

EMBO

# DYRK1A overexpression enhances STAT activity and astrogliogenesis in a Down syndrome mouse model

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## Abstract

Down syndrome (DS) arises from triplication of genes on human chromosome 21 and is associated with anomalies in brain development such as reduced production of neurons and increased generation of astrocytes. Here, we show that differentiation of cortical progenitor cells into astrocytes is promoted by DYRK1A, a Ser/Thr kinase encoded on human chromosome 21. In the Ts1Cje mouse model of DS, increased dosage of DYRK1A augments the propensity of progenitors to differentiate into astrocytes. This tendency is associated with enhanced astrogliogenesis in the developing neocortex. We also find that overexpression of DYRK1A upregulates the activity of the astrogliogenic transcription factor STAT in wildtype progenitors. Ts1Cje progenitors exhibit elevated STAT activity, and depletion of DYRK1A in these cells reverses the deregulation of STAT. In sum, our findings indicate that potentiation of the DYRK1A-STAT pathway in progenitors contributes to aberrant astrogliogenesis in DS.

**Keywords** astrogliogenesis; Down syndrome; DYRK1A; neocortical progenitor; STAT

Subject Categories Molecular Biology of Disease; Neuroscience
DOI 10.15252/embr.201540374 | Received 13 March 2015 | Revised 10 August
2015 | Accepted 17 August 2015 | Published online 15 September 2015
EMBO Reports (2015) 16: 1548–1562

## Introduction

Down syndrome (DS) occurs in approximately 1 in 700 live births [1] and is caused by trisomy for human chromosome 21. The disorder is characterized by abnormalities in neurological, skeletal, cardiovascular, and immunological systems [2–4]. Particularly, individuals with DS commonly exhibit mental retardation [5]. In DS neocortex and cerebellum, neuronal density and number are remarkably reduced [6,7], whereas abundance of astrocytes is increased [8,9]. As these alterations are detected in prenatal and perinatal stages [10–12], the deficits associated with DS are likely the result of anomalies occurring during brain development.

During development of the mammalian neocortex, neural progenitor cells located in the ventricular zone (VZ) give rise to

neurons and glia in a sequential manner. During early corticogenesis, neural progenitors expand their populations, and as development proceeds, they generate neurons. The neurogenic phase is followed by the gliogenic phase during which cortical progenitors give rise to glial progeny [13–19]. The precise control of progenitor cell proliferation and differentiation is key in yielding the correct number of neurons and glia during corticogenesis. Accumulating evidence suggests that proliferation and differentiation of neural progenitors are deregulated in the DS brain. For example, the number of proliferating cells is reduced in the VZ of the lateral ventricle in DS [20,21]. Neural progenitor cells derived from DS patients and DS-iPS cells give rise to less neurons but more astrocytes [22-24]. Similar to abnormalities seen in the DS brain, mouse models of DS that are trisomic for large segments of mouse chromosome 16 orthologous to human chromosome 21 also show brain development defects and deregulation of neural progenitor proliferation/differentiation. Ts65Dn mouse model displays abnormal forebrain and cerebellar development with reduced number of neurons but increased number of astrocytes [24,25]. Also, in the developing neocortex of Ts65Dn and Ts1Cje mouse models, reduced proliferation and neuronal differentiation of progenitors are observed [25,26]. In addition, neurosphere cultures derived from embryonic brains of DS mouse models exhibit reduced proliferation and generate less neurons but more astrocytes [27,28]. These studies suggest that proliferation and both neuronal and astroglial differentiation of DS progenitors are deregulated and that the deregulation of progenitor fate choices coordinately contributes to impaired neurogenesis and enhanced astrogliogenesis. In addition, astrocytes derived from DS-iPS cells suppress neuronal differentiation of progenitors as well as induce neuronal cell death [23]. Thus, overproduction of astrocytes likely contributes to reduced number of neurons in DS brain. Nevertheless, the molecular basis underlying the deregulation of progenitor cell fate decisions, particularly enhanced production of astrocytes in DS, remains largely unknown.

DYRK1A (dual-specificity tyrosine-phosphorylated and regulated kinase 1A) is a proline-directed serine/threonine kinase encoded on human chromosome 21 and overexpressed in the fetal and adult DS brain [29]. It plays a critical role in the development of the central nervous system [30–34]. In Ts1Cje mice, increased dosage of DYRK1A causes impaired neuronal differentiation of progenitors during the neurogenic phase (E11–E13) [35]. In this case, DYRK1A

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cooperates with DSCR1 (Down syndrome critical region 1, another human chromosome 21 gene overexpressed in DS) to suppress NFATc transcription factor and thereby neurogenesis [35]. Besides its role in neuronal differentiation, increased dosage of DYRK1A has been reported to influence progenitor proliferation through control of cell cycle-related proteins [36,37]. DYRK1A is thus closely associated with deregulation of progenitor fate determination in DS.

In this study, we find that increased dosage of DYRK1A contributes to elevated potential of Ts1Cje progenitors to differentiate into astrocytes and enhanced astrogliogenesis in the Ts1Cje neocortex. Further, we link the increased dosage of DYRK1A to dysregulation of STAT, a transcription factor critical for astrogliogenesis. Overexpression of DYRK1A in wild-type progenitors increases STAT3 phosphorylation at Ser727, a regulatory site that enhances STAT3 activity. In addition, the transcriptional activity of STAT is upregulated upon increased dosage of DYRK1A in progenitors. On the other hand, STAT3 Ser727 phosphorylation and STAT activity are elevated in Ts1Cje progenitors and reducing DYRK1A level attenuates the deregulation of STAT. In sum, our work identifies DYRK1A-STAT as a signaling pathway responsible for the differentiation of neural progenitors into astrocytes, with direct implication for the anomalies in brain development observed in DS.

## Results

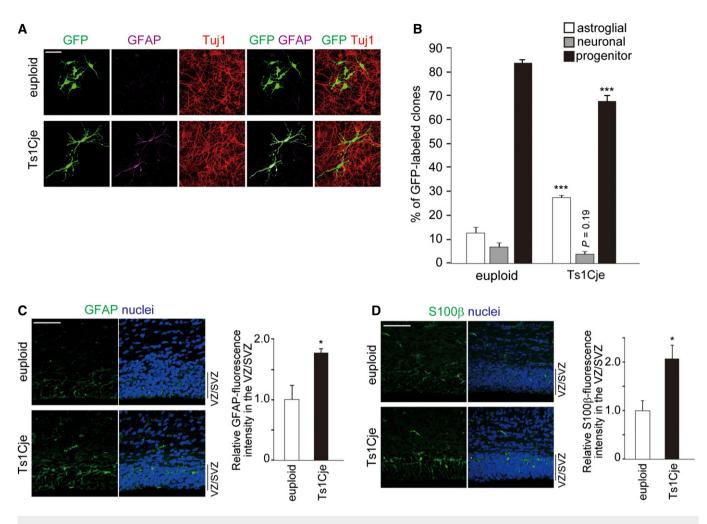
## Enhanced astrocytic differentiation of Ts1Cje progenitors

Neurosphere cells derived from the Ts1Cje and Ts65Dn embryonic brains give rise to less neurons, but more astrocyte than those derived from euploid counterparts [27,28]. This raises the possibility that not only impaired neuronal differentiation of progenitors but also increased potential of progenitor cells to differentiate into astrocytes may be involved in the observed phenotype. To test this hypothesis, we performed clonal analysis of neocortical progenitor cells to examine their astrogliogenic potential. GFP-expressing plasmid was introduced in embryonic day (E) 16 neocortices of Ts1Cje and their euploid littermates by in utero electroporation. GFPintroduced cortical cells were cultured in the presence of bFGF at a density that allows clear separation of cells and analysis of individual clones. The cellular composition of the GFP-labeled clones at 4 days in vitro (DIV) was then analyzed. Under these conditions, the vast majority (84.0%) of control euploid clones were nestin-positive, undifferentiated progenitor clones (Fig 1A and B). The remaining were composed of 6.8% of neuronal clones (Tuj1-positive neuroncontaining clones without GFAP-positive astrocyte) and 12.4% of astroglial clones (GFAP-positive astrocyte-containing clones without Tuj1-positive cell) (Fig 1A and B). On the other hand, Ts1Cje progenitors gave rise to significantly more astroglial clones (27.0%) at the expense of progenitor clones (Fig 1A and B). Of note, mixed clones (clones containing both GFAP-positive and Tuj1-positive cells) were not observed under these conditions. Also, the average clone size of total clones was reduced in Ts1Cje cultures (5.3  $\pm$  0.3 cells/clone in euploid versus  $4.4\pm0.2$  cells/clone in Ts1Cje, P < 0.05 by a two-tailed Welch's *t*-test), consistent with a previous study showing that Ts1Cje progenitors have deficits in proliferation [27]. These observations excluded the possibility that higher number of astroglial clones generated from Ts1Cje progenitors is due to higher number of progenitor division cycles that could cause earlier appearance of neurons and thereafter astrocytes in individual clones [38]. In addition, no GFP-labeled cells were found positive for cleaved caspase-3 in both conditions, thereby excluding apoptosis as an explanation for the observed phenotypes. Together, these results indicate that Ts1Cje neocortical progenitor cells have increased potential to differentiate into astrocytes.

Having shown the higher tendency of Ts1Cje cortical progenitors to differentiate into astrocytes, we next asked whether increased astrogliogenesis takes place in the Ts1Cje neocortex. In the Ts1Cje neocortex at postnatal day (P) 0, we found a profound increase in GFAP and S100 $\beta$  immunoreactivities within the ventricular zone/ subventricular zone (VZ/SVZ) when compared with those of the control euploid neocortices (Fig 1C and D). We also inspected the in vivo fate of progenitor cells in later stages of corticogenesis. For this, we labeled progenitor cells with GFP at E17 by in utero electroporation and examined their fate at P5 and P30. In P5 neocortices, a certain population of the GFP-labeled cells already migrated out from the VZ/SVZ and resided within the cortical plate (CP). Among the GFP-labeled cells in the CP, the vast majority (approx. 90%) was located at the upper part of the CP in control cortices (Fig 2A). On the other hand, in Ts1Cie cortices a sizable fraction of the GFPlabeled cells was found in the relatively lower part of the CP and displayed a bushy morphology that is reminiscent of mature astrocytes (Fig 2A). The bushy morphology of CP cells and their distribution in the lower part of the CP [39] raise the possibility that these GFP-labeled cells are astrocytes. Immunohistochemical analysis confirmed that a significantly larger fraction of the GFP-labeled cells in the CP of Ts1Cje mice was positive for GFAP and S100β, when compared to neocortices of euploid littermates (GFAP: 11.5  $\pm$  2.2% in euploid versus 28.3  $\pm$  5.1% in Ts1Cje; S100 $\beta$ : 10.4  $\pm$  2.2% in euploid versus 22.6  $\pm$  0.7% in Ts1Cje) (Fig 2B, C and E). In wild-type animals, most of the GFP-labeled cells in the CP were positive for Cux1, a marker for layer 2-4 neurons, whereas in the CP of Ts1Cje mice a significantly smaller fraction of GFP-labeled cells was positive for Cux1 (86.5  $\pm$  2.0% in euploid versus 66.5  $\pm$  2.2% in Ts1Cje) (Fig 2D and E). Similarly, in P30 neocortices of Ts1Cje mice, GFAP-positive populations of the total GFP-labeled cells were markedly increased (28.3  $\pm$  2.2% in euploid versus 57.4  $\pm$  3.1% in Ts1Cje, respectively). Conversely, a significant decrease in the proportion of cells positive for the neuronal marker NeuN was observed (67.9  $\pm$  3.2% in euploid versus 39.2  $\pm$  1.9% in Ts1Cje) (Fig 2F-I). Of note, no GFP-labeled cells were found positive for cleaved caspase-3 in both euploid and Ts1Cje neocortices. Also, less than 1% of GFP/GFAP-positive cells expressed the proliferation marker Ki67 (1 out of 105 cells and 1 out of 122 cells in euploid and Ts1Cje, respectively), suggesting that these astrocytes were not in the cycling state and that their increased abundance in the Ts1Cie neocortex is unlikely due to enhanced proliferation. Our results suggest increased astrogliogenesis, with a corresponding reduction in neurogenesis, at later stages of corticogenesis in Ts1Cje mice.

## Overexpression of DYRK1A leads to enhanced astrocytic differentiation of progenitor cells

*Dyrk1a* that encodes for a proline-directed serine/threonine kinase lies within human chromosome 21 and is overexpressed in the fetal and adult DS brain [29]. As assessed by immunohistochemistry,



#### Figure 1. Enhanced astrocytic differentiation of Ts1Cje progenitors.

A, B The GFP-expressing plasmid was electroporated in E16 embryos of Ts1Cje and euploid littermates. Neocortical cell cultures were prepared immediately after electroporation. Representative images (A) of neocortical cells at DIV4 immunostained with antibodies against Tuj1 and GFAP. Percentage of each type of clones (neuronal, astroglial, and progenitor) is presented as mean  $\pm$  SEM (n = 3-5 experiments) (B). \*\*\*P < 0.001 versus euploid by a two-tailed Student's *t*-test. Scale bar, 50  $\mu$ m.

C, D Brain sections of PO Ts1Cje and euploid littermates were immunostained with antibodies against GFAP (C) and S100 $\beta$  (D). Immunofluorescence intensities of GFAP and S100 $\beta$  in the VZ/SVZ of the neocortex were measured and plotted in the right panel (mean  $\pm$  SEM, n = 3 brains). The mean values of the intensities of euploid mice were set to 1. \*P < 0.05 versus euploid by a two-tailed Student's t-test. Scale bars, 50  $\mu$ m.

DYRK1A immunoreactivities were detected in neural progenitor cells and immature neurons of the embryonic neocortex, as well as mature neurons of the adult brain (Appendix Fig S1), consistent with previous studies [35,40]. DYRK1A expression in astrocytes is also reported [41]. We first examined a potential role of DYRK1A in astrocytic differentiation of progenitors. For this, we carried out clonal analysis of wild-type progenitors electroporated with DYRK1A. To our surprise, when DYRK1A was introduced into E16 progenitors, an increased percentage of astroglial clones, with a corresponding reduction in progenitor clones, was found when compared with that in control (Fig 3A and B). In contrast, expression of a kinase-dead form of DYRK1A (DYRK1A (KD)) had no effect on the proportion of astroglial clones (Fig 3A and B), indicating that kinase activity of DYRK1A is required for this phenotype. Of note, the average size of total clones was not significantly different between DYRK1A-overexpressing and control cultures (4.6  $\pm$  0.3 cells/clone

in control versus 4.4  $\pm$  0.2 cells/clone in DYRK1A, P = 0.64 by a two-tailed Welch's t-test). In both conditions, no GFP-labeled cells were found positive for cleaved caspase-3. We also assessed the levels of DYRK1A in electroporated cortical progenitors. For this, DIV2 neocortical cell cultures prepared from E16-electroporated neocortices were immunostained with antibodies against DYRK1A. DYRK1A immunofluorescence intensity in electroporated progenitors was ~1.5-fold stronger than the intensity displayed by the endogenous protein (Fig EV1A and B). These results suggest that a modest increase in DYRK1A levels in progenitors is sufficient to promote their differentiation into astrocytes, at least partly in an instructive manner. To further link DYRK1A to differentiation of progenitors into astrocytes, we performed clonal analysis in the presence of CNTF (ciliary neutrotrophic factor) that induces astrocytic differentiation of progenitors through activation of JAK-STAT pathway. Even under astrogliogenic condition, overexpression of

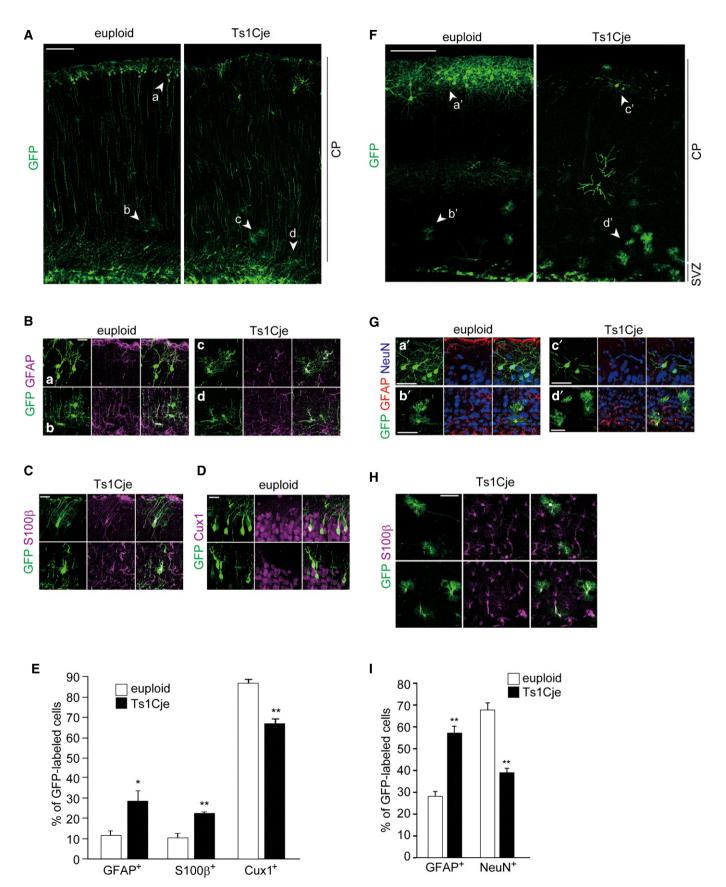


Figure 2.



#### Figure 2. Enhanced astrogliogenesis in the Ts1Cje neocortex.

The GFP-expressing plasmid was electroporated in E17 embryos of Ts1Cje and euploid littermates, and brains were harvested at P5 (A-E) or P30 (F-I).

- A Representative images of GFP-labeled cells throughout the entire cerebral wall in the brains of Ts1Cje (right) and euploid littermates (left).
- B GFP-labeled cells in electroporated neocortices immunostained with anti-GFAP antibody. Shown are high-magnification images of the regions indicated by arrowheads (a–d) in (A).
- $C \quad {\sf GFP}\mbox{-labeled cells in electroporated Ts1Cje neocortices immunostained with anti-S100\beta antibody.}$
- $\mathsf{D} \quad \mathsf{GFP}\text{-labeled cells in electroporated euploid neocortices immunostained with anti-Cux1 antibody.}$
- ${\sf E} \quad {\sf Quantification of the fraction of GFP-positive cells in the CP that were also positive for GFAP, S100\beta, and Cux1.}$
- F Representative images of GFP-labeled cells throughout the entire cerebral wall in the brains of Ts1Cje (right) and euploid littermates (left).
- G GFP-labeled cells in electroporated neocortices immunostained with anti-GFAP and anti-NeuN antibodies. Shown are high-magnification images of the regions indicated by arrowheads (a'-d') in (F).
- H GFP-labeled cells with bushy morphology in electroporated Ts1Cje neocortices immunostained with anti-S100 $\beta$  antibody.
- I Quantification of the fraction of GFP-positive cells that were also positive for GFAP and NeuN.

Data information: Scale bars: 100  $\mu$ m (A), 20  $\mu$ m (B, C, D), 200  $\mu$ m (F), or 50  $\mu$ m (G, H). Data are presented as mean  $\pm$  SEM (n = 3 brains for each group). \*P < 0.05, \*\*P < 0.01 versus euploid by a two-tailed Student's t-test.

DYRK1A (WT), but not of DYRK1A (KD), resulted in a significant increase in the percentage of astroglial clones (Fig 3C). Thus, DYRK1A overexpression potentiates the astrogliogenic machinery of neural progenitors through JAK-STAT signaling.

We next examined the in vivo effect of DYRK1A overexpression on astrogliogenesis. Plasmids encoding DYRK1A and GFP were co-electroporated into E17 neocortices. The pups were harvested at P5 and P30. At P5, among the GFP-labeled CP cells in DYRK1Aintroduced neocortices, a sizable population of cells showed a bushy morphology and was positive for GFAP (Fig 3D, E and G). Conversely, a significant decrease in the proportion of Cux1-positive cells was observed in the same samples (Fig 3F and G). We did not observe any increase in the number of cleaved caspase-3-positive cells following DYRK1A electroporation. Also, in both control and DYRK1A-overexpressing neocortices, few GFAP-positive cells expressed Ki67 (3 out of 105 cells and 3 out of 136 cells in control and DYRK1A, respectively), suggesting that astrocyte proliferation was not promoted upon DYRK1A overexpression. At P30, significantly more GFP-labeled cells in the DYRK1A-introduced neocortices were positive for GFAP and S100β, and significantly less GFP-labeled cells were labeled for NeuN (Fig 3H-K). As expected, overexpression of DYRK1A (KD) had no significant effect on the proportion of GFP-labeled cells positive for GFAP, S100β, and NeuN (Fig 3H-K). Together, these results indicate that DYRK1A overexpression results in enhanced astrogliogenesis at the expense of neurogenesis in the perinatal neocortex.

Brains of DS patients and DS mouse models display enhanced astrogliosis [9,24] (see also Fig EV2D). To confirm that the increased proportion of GFP-labeled cells positive for GFAP in DYRK1A-introduced brains is not due to enhanced astrogliosis, we examined several hallmarks of reactive astrocytes such as upregulation of GFAP, alteration of astrocytic morphology, and activation of neighboring microglia. For this purpose, P30 neocortices electroporated at E17 were immunostained with antibodies against GFAP and MAC2 (a marker for activated microglial cells). We found that GFAP immunofluorescence intensity of GFP-labeled astrocytes in DYRK1A-introduced brains was similar to that of control astrocytes (Fig EV2A). Further, Sholl analysis [42] of GFAP-positive glial processes demonstrated that astrocytic morphology was not altered following DYRK1A overexpression (Fig EV2B). Also, almost no MAC2-positive cells were observed in both control and DYRK1Aoverexpressed brains (Fig EV2C). Thus, the increased number of astrocytes in DYRK1A-overexpressing neocortices is not due to enhanced astrogliosis but rather augmented astrogliogenesis.

# Knockdown of DYRK1A in Ts1Cje progenitors attenuates their differentiation into astrocytes

As described above, overexpression of DYRK1A in wild-type progenitors phenocopied the aberrant astrocytic differentiation of Ts1Cje progenitors. This raised the possibility that abnormal astrocytic differentiation of Ts1Cje progenitors may be caused by overexpression

#### Figure 3. Overexpression of DYRK1A results in enhanced astrocytic differentiation of cortical progenitors.

- A–C Plasmids expressing DYRK1A and GFP were electroporated in E16 embryos. Neocortical cell cultures were prepared immediately after electroporation. Shown are representative images of neocortical cells at DIV4 immunostained with antibodies against Tuj1 and GFAP (A). Percentage of each type of clones (neuronal, astroglial, and progenitor) is presented in (B) as mean ± SEM (*n* = 4 experiments). In (C), a similar experiment as in (A) was performed in the presence of CNTF. Neocortical cells were stimulated with CNTF at DIV3, and immunostained with antibodies against Tuj1 and GFAP at DIV5. Percentage of each type of clones (neuronal and astroglial) is presented as mean ± SEM (*n* = 4 experiments).
- D–G Plasmids expressing DYRK1A and GFP were electroporated in E17 embryos, and brains were harvested at P5. Representative images of GFP-labeled cells throughout the entire cerebral wall in the brains are shown in (D). (E) GFP-labeled cells in electroporated neocortices immunostained with anti-GFAP antibody. Shown are high-magnification images of the regions indicated by arrowheads (a–f) in (D). (F) GFP-labeled cells in electroporated control neocortices immunostained with anti-Cux1 antibody. (G) Quantification of the fraction of GFP-positive cells in the CP that were also positive for GFAP and Cux1. Data are presented as mean ± SEM (*n* = 3 brains for each group).
- H–K Plasmids expressing DYRK1A and GFP were electroporated in E17 embryos, and brains were harvested at P30. Representative images of GFP-labeled cells throughout the entire cerebral wall in brains are shown in (H). Dashed line outlines the ventricular surface. (I) GFP-labeled cells in electroporated neocortices immunostained with anti-GFAP and anti-NeuN antibodies. Shown are high-magnification images of the regions indicated by arrowheads (a'-f') in (H). (J) GFP-labeled cells with bushy morphology in DYRK1A-electroporated neocortices immunostained with anti-S100β antibody. (K) Quantification of the fraction of GFP-positive cells that were also positive for GFAP, S100β, and NeuN. Data are presented as mean ± SEM (*n* = 3 brains for each group).

Data information: \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001 by a two-tailed Student's t-test. Scale bars: 50 µm (A, I, J), 100 µm (D), 20 µm (E, F), or 200 µm (H).

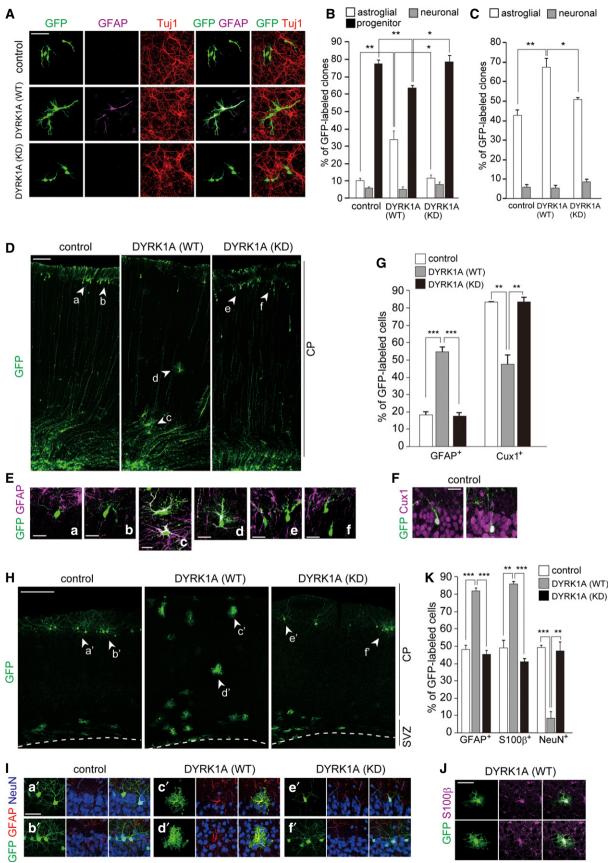
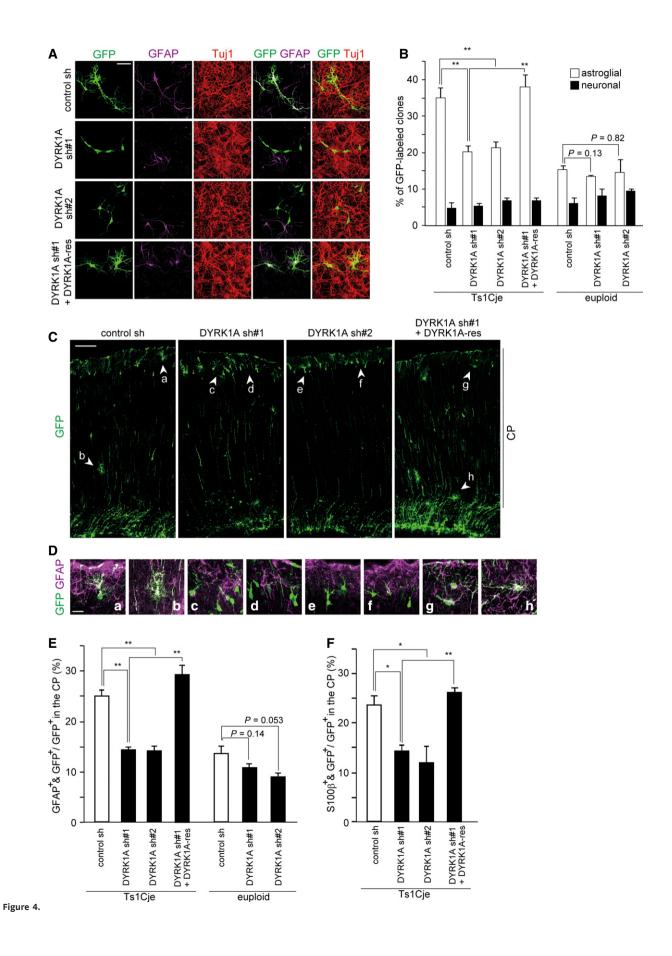


Figure 3.



#### Figure 4. Knockdown of DYRK1A results in decreased astrocytic differentiation of Ts1Cje progenitors.

A, B Plasmids expressing GFP, DYRK1A shRNA, and shRNA-resistant DYRK1A (DYRK1A-res) as indicated were electroporated in E16 Ts1Cje embryos, and neocortical cell cultures were prepared immediately after electroporation. Shown are representative images of neocortical cells at DIV4 immunostained with antibodies against Tuj1 and GFAP. (B) Percentage of each type of clones (neuronal and astroglial) is presented as mean  $\pm$  SEM (n = 3-6 experiments).

C–F E17 Ts1Cje embryos were electroporated with the indicated plasmids, and the brains were harvested at P5. Representative images of GFP-labeled cells throughout the entire cerebral wall in the brains are shown in (C). (D) GFP-labeled cells in electroporated neocortices immunostained with anti-GFAP antibody. Shown are high-magnification images of the regions indicated by arrowheads (a–h) in (C). Quantification of the fraction of GFP-positive cells in the CP that were also positive for GFAP (E) and S100β (F).

Data information: Data are presented as mean  $\pm$  SEM (n = 3-4 brains for each group, E and F). \*P < 0.05, \*\*P < 0.01 by a two-tailed Student's t-test. Scale bars, 50  $\mu$ m (A), 100  $\mu$ m (C), or 20  $\mu$ m (D).

of DYRK1A. To test this possibility, we examined the effect of DYRK1A knockdown using DNA-based RNAi plasmids [35] on astrocytic differentiation of Ts1Cje progenitors. Consistent to our previous report, introduction of two different shRNA constructs significantly abolished the expression of the protein in Ts1Cje progenitors prepared from the E16 neocortex (Fig EV1C and D). Control and DYRK1A-depleted Ts1Cje neocortices were then subjected to clonal analysis. We found a significant decrease in astroglial clones following DYRK1A knockdown (Fig 4A and B). Furthermore, in P5 Ts1Cje neocortices electroporated with DYRK1A shRNA at E17, the GFAP- and S100B-positive fractions of GFPlabeled CP cells were significantly lower when compared to those in control shRNA-introduced neocortices (Fig 4C-F). On the other hand, co-electroporation of DYRK1A insensitive to DYRK1A shRNA#1 [35] almost completely reversed the effect of DYRK1A shRNA#1 in both clonal analysis and in vivo experiments (Fig 4). Taken together, our data suggest that increased dosage of DYRK1A is responsible for the increased potential of Ts1Cje progenitors to differentiate into astrocytes and for the increased astrogliogenesis in the Ts1Cje neocortex.

## Overexpression of DYRK1A enhances Ser727 phosphorylation of STAT3 and STAT transcriptional activity in progenitors

The JAK-STAT signaling pathway is crucial for the astrogliogenic differentiation machinery in neural progenitor cells [43–45]. STAT3, a member of STAT family, is a major component of the pathway, and its activation promotes astrocytic differentiation of cortical progenitors [46]. STAT3 transcriptional activity is regulated by phosphorylation of two key residues, Tyr705 and Ser727. Tyr705 phosphorylation, typically elicited by JAK, is involved in STAT3 dimerization and activation [47], while Ser727 phosphorylation enhances STAT3 activity [48]. Consistent with previous data [49], we found that STAT3 as well as Ser727- and Tyr705-phosphorylated STAT3 is preferentially expressed in progenitor cells, with no detectable expression in neurons (Fig EV3). Noticeably, we found that Ser727 phosphorylation levels of STAT3, but not Tyr705, were significantly increased in the Ts1Cje neocortex at P0 when compared to that in the euploid littermate neocortex, as assessed by immunoblot analysis (Fig 5A and B). This observation suggests deregulation of STAT signaling in Ts1Cje progenitors.

It has been reported that DYRK1A phosphorylates Ser727 of STAT3 *in vitro* and in a heterologous expression system using cultured mammalian cells [50,51]. Based on these data, we hypothesize that increased dosage of DYRK1A is responsible for increased Ser727 phosphorylation in Ts1Cje progenitors. We then examined the effect of DYRK1A overexpression in progenitors on Ser727

phosphorylation of STAT3. E16 cortical progenitors were electroporated with DYRK1A-expressing plasmid, cultured for 2 days, and subjected to immunostaining with antibodies against STAT3 and its phosphorylated forms. DYRK1A overexpression upregulated the levels of phospho-Ser727 STAT3 levels (1.04  $\pm$  0.02-fold in control versus 1.41  $\pm$  0.03-fold in DYRK1A (WT)) (Fig 5C and D), whereas expression of DYRK1A (KD) had no effect (Fig 5C and D). In contrast, DYRK1A overexpression had no significant effect on Tyr705 phosphorylation as well as on the total levels of STAT3 (total STAT3: 1.02  $\pm$  0.02-fold in control versus 0.97  $\pm$  0.02-fold in DYRK1A (WT), *P* = 0.10 versus control by a two-tailed Welch's *t*-test; pY705-STAT3: 1.01  $\pm$  0.02-fold in control versus 1.01  $\pm$  0.02-fold in DYRK1A (WT), *P* = 0.77 versus control by a two-tailed Welch's *t*-test).

We further evaluated STAT activity in progenitors with increased dosage of DYRK1A. For this purpose, we utilized a reporter construct that drives expression of destabilized GFP (dGFP) under the control of 2.5-kb GFAP promoter (GF1L) containing a STAT-binding motif [45]. We co-electroporated the GFIL-dGFP plasmid together with a plasmid expressing mCherry through constitutive CAG promoter into E16 neocortices and examined dGFP and mCherry expression at E17. In this system, mCherry serves as a transfection control, as its expression level correlates with that of co-electroporated plasmids [52,53]. The ratio of dGFP fluorescent intensity to mCherry intensity was used to quantify the relative STAT activity in individual cells. When the DYRK1A-expressing plasmid was electroporated, a marked increase in STAT activity levels was observed in DYRK1Aintroduced Pax6-positive progenitor cells (Fig 5E-G). Importantly, mean immunofluorescence intensity of mCherry among the individual GFP-labeled progenitors counted was not significantly different between control and DYRK1A-overexpressing condition (control,  $75.1 \pm 15.5$ ; DYRK1A (WT),  $64.0 \pm 16.2$  in arbitrary unit), while mean immunofluorescence intensity of dGFP was increased upon DYRK1A overexpression (control,  $29.2 \pm 8.2$ ; DYRK1A (WT), 122.7  $\pm$  24.9 in arbitrary unit). On the other hand, when the GF1L promoter with a point mutation in the STAT-binding motif (GF1L-SBSPM; [45]) was used, dGFP was barely detectable in both control and DYRK1A-introduced progenitors (Fig EV4A). These results confirm that dGFP expression in GF1L-dGFP-electroporated progenitors is reflective of STAT activity. Altogether, we conclude that overexpression of DYRK1A enhances Ser727 phosphorylation of STAT3 as well as STAT activity in neural progenitors.

# DYRK1A-mediated potentiation of STAT activity in Ts1Cje progenitors

Based on findings that increasing DYRK1A levels in progenitors leads to increased Ser727 phosphorylation of STAT3 and STAT

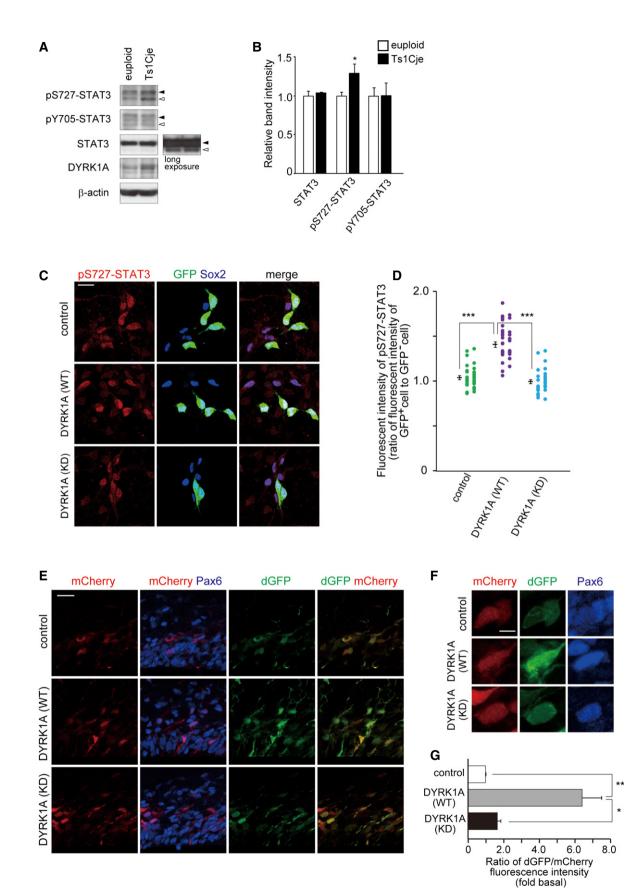


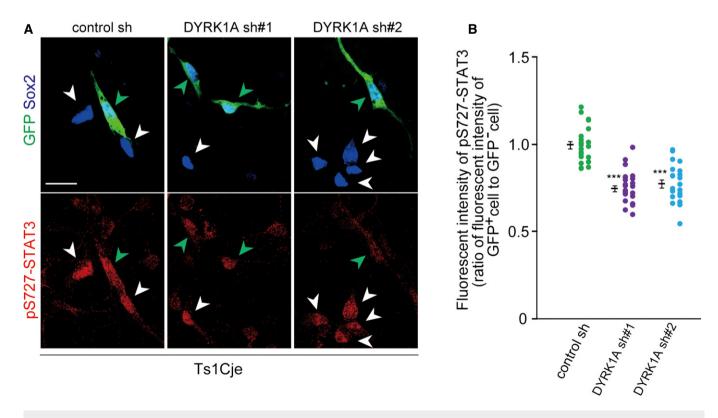
Figure 5.

### Figure 5. Overexpression of DYRK1A results in an increase in STAT activity and Ser727 phosphorylation levels of STAT3.

- A, B Whole-cell lysates of the PO neocortex of Ts1Cje mice and euploid littermates were subjected to immunoblotting with the indicated antibodies. The band intensities quantified are plotted in (B) (mean  $\pm$  SEM, n = 4 for euploid and n = 3 for Ts1Cje). \*P < 0.05 by a two-tailed Student's *t*-test.
- C, D Plasmid expressing DYRK1A was electroporated, together with the GFP-expressing plasmid, in E16 embryos. Neocortical cell cultures were prepared immediately after electroporation, and the cells at DIV2 were immunostained with antibodies against GFP, pS727-STAT3, and Sox2. Representative images are shown in (C). Immunofluorescence intensity of pS727-STAT3 (D) in individual GFP-positive cells and that in nearby GFP-negative cells were measured, and the ratio of the intensity values is plotted. Data are obtained from two independent experiments. \*\*\**P* < 0.001 by a two-tailed Welch's *t*-test.
- E–G DYRK1A-expressing plasmid was electroporated, together with the GF1L-dGFP reporter construct and mCherry-expressing plasmid, in E16 embryos. The E17 brain sections were stained with antibodies against GFP and Pax6. Images around the VZ are shown in (E). Magnified images of the cells are shown in (F). The ratio of the fluorescence intensity of dGFP to that of mCherry (G) in the soma of individual cells expressing mCherry is shown as mean  $\pm$  SEM (n = 3 embryos). \*P < 0.05, \*\*P < 0.01 by a two-tailed Student's *t*-test.

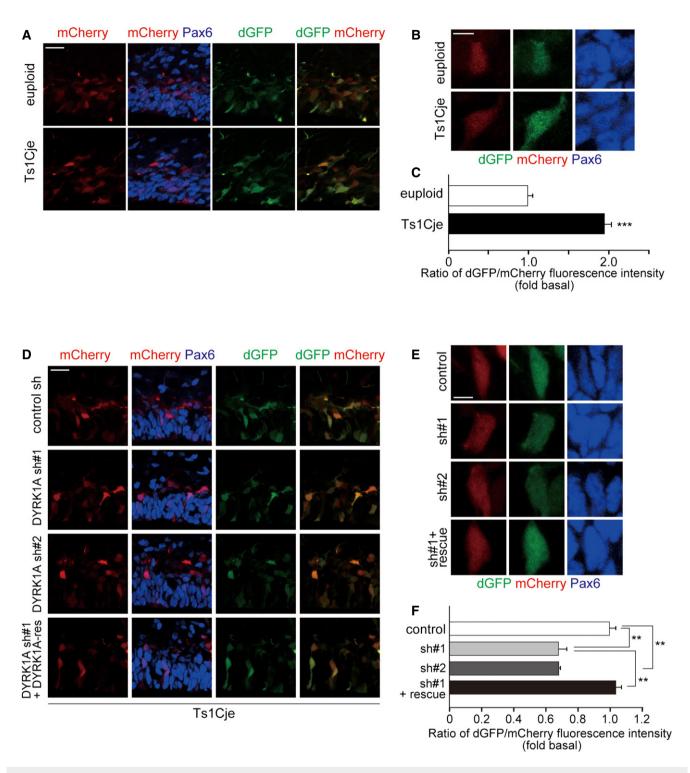
Data information: Scale bars: 20 µm (C, E), or 5 µm (F).

transcriptional activity, we then sought to investigate a potential involvement of DYRK1A in enhanced Ser727 phosphorylation of STAT3 in the Ts1Cje neocortex. Knockdown of DYRK1A in Ts1Cje progenitors in culture caused a decrease in Ser727 phosphorylation, as assessed by immunostaining (Fig 6A and B), whereas Tyr705 phosphorylation and total STAT3 levels were not significantly changed. Thus, enhanced Ser727 phosphorylation on STAT3 in Ts1Cje progenitors is caused by increased dosage of DYRK1A. Furthermore, we examined STAT activity in Ts1Cje progenitors by introducing GF1L-dGFP and CAG-mCherry plasmids. We found a marked increase in STAT activity in Pax6-positive progenitor cells of the Ts1Cje neocortex at E17 (Fig 7A–C). On the other hand, dGFP was barely detectable in both euploid and Ts1Cje progenitors when GF1L-SBSPM was used (Fig EV4B). Importantly, STAT activity levels were remarkably attenuated when DYRK1A shRNA was co-electroporated in Ts1Cje progenitors (Fig 7D–F). The effect was almost completely reversed by the introduction of DYRK1A insensitive to DYRK1A shRNA#1 (Fig 7D–F). In sum, increased dosage of DYRK1A is critical for the potentiation of STAT activity in Ts1Cje progenitors.



#### Figure 6. Knockdown of DYRK1A results in a decrease in Ser727 phosphorylation levels of STAT3 in Ts1Cje progenitors.

A, B Plasmid expressing DYRK1A shRNA was electroporated, together with the GFP-expressing plasmid, in Ts1Cje E16 embryos. Neocortical cell cultures were prepared immediately after electroporation, and the DIV2 cells were immunostained with antibodies against GFP, pS727-STAT3, and Sox2. Representative images are shown in (A). Green and white arrowheads indicate GFP-positive and GFP-negative progenitors, respectively. Immunofluorescence intensity of pS727-STAT3 (B) in individual GFP-positive cells and that in nearby GFP-negative cells were measured, and the ratio of the intensity values is plotted. Data are obtained from two independent experiments. \*\*\**P* < 0.001 by a two-tailed Welch's t-test. Scale bar, 20 μm.</p>



#### Figure 7. Knockdown of DYRK1A results in attenuation of STAT activity in Ts1Cje progenitors.

- A–C The GF1L-dGFP reporter construct was electroporated, together with mCherry-expressing plasmid, in E16 embryos of Ts1Cje and euploid littermates. The E17 brain sections were stained with antibodies against GFP and Pax6. Images around the VZ are shown in (A). Magnified images of the cells are shown in (B). The ratio of the fluorescence intensity of dGFP to that of mCherry (C) in the soma of individual cells expressing mCherry is shown as mean  $\pm$  SEM (n = 3-5 embryos). \*\*\*P < 0.001 by a two-tailed Student's *t*-test.
- D–F The GF1L-dGFP reporter construct and mCherry-expressing plasmid were electroporated with the indicated plasmids in E16 embryos of Ts1Cje mice. The E17 brain sections were stained with antibodies against GFP and Pax6. Images around the VZ are shown in (D). Magnified images of the cells are shown in (E). The ratio of the fluorescence intensity of dGFP to that of mCherry (F) in the soma of individual cells expressing mCherry is shown as mean  $\pm$  SEM (n = 3-5 embryos). \*\*P < 0.01 by a two-tailed Student's *t*-test.

Data information: Scale bars: 20 µm (A, D), or 5 µm (B, E).

## Discussion

The present study examined the effects of increased dosage of DYRK1A on astrocytic differentiation of cortical progenitors in the context of DS. In the Ts1Cje mouse model of DS, we found by clonal analysis that neocortical progenitors exhibit increased potential to differentiate into astrocytes. Importantly, depletion of DYRK1A in Ts1Cje progenitors counterbalanced the enhancement of astrocytic differentiation. Furthermore, a modest (~1.5-fold) overexpression of DYRK1A in wild-type progenitor cells promotes their differentiation into astrocytes, suggesting an instructive role for DYRK1A in this process. Together with data showing DYRK1A-mediated activation of the astrogliogenic transcription factor STAT, we conclude that increased dosage of DYRK1A in Ts1Cje progenitors enhances astrogliogenic differentiation through STAT signaling pathway. Consistent with the idea, the Ts1Cje mice showed an overproduction of astrocytes and a corresponding reduction in neurogenesis at later stages of corticogenesis, and the astrogliogenic phenotypes can be attenuated by depletion of DYRK1A. We also found that overexpression of DYRK1A in wild-type progenitors at later stages of corticogenesis recapitulates the astrogliogenic phenotype observed in the Ts1Cje neocortex. Furthermore, STAT activity in cortical progenitors was increased in both Ts1Cje neocortex in a DYRK1Adependent manner and in the DYRK1A-introduced wild-type neocortex. Taken together, these observations link the overproduction of astrocytes in the Ts1Cje neocortex to the enhanced astrocytic differentiation of progenitors caused by increased dosage of DYRK1A through the STAT signaling pathway.

The JAK-STAT signaling pathway plays a crucial role in astrocytic differentiation of neural progenitor cells [43-45]. Transcriptional activity of STAT3, a major component of the pathway [46], is regulated by phosphorylation of two key residues, Tyr705 and Ser727. Several kinases such as JNK, p38, and DYRK1A are known to phosphorylate Ser727 in vitro and in heterologous expression system using cultured mammalian cells [54]. Nevertheless, whether these protein kinases dictate Ser727 phosphorylation of STAT3 in vivo in a physiological or pathophysiological context remains totally unknown. In the present study, we found potentiation of STAT activity and increased STAT3 Ser727 phosphorylation in Ts1Cje progenitors and we were able to decrease this phosphorylation by depleting DYRK1A. Thus, increased dosage of DYRK1A contributes to Ser727 phosphorylation/ activity of STAT3 in vivo in the context of DS. Interestingly, we found that knockdown of DYRK1A in euploid progenitors had no significant effect on STAT3 Ser727 phosphorylation (0.99  $\pm$  0.01-fold in control sh versus 0.98  $\pm$  0.01-fold in DYRK1A sh#1, P = 0.76 versus control by a twotailed Welch's t-test). DYRK1A knockdown in euploid progenitor cells had also minimal to no effect on their astrocytic differentiation when compared with that in Ts1Cje progenitors (Fig 4). Thus, DYRK1A unlikely contributes to astrocytic differentiation of normal progenitor cells. In contrast, increased dosage of DYRK1A in DS progenitors impacts their differentiation into astrocytes.

In the present study, we demonstrate that DYRK1A overexpression promotes STAT3 Ser727 phosphorylation, STAT activity, and astroglial differentiation of E16 or later stage progenitor cells (Figs 3 and 5). Similarly, DYRK1A overexpression promotes STAT3 Ser727 phosphorylation at E13–14 (Fig EV5A and B). Thus, DYRK1A modifies the astrogliogenic machinery in both E13 and E16 progenitors.

Combined with data showing that overexpression of DYRK1A and DSCR1 in progenitors during neurogenic phase suppresses neuronal differentiation ([35] and Fig EV5C), overexpression of DYRK1A likely impairs neuronal fate acquisition and modifies the astrogliogenic machinery of neural progenitors. However, STAT activity and astroglial differentiation of progenitor cells are not affected at E13 (Fig EV5C–F). It is well known that signaling events downstream and/or upstream of STAT are suppressed during the neurogenic phase [16,18,55]. Therefore, it is not surprising that STAT activity and astroglial differentiation of progenitor cells are suppressed in earlier stages of corticogenesis such as at E13-14 despite increased dosage of DYRK1A and STAT Ser727 phosphorylation. In this context, we hypothesized that the neurogenic phase in DS progenitor cells may be shortened due to DYRK1A's dual actions: DYRK1A/ DSCR1-mediated delay in neuronal differentiation and DYRK1A-STAT-mediated enhancement of astrocytic differentiation of progenitors. Together with the impaired proliferation of progenitors [36,37], these events may contribute to reduced neuronal production and increased astroglial generation in DS.

In summary, our study uncovers DYRK1A-STAT as a signaling pathway responsible for the differentiation of neural progenitors into astrocytes. We advance that increased dosage of DYRK1A leads to enhanced phosphorylation and activation of STAT that, in turn, promotes aberrant astrogliogenesis in the DS neocortex. As DS astrocytes have been recently shown to impair neuronal differentiation and survival [23], enhanced astrogliogenesis likely has a critical role in disease phenotypes/pathogenesis of DS and represent a potential therapeutic target for DS. By virtue of its multiple roles in progenitor fate determination, DYRK1A stands as a promising therapeutic target to ameliorate neurodevelopmental defects in DS.

## Materials and Methods

## Animals

Ts1Cje mice (C57BL/6J background) were a kind gift from Dr. Kazuhiro Yamakawa (RIKEN, Brain Science Institute). Ts1Cje mice were maintained by crossing carrier males with C57BL/6J females. A genotyping of Ts1Cje mouse was performed by polymerase chain reaction (PCR) as previously described [56]. All mice were housed under 12-hour light – 12-hour dark cycle and *ad libitum* access to food and water. All animal experiments were conducted in accordance with guidelines set by The University of Tokyo and approved (permit number 21-01) by the Committee on Animal Care and Use of the Graduate School of Science in The University of Tokyo.

## Plasmids

The pCAGIG plasmid (expressing GFP under the control of the CAG promoter) is a kind gift from Dr. Takahiko Matsuda (Kyoto University). Plasmids expressing mCherry, DYRK1A, and DSCR1 under the control of the CAG promoter were generated as described [35]. Plasmids encoding DYRK1A shRNA were generated as described [35]. For constructing GF1L-dGFP and GF1L-SBSPM-dGFP plasmids, the coding sequence of destabilized GFP (dGFP) was amplified by PCR using TOP-dGFP plasmid (a kind gift from Dr. Anjen Chenn,

University of Illinois; [53]) as a template. The amplified fragment was inserted into the downstream of the GFAP promoter by replacing the luciferase coding sequence of GF1L/pGL3 and GF1L-SBSPM/ pGL3 plasmids (kind gifts from Dr. Kinichi Nakashima, Kyushu University; [45]).

## Antibodies

The following antibodies were used for immunostaining. Rabbit anti-DYRK1A (1:100; sc-28899, Santa Cruz Biotechnology), mouse anti-DYRK1A (1:250; H00001859, Abnova), rat anti-GFP (1:2,000; 04434-34, Nacalai Tesque), rabbit anti-GFP (1:2,000; A11122, Invitrogen), goat anti-Sox2 (1:200; sc-17320, Santa Cruz Biotechnology), rabbit anti-Pax6 (1:1,000; PRB-278P, Covance), rabbit anti-Ki67 (1:250; NCL-Ki67p, Novocastra Laboratories), rabbit anti-pS727-STAT3 (1:500; #9134, Cell Signaling Technology), rabbit anti-pY705-STAT3 (1:500; #9131, Cell Signaling Technology), mouse anti-STAT3 (1:200; 610189, BD Biosciences), mouse anti-nestin (1:1,000; 556309, BD Bioscience), mouse anti-Tuj1 (1:3,000; MMS-435P, Covance), rabbit anti-Tuj1 (1:3,000; PRB-435P, Covance), rabbit anti-GFAP (1:1,000; G9269, Sigma-Aldrich), mouse anti-GFAP (1:1,000; G3893, Sigma-Aldrich), rabbit anti-cleaved caspase-3 (1:500; #9661, Cell Signaling Technology), mouse anti-S100β (1:100; S2532, Sigma-Aldrich), rabbit anti-Cux1 (1:100; sc-13024, Santa Cruz Biotechnology), rabbit anti-Tbr1 (1:1,000; ab31940, Abcam), rabbit anti-BLBP (1:500; AB9558, Chemicon), rabbit anti-Map2 (1:1,000; ab5622, Millipore), rat anti-MAC2 (1:500; CL8942AP, CEDARLANE), and mouse anti-NeuN (1:1,000; MAB377, Chemicon).

The following antibodies were used for immunoblotting. Rabbit anti-DYRK1A (1:2,000; sc-28899, Santa Cruz Biotechnology), rabbit anti-pS727-STAT3 (1:1,000; #9134, Cell Signaling Technology), rabbit anti-pY705-STAT3 (1:1,000; #9131, Cell Signaling Technology), mouse anti-STAT3 (1:2,000; 610189, BD Biosciences), rabbit anti-GFAP (1:1,000; G9269, Sigma-Aldrich), and mouse anti- $\beta$ -actin (1:50,000; A1978, Sigma-Aldrich).

## In utero electroporation

DNA solution in PBS containing 0.01% Fast Green was injected into the lateral ventricles of mouse embryos. Thereafter, electroporation (five 50-msec square pulse with 950-msec intervals; Nepa gene, CUY21-EDIT) was carried out with forceps-type electrodes (Nepa gene, CUY650P3 for E13, or CUY650P5 for E16 and E17). The electroporation voltage used was 40 V (E13) or 50–55 V (E16 and E17). Final concentrations of the plasmids used were as follows: 5  $\mu$ g/ $\mu$ l plasmids expressing GFP (pCAGIG), 0.5  $\mu$ g/ $\mu$ l DYRK1A, 0.5  $\mu$ g/ $\mu$ l DSCR1, 0.5  $\mu$ g/ $\mu$ l DYRK1A with silent mutations, 2  $\mu$ g/ $\mu$ l CAGmCherry, 5  $\mu$ g/ $\mu$ l GF1L-dGFP, 5  $\mu$ g/ $\mu$ l GF1L-SBSPM-dGFP, and 5  $\mu$ g/ $\mu$ l DYRK1A shRNA.

## Immunohistochemistry

Brains were fixed with 4% paraformaldehyde in PBS for 30 min at room temperature (for E17 brains) or 2 h (for postnatal brains) and cryoprotected in 30% sucrose in PBS overnight at 4°C. Thereafter, the brains were embedded in a solution of a 2:1 mixture of 30% sucrose/PBS and OCT compound (Sakura), frozen by liquid nitrogen, and stored at  $-80^{\circ}$ C until use. Thick cryosections (20 µm)

were made. Brain sections were washed with PBS, incubated with blocking solution (3% [w/v] BSA, 5% [v/v] FBS, and 0.2% [w/v] Triton X-100 in PBS) and then incubated with primary antibodies overnight at 4°C. The sections were then incubated with Alexa488/Cy3/Cy5-conjugated secondary antibodies overnight at 4°C and mounted in a Prolong Gold mounting solution (Invitrogen). For staining with Pax6, Cux1, MAC2, GFAP, and S100 $\beta$ , brain sections were pretreated with HistoVT One solution (Nacalai Tesque) for 15 min at 70°C. For staining with NeuN, brain sections were pretreated with a Tris/EDTA solution (10 mM Tris and 1 mM EDTA, pH 9.0) for 15 min at 70°C. Images were obtained with a 10× or 63× objective (Plan-Apochromat; Zeiss) on Zeiss LSM 5 confocal microscope.

## Cell culture and transfection

For analysis of expression levels of DYRK1A, STAT3, and its phosphorylated forms in cortical progenitors, E16 embryos were electroporated with plasmids encoding GFP, DYRK1A, and DYRK1A shRNA. Following electroporation, neocortical cells were prepared and cultured as described [57]. Neocortical cells were fixed at DIV1 or DIV2 with 4% paraformaldehyde in PBS for 30 min at 37°C, permeabilized with 0.2% Triton X-100 in PBS for 5 min, blocked with 3% BSA/0.2% Triton X-100 in PBS, and incubated with primary antibodies in the blocking solution at 4°C overnight. The coverslips were then incubated with Alexa-488/Cy3/Cy5-conjugated secondary antibodies for 2 h at room temperature and mounted in a Prolong Gold mounting solution (Invitrogen). Fluorescence images were obtained by using Zeiss LSM5 confocal microscope.

For clonal analysis, E13 or E16 embryos were electroporated with plasmids encoding GFP, DYRK1A, and DYRK1A shRNA, and then, neocortical progenitors were prepared immediately. The neocortical cells containing GFP-labeled cells were diluted with neocortical cells derived from the non-electroporated littermates. The cells were plated at a density of  $0.2 \times 10^6$  cells on sterile coverslips precoated with poly-D-lysine and laminin overnight in wells of 24-well plates. GFP expression was used to confirm that the electroporated cells were well isolated from each other so that clones could be unambiguously identified. The cells were maintained in DMEM/ F12 supplemented with N2, B27, and bFGF (20 ng/ml). Half of the medium was replaced with fresh medium at DIV2, and bFGF was added (final concentration 10 ng/ml) at DIV1 and DIV3. At DIV4, cultures were fixed and subjected to immunostaining. In CNTF-stimulating condition, CNTF is added (final concentration 50 ng/ml) 48 h before the cell fixation. Clones were divided into three groups: astroglial clones (GFAP-positive astrocyte-containing clones without Tuj1-positive cell), neuronal clones (Tuj1-positive neuron-containing clones without GFAP-positive cell), and progenitor clones (clones containing nestin- or Sox2-positive cells only). Note that mixed clone (clone containing both GFAP-positive and Tuj1-positive cells) was not observed under the condition. In the plating condition used, 20-60 clones per well were observed. Also, more than 100 clones are analyzed in each condition.

## STAT activity assay in cortical progenitors

E16 embryos were electroporated with the CAG-mCherry plasmid, either GF1L-dGFP or GF1L-SBSPM-dGFP plasmids, and various

plasmids as indicated. The embryos were harvested 24 h later. The
brain cryosections (20 μm) were immunostained with antibodies
against Pax6 and GFP. Images of GFP and mCherry in the individual
sections were obtained under identical parameters with a 63× objective (Plan-Apochromat; Zeiss) on a Zeiss LSM 5 confocal microscope. Mean fluorescence intensity of mCherry and GFP in the soma of individual cells expressing mCherry was measured using Zeiss
Zen2010 Software. In addition, the average background fluorescence intensity was measured in individual sections using areas with no

electroporated cells present and was subtracted from the mCherry and GFP intensity measurements. Cells with saturated signal were not used for the analysis. The ratio of fluorescence intensity of GFP to that of mCherry in individual cells was calculated and defined as STAT activity levels.

## Sholl analysis

All GFP- and GFAP-positive image stacks from transfected astrocytes were taken as described above. An overlay of concentric circles centered on the cell soma was positioned using Adobe Illustrator CS5, and all intersections of the GFAP-immunoreactive processes with the lines of the graticule were counted. The starting radius was 5  $\mu$ m and the ending radius was 55–100  $\mu$ m; the interval between consecutive radii was 5  $\mu$ m.

## Statistical analysis

All bar graphs were plotted as mean  $\pm$  SEM. Direct comparisons were made using a two-tailed Student's or Welch's *t*-test. The significance level was set at P < 0.05 for all tests.

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## Acknowledgements

We would like to thank Dr. Kazuhiro Yamakawa for Ts1Cje mice. We also thank Drs. Takahiko Matsuda, Kinichi Nakashima, and Anjen Chenn for plasmids. This work was supported in part by Grants-in-Aid for Young Scientists (B), Scientific Research (C) (N.K.), and Exploratory Research (K.S.) from the Ministry of Education, Culture, Sports, Science, and Technology of Japan, and research grants from the Mitsubishi Foundation, Takeda Science Foundation (N.K.), Daiichi Sankyo Foundation of Life Science (K.S.), and the Canadian Institutes of Health Research (M.D.N).

## Author contributions

NK performed experiments. NK, MDN, and KS designed experiments and wrote the manuscript.

## Conflict of interest

The authors declare that they have no conflict of interest.

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