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National Survey and Community Advisory Board Development for a Bipolar Disorder Biobank

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Abstract

Objectives—To engage a national advocacy group and local stakeholders for guidance in developing a bipolar disorder biobank through a web-based survey and a community advisory board.

Methods—The Depression and Bipolar Support Alliance and the Mayo Clinic Bipolar Biobank conducted a national web-based survey inquiring about interest in participating in a biobank (i.e., giving DNA and clinical information). A community advisory board was convened to guide establishment of the biobank and identify key deliverables from the research project and for the community.

Results—Among 385 survey respondents, funding source (87%), professional opinion (76%), mental health consumer opinion (79%), and return of research results (91%) were believed to be important for considering study participation. Significantly more patients were willing to participate in a biobank managed by a university or clinic (78.2%) than one managed by government (63.4%) or industry (58.2%; both p < 0.001). The nine-member community advisory board expressed interest in research to help predict the likelihood of bipolar disorder developing in a child of an affected parent and which medications to avoid. The advisory board endorsed the use of a comprehension questionnaire to evaluate participants' understanding of the study (e.g., longevity of DNA specimens, right to remove samples, accessing medical records) as a means to strengthen the informed-consent process.

Conclusions—These national survey and community advisory data support the merit of establishing a biobank to enable studies of disease risk, provided that health records and research

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results are adequately protected. The goals of earlier diagnosis and individualized treatment of bipolar disorder were endorsed.

Keywords

biobank; bipolar disorder; phenotype

Bipolar disorder is an impairing medical illness that is characterized by recurrent episodes of mania or hypomania and depression (1). Although bipolar disorder is highly heritable, with additive genetic effects contributing up to 85% of the variance in risk (2), the identity of all genes contributing to disease risk is not fully known, and diagnostic tests are not available. Both of these are important because onset of treatment for bipolar disorder is often delayed by more than a decade, frequently because of misdiagnosis (3, 4), and those with early onset generally have the longest delays to treatment, with overall poor outcomes (5, 6). In addition, pharmacogenomic predictors of treatment response (e.g., genetic variations influencing medication response phenotypes) could provide greater selectivity for treatment recommendations (7). Again, this is of great clinical value. Although multiple compounds from different classes (lithium, anticonvulsant mood-stabilizers, antipsychotics, and antidepressants) are available to treat bipolar disorder, no consensus exists for moodstabilizer selection (e.g., sequence of treatment, use in combination), and there is no individualized risk assessment for adverse drug outcomes (e.g., rash, antidepressant-induced mania) (8). Biomarker-based treatment algorithms could enhance outcomes and mitigate ineffective or suboptimal treatment trials.

Mayo Clinic has established a bipolar disorder biobank using state-of-the-art research technology to enable clinical and biomarker studies of both disease risk and treatment response. The Bipolar Biobank was initiated in 2009, and key collaborators include the Lindner Center of HOPE/University of Cincinnati and the University of Minnesota. Administrative oversight has been provided by the co–principal investigators (MAF and JMB.) and their Executive Committee, the Mayo Clinic Biospecimen Trust Oversight Group, and the Center for Individualized Medicine (9). The design, infrastructure, aims and research uses of the biobank, along with demographics and clinical features of the first enrolled participants are described elsewhere (10)

Consultation or partnering with advocacy organizations can contribute to the development, operation, evaluation, and support of bipolar biobanks. Additionally, community support by key stakeholders—those who can benefit from or be affected by biobanking research (e.g., patients, parents, at-risk children, community mental health centers, hospital centers, public school systems)—can help guide the discussion on biobank development and provide the framework for and facilitate translation and dissemination of research back to the community. In this study, the Bipolar Biobank, during the first stages of its establishment, engaged with national and local communities to collect preference data to guide the development, operation, evaluation, and support of the biobank.

Methods

The study was approved by the Mayo Clinic Institutional Review Board.

National survey

The biobank partnered with the Depression and Bipolar Support Alliance (DBSA), a national grassroots organization created and led by people with depression or bipolar disorder. The DBSA provides information, empowerment, support, and inspiration for people with mood disorders. We created a web-based survey inquiring about interest in participating in a genomics biobank (i.e., donating DNA linked with phenotypic data). The survey was posted in the Consumer and Family Survey Center on the DBSA website (www.dbsalliance.org) during all of 2010 and 2011(during the initial phase of the biobank). Website visitors were asked questions related to their self-reported diagnosis, demographics, history of research participation, and factors that might influence participation in genomic research. Responses to various questions were recorded as yes/no, level of importance (very, somewhat, not very, not, unknown), level of agreement (strongly agree, agree, not sure, disagree, strongly disagree, unknown) (Table 1). Data were summarized using frequency distributions. Chi-squared tests and McNemar tests for matched pairs were used for statistical analyses (SAS, version 9.3, Cary, NC, USA).

Development of community advisory board

A community advisory board based at Mayo Clinic in Rochester, Minnesota, was created during the initial establishment of the Biobank to review initial goals of the biobank and to advise investigators. The members were selected through direct invitations based on established community leadership position or acquaintance with members of the research team. We intended to have representation from community support groups (such as Depression/Bipolar Support Alliance, National Alliance for the Mentally III), patients with Bipolar disorder and different professionals in health care who interact with patients with bipolar disorder. The original nine-member community advisory board consisted of five men and four women, with an average age of 45.8 years. All members had personal (one member with established bipolar disorder diagnosis) or family experience with bipolar disorder. Occupations included attorney, clinical assistant, editor, engineer, physician assistant, registered nurse, sales consultant, and high school teacher.

The board met twice per year to evaluate milestones achieved [i.e., the sample size of the collection (first 100, first 500, first 1,000)] and problems encountered. The opportunity to build a bipolar disorder biobank resource was first introduced to the advisory board members, who were then asked their opinions about which important issues and outcomes the biobank should attempt to address. Actual biobank recruitment materials and consent documents were reviewed. The opinions of the community advisory board were recorded based on informal discussions lead by the research staff and principal investigator. A previously developed 10-item comprehension questionnaire to evaluate participants' understanding of the study was reviewed by the advisory board (Fig. 1). The comprehension questionnaire addressed the potential impact of the research (including no guarantee of symptom improvement), absence of placebo and study-specific treatment, longevity of DNA specimens, right to remove samples, accessing medical records, and clarification of family access to the genetic material in the event of a participant's death.

Results

National survey

A total of 385 persons completed the survey. The mean age of the respondents was 46.5 years (range: 14–72 years), 80% were female, and 90% were patients (10% were family or friend). Self-reported diagnoses included bipolar disorder (58%) and major depression (32%). Educational background included completion of high school (37%), college (36%), or advanced degree (22%), and unknown (5%). Of the respondents, 85% had heard of clinical research, 20% had participated in a clinical research study, either for mental health or other health conditions, and 19% had been referred or recommended to participate in a research study.

Features of biobank design that affected intent to participate in research included funding source, professional and consumer opinion, composition of the research team, and sharing the results of research. Specifically, factors that a majority of respondents believed to be important for their decision included: source of funding for the study (87%: very = 57%, somewhat = 30%); what professionals in the mental health field, but not involved in the study, thought about the merits of the study (76%: very = 31%, somewhat = 45%); what persons with mental illness thought about the merits of the study (79%: very = 36%, somewhat = 43%); whether mental health consumers are part of the research team (80%: very = 47%, somewhat = 29%), and right to withdraw from the study (95%: very = 82%, somewhat = 13%).

Respondents rated several factors when considering research participation, including altruism, patient confidentiality, potential impact on insurance coverage, and type of study (e.g., pharmacologic, talk therapy, genetic). A majority of respondents agreed that their research participation would be based on whether they found the study to be interesting (84%: strongly agreed = 46%, agreed = 38%) and agreed to research participation if it would help others or themselves in the future (97%: strongly agreed = 76%, agreed = 21%). Much smaller percentages of participants worried about confidentiality in research participation (40%: strongly agreed = 17%, agreed = 23%) or worried that research participation might affect their insurance coverage (30%: strongly agreed = 14%, agreed = 16%).

Interest in research participation differed by the type of study. Likeliness to participate was lower for research investigating a new medication (62%: very = 28%, somewhat = 34%) than for a new talk therapy (87%: very = 68%, somewhat = 19%) or DNA sample for genetic research (70%: very = 36%, somewhat = 34%). Survey respondents (77%: very = 46%, somewhat = 31%) to participate in research that involved financial compensation. Specific to participating in research that involves genetics, the following statement was included in the survey: '*To study mood disorders, DNA samples are needed from large numbers of people. This means that the researchers who are trying to collect samples might be asked to collaborate and share this information with other people or institutions who are conducting similar research studies.*' Given this, 86% of respondents were willing (very = 62%, somewhat = 24%) to participate in research that involved DNA from either a blood test or saliva sample. The percentage of patients willing to participate in a biobank managed

by a university or clinic (78.2%) was significantly higher than that for a biobank managed by government (63.4%) or industry (58.2%; both p < 0.001) (Fig. 2).

Development of community advisory board

With an understanding that science is dynamic and issues arise that can affect study recruitment and results, the advisory board expressed interest in research to help predict whether a child of a person with bipolar disorder was at risk for the condition and which medications certain patients should avoid. These comments aligned with our goal of studying risk to allow earlier diagnosis and individualized treatments. The advisory board believed the consent form was informative and that the 10-item comprehension questionnaire added a sense of protection and strengthened the informed consent process. After review of procedures, the advisory board believed that the current study participants' privacy, welfare, and health were adequately protected throughout. Recommendations were made to consider an electronic consent process and to develop an educational DVD about the biobank study, with the goal of engaging a younger audience and evaluating whether this mode of information delivery might be associated with greater understanding of informed consent.

Discussion

Results from our survey, facilitated by the largest national advocacy group for bipolar disorder, suggest that personal interest and altruism are major factors that drive potential participation in genomic research. Interest and altruism overcame concerns such as breach of confidentiality or potential effects on insurance coverage. Respondents were interested in biobank participation provided that both stakeholder groups—clinical researchers not associated with the actual study and patient stakeholders themselves—had endorsed the study. The differential interest in participating in research conducted by universities or clinics, compared with government or industry, may be related to a perceived conflict of interest or more local management or accessibility. Some similar studies show that patients have concerns about government or for-profit industry (11–13). The survey, however, did not allow for greater explanation as to choice of administrative oversight of the biobank.

To our knowledge, outside of Johns Hopkins and University of Michigan Prechter Bipolar Genetics Repository there are very few bipolar disease biobanks actively recruiting with simultaneous recruitment of healthy controls in the public domain (14, 15). The PGC (Psychiatric Genomics Consortium) is a large consortium of bipolar genomic data from multiple studies/sites and does not have a unified community advisory process (16). While there may be bipolar biospecimen repositories associated with clinical drug development and industry sponsored clinical trials in bipolar disorder, they have not focused on disease risk candidate genes or genome wide association studies. Given the sparsity of comparison bipolar biobank community advisory boards in the USA, we are unable to compare our results with other similar studies.

However, our results are comparable to national and international surveys about biobank participation. In a deliberative engagement model that relies on consulting the public through democratic deliberation, 78% of self-identified African Americans before, and 81%

after, were very or somewhat interested in participating in a study that would collect DNA samples for future research (17). Overall willingness to participate in a biobank in a pan-European study was 46%; there was however great range of interest with highest and lowest level of willingness from Iceland (93%) and Turkey (24%) respectively (13). Further research is encouraged to clarify whether this variability in biobank participation is related to regional bias in the literature (most of the studies focus on north-western Europe), or concerns about security/privacy, or governance of biobanks. To assess the community support of Biobanks based on specific disease/research area, a community sample of 393 Michigan residents were engaged in a series of quantitative and qualitative surveys. The specific disease area to pursue and not pursue varied greatly; cancer research was the most supported research field (n = 266 pursue; n = 13 not pursue) and mental illness was the least supported research field (n = 1 pursue; n = 32 not pursue) (18). It is important to remember the composition of this random community sample with little interest to pursue mental illness biobanking versus our survey respondents who self-identified as having a mood disorder.

The community advisory board endorsed the biobank's goal of studying risk to allow earlier diagnoses and individualized treatment. Overall, the advisory board felt that the participants' privacy, welfare, and health were adequately protected. The comprehension assessment evaluating the adequacy of understanding, which was reviewed and validated by the community advisory board, has become part of the informed consent process for all research participants. The clinic-based community advisory board, taken from a small community of 100,000 people in a large referral medical practice, is unique in its composition. The community is highly educated, and most households are directly or indirectly linked to the health care industry. The advisory board engaged key members of the bipolar stakeholder community. We are aware of at least one patient with bipolar disorder who was a member of our advisory board. No active patients were involved in the development of the biobank. We anticipate having future community advisory board meetings, which will focus on review of our genomic research results and return of incidental research findings (19, 20).

Biobank-based research has the potential to transform the diagnosis and treatment of bipolar disorder. Earlier work from the DBSA encouraged increasing public health efforts to promote early diagnosis and treatment with adequate trials of mood-stabilizers for patients with frequent recurrences. This call to action was driven primarily by data suggesting that early manifestation of illness without accurate diagnosis is associated with great hardship for the individual and his/her family (3, 4). Early examples of genomic technology affecting psychiatric clinical practice, by prompting a US Food and Drug Administration drug safety communication revision, include the dosing guidelines for citalopram (cytochrome p450 2C19 poor metabolizer phenotype associated with QTc prolongation) (21) and preemptive genetic testing before carbamazepine use in patients of Chinese ancestry (HLA-B1502 association with Stevens-Johnson syndrome) (22, 23). Efforts are also under way to identify pharmacogenomic predictors of lithium response (24) and antidepressant-induced mania (25, 26).

The survey is limited by sample size, the survey not being pre-tested and lack of detailed demographics of survey respondents (both overall and compared with DBSA members who

did not access the survey). While 80% of the survey participants were female, this is not uncommon health behavior knowing that females are more likely to seek health care for themselves and their household (27). However, through a nationally based consumer group —therefore, one of the strongest stakeholders in biobanking initiatives—this study provides a cross-sectional view of potential drivers of research participation. On the other hand, the methodology of selecting the advisory board members was not a random sampling method; instead, the members were selected through direct invitations.

Although the potential for biomarker-based personalized medicine is clear with regard to transforming clinical practice, several current barriers to full utilization must be addressed. Some barriers include the need for test results in real time to guide treatment selection at the point of care; development of an electronic medical record that can integrate large-scale genomic data with clinical decision support tools; and a wide array of financial, insurance, and ethical issues (28). Community involvement and discussion among people living with bipolar disorder will be critical for these advances in medicine.

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PARTICIPATION COMPREHENSION ASSESSMENT

Date Completed (mm/dd/yyyy): ____ /___ /___ ___

Please respond to the following items as they relate to your understanding of your participation in the Bipolar Biobank. Circle either TRUE or FALSE for each statement.

				If response was incorrect, initial & date corresponding box indicating discussion occurred
1.	Participating in the Bipolar Biobank will make my bipolar illness better.	TRUE	FALSE	
2.	Participating in the Bipolar Biobank involves taking a study drug for my bipolar disorder.	TRUE	FALSE	
3.	Researchers might contact me in the future about completing another questionnaire for the Bipolar Biobank.	TRUE	FALSE	
4.	My samples and personal medical information will be kept in the Bipolar Biobank forever, even after I die.	TRUE	FALSE	
5.	I have the right to withdraw my consent to participate in the Bipolar Biobank and have my samples destroyed.	TRUE	FALSE	
6.	If I withdraw my consent to participate and have my samples destroyed and block access to my medical record, it will be honored from that time point forward. I know that my request cannot be honored for research already completed.	TRUE	FALSE	
7.	I can choose which research studies my samples and personal medical information will be used for.	TRUE	FALSE	
8.	The results of the research tests performed on my samples will be put in my medical record.	TRUE	FALSE	
9.	The research team is taking measures to ensure the confidentiality of all information I provide the Bipolar Biobank with.	TRUE	FALSE	
10.	My primary provider may also be a study investigator. This does not influence the care I receive from my provider.	TRUE	FALSE	

Fig. 1.

Participation comprehension assessment.

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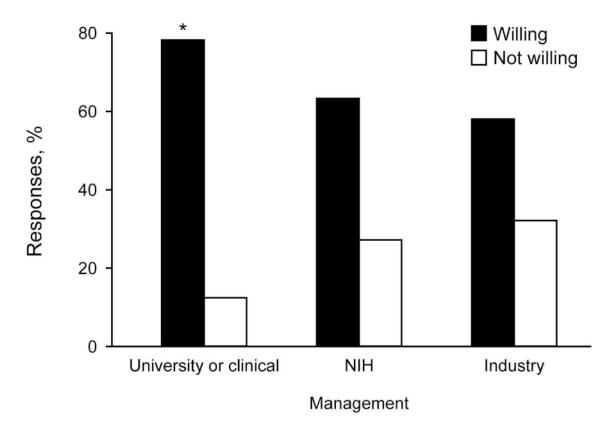


Fig. 2.

Willingness to contribute to a Bipolar Biobank by location or type of management (n = 385). For each category, 9.6% of respondents did not answer the question or their response is unknown. Respondents were significantly more willing to participate in a biobank managed by a university/clinic than by government [National Institutes of Health (NIH)] or industry (McNemar test for matched pairs, both p < 0.001). *p < 0.001 in a χ^2 test of independence.

Table 1	
Depression and Bipolar Support Alliance web-based survey questions	

Questions	Answers		
Familiarity or previous participation in clinical research			
Have you ever heard of clinical research?	Yes, no, not sure, unknown		
Have you ever participated in a clinical research study, either for mental health or other health conditions?			
Have you ever been referred, or recommended, to participate in a clinical research study?			
Information that supports consideration for participation	•		
Who is paying for the study?	Very important, somewhat important, not very important, not important, unknown		
What other professionals who are not conducting the study say about it?			
What other mental health consumers say about the study?			
Whether mental health consumers are part of the research team?			
Whether the study's results will be sent to you?			
If you participated in research that included your DNA, how important would it be to you to maintain control of your samples and personal information, including the right to withdraw from research?			
Personal reasons that may influence your participation			
I think it would be interesting?	Strongly agree, agree, not sure, disagree, strongly disagree,		
I would like to help others or myself in the long run?	unknown		
I am worried about confidentiality?			
I am worried that it might affect my insurance coverage?			
Information about the clinical research that may influence your partic	ipation		
If the clinical research was testing new medication(s) for your condition	Very likely to participate, somewhat likely to participate, not sure, unlikely to participate, very unlikely to participate, unknown		
If the clinical research was testing a new talk therapy for your condition			
Required you to provide tissue or DNA sample for genetics research			
If there is financial compensation			
If you were asked, how willing would you be to contribute DNA (from a blood test or saliva sample) for research?			
How willing would you be to share your DNA and personal information with researchers working in the pharmaceutical industry?			
How willing would you be to share your DNA and personal information with DNA repositories stored at the National Institutes of Health (NIH) sponsored by the federal government?			
How willing would you be to share your DNA and personal information with researchers at a university or academic health center?			