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REVIEW

Eosinophilic esophagitis: From pathophysiology to treatment

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Abstract

Eosinophilic esophagitis (EoE) is a chronic immune disease, characterized by a dense eosinophilic infiltrate in the esophagus, leading to bolus impaction and refluxlike symptoms. Traditionally considered a pediatric disease, the number of adult patients with EoE is continuously increasing, with a relatively higher incidence in western countries. Dysphagia and food impaction represent the main symptoms complained by patients, but gastroesophageal reflux-like symptoms may also be present. Esophageal biopsies are mandatory for the diagnosis of EoE, though clinical manifestations and proton pump inhibitors responsiveness must be taken into consideration. The higher prevalence of EoE in patients suffering from atopic diseases suggests a common background with allergy, however both the etiology and pathophysiology are not completely understood. Elimination diets are considered the firstline therapy in children, but this approach appears less effective in adults patients, who often require steroids; despite medical treatments, EoE is complicated in some cases by esophageal stricture and stenosis, that require additional endoscopic treatments. This review summarizes the evidence on EoE pathophysiology and illustrates the safety and efficacy of the most recent medical and endoscopic treatments.

Key words: Eosinophilic esophagitis; Eotaxin; Immune system; Proton pump inhibitors-responsive eosinophilia; Endoscopic dilation

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Core tip: Eosinophilic esophagitis (EoE) is a chronic immune disease, characterized by a dense eosinophilic infiltrate in the esophagus, leading to bolus impaction and reflux-like symptoms. The pathophysiology of this



entity is still unclear, however the involvement of both genetic and immune factors have been suggested. In this review we summarize the evidence on EoE pathophysiology and illustrate the safety and efficacy of the most recent medical and endoscopic treatments.

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INTRODUCTION

The eosinophilic esophagitis (EoE) is a chronic immune disease, characterized by a dense eosinophilic infiltrate into the esophagus. Dysphagia and food impaction episodes are recognized as the main symptoms of EoE in adults, however regurgitation, chest pain and heartburn may also be referred^[1].

Considered as a pediatric disease until few years ago, it is now clear that EoE may also occur in adults, especially young Caucasian man^[2]. A rapid increase of incidence in the last decades has been registered, however a retrospective study^[3] on biopsies collected between 1982 and 1999 revealed that the incidence of EoE appears stable, suggesting that the higher rate of new diagnosis depends, almost in part, on the improved disease recognition. African, West Asian and South American population share a low prevalence rate, but the real incidence of EoE in these countries remains unclear^[4,5].

The EoE-symptoms pattern is heterogeneous and although dysphagia and food impaction are frequently reported, patients may also complain of typical and atypical gastroesophageal reflux disease (GERD) symptoms, leading to a delay in the diagnosis.

Endoscopic features, such as esophageal rings, white exudates or plaques, longitudinal furrows, diffuse esophageal narrowing, and mucosal fragility, may help the diagnosis, but histological identification of predominant eosinophilic-inflammation, with more than 15 eosinophils for high power field, represents the main diagnostic criterion for EoE (Figure 1 and Table 1). Nevertheless, the histological evidence of esophageal eosinophilia in a subset of proton pump inhibitors (PPI) responder patients further complicates the diagnosis. Previously considered a GERD-subtype, actually, PPI-responsive esophageal eosinophilia (PPI-REE) is considered a different entity, not distinguishable from EoE^[1,6]; in the Figure 2 it is summarized the diagnostic algorithm in case of suspected EoE.

The pathogenesis of EoE is unknown, but it is supposed to be multifactorial with genetic, immunologic and environmental factors being all involved. There is evidence that EoE is more prevalent in patients suffering from food-allergy, rhinitis, asthma or atopic

dermatitis^[7,8]. Interestingly, all these pathologies share an altered immune response to common antigens, which determinates an aberrant Th₂-response, and, hence, the uncontrolled activation of eosinophils, mast cells and basophils^[9-13].

Specific allergy testing, or empiric elimination diets represent the first line therapy in children with $EoE^{[1,14]}$. Topical corticosteroids are considered the mainstay of therapy for adult patients^[1,15], while systemic steroids are reserved to patients with persistent eosinophilia^[1]. Besides its central role in the diagnosis of EoE, endoscopy has also of great impact on the treatment of EoE fibrotic complications^[1,16].

This review summarizes the most recent evidence on the pathogenesis of EoE, focusing on the role of genetic and immunologic factors and illustrates the safety and efficacy of the most recent medical and endoscopic treatments.

PATHOPHYSIOLOGY

Genetic factors

The higher risk of EoE in familiars of affected patients supports the hypothesis of genetic predisposition. The latest familial study $^{[17]}$ estimated that 2.4% of proband siblings' also had EoE, with a 40-folds higher risk, than general population.

The incidence of EoE is higher in monozygotic twins (41%), but the observation that the disease also occurs in 21% of dizygotic twins suggests a role for environmental factors, especially in the early-life^[17]; by using a complex statistical model it has been estimated that the contribution to the familiar risk depends by hereditability and exposure to common environment for 14.5% and 80%, respectively^[17].

Three different approaches have been used to identify the genes involved in EoE predisposition: The association with Mendelian syndromes, the search for a specific gene and the genome-wide association studies^[18] (Table 2).

An increased prevalence of EoE has been reported in patients affected by hypermobile connective tissue diseases, like Marfan, Ehler-Danlos and Loeys-Dietz Syndromes; interestingly, these pathologies are characterized by a defective transforming growth factor (TGF)- β pathway, and the observation that this factor is increased in the esophagus of both syndromic and not EoE likely supports its causative role[19-21]. A major risk of EoE has been also described in some pro-allergenic Mendelian diseases, like the "Iper-IgE syndrome" and an autosomal dominant disease belong to Mast-cell Activation syndromes, characterized by high levels of mast cell tryptase, this association strongly suggests the pathogenic association between EoE and atopic diseases^[22]. This concept is further supported by the association between EoE and a rare syndrome characterized by severe atopic dermatitis, multiple allergies and metabolic syndrome (SAM); this syndrome is characterized by a mutation of desmoglein-1 gene's[18,23], whose expression

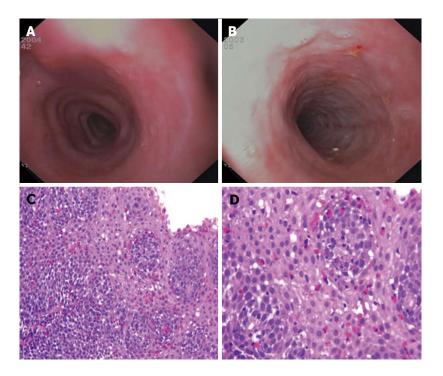


Figure 1 Endoscopic and microscopic findings in eosinophilic esophagitis. A: Esophageal rings; B: White exudates, longitudinal furrows and mucosal fragility; C and D: Esophageal mucosa infiltrated by several eosinophils (red cells) (C: Original magnification HE 150 ×; D: HE 400 ×).

Table 1 Endoscopic and histological features of eosinophilic esophagitis

Endoscopic features

Esophageal rings

White exudates or plaques

Longitudinal furrows

Diffuse esophageal narrowing

Mucosal fragility

Histological features

Eosinophilic infiltration (\geq 15 eos/hpf)

Eosinophilic degranulation

Basal zone hyperplasia

Eosinophilic micro-abscesses

Spongiosis or dilated intercellular spaces

Intramucosal lymphocytes

is significantly reduced in idiopathic EoE^[24].

Candidate-gene identification studies allowed identifying the putative factors associated with EoE in non-Mendelian syndromes. A single-nucleotide polymorphism (SNP) in the gene CCL26, encoding for the eotaxin-3, was associated with EoE^[25]. This protein is overexpressed in esophageal epithelial cells of affected patients, and plays an important role in the chemotaxis of eosinophils^[26,27]. Similarly, a SNP in the gene encoding for the filagrin, a structural membrane protein involved in epithelial cells-extracellular matrix interaction has also been identified^[18]. Several genes were found to be associated with EoE by genoma wide association studies. In one of the most recent report[28] a significant association between a locus on 5q22, encoding for the cytokine thymic stromal limphopoietin (TSLP) and EoE was reported. Although the specific mechanism remains, yet, to be clarified, TSLP has been demonstrated to have a pivotal role in the activation of basophils in human and animal models of EoE^[29,30]. In the same study^[28] also the *CAPN14* gene, encoding for the calpain subfamily of proteolytic systems, was identified; more precisely this protein, that is specifically expressed by epithelial cells of the esophagus, is activated by interleukin (IL)-13 and participates to inflammatory process^[28].

Immune system factors

The EoE is characterized by a prevalent eosinophilic infiltrate in the lamina propria and submucosa of the esophagus. The precise mechanisms of such localized inflammatory reactions are not recognized yet, but it is suggested that different cytokines are involved in the maturation and migration of eosinophils. In particular, IL-5, IL-13 and granulocyte-macrophage colonystimulating factor are produced by different cell types, included esophageal epithelial cells, after an appropriate stimulation by the antigen-presenting cells (APCs)[31]. As shown in Figure 3, the evidence that in EoE patients there is desmoglein-1-dependent altered barrier function^[23] have led some authors to hypothesize that the increased permeability of esophageal epithelium could facilitate the passage of different antigens, that causes the activation of APCs and invariant natural killer T-cells. These cells, if properly stimulated, are able to prime a Th2 immune response, by the production of IL-13 and IL-4^[32]. However, whether the barrier impairment represents a primum movens, or an epiphenomenon in the context of the eosinophilic-inflammation remains unclear. Sherrill et al[33] have demonstrated that esophageal epithelial cells express toll-like receptors, whose antigens-mediated activation, through the production of IL-5 and IL-13^[34], is able to trigger a Th₂-



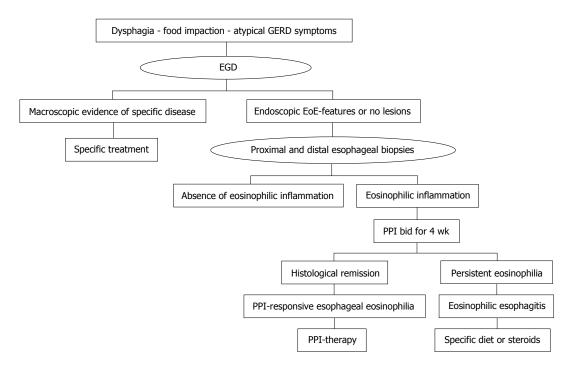


Figure 2 Diagnostic flow-chart of eosinophilic esophagitis. GERD: Gastroesophageal reflux disease; EoE: Eosinophilic esophagitis; PPI: Proton pump inhibitors; EGD: Esophagogastroduodenoscopy.

Table 2 Genetic factors involved in eosinophilic esophagitis			
Genes	Encoded protein	Mechanism of action	Ref.
Mendelian syndromes			
FBN1 (Marfan syndrome)	Fibrillin	Alteration of TGF-β pathway	[19]
COL (Elher-Danlos syndrome)	Collagen	Alteration of TGF-β pathway	[19]
TGFBR (Loeys-Dietz syndrome)	TGF-β-promoters	Alteration of TGF-β pathway	[21]
STAT3 (Iper-IgE syndrome)	Transcription activator 3	Aberrant cytokines production	[18]
DSG1 (SAM)	Desmoglein1	Loss of cell-cell adhesion	[23]
EoE-associated genetic variants			
CCL26	Eotaxin-3	Eosinophilic chemo-attraction	[25]
FLG	Filaggrin	Epithelium-ECM interaction	[18]
TSLP (5q22)	TSLP	Basophils chemo-attraction	[28,29]
CAPN14	Calpain 14	Proteolytic effects	[28]

TGF: Transforming growth factor; ECM: Extracellular matrix; SAM: Severe atopic dermatitis, multiple allergies and metabolic syndrome.

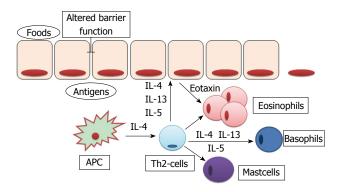


Figure 3 Pathophysiological mechanism involved in eosinophilic infiltration of esophageal mucosa. IL: Interleukin; APC: Antigen-presenting cell.

response with the production of other cytokines and the proliferation of eosinophils, T-cells, mast cells and basophils[31].

The increased release of these cytokines in both the esophagus and the blood of EoE patients has been demonstrated in different studies^[35,36], and, although need to be further clarified, their role in the pathogenesis of EoE appears to be fundamental.

IL-4 and IL-13 are able to prolong the T-cell survival and to increase eosinophilic migration through the release of Eotaxin-3 and TSLP by epithelial cells^[37]; recently, Zhu *et al*^[38] found that IL-15 is also enhanced in EoE and, most interestingly, they demonstrated that IL-15 receptor deficient mice are protected from EoE, but not from other allergic diseases, supporting a specific role of IL-15 in the "allergic-pathway" of esophagus. Although the eosinophilic infiltration represents the main characteristic, it is of relevance that other immune cells, like basophils and mast cells, are also involved in the

pathogenesis of EoE and they likely contribute to the amplification of the esophageal inflammatory response and mucosal damage $^{[18,20,29]}$.

Mechanisms of mucosal damage and fibrosis

Food impaction and dysphagia are the main symptoms in patients with EoE and these are a direct consequence of the esophageal mucosa remodeling and fibrosis.

Eosinophils synthetize and release many proteins and mediators, in particular major basic protein (MBP), eosinophil cationic protein, eosinophil peroxidase, eosinophil-derived neurotoxin, TGF- β , IL-13 and plateletactivating factor. Although all these mediators play a key role in the tissue damage and remodeling, the most robust data are about MBP and TGF- $\beta^{(37)}$.

MBP is able to directly damage epithelial cells, but also to induce mast cells degranulation, increasing the release of proteolytic enzymes, tryptase and chymase, that further participate to the deconstruction of the extracellular matrix^[39]; MBP is also able to stimulate the production of fibroblast growth factor-9, leading to fibroblasts proliferation and activation^[40]. Similarly, TGF-β induces both fibroblasts activation and contraction, causing their transformation into myo-fibroblasts^[41]; in addition at high concentration TGF-β stimulates epithelial cells to assume phenotypical characteristic of fibroblasts, a process named epithelial-mesenchymal transition^[42]. These mechanisms act synergistically, determining the altered synthesis of extracellular matrix proteins, such as collagen, tenascin-C and metalloproteinases^[43]. In recent studies the periostin, that is highly expressed in the esophagus of EoE patients, has been proposed as a major determinant of extracellular matrix alteration and epithelial barrier function impairment, because of its ability to determine collagen cross-linking and to bind several matrix proteins and integrins^[44].

As mentioned above, other immune cells rather than eosinophils participate to the inflammatory response described in the EoE; recently, the ability of epithelial and mesenchymal cells to synthetize and release different molecules has been also suggested to contribute to tissue damage^[12,20,45]. In addition, some authors found a correlation between the inflammatory cells infiltrate and enteric neurons alterations, but the role of enteric nervous system impairment in the process of mucosal damage has not been clarified, yet^[46].

THERAPEUTIC OPTIONS

The "3 D-approach" summarizes the three major treatments for EoE: Diet, drugs and dilation. Although clinical remission represents a good parameter to evaluate the effectiveness of therapy, the endoscopic and histological response is required. The main endpoint of diet and drug therapies is the resolution of both symptoms and inflammation, however the complete remission is rare, and the relief of esophageal symptoms in association with a significant reduction of mucosal eosinophilia should be considered a good response^[1].

Dietary therapy

Specific dietary approaches are considered the first-line therapy in the treatment of EoE in children, due to the lack of adverse effects and the high rate of response. Three different dietary patterns may be used: (1) elemental diet; (2) allergy test-based diet; and (3) empiric elimination diet^[47].

The elemental diet demonstrates the high rate of response (almost 90% in children, 70% in adults), with a rapid relief of symptoms associated with histological remission. This diet contemplates the use of aminoacid based liquid formulas for 4-6 wk, followed by the histological evaluation of response. If the remission is achieved, foods are slowly reintroduced, following a strict scheme which contemplates four different food groups, based on their allergenic potential. A single food of each group should be reintroduced every 5-7 d; in absence of symptoms' recurrence, the endoscopic and histological evaluation should be performed before starting the reintroduction of foods from another group. If a specific food determinates esophageal symptoms, it should be excluded and the next food of the same group tested. Despite the high rate of response, this diet is rarely accepted by patients^[48,49].

The allergic-test driven diets showed a rate of response of 70% in children, using the combination of both skin prick and patch tests to identify trigger-foods; this dietary pattern allows the elimination of specific foods, enhancing the compliance, however atopy patch test are not universally accepted for food allergy^[50,51]. Moreover, given that the rate of response to this diet in adults is lower, this approach is not recommended in this patients.

The empiric diets, instead, are based on the exclusion of the most allergenic foods, such as cow's milk protein, soy, wheat, egg, peanut/tree nut, and fish/shellfish, independently on allergic tests. This dietary therapy has shown a rate of response of almost 70% in both children and adults. However, if the remission is achieved, an endoscopic and histological evaluation should be performed after the reintroduction of each food^[52,53].

In conclusion, although the exclusion of triggerfoods allows a long-term remission without drugs, the high cost of such diets, especially aminoacid basedformulas, the poor compliance and the need for multiple endoscopies, represent important disadvantages for dietary therapy.

Corticosteroids

Several studies have demonstrated the efficacy of systemic corticosteroids, such as prednisolone or methylprednisolone, in the remission of both symptoms and eosinophilic infiltration, however the recurrence after the tapering is usually observed. Long-term therapy with corticosteroids is limited by the important adverse effects, hence systemic steroids may be used to rapidly induce remission, but a different maintenance therapy has to be set^[54]. Topical steroids, in particular fluticasone



and budesonide, have shown a good rate of response, reducing adverse effects also during long-term treatment^[55,56]. Due to the lack of specific dispenser, multidose inhalers should be used, and patients have to be instructed to swallow, rather than inhale, the product^[57]. A viscous compound of budesonide and sucralose, has also been tested, obtaining excellent results^[58]. Topical steroids have shown a good safety profile, and are actually considered the first-line therapy, after the PPI trial, in EoE patients. However, some cases of esophageal candidiasis and herpes infections have been described^[59,60].

PPI

When the first consensus guidelines for EoE was published in 2007, a physiological 24h-pH-metry and the persistence of symptoms despite PPI therapy were considered major criteria for diagnosis of EoE. In the last years, several studies have shown that almost 40% of patients with clinical, endoscopic and histological features of EoE responds to PPI therapy, independently on the results of 24 h-pH-metry^[61,62].

These data questioned the relationship between GERD and EoE, introducing a novel entity, named PPI-REE, in the consensus of 2011^[63]. Actually considered more a subtype of EoE, rather than atypical GERD, this pathology is defined by the presence of all hallmarks (clinical, endoscopic and histological) of EoE, associated with a complete (clinical, endoscopic and histological) remission during PPI therapy^[1]. Considering that different studies have demonstrated that patients with PPI-REE share the same genetic and phenotypic background of EoE-subjects, nowadays PPI bid is considered the first-line therapy in all patients with EoE features^[1,64].

PPI-REE opened a new field of research, focused on the role of reflux in the pathogenesis of esophageal eosinophilia^[65]. The hypothesis that gastroesophageal reflux, even if not pathologic, could enhance epithelial barrier dysfunction, allowing the passage of multiple antigens through the mucosa, has been proposed and evaluated. Different studies have demonstrated that gastric reflux is able to enlarge intracellular space, and the increased transit of molecules through esophageal epithelium has also been observed in both GERD and EoE^[66,67]. Accordingly, the exposition of several antigens to APC may induce, in predisposed subjects, a cascade of events, triggering a Th2-response, and, consequently, the eosinophilic infiltration. In this case the therapeutic effects of PPIs, will depend on their ability to favorite the regeneration of epithelial barrier, reducing the antigen exposition and, hence, the amplification of immune response.

According to others, also GERD, as EoE, represents an immune-mediated pathology, in which acid reflux stimulates the release of cytokines, inducing a specific Th₁-response. Therefore, the activation of immune system and the production of toxic mediators determinates mucosal erosions, rather than the direct caustic action of acid^[68]. These authors suggest that in "atopic-subjects",

the typical response to acid reflux switch to a Th2-response, causing an EoE-like damage of the esophagus. Therefore, the reduction of immunogenic trigger, by the inhibition of acid secretion, will determinate the resolution of damage. Furthermore, a direct anti-inflammatory effect of PPIs has been recently demonstrated. In particular, different studies have pointed out the reduction of eotaxin 3 and Th2-mediators, after treatment with PPI in the mucosa of patients with diagnosis of both EoE and PPI-REE. These events have been observed both *in vitro* and *in vivo* experiments, suggesting that PPIs anti-inflammatory action is acid-independent [69-71].

Summarizing, at the baseline, is not possible to discriminate between EoE and PPI-REE, and, although the probability of PPI response in patients with pathologic 24 h-pH-metria is higher, PPIs trial still represents the only instrument to differentiate these entities (Figure 2)^[72,73].

Other pharmacological treatments

Given the association with other atopic diseases, leukotriene antagonist and mast cells stabilizers, such as montelukast and cromolym, have been tested in the treatment of EoE, however all studies showed a poor efficacy of these drugs in both symptomatic and histological remission^[74].

Specific antibodies against IL-5 and IgE were also tested, especially for treating steroid-refractory patients. However, despite the initial promising results, the controlled clinical trials have shown a similar response in treated and placebo subgroups^[75-78]. Interestingly, a recent trial testing a human antibody against IL-13 showed a significant improvement of symptoms and eosinophilic infiltration, however the primary endpoint, the reduction of 75% of esophageal eosinophils, have been not achieved^[79].

The failure of such specific therapy likely depends on the redundancy, in fact multiple cytokines share similar effects, allowing the persistence of inflammation after the blockage of single molecules.

Endoscopic treatment

Due to the fibro-stenotic evolution, strictures are frequently found in patients affected by EoE, hence endoscopic dilation have a key role in the treatment of this entity^[1]. Several studies have shown the efficacy and safety of esophageal dilation, independently on the chosen technique. Wire-guided bougies, through-the-scope balloons, and non-wire-guided bougies have been indistinctly used^[80,81]. Nowadays, there are not available data on the best endoscopic technique, because comparing studies are lacking.

Due to the long-term efficacy of this procedure in the treatment of EoE-related dysphagia, some authors have proposed dilation as initial therapy, however a recent study have demonstrated that fluticasone inhaler, followed by dilation if necessary, is the most economical initial strategy. Moreover, although dilation rapidly improve symptoms, this procedure did not influenced



esophageal inflammation, hence further therapies should be set after the treatment^[82].

CONCLUSION

EoE represents a multifactorial disease, in which both genetic predisposition and environmental factors contribute to disease manifestations. Although in the last years many studies have been performed, its pathophysiology remains unclear, likely reflecting the heterogeneity of disease phenotype.

The EoE-symptoms pattern is heterogeneous, dysphagia and food impaction are frequently referred, however also atypical GERD symptoms may be reported. The histological identification of a prevalent eosinophilic esophageal infiltrate represents the major diagnostic criterion for EoE, however only the PPI-trial allows to distingue EoE from PPI-REE.

The good response to diet therapy in children, supports the role of food as a major trigger factor, leading to define EoE a subtype of food-allergy. For this reason, elimination diets and corticosteroids represent the mainstay of EoE-therapy, while endoscopic dilation have a key role in the treatment of fibrotic complication.

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