s) is involved in this effect.

Previous studies have shown that β -glucan treatment activates DCs (23) or reduces myeloid-derived suppressor cells (34) in tumor-bearing mice, thus eliciting enhanced anti-tumor immune responses. Since TAM are the major constituents within the tumor milieu, findings that β -glucan treatment converts TAM immunosuppressive function are significant. Indeed we found that macrophage depletion in tumor-bearing mice significantly reduced β -glucan-mediated anti-tumor therapeutic efficacy. There are several important clinical implications of this study. First, our data have established a new paradigm for macrophage polarization and immunosuppressive TAM conversion by a natural compound β -glucan. As TAM limit chemotherapeutic drug efficacy, oral β -glucan administration can be used as immunoadjuvant therapy for cancer patients to modulate TAM phenotype and then be combined with chemotherapeutic agents. This may turn chemo-resistant tumors into chemo-sensitive status. Second, since TAM are an important player in establishing tumor immunosuppressive network, targeting TAM by β -glucan will provide additional benefit to improve the efficacy of other cancer immunotherapy such as adoptive T cell therapy or cancer vaccines. Collectively, this study provides a novel way to engage immunosuppressive TAM for maximizing cancer therapeutic efficacy.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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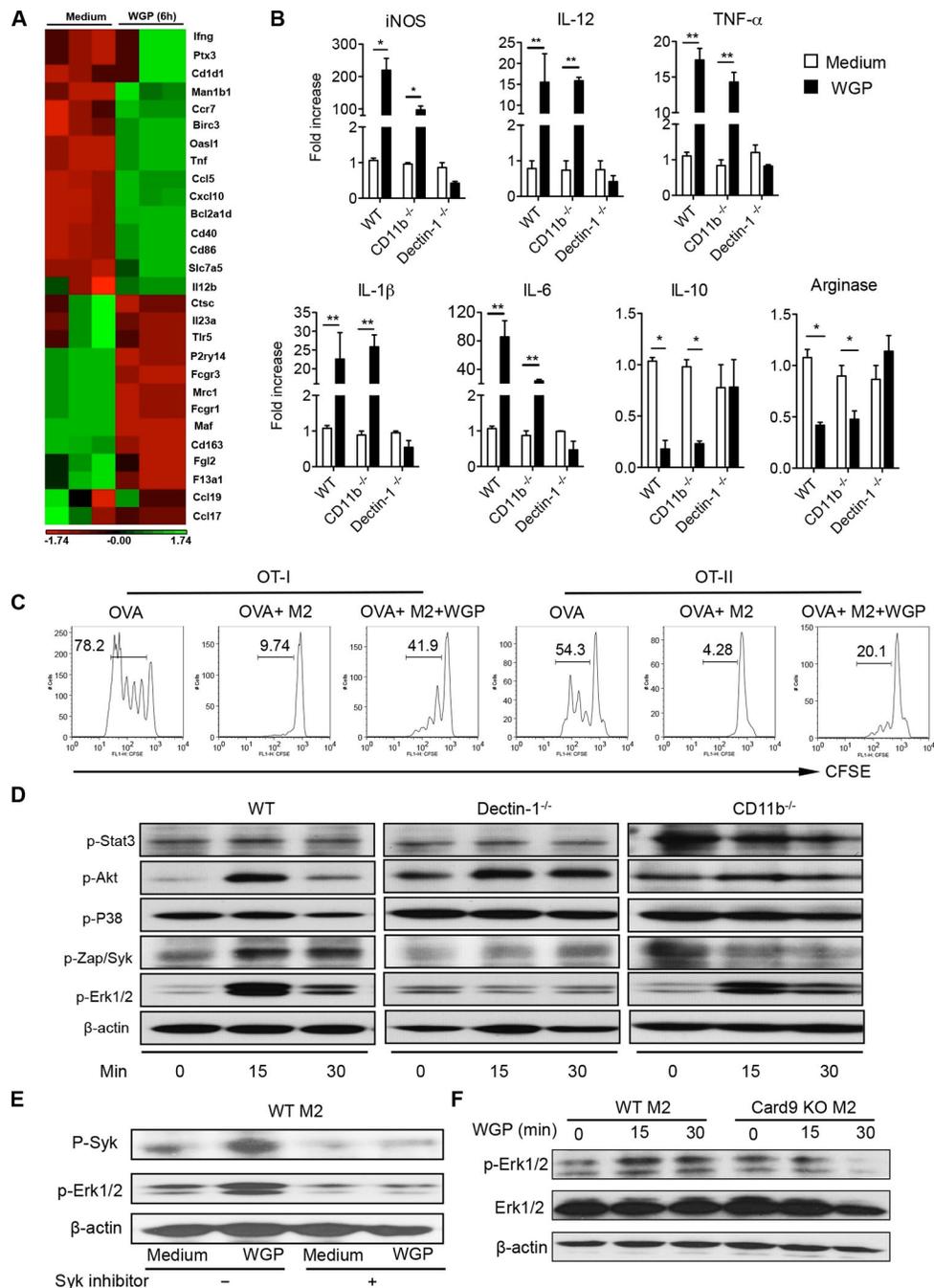


Figure 1. β-Glucan treatment converts M2 macrophage characteristic gene expression and its immunosuppressive function and activates Syk/Erk in a dectin-1-dependent manner
 (A) Polarized M2 BMM from WT mice (n=3) were treated with WGP β-glucan for 6 h. The total RNAs were extracted for the microarray analysis. (B) mRNA expression levels of specific genes as indicated in polarized M2 BMM from WT, CD11b^{-/-} or dectin-1^{-/-} mice upon stimulation with WGP β-glucan by quantitative real-time (qRT) PCR. (C) Splenocytes from OVA Tg OT-I and OT-II mice were labeled with CFSE and then stimulated with OVA in the presence of polarized M2 BMM (ratio 1:20) with or without WGP β-glucan treatment. Histogram shows cell proliferation. (D) Polarized M2 BMM from WT, CD11b^{-/-} or

Dectin-1^{-/-} mice were stimulated with WGP β -glucan at indicated time points. Cells were lysed and extracted proteins were probed with Abs to p-Stat3, p-Akt, p-P38, pZap/Syk, p-Erk1/2, and β -actin. (E) M2 BMM were stimulated with WGP β -glucan in the presence or absence of the Syk inhibitor. The expression of p-Syk and p-Erk1/2 was determined by WB. (F) M2 BMM from WT or Card9 KO mice were treated with or without WGP β -glucan at indicated time points. Lysates were immunoblotted with p-Erk1/2, Erk1/2, and β -actin Abs. Data are representative of three independent experiments with similar results. *P<0.05, **P<0.01.

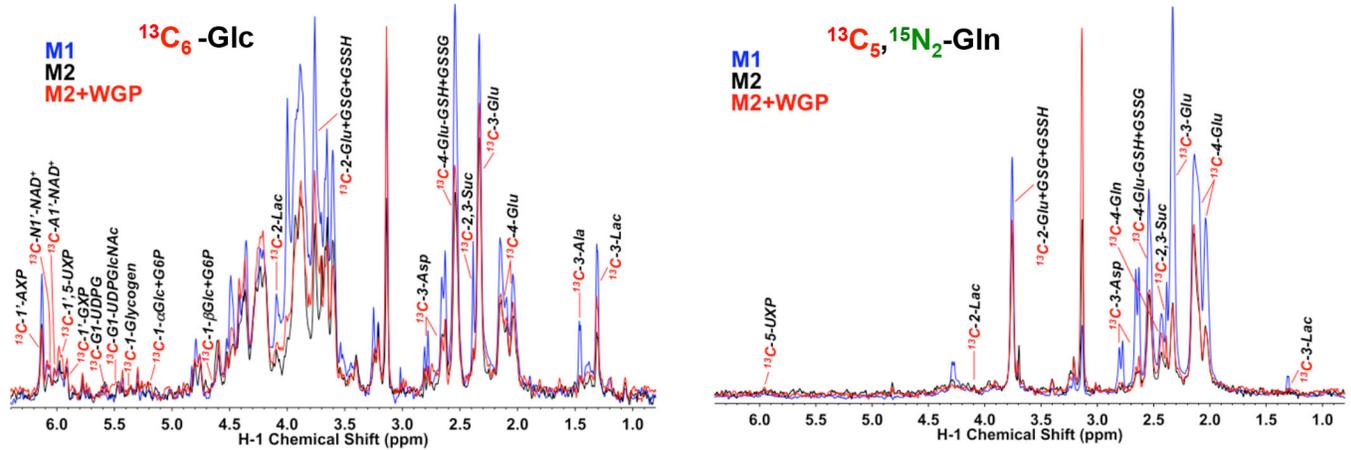


Figure 2. M1 and WGP β -glucan activated M2 BMM display elevated ^{13}C incorporation into glycolytic and Krebs cycle metabolites from labeled Glc and Gln

M1, M2, or WGP-treated M2 BMM extracts were analyzed by 1D $^1\text{H}\{^{13}\text{C}\}$ HSQC NMR as described in the Materials and Methods. The HSQC analysis compared the peak intensity of protons attached to ^{13}C atoms (akin to ^{13}C abundance) at specific positions of various metabolites. Compared to M2 BMM, M1 BMM exhibited elevated activity of glycolysis, Krebs cycle, glutathione synthesis, and nucleotide synthesis, as evidenced respectively by the increased ^{13}C abundance of cellular lactate (Lac; cf. also Figure 3 for medium lactate), Asp/Glu/succinate (Suc), adenine nucleotides (AXP), and glutathione (GSH)/glutathione disulfide (GSSG) derived from these pathways using Glc or Gln as precursor (cf. Figure S2). WGP-treated M2 macrophages also displayed elevated activity of glycolysis and Krebs cycle over untreated M2 macrophages but not in nucleotide and glutathione biosynthesis. 1'-AXP, -GXP, and -UXP: 1'-ribose of adenine, guanine, and uracil nucleotides, respectively.

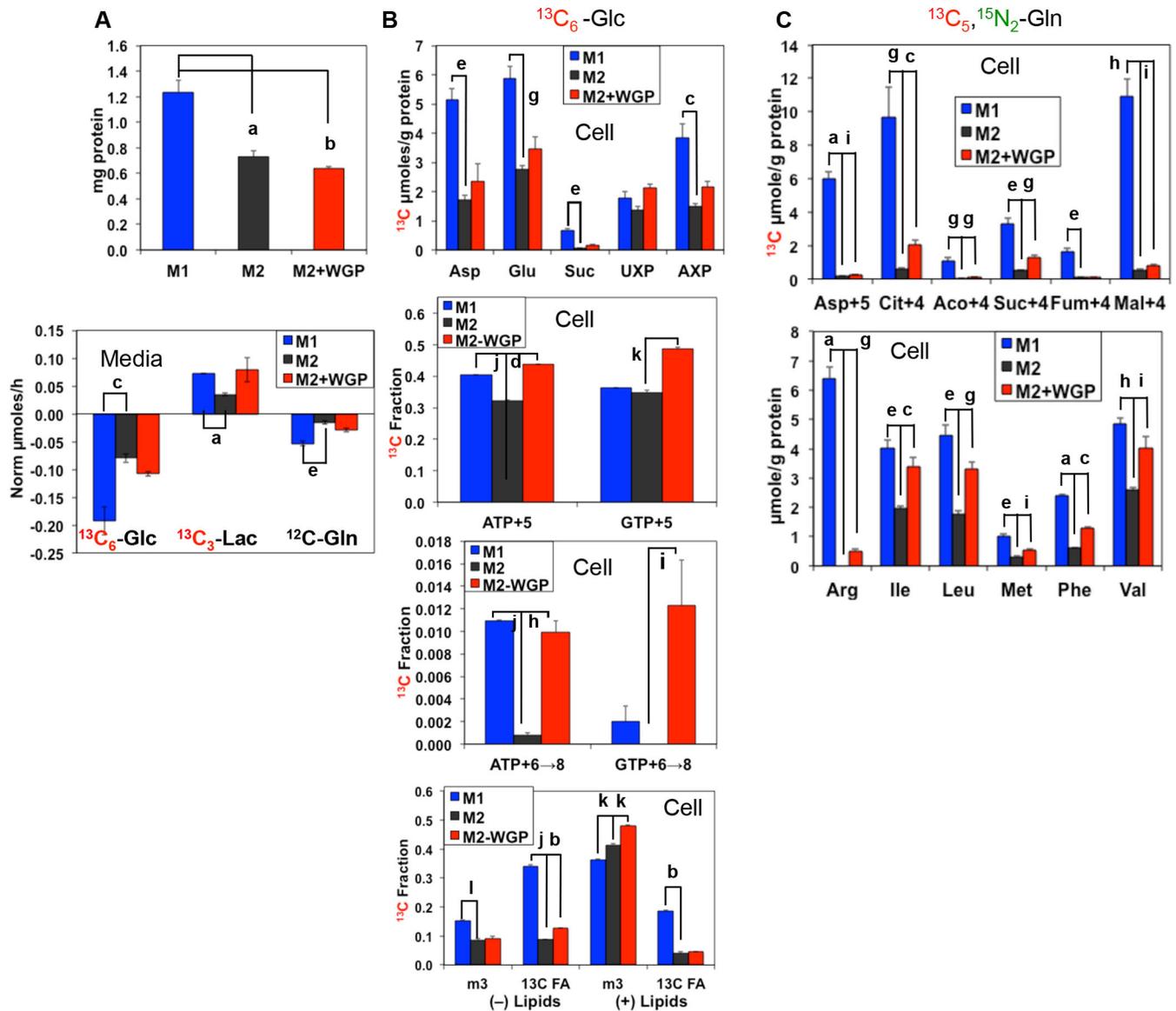


Figure 3. Glycolysis, Krebs cycle and associated anabolic activities are enhanced in M1 and β -glucan-activated M2 macrophages

M1, M2, or WGP-treated M2 BMM were co-cultured with labeled tracers, and the polar extracts of both cells and media prepared before analysis by 1D ^1H NMR (A, bottom panel), $^1\text{H}\{^{13}\text{C}\}$ HSQC NMR (B, top panel), GC-MS (C), and FT-ICR-MS (B, lower three panels), as described in the Materials and Methods. The total soluble proteins were also analyzed in these cells (A, top panel). The medium metabolite data were calculated as $\mu\text{moles/h}$ and normalized to that of Val, the HSQC and GC-MS quantification of cellular metabolites was expressed as $\mu\text{moles/g protein}$, and the FT-ICR-MS data were calculated as fraction of the total metabolite level. ATP+5 and GTP+5 represent $^{13}\text{C}_5$ -isotopologues of ATP and GTP, i.e. fully ^{13}C labeled in the ribose unit; ATP+6 \rightarrow 8 and GTP+6 \rightarrow 8 represent $^{13}\text{C}_{6\rightarrow 8}$ -isotopologues of ATP and GTP, i.e. fully ^{13}C labeled in the ribose unit plus 1–3 ^{13}C in the ring; m3 and 13C FA are lipids containing $^{13}\text{C}_3$ (fully ^{13}C labeled

glycerol backbone) and ^{13}C labeled fatty acyl chains, respectively; Asp+5, Cit+4, Aco+4, Suc+4, Fum+4, and Mal+4 are respectively the $^{13}\text{C}_4^{15}\text{N}_1$ isotopologue of Asp and the $^{13}\text{C}_4$ isotopologues of citrate, cis-aconitate, succinate, fumarate, and malate. Fractional enrichment in ^{13}C FA of lipids was obtained by summing the fractions of lipid isotopologues with even number (FA only) and >3 odd number (FA+glycerol backbone) of ^{13}C atoms; (-) charged lipids include phosphatidylserines, phosphatidylglycerols, and phosphatidylinositols while (+) lipids are composed of phosphatidylethanolamines (PE), phosphatidylcholines (PC), plasmalogens of PE and PC, sphingomyelins, and triacylglycerides. Data for M1 versus M2 or M2+WGP versus M2 were statistically compared using unpaired t-test with correction for FDR (q values). a: q 0.0005; b: q 0.0001; c: q<0.02; d: q<0.00001; e: q<0.005; f: q<0.00002; g: q 0.01; h: q<0.002; i: q 0.05; j: q<0.000005; k: q 0.001; l: q<0.0002.

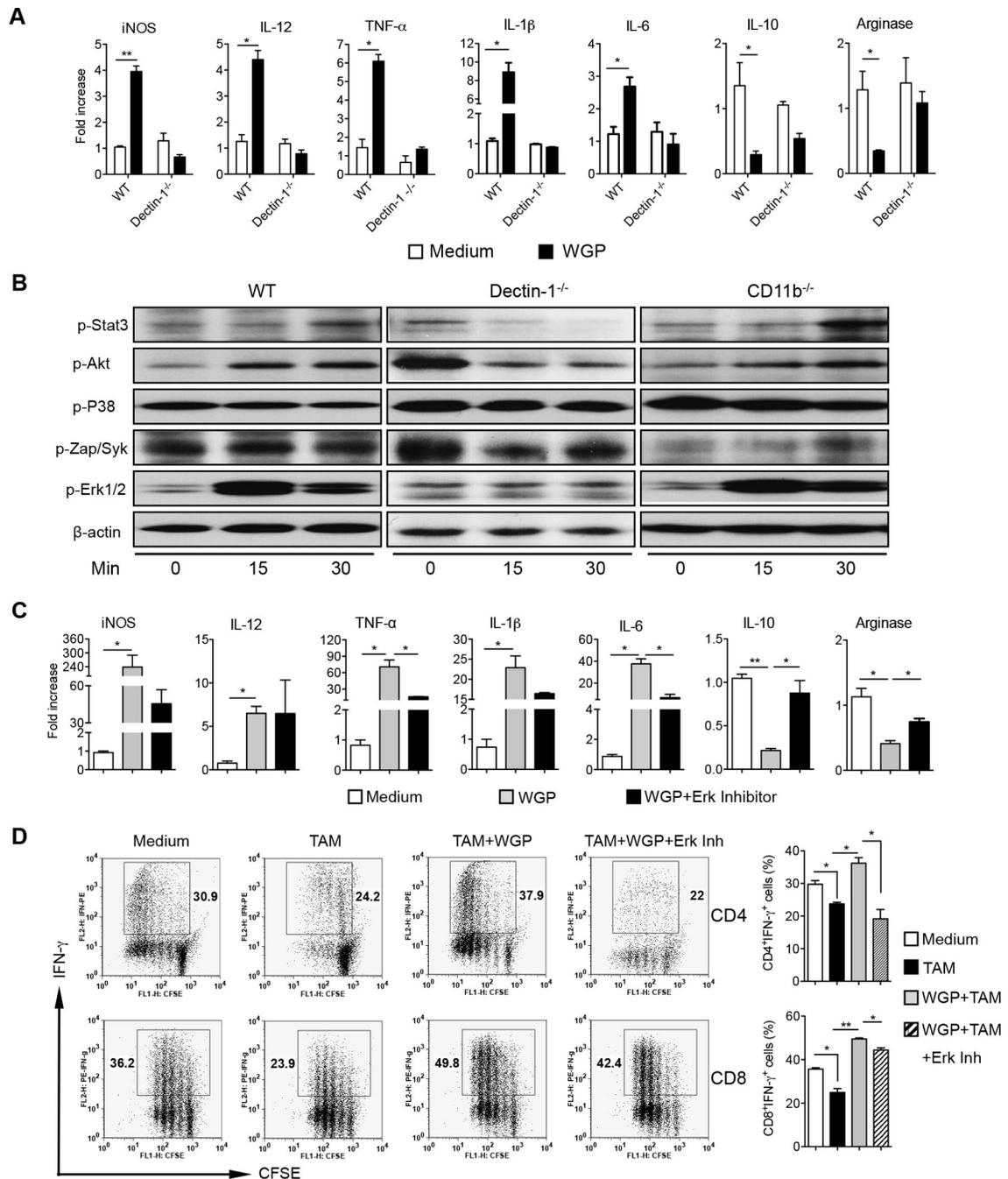


Figure 4. WGP β -glucan treatment alters TAM phenotype and immunosuppressive activity on T cell responses partly through the dectin-1-Erk pathway

(A) TAM purified from LLC tumors in WT or dectin-1 KO mice were stimulated with WGP β -glucan (150 μ g/mL) for 24 h. Total RNAs were extracted and qRT-PCR analysis were performed. (B) TAM from LLC-tumor bearing WT, CD11b^{-/-} or dectin-1^{-/-} mice were stimulated with WGP β -glucan at indicated time points. Cells were lysed and extracted proteins were probed with Abs to p-Stat3, p-Akt, p-P38, pZap/Syk, p-Erk1/2, and β -actin. Data are representative of three experiments. (C) TAM purified from LLC-bearing mice were treated with or without the Erk inhibitor PD98059 for 2 h and then stimulated with

WGP β -glucan for 24h. RNAs were extracted and qRT-PCR was performed for the indicated genes. (D) TAM isolated from LLC-bearing mice were treated with or without Erk inhibitor PD98059 for 2 h and then stimulated with WGP β -glucan for 24 h. Cells were harvested and co-cultured with CFSE-labeled splenocytes from CD4 or CD8 OVA Tg mice in the presence of OVA. Splenocytes alone with the Erk inhibitor were used as controls. Graphs show CFSE dilution versus intracellular IFN- γ on day 3 of culture. Percent of CD4⁺IFN- γ ⁺ or CD8⁺IFN- γ ⁺ cells is shown. * P<0.05, ** P<0.01.

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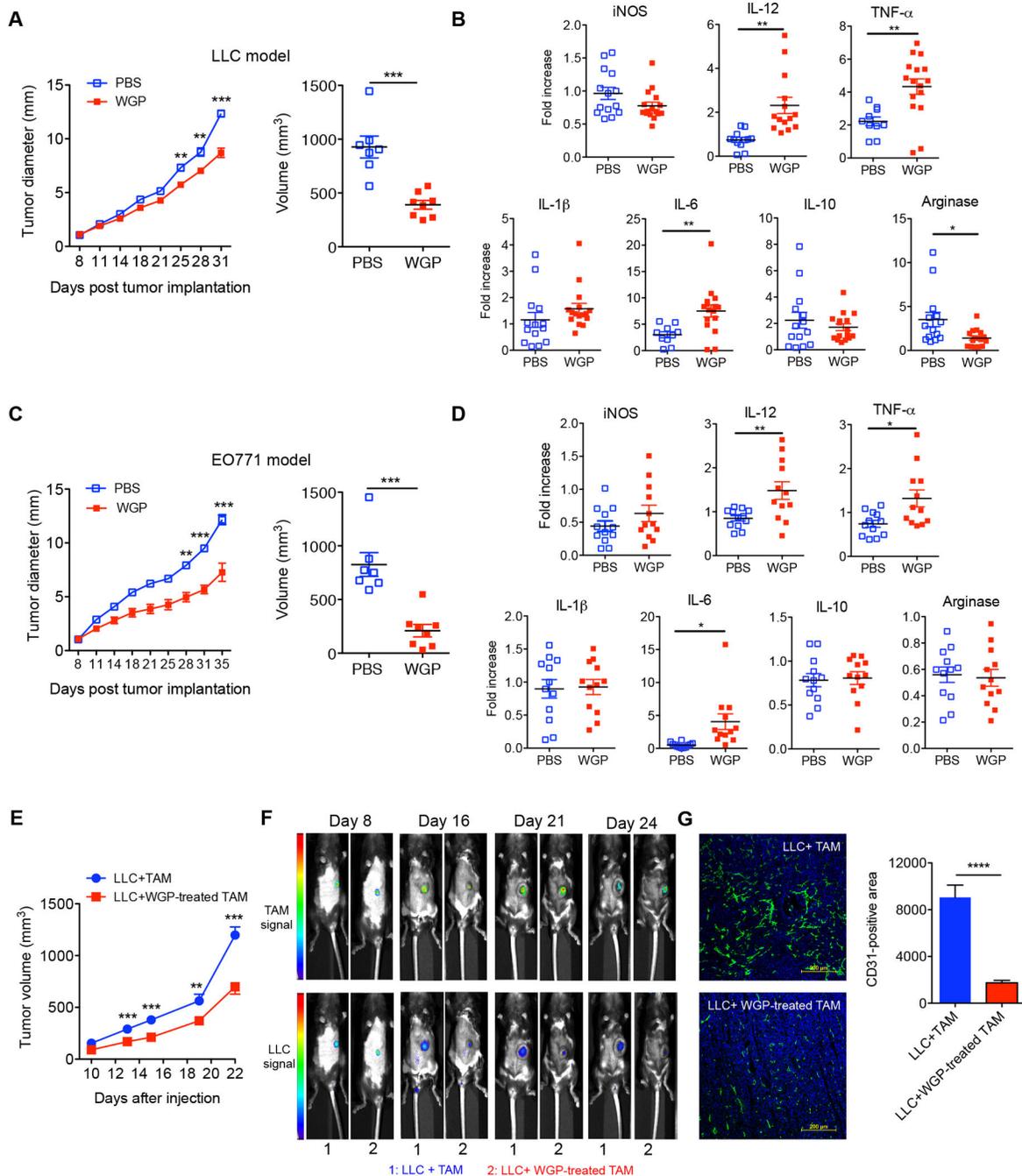


Figure 5. WGP β -glucan treatment significantly reduces tumor burden with altered TAM phenotype

(A) Groups of WT mice ($n=7,8$) were implanted s.c. with LLC tumor cells. When tumors were palpable, mice were fed with WGP β -glucan or PBS control for 3 wks. Tumor diameter was recorded at the indicated time. Tumor volumes for the last timepoint were also shown. (B) TAM purified from LLC tumor-bearing mice treated with or without WGP β -glucan from two independent protocols were assayed for specific gene mRNA expression levels determined by qRT-PCR. Each dot corresponds to one TAM sample sorted from one tumor. (C) Groups of WT mice ($n=7, 8$) were implanted s.c. with EO771/OVA tumor cells. After

palpable tumors formed, mice were treated daily with or without WGP β -glucan for 3 wks. Tumor diameter was recorded at the indicated time. Tumor volumes for the last timepoint were also shown. (D) TAM isolated from EO771-tumor bearing mice treated with or without WGP β -glucan from two independent protocols were assayed for specific gene mRNA expression levels determined by qRT-PCR. (E) TAM treated with or without WGP β -glucan were mixed with LLC tumor cells and then injected into mice (n=6, 7). Tumor progression was monitored. (F) Tumor-bearing mice were imaged for tumor or TAM signals using different wavelengths at indicated time points. (G) Tumors from LLC plus TAM treated with or without WGP β -glucan were sectioned and stained with anti-CD31 (green) and DAPI to reveal nuclei. CD31⁺ areas (n=6) were quantitated by ImageJ software. Scale bar: 200 μ m. * P<0.05, ** P<0.01, **** P<0.0001.

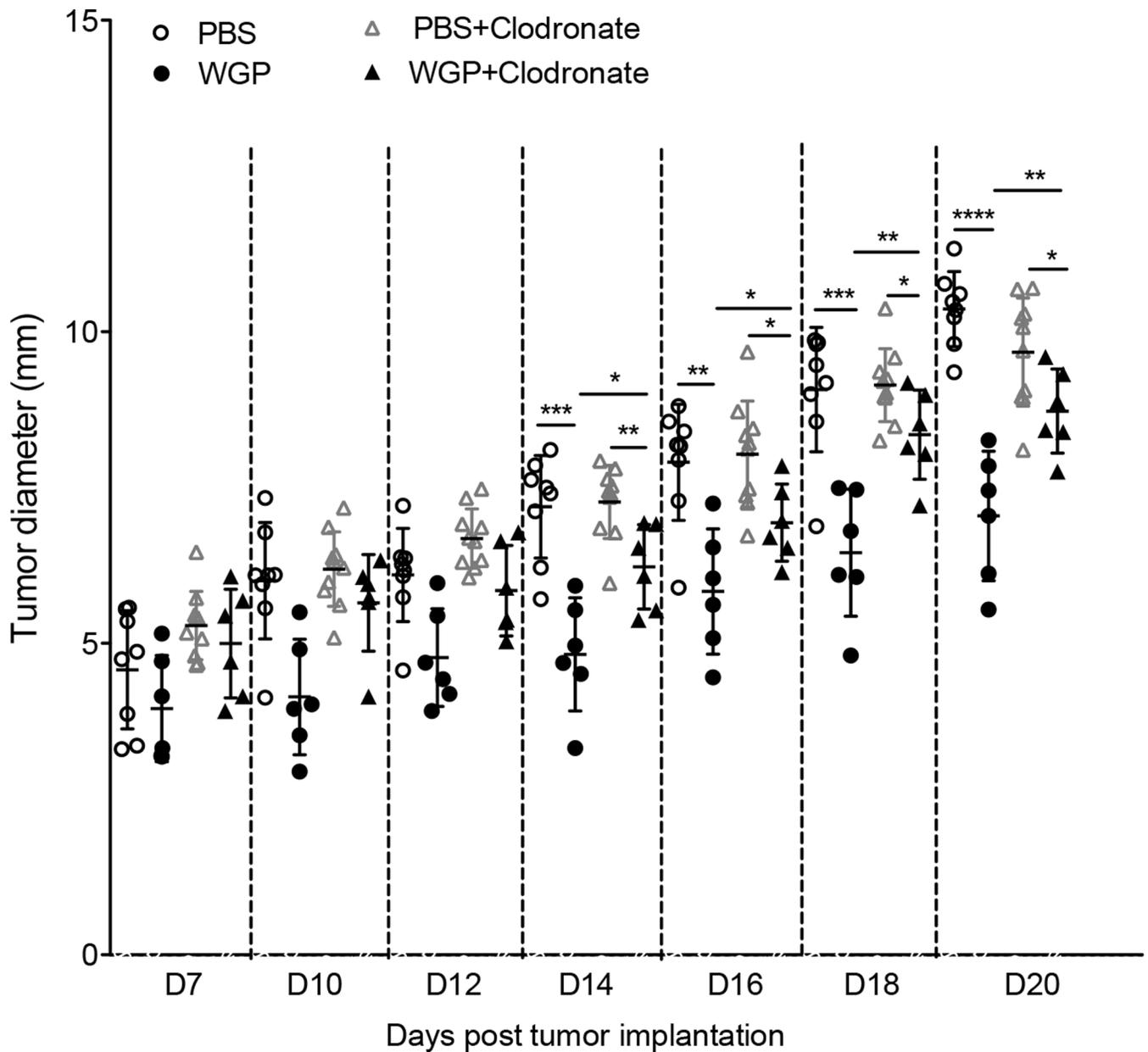


Figure 6. Depletion of macrophages significantly reduces WGP β -glucan-mediated therapeutic efficacy

Groups of C57Bl/6 mice (n=6–8) were injected intravenously with 100 μ l Clodronate (5mg/ml) one day prior to LLC subcutaneous inoculation. Mice were then injected with Clodronate weekly during the experiment. Mice without Clodronate injection were used as controls. When the tumor sizes reached 5 mm in diameter, mice were treated with WGP β -glucan or control PBS daily via oral gavage. Tumor diameters were measured every other day and mice were euthanized when tumors reached 15 mm in diameter. * P<0.05, ** P<0.01, *** P<0.001, **** P<0.0001.