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IGFBP-3 Gene Methylation in Primary Tumor Predicts Recurrence of Stage II Colorectal Cancers

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Abstract

Objectives—To evaluate the influence of *IGFBP-3* methylation on recurrence in patients with stage II colorectal cancer (CRC) from 2 independent cohorts.

Background—The relationship between *IGFBP-3* methylation in primary tumors (PTs) or lymph nodes (LNs) and risk of recurrence in patients with stage II CRC treated with surgery alone is unknown.

Methods—*IGFBP-3* methylation of DNA from 115 PTs and 1641 LNs in patients with stage II CRC from 2 independent cohorts was analyzed. Forty patients developed recurrence, whereas 75 matched patients remained recurrence free for more than 2 years after surgery. Cox proportional hazard models were used to calculate hazard ratios (HRs) of recurrence, adjusted for patient and tumor characteristics.

Results—Methylation of *IGFBP-3* in PTs was identified to be significantly associated with risk of recurrence in the training set. The signature was tested in a validation set and classified 40.7% of patients as high risk. Five-year recurrence-free survival rates were 76.4% and 58.3% for low- and high-risk patients, respectively, with an HR of 2.21 (95% confidence interval, 1.04–4.68; $P = 0.039$). In multivariate analysis, the signature remained the most significant prognostic factor, with

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an HR of 2.40 (95% confidence interval, 1.10–5.25; $P = 0.029$). A combined analysis of 1641 LNs from the 2 sets identified *IGFBP-3* methylation in LNs was not associated with risk of recurrence.

Conclusions—Detection of *IGFBP-3* methylation in PTs, but not in LNs, provides a powerful tool for the identification of patients with stage II CRC at high risk of recurrence.

Keywords

colorectal cancer; IGFBP-3; methylation; recurrence

Colorectal cancer (CRC) is the fourth most common cancer in the United States.¹ Worldwide, the incidence is increasing, with an annual estimate of 1,233,700 new cases diagnosed and 608,700 deaths.² The 5-year survival of patients with stage II is approximately 75% to 82%.^{3,4} There are still about 20% of patients with this stage of tumors who die of recurrent disease. There is clearly a need to identify prognostic factors to guide the identification of stage II patients who are likely to experience recurrence. This information would allow more informed planning for patients who are more likely to require and possibly benefit from intensive surveillance or adjuvant therapy.

In current practice, a limited set of clinical and pathologic markers (ie, T4 tumors, poor histologic grade, lymphovascular invasion, perineural invasion, bowel obstruction, lesions with localized perforation or close, indeterminate, or positive margins, less than 12 nodes examined) can identify small groups of patients with stage II disease who have higher recurrence risk. The majority of patients do not have a marker that categorizes them as higher risk. In light of the importance of this issue, there have been many attempts to find novel molecular markers, such as microsatellite instability (MSI)/mismatch repair,^{5,6} LOH 18q,⁷ expression/mutation/methylation of individual genes or groups of genes,^{8–13} to identify patients with the potential for CRC recurrence. However, the clinical utilities of these markers are still under study.

Dissemination to locoregional lymph nodes (LNs) is also an important prognostic factor in CRC. Current clinical detection of micrometastases by standard immunohistochemistry techniques is limited to those with a minimal number of cells. Technical advances now permit the detection of micrometastases at the molecular level. For example, somatic gene mutations or methylation and amplification of cancer-specific RNA that occur in the primary tumor (PT) are detectable in LNs.^{14–19}

We have recently correlated DNA methylation of 6 extracellular matrix genes with outcome of patients with CRC. Among all genes analyzed, DNA methylation of the insulin-like growth factor binding protein 3 (*IGFBP-3*) gene showed the strongest association with poor survival.²⁰ In experimental models, insulin-like growth factor-I (IGF-I) promotes the growth and metastasis of CRC cells,^{21–24} whereas *IGFBP-3* inhibits growth through ligand sequestration and may also have antiproliferative and proapoptotic activities through actions independent of the IGF-I/IGF-I receptor.²⁵ Several clinical studies have shown that circulating IGF-I is elevated and *IGFBP-3* levels reduced in patients before the diagnosis of CRC and that increased plasma levels of *IGFBP-3* are associated with a decreased risk^{26,27} and better prognosis of CRC.²⁸ Importantly, *IGFBP-3* promoter methylation is observed in

many cancers and has been associated with poor clinical outcome. However, the possible prognostic value of *IGFBP-3* methylation in PTs or LNs for tumor recurrence after surgical resection of early-stage CRC is unknown. Therefore, we assessed the influence of *IGFBP-3* methylation on recurrence in patients with stage II CRC in 2 independent set studies.

Materials and Methods

Study Population

Evidence of recurrent disease was confirmed in 40 patients with pathologically verified stage II (T3, 4N0M0) cancer who received a diagnosis of CRC and underwent radical surgery at the Johns Hopkins Bayview Hospital (JHBH) and the Johns Hopkins Hospital (JHH) between 1995 and 2009. Cases included 12 patients from the JHBH and 28 patients from the JHH in whom the tumor recurred after surgery. On the basis of age, date of surgery (± 5 years), and sex, we matched the case patients with 75 controls with stage II CRC in whom there was no recurrence with at least 24-month follow-up, by which time most of the CRC recurrences occur.²⁹ Patients with neoadjuvant chemotherapy were excluded from the current study. Thus, formalin-fixed and paraffin-embedded (FFPE) CRC tissue and adjacent nonneoplastic colorectal tissue samples from 115 patients with coded stage II CRC were obtained from the JHBH and the JHH with approval by the Institutional Review Board and deemed in accordance with the Health Insurance Portability and Accountability Act regulations. The histopathology of each specimen was reviewed to confirm diagnosis. Uniform follow-up information was available from electronic health databases at Johns Hopkins University.

The JHBH training set consisted of 34 tissue samples from patients with stage II CRC (median follow-up of 61.4 months). The JHH validation set consisted of 81 tissue samples from patients with stage II CRC (median follow-up of 65.2 months). Patients in both cohorts were similar with respect to age, sex, location, tumor size, differentiation, LNs examined, proportion of cases with pT4, lymphovascular invasion, mucin production, proportion of cases with recurrence, recurrence type, death, and recurrence-free survival (RFS; Table 1). Clinicopathologic features of the patients and their recurrence status are listed in Supplementary Table S1 (available at <http://links.lww.com/SLA/A765>). The patient and tumor characteristics did not differ significantly between the recurrence and no-recurrence patients.

From the training set, a total of 462 LNs were harvested (mean 14; range, 2–26 nodes). The LNs were embedded in a total of 117 paraffin blocks. The validation set included 466 blocks and 1179 LNs. On average 15 LNs (range, 6–43 nodes) were dissected per patient. Each block contained a variable number of nodes (1–6 nodes).

Procedures

Genomic DNA from FFPE tissue was extracted by phenol-chloroform, and polymerase chain reaction (PCR) targeted for *KRAS* codons 12 and 13 was performed as previously described.³⁰ PCR products were sequenced in both directions by use of an M13F primer (5'-GTAAAACGACGGCCAGT-3') and an M13R primer (5'-

CAGGAAACAGCTATGACC-3') that were incorporated into the forward and reverse primers of each primer pair, respectively (Agencourt Bioscience Corporation). Sequence data were analyzed with Sequencher 4.8 software (Gene Codes). Verification of all mutations was accomplished by bidirectional sequencing of a second PCR product derived independently from the original template.

MSI status was determined using D2S123, D5S346, D17S250, BAT25, and BAT26.³¹ Microsatellite sizes were compared with those of normal adjacent tissue, and tumors with 2 or more of the markers exhibiting instability were classified as high MSI (MSI-H). Tumors with only 1 marker exhibiting instability or no markers with instability were classified as low MSI (MSI-L) or microsatellite stable (MSS), respectively. In this study, MSI-L and MSS tumors were grouped together and henceforth are referred to as MSS, and MSI-H is referred to as MSI.

Quantitative real-time methylation-specific PCR (Q-MSP) was conducted to assess *IGFBP-3* methylation in PTs. Quantitative multiplex methylation-specific PCR (QM-MSP)³² was conducted to assess *IGFBP-3* methylation in LNs. Primer sequences for analysis were designed using MSPprimer³³ and are listed in Supplementary Table S2 (available at <http://links.lww.com/SLA/A765>). For analysis, DNA was extracted and bisulfite modification was carried out using the EZ DNA methylation Kit (Zymo Research).

Q-MSP was performed using Applied Biosystems 7500 One-Step Plus Real-Time PCR System. Each reaction contained 10.0 μ L of 2 \times Power SYBR Green PCR Master Mix (Applied Biosystems), 2.5 pmol each of forward and reverse primers, and 2 μ L of DNA template in a total reaction volume of 20 μ L. To confirm specificity of amplicons from PCR, we performed dissociation curve analysis. The PCR conditions were 95°C for 10 minutes, and 40 cycles of 95°C for 15 seconds, 60°C for 60 seconds.

The QM-MSP procedure required 2 stages of PCR reactions. In the first-stage PCR reaction, 2 μ L of bisulfite-treated DNA was added to 23 μ L of reaction buffer [100 pmol of deoxynucleotide triphosphates, 2.5 μ L of 10 \times PCR buffer, and 1 unit of JumpStart Red Taq DNA Polymerase (Sigma)] containing 5 pmol each of the forward and reverse primers. Conditions were 95°C for 5 minutes, followed by 25 cycles of 95°C for 30 seconds, 55°C for 30 seconds, and 72°C for 30 seconds, with a final extension cycles of 72°C for 5 minutes. The first-stage PCR products were diluted 1:50, and 2 μ L was introduced to the second-stage PCR. The second-stage PCR conditions were same as Q-MSP procedure but 30 cycles of 95°C for 15 seconds.

Threshold cycles (Ct) were used to calculate methylation index (MI): $MI = 100/[1 + 2^{-(Ct_m - Ct_u)}]$; Ct_m and Ct_u denote threshold cycles of the probes specific for the methylated and unmethylated states, respectively. The mean of at least 2 replicate measurements was calculated for each sample and used for statistical analysis.

Statistical Analysis

Statistical analysis was performed by using the SPSS software (version 18.0; SPSS, Chicago, IL). Determination of cutoff values was made by the receiver-operator

characteristics (ROC) curve. The area under the curve and the best sensitivity and specificity were then computed. The comparison of clinicopathologic factors was analyzed using the t test, χ^2 tests, and analysis of variance. Results were considered significant at $P < 0.05$. Survival was estimated by using the Kaplan-Meier method and log-rank test. Cox proportional hazard regression models were used to determine univariate and multivariate hazard ratios (HRs).

Results

The sensitivity of Q-MSP and QM-MSP assays for *IGFBP3* was tested by assessing dilutions of RKO DNA into normal control DNA. Dilution experiments showed linearity of amplification down to a dilution of 1:10⁴ for methylated DNA in Q-MSP assay and 1:10⁶ in QM-MSP assay.

Cutoff value of Q-MSP to distinguish cases from normal controls was determined in the training data set ($n = 34$) and 38 normal controls. Cutoff value of QM-MSP was determined in 41 LNs from 38 patients with stage III CRC, which were all histologically positive, and 43 normal LN controls. Optimal cutoffs were determined by maximizing the sensitivity and specificity for detection of PTs or LNs for all values of Q-MSP or QM-MSP, respectively. Optimal Q-MSP value distinguishing cases from controls was found to be 4, and QM-MSP value to be 0.8.

From the correlation between clinicopathologic features and postoperative recurrence of patients with stage II CRC using univariate analyses, none of the factors were statistically significant in the training set and only lymphovascular invasion ($P = 0.031$) was statistically significant in the validation set (Table 2).

In the training set, *KRAS* mutations, MSI, and *IGFBP-3* methylation (MI, 4) were shown in 44.1%, 44.1%, and 32.4% PTs, respectively. None of the 3 markers was significantly associated with RFS ($P = 0.298$, 0.495, and 0.226 in univariate analysis, respectively, Table 2). Figure 1A shows scatterplots of the MI values of *IGFBP-3* in the tumors and normal controls. When ROC analysis was used to separate all cases into 2 groups, a cutoff value of 10 for MI level recurrence was noted with 50.0% sensitivity and 90.9% specificity (Fig. 1B). Therefore, tumors were dichotomized into both a methylation-negative (MI, <4) or -low (MI, 4 but < 10) group (low-risk group) and a methylation-high (MI \geq 10) group (high-risk group). Patients with *IGFBP-3* methylation-high tumors (high-risk group) had significantly reduced RFS (log-rank $P = 0.004$). The 5-year RFS rate was 3-fold greater for patients in the low-risk group than for those in the high-risk group (75.7% vs 25.0%, respectively; Fig. 2A). The signature predicted recurrence with an HR of 4.60 (95% confidence interval (CI), 1.46–14.44; $P = 0.009$; Table 2) in the high-risk group.

An independent patient cohort of 81 patients was then used to evaluate the performance of the *IGFBP-3* methylation in PT classifier using the cutoff value identified in the training set. In the validation set, 48 (59.3%) patients were identified as low risk, whereas 33 (40.7%) patients were high risk. The signature predicted recurrence with an HR of 2.21 (95% CI, 1.04–1.68; $P = 0.039$; Table 2) in the high-risk group. Patients in the high-risk group had

significantly reduced RFS (log-rank $P = 0.034$). The low-risk group had a 5-year RFS rate of 76.4% (95% CI, 70.1%–82.7%), whereas the high-risk group had a 5-year RFS rate of only 58.3% (95% CI, 49.4%–67.2%, Fig. 2B).

Patients with colon cancer on the right side were more often classified as high risk than patients with cancer in the left colon and rectum ($P < 0.001$). High risk was also positively associated with mucin production ($P = 0.048$). Classification as low or high risk was not associated with age, sex, number of assessed LNs, pT4, lymphovascular invasion, differentiation, *KRAS* mutations, or MSI (Table 3).

A Cox multiple regression model was used to assess the influence of all significant covariates on RFS. After controlling for age, sex, LNs examined, lymphovascular invasion, and differentiation, multivariate analysis confirmed that presence of *IGFBP-3* methylation-high in PTs was significantly and independently associated with a worse prognosis (Table 4). The presence of *IGFBP-3* methylation in PTs increased likelihood of developing a CRC recurrence by more than 6-fold (HR, 6.46; 95% CI, 1.51–27.70; $P = 0.012$) in the training set and doubled the likelihood (HR, 2.40; 95% CI, 1.10–5.25; $P = 0.029$) in the validation set.

We next tested 462 LNs from the training set and 1179 LNs from the validation set for methylation of *IGFBP-3* by QM-MSP to determine whether methylation of *IGFBP-3* in LNs would add to the prognostic panel. *IGFBP-3* was shown to be methylated (MI, 0.8) in at least one LN in 19.1% (22 of the 115) patients. One patient in the training set and 3 patients in the validation set had *IGFBP-3* methylation in at least one LN and not in the PT. Methylation status was not significantly associated with RFS (log-rank $P = 0.336$). ROC analysis was used to identify cutoff values that had the highest sensitivity and specificity to predict recurrence, but no significant value was found.

Discussion

We provide results of an analysis of 115 PTs and 1641 LNs from a cohort of 115 patients (40 of whom recurred) with stage II CRC, gathered from 2 independent tissue collections. The patients had not received any adjuvant chemotherapy, so the assessment of recurrence was not subject to potentially confounding contributions by predictive factors related to adjuvant treatment.

Our study indicates that the methylation of *IGFBP-3* gene in PTs is associated with recurrence of stage II CRC and the *IGFBP-3* methylation in LNs is not. This signature could be applied to all stage II patients independent of age, sex, LNs examined, lymphovascular invasion, and differentiation. In multivariate analysis for RFS, the methylation of *IGFBP-3* was the only significant variable in the training set and 1 of the 2 significant variables in the validation set, superseding factors currently used to make decisions in the clinic. After 5 years, absolute differences in RFS between the patients with the low risk and the high risk were 76.4% and 58.3%, respectively. As therapeutic options have broadened, more refined and accurate predictions of recurrence are needed to conduct treatment decision making. Although ensuring that 59.3% of patients with stage II CRC would not need the necessary

treatment, our single gene signature would recommend additional medical intervention to 40.7% of the patients.

Numerous studies have demonstrated a more accurate prediction of the prognosis of patients by using a molecular analysis of the PT and various compartments such as the regional LNs and systemic circulation.^{15,34–41} Considering the high cost of these genome/epigenome-wide or multigene screenings, single biomarker analysis is not only a clinical necessity but also an economic requirement to keep the cost of cancer care in check. For example, the cost of a 12-gene assay (Oncotype DX Colon Cancer Assay, Genomic Health) is \$3640 per sample,⁴² and the cost of Q-MSP is only about \$20 per sample per gene. Previous attempts to correlate selected characteristics of PTs with recurrence have proven unsuccessful in CRC. The ability to use a single gene methylation pattern in predicting outcome could provide important information on both previously known and unknown biologic attributes in tumor characteristics. As an initial example, our study here focuses on stage II tumors in which the analysis defines *IGFBP-3* gene methylation for prediction of recurrence. Our selection of multivariate patterns in *IGFBP-3* methylation data from the PTs and examination of the value of such patterns in prediction of independent testing samples resulted in a relative high predictive accuracy. This represented an HR of 2.40 (95% CI, 1.10–5.25; $P = 0.029$) that is much higher than the known prognostic clinical covariates in CRC. This marker allows a relatively easy way of identifying patients at risk of recurrence, which would likely benefit most from adjuvant therapy. Moreover, the functional annotation for this gene provides insight into the underlying biologic mechanism that leads to early recurrence.

LN status is an important determinant of disease recurrence, survival, and treatment in CRC. The presence of disseminated tumor cells (DTCs) within regional LNs that are not detected on conventional histopathologic examination by using hematoxylin and eosin staining has been suspected to be markers of systemic tumor spread in these patients with CRCs.⁴³ Therefore, detection of DTCs may help identify those patients with stage I or II CRC who are at high risk for recurrence and might benefit from adjuvant therapies. By using molecular detection techniques such as testing for mutations, methylation, or amplification of RNA, various studies have demonstrated DTCs in regional LNs in 20% to 50% of patients with LN-negative CRC on routine histopathologic analysis.^{14,19,44}

Previous studies demonstrated that a considerable fraction of LNs did not resemble the PTs in CRCs in terms of various molecular analysis, such as the *KRAS* mutation status (up to 25% of discordance),^{45–47} MSI,⁴⁸ and *p16INK4a* methylation.⁴⁹ The similar discordance of molecular status between PT and LN deposits was also found in various malignancies (ie, lung cancer,^{50,51} breast cancer,^{52,53} gastric cancer,⁵⁴ head and neck cancer,⁵⁵ and thyroid cancer.⁵⁶ On the basis of these studies, there is a debate in the field whether tumors from patients with cancer and matched LN metastases are different or not.

Here, we reported the analysis of possible occult LN micrometastases from patients with CRC on the basis of the methylation of *IGFBP-3* found in paired PTs. To our surprise, the experimental results show clearly that the LNs do not always carry the same methylation as the PT. The discordant cases for *IGFBP-3* methylation status were all positive in the LN, but

negative in the PT Only a few studies have described the presence of de novo methylation in LNs derived from CRCs. Our data indicate that LNs with or without metastases of a given tumor with methylated or unmethylated *IGFBP-3* may differ in their *IGFBP-3* methylation status. Many factors can be responsible for this incongruence: both related to the poorly understood biology and a well-recognized heterogeneity of the disease, clonal selection during the process of metastasis, factors related to the methodology. Because disseminated cells progress independently from the PT, a simple extrapolation from the PT methylation pattern to DTCs in LNs is impossible. Therefore, *IGFBP-3* methylation does not seem suitable for determination of the occult metastases in LNs of CRC, and LN metastases not a reliable tool to determine the *IGFBP-3* methylation status of CRC under routine diagnostic conditions as well. It is reasonable then, that our survival analyses show *IGFBP-3* methylation in LNs did not correlate with tumor recurrence. Frequent methylation differences between PTs and LNs in CRC also question, at least to some extent, the role of PTs as a surrogate subject of the study for the systemic disease. All adjuvant therapies that just target genetic or epigenetic events in the PT are unlikely to eradicate DTCs because these cells may not uniformly share genetic or epigenetic changes that are acquired later on.

The data presented here support a model in which the propensity to recurrence reflects the predominant epigenetic state of a PT rather than the emergence of rare cells in LNs with the metastatic phenotype. Furthermore, such findings are compatible with a newer model for parallel metastasis development.⁵⁷

Limitations to our study include a retrospective analysis, a relative small sample size, and a 15-year duration of the specimen collection. However, these findings provide early insight regarding *IGFBP-3* methylation and development of recurrence in patients with stage II CRC. The ability to identify patients with stage II CRC who have an increased risk of recurrence in the absence of chemotherapy offers the hope of broadening the currently arbitrary definition of patients who may benefit from intensive treatment or surveillance. If validated, the recommendation of adjuvant chemotherapy in resected CRC may be guided in the future by this prognostic marker for stage II cancer. The marker can also be tested with resected tumors other than stage II or with biopsy samples to potentially impact both adjuvant and neoadjuvant therapies. Finally, the *IGFBP-3* gene that is methylated in tumors with a poor RFS is a potential target for the reasonable development of new cancer drugs, such as demethylating agent. Future researches are warranted to better understand the underlying biologic mechanisms that explain the association between *IGFBP-3* methylation and tumor recurrence, and to evaluate the role of demethylating agent alone or in combination with traditional adjuvant systemic therapy in patients with high-risk stage II CRC. It should be noted that, although it yielded statistically significant results, our recurrence predictor was imperfect, suggesting that additional correlates of CRC behavior have yet to be identified or that clinical trials with higher statistical power will be required to generate more robust classifications.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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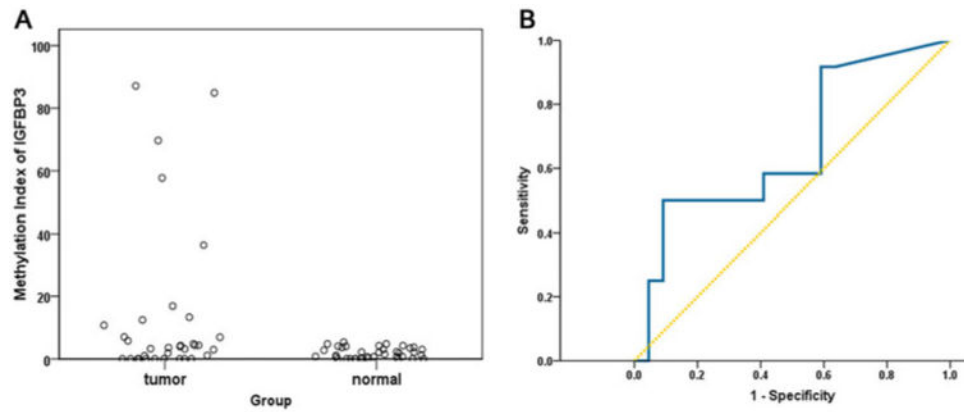


Figure 1.

A, Distribution of methylation indices of *IGFBP-3* in samples from 38 normal controls and 34 patients with CRC. B, ROC analysis for prediction of recurrence. Dividing all cases into 2 groups (cutoff value of 10), recurrence was noted with 50.0% sensitivity and 90.9% specificity. Group 1 (low-risk group): a methylation-negative (MI, <4) or -low (MI, 4 to < 10) group; group 2 (high-risk group): a methylation-high (MI, ≥ 10) group.

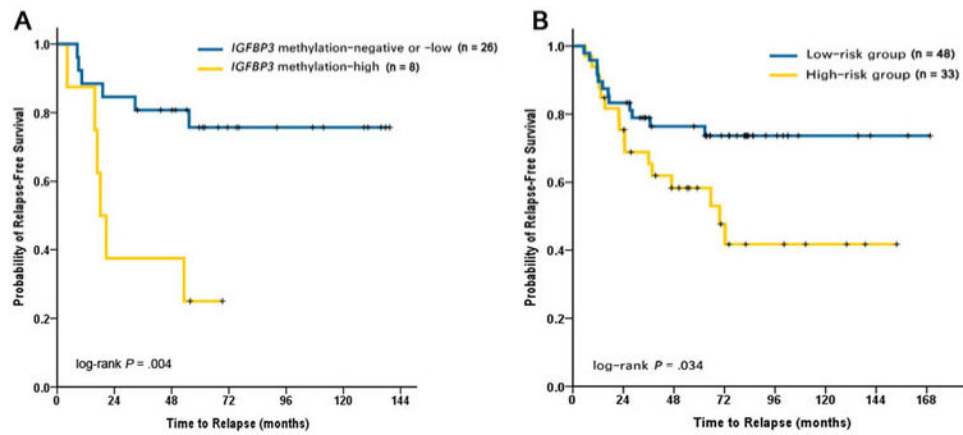


Figure 2.

A, Kaplan-Meier analysis of RFS in the training set. Patients with *ICFBP-3* methylation-high tumors (high-risk group) had significantly reduced RFS (log-rank $P = 0.004$). Five-year RFS rate for low-risk patients was 75.7% (95% CI, 67.0%–84.4%) and for high-risk patients was 25.0% (95% CI, 9.7%–40.3%). B, Kaplan-Meier analysis of RFS in the validation set. Patients in the high-risk group had significantly reduced RFS (log-rank $P = 0.034$). Five-year RFS rate for low-risk patients was 76.4% (95% CI, 70.1%–82.7%) and for high-risk patients was 58.3% (95% CI, 49.4%–67.2%).

Table 1
Patient Demographics and Clinicopathologic Characteristics for the Training and Validation Sets

Demographic or Clinical Characteristic	Training Set (n = 34)		Validation Set (n = 81)		P
	No. Patients	%	No. Patients	%	
Hospital					
JHH			81		
JHBH	34				
Age, mean \pm SD	65.3 \pm 12.8		66.8 \pm 11.6		0.573
Sex					
Male	14	41.2	38	46.9	
Female	20	58.8	43	53.1	
Localization					0.665
Left colon	14	41.2	27	33.3	
Right colon	15	44.1	43	53.1	
Rectum	5	14.7	11	13.6	
Tumor size, cm, mean \pm SD	4.7 \pm 2.0		5.1 \pm 2.5		0.402
Differentiation					0.261
Well and moderate	26	76.5	69	85.2	
Poor	8	23.5	12	14.8	
LN _s examined, mean \pm SD	14.3 \pm 6.2		14.3 \pm 5.5		0.995
T4 tumors					0.101
Yes	6	17.6	6	7.4	
No	28	82.4	75	92.6	
Lymphovascular invasion					0.261
Yes	8	23.5	12	14.8	
No	26	76.5	69	85.2	
Mucin production					0.523
Yes	5	14.7	16	19.8	
No	29	85.3	65	80.2	
Recurrence					0.941
Yes	12	35.3	28	34.6	

Demographic or Clinical Characteristic	Training Set (n = 34)		Validation Set (n = 81)		P
	No. Patients	%	No. Patients	%	
No	22	64.7	53	65.4	
Recurrent type					1.000*
Local	2	16.7	5	17.9	
Distant	10	83.3	23	82.1	
Death					0.899
Yes	13	38.2	32	39.5	
No	21	61.8	49	60.5	
RFS, mo, mean + SD	61.0 ±41.2		59.0 ± 39.9		0.809

* Fisher exact test.

SD indicates standard deviation.

Table 2
Univariate Analysis for RFS in Training Set and Validation Set

Characteristic	Training Set (n = 34)			Validation Set (n = 81)		
	n	HR (95%CI)	P	n	HR (95%CI)	P
Age, yr						
<60	14			24		
60	20	0.99 (0.31–3.12)	0.981	57	1.41 (0.60–3.32)	0.430
Sex						
Male	14			38		
Female	20	1.46 (0.44–4.85)	0.538	43	0.85 (0.41–1.79)	0.672
LNx examined						
12	23			57		
<12	11	2.44 (0.79–7.59)	0.123	24	1.44 (0.68–3.08)	0.345
pT4						
No	28			75		
Yes	6	0.34 (0.04–2.64)	0.302	6	0.99 (0.24–4.19)	0.994
Lymphovascular invasion						
No	26			69		
Yes	8	0.69 (0.15–3.14)	0.627	12	2.58 (1.09–6.12)	0.031
Mucin production						
No	29			65		
Yes	5	0.50 (0.06–3.86)	0.504	16	1.40 (0.59–3.29)	0.446
Differentiation						
Well and moderate	26			69		
Poor	8	1.14 (0.31–4.20)	0.848	12	0.42 (0.10–1.77)	0.238
Location						
Right colon	15			43		
Left colon and rectum	19	2.62 (0.71–9.68)	0.150	38	0.92 (0.44–1.93)	0.817
KRAS mutations						
No	19			56		
Yes	15	1.83 (0.59–5.73)	0.298	25	1.11 (0.50–2.45)	0.802

Characteristic	Training Set (n = 34)			Validation Set (n = 81)		
	n	HR (95%CI)	P	n	HR (95%CI)	P
MSI status						
MSS	19			40		
MSI	15	0.66 (0.20–2.19)	0.495	41	0.71 (0.33–1.49)	0.705
Group by cutoff 4						
U group	22			42		
M group	12	2.02 (0.65–6.26)	0.226	39	1.50 (0.71–3.18)	0.288
Group by cutoff 10						
Low-risk group	26			48		
High-risk group	8	4.60 (1.46–14.44)	0.009	33	2.21 (1.04–4.68)	0.039

M indicates *IGFBP-3* methylated; U, *IGFBP-3* unmethylated.

Table 3
Clinicopathologic Characteristics of High-risk and Low-risk Groups in Validation Set

Characteristic	n (%)	High-risk Group (n = 33) n (%)	Low-risk Group (n = 48) n (%)	P
Age, yr				0.061
<60	24 (29.6)	6 (18.2)	18 (37.5)	
60	57 (70.4)	27 (81.8)	30 (62.5)	
Sex				0.502
Male	38 (46.9)	14 (42.4)	24 (50.0)	
Female	43 (53.1)	19 (57.6)	24 (50.0)	
LNs examined				0.169
12	57 (70.4)	26 (78.8)	31 (64.6)	
<12	24 (29.6)	7 (21.2)	17 (35.4)	
pT4				1.000*
No	75 (92.6)	31 (93.9)	44 (91.7)	
Yes	6 (7.4)	2 (6.1)	4 (8.3)	
Lymphovascular invasion				0.944
No	69 (85.2)	28 (84.8)	41 (85.4)	
Yes	12 (14.8)	5 (15.2)	7 (14.6)	
Mucin production				0.048
No	65 (80.2)	23 (69.7)	42 (87.5)	
Yes	16 (19.8)	10 (30.3)	6 (12.5)	
Differentiation				0.944
Well and moderate	69 (85.2)	28 (84.8)	41 (85.4)	
Poor	12 (14.8)	5 (15.2)	7 (14.6)	
Location				0.000
Right colon	43 (53.1)	27 (81.8)	16 (33.3)	
Left colon and rectum	38 (46.9)	6 (18.2)	32 (66.7)	
KRAS mutations				0.562
No	56 (69.1)	24 (72.7)	32 (66.7)	
Yes	25 (30.9)	9 (27.3)	16 (33.3)	
MSI status				0.893
MSS	40 (49.4)	16 (48.5)	24 (50.0)	
MSI	41 (50.6)	17 (51.5)	24 (50.0)	
Recurrence				0.029
No	53 (65.4)	17 (51.5)	36 (75.0)	
Yes	28 (34.6)	16 (48.5)	12 (25.0)	

* Fisher exact test

Table 4
Multivariate Analysis for RFS in Training Set and Validation Set

Variable	Training Set (n = 34)		Validation Set (n = 81)	
	HR (95% CI)	P	HR(95% CI)	P
Group				
High-risk vs low-risk	6.46 (1.51–27.70)	0.012	2.40 (1.10–5.25)	0.029
Age, yr				
60 vs <60	0.44 (0.10–1.91)	0.271	1.27 (0.51–3.13)	0.612
Sex				
Female vs male	0.92 (0.20–4.32)	0.916	0.72 (0.33–1.57)	0.405
LN examined				
< 12 vs 12	1.90 (0.52–6.95)	0.333	1.82 (0.77–4.30)	0.172
Lymphovascular invasion				
Yes vs no	0.70 (0.13–3.84)	0.676	3.29 (1.24–8.70)	0.016
Differentiation				
Poor vs well and moderate	1.07 (0.28–4.18)	0.920	0.63 (0.14–2.93)	0.558