

# *In Vitro* Activity of Miltefosine against *Candida albicans* under Planktonic and Biofilm Growth Conditions and *In Vivo* Efficacy in a Murine Model of Oral Candidiasis

## Taissa Vieira Machado Vila,<sup>a</sup> Ashok K. Chaturvedi,<sup>b</sup> Sonia Rozental,<sup>a</sup> Jose L. Lopez-Ribot<sup>b,c</sup>

Institute of Biophysics Carlos Chagas Filho, Federal University of Rio de Janeiro, Rio de Janeiro, Brazila; Department of Biology<sup>b</sup> and South Texas Center for Emerging Infectious Diseases,<sup>c</sup> The University of Texas at San Antonio, San Antonio, Texas, USA

The generation of a new antifungal against *Candida albicans* biofilms has become a major priority, since biofilm formation by this opportunistic pathogenic fungus is usually associated with an increased resistance to azole antifungal drugs and treatment failures. Miltefosine is an alkyl phospholipid with promising antifungal activity. Here, we report that, when tested under planktonic conditions, miltefosine displays potent *in vitro* activity against multiple fluconazole-susceptible and -resistant *C. albicans* clinical isolates, including isolates overexpressing efflux pumps and/or with well-characterized Erg11 mutations. Moreover, miltefosine inhibits *C. albicans* biofilm formation and displays activity against preformed biofilms. Serial passage experiments confirmed that miltefosine has a reduced potential to elicit resistance, and screening of a library of *C. albicans* transcription factor mutants provided additional insight into the activity of miltefosine against *C. albicans* growing under planktonic and biofilm conditions. Finally, we demonstrate the *in vivo* efficacy of topical treatment with miltefosine in the murine model of oropharyngeal candidiasis. Overall, our results confirm the potential of miltefosine as a promising antifungal drug candidate, in particular for the treatment of azole-resistant and biofilm-associated superficial candidiasis.

he recent elevated incidence of fungal infections is related to the indiscriminate use of broad-spectrum antibiotics and corticosteroids, the increase in the number of invasive medical procedures, and the AIDS epidemic. The persistence of these infections is usually associated with the fungal ability to form biofilms on implantable medical devices (1). Candida spp. can adhere and form biofilms on the surface of different medical devices (such as catheters, prostheses, pacemakers, and heart valves) and on the mucosal surface, leading to a superficial infection with a complex structure in which hyphae, pseudohyphae, and yeasts grow surrounded by a dense extracellular matrix, composed mainly of proteins, polysaccharides, and extracellular DNA (eDNA) (2). Hematogenous dissemination may occur due to the detachment of yeasts from the top layer of the biofilm, a phenomenon known as dispersion (3). Candida albicans is the third leading cause of infections related to the use of catheters (4, 5). Development of candidemia during hospitalization when central venous catheters are used can happen in 72% of cases in Latin America (6), and the worldwide mortality rate for catheter-related candidemia can reach 41% (7). Oropharyngeal candidiasis (OPC) is characterized by Candida growth as a biofilm over the tongue and oral mucosa. OPC has been described as the most frequent opportunistic fungal infection among HIV-positive patients, and it is estimated that more than 90% of these patients develop this infection at some time during the progression of their disease (8, 9).

The increased resistance to antifungal agents is the main clinical complication associated with biofilm formation. The list of resistance mechanisms proposed for fungal biofilms includes the association of antifungals with the extracellular matrix, high cellular density, the expression of drug efflux pumps (mainly CDR1, CDR2, and MDR, in *Candida albicans*), and the existence of a subpopulation of dormant cells (persister cells) (10). *In vitro* biofilm resistance was shown by several groups for biofilms of *Candida* spp. (11–13), *Cryptococcus neoformans* (14, 15), *Aspergillus*  sp. (16), and *Fusarium solani* (17–19). Of the three classes of antifungal agents currently in clinical use (azoles, polyenes, and echinocandins), only lipid formulations of amphotericin B and the echinocandins (caspofungin) demonstrated a consistent *in vitro* activity against biofilms of *C. albicans, Candida parapsilosis* (12, 20, 21), and *Candida tropicalis* (22). However, despite the two options available, infections due to *Candida* spp. related to biofilm formation are extremely difficult to eradicate, requiring the removal of infected medical devices (23), which is not always possible (24). These findings illustrate the reduced number of drugs available for the treatment of fungal infections associated with biofilm formation and point to the urgent need to search for new molecules with antifungal activity not only against planktonic cells but also against biofilms.

Miltefosine (hexadecylphosphocholine) is an alkyl phospholipid, developed as an antitumor agent that currently constitutes an alternative chemotherapy for leishmaniasis in several countries (25). Recently, some reports have attributed the development of resistance to miltefosine during the treatment of visceral leishmaniasis to the overexpression of an ABC transporter (*Leishmania tropica* MDR1 [LtrMDR1]) and to changes in membrane sterol

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Address correspondence to Jose L. Lopez-Ribot, jose.lopezribot@utsa.edu. Supplemental material for this article may be found at http://dx.doi.org/10.1128 /AAC.01890-15.

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Strain	MIC (µg/	/ml)					
	Miltefosine		Fluconazole				
	24 h	48 h	24 h	48 h	Previously published <sup><i>a,b</i></sup>	Erg11p substitution(s) <sup><i>a,b</i></sup>	Overexpressed gene(s) <sup><i>a,b</i></sup>
3034 <sup>a</sup>	2	2	>64	>64	>64	$\mathrm{ND}^d$	MDR1, CDR, CDR1, CDR2
4617 <sup>a</sup>	2	2	>64	>64	64	F449S, T229A	MDR1, CDR, CDR1
4639 <sup>a</sup>	1	1	64	>64	>64	F449S, T229A	ND
5106 <sup>a</sup>	2	2	>64	>64	8	V437I	ND
4380 <sup>a</sup>	0.25 - 1	1	0.5	1	64	V437I	CDR, CDR1, CDR2
2440 <sup>a</sup>	2	2	32	>64	64	V437I	MDR1, ERG11, V437I
2307 <sup>a</sup>	1	1-2	0.5	0.5	>64	K128T	ERG11, CDR, CDR1, CDR2
412 <sup>a</sup>	2	2	2	>64	0.5	K128T	ND
1691 <sup>a</sup>	1-2	1-2	0.5	>64	0.25	K128T	ND
3731 <sup>a</sup>	1-2	2	>64	>64	>64	F126L, K143R	MDR1
$6482^{b}$	1-2	1-2	>64	>64	>32	Point mutations	ND
6191 <sup>b</sup>	1	1	>64	>64	>32	Point mutations	ND
SC5314 <sup>c</sup>	1	1	0.125-0.25	4			

TABLE 1 Planktonic susceptibility of *Candida albicans* clinical isolates obtained from HIV-positive patients from prospective clinical study of oropharyngeal candidiasis from University of Texas Health Science Center in San Antonio and South Texas Veterans Health Care System, San Antonio, Texas, USA<sup>e</sup>

<sup>a</sup> Resistance profile described in reference 35.

<sup>b</sup> Resistance profile described in reference 34.

<sup>c</sup> Candida albicans control strain.

<sup>*d*</sup> ND, not defined.

<sup>e</sup> Data in this table are a compilation of the susceptibility of planktonic cells to the alkyl phospholipid miltefosine and the standard antifungal fluconazole obtained in this work and the susceptibility to fluconazole from previous publications in which these isolates were characterized (34, 35).

composition (25, 26). The broad-spectrum antifungal activity of miltefosine has been demonstrated *in vitro* against planktonic cells of several medically important fungi, including dermatophytes, *Candida* sp., *Cryptococcus* sp., *Aspergillus* sp., *Fusarium* sp., *Scedosporium* sp., *Histoplasma capsulatum*, *Rhizopus* sp., and *Sporothrix schenckii* (27–32), and no reports about resistance development have been made so far.

We have recently described the promising antibiofilm activity of miltefosine against *C. albicans* central venous catheter biofilms (33). In the present study, we have evaluated the activity of miltefosine against planktonic cells and biofilms formed by *Candida albicans* clinical isolates resistant to fluconazole (34, 35), demonstrated a low potential for the development of resistance to miltefosine, and reported susceptibility patterns for a series of *C. albicans* mutant strains mutated in different transcription factors (36). Finally, we investigated the effect of topical application of miltefosine using a murine model of oral candidiasis.

# MATERIALS AND METHODS

Strains and culture conditions. The clinical C. albicans wild-type strain SC5314 (a clinical isolate originally obtained from a patient with disseminated candidiasis [37]) was used in all experiments as a control standard. This strain was selected because it can form robust biofilms and is well characterized genetically (38). In addition, 12 C. albicans clinical isolates resistant to fluconazole by overexpression of drug efflux pumps and/or with mutations in the azole target gene ERG11 (34, 35) (Table 1) were used, together with C. albicans mutant strains with mutations in selected transcription factors developed by Homann and coworkers (36) (see Table S1 in the supplemental material). Cells from stocks stored at  $-80^{\circ}$ C were propagated by streaking a loopful of culture onto yeast extract-peptone-dextrose (YPD) medium in an agarose gel (10 g yeast extract, 20 g Bacto peptone, 20 g dextrose, and 15 g of agar [Sigma] in 1 liter of sterile water) and incubated overnight at 30°C. A loopful of cells from YPD agar plates was inoculated into flasks (150 ml) containing 25 ml of YPD liquid medium and grown in an orbital shaker at 180 rpm for 14 to 16 h at 30°C.

Under these conditions, *C. albicans* grows as budding yeasts. After 18 h, the cells were washed with phosphate-buffered saline (PBS) buffer and counted using a hemocytometer. The cells were adjusted to a final density of  $1 \times 10^6$  cells/ml (for biofilm experiments) or  $1 \times 10^3$  cells/ml (for planktonic cell experiments) in RPMI medium supplemented with L-glutamine (Cellgro; Corning, USA) and buffered with 165 mM morpholinepropanesulfonic acid (MOPS) (Thermo Fisher Scientific Inc., USA) at pH 7.0.

Antifungals. Miltefosine (Cayman Chemical Company, USA) was diluted in sterile Milli-Q water and evaluated for *in vitro* and *in vivo* antifungal activity. Fluconazole (Pfizer Inc., New York, NY, USA), caspofungin (Sigma Chemical Co., St. Louis, MO, USA), and amphotericin B (Sigma Chemical Co., St. Louis, MO, USA) were used as reference antifungals. The final concentration of dimethyl sulfoxide (DMSO) after antifungal dilution was not higher than 0.14% in each test well. Stock solutions of the different antifungals were maintained at  $-80^{\circ}$ C, and dilutions were made fresh for experiments.

MICs. MICs of antifungal agents were determined for planktonic cells using the broth microdilution assay described in document M27-A3 published by the Clinical and Laboratory Standards Institute (39). Briefly, serial 2-fold dilutions of the compounds were prepared in RPMI 1640 medium, buffered with 165 mM MOPS, pH 7.0, in round-bottom 96-well microtiter trays (Corning Inc., Corning, NY, USA) to obtain concentration ranges from 0.03 to16 µg/ml for amphotericin B, caspofungin, and miltefosine and from 0.125 to 64 µg/ml for fluconazole. Yeasts were then added to each well at final concentrations of  $0.5 \times 10^3$  to  $1 \times 10^3$  cells/ml. Microtiter trays were incubated at 36°C for 48 h. Minimum concentrations that inhibited 50% and 90% of the fungal yeast growth in relation to control (IC50 and IC90, respectively) were determined by visual analysis and confirmed by spectrophotometry at 492 nm in a microtiter plate reader (Benchmark Microplate reader; Bio-Rad, CA). The percentage of inhibition was calculated with the equation % inhibition =  $[100 - (A \times$ 100/C)], where A is the optical density (OD) of wells containing antifungal agent and C is the OD of control wells with fungi only. The document M27-A3 states that the  $IC_{50}$  should be considered the MIC for all azoles and the  $IC_{90}$  should be considered the MIC for all polyenes (39). Here, we also considered the IC<sub>90</sub> value to be the MIC for miltefosine.

Resistance/tolerance induction assay. Induction of resistance by serial passage in subinhibitory concentrations of antifungal drugs was based on a previous description (40) with some modifications. Briefly, a single colony of C. albicans strains SC5314 and 6482 (the latter is a clinical isolate obtained from an HIV-infected patient with recalcitrant oropharyngeal candidiasis with a high predisposition to develop resistance to multiple antifungal agents) was used to inoculate 25 ml of YPD broth which was incubated overnight in an orbital shaker (180 rpm) at 30°C. An aliquot (10  $\mu$ l) of this culture containing 1  $\times$  10<sup>5</sup> cells/ml was then transferred to 1 ml of RPMI medium (final inoculum of 10<sup>3</sup> cells/ml), containing subinhibitory concentrations (sub-IC) of miltefosine or fluconazole, and the cells were incubated in an orbital shaker (180 rpm) at 37°C for 24 h. After each cycle of 24-h growth in the presence of subinhibitory concentrations, 10 µl of the cell suspension was added to a fresh antifungal dilution and incubated under the same conditions for 24 h. Serial passage was performed for 35 days, and after each 7-day passage, drug concentration was doubled, until it reached the IC<sub>50</sub> (fluconazole) or IC<sub>90</sub> (miltefosine). At each passage, a 1-ml aliquot of the culture suspension was mixed with glycerol and frozen at  $-80^{\circ}$ C for subsequent susceptibility testing.

**Biofilm formation assay.** One hundred microliters of cell suspension (final density of  $1 \times 10^6$  cells/ml) was placed in each well of a 96-well, flat-bottom microtiter plate (Corning Inc., NY, USA), and plates were sealed with Parafilm and incubated at 37°C for 24 h (41). Then, cells were gently washed with 200 µl of PBS buffer twice in order to remove the free-floating cells and leave the biofilms intact in the bottom of the well.

**Biofilm MICs (BMICs).** The minimum antifungal concentration that inhibited 50% and 90% of both biofilm formation and preformed biofilm cell viability was defined as previously described (41). To evaluate the effect of the drugs in preventing biofilm formation, 50  $\mu$ l of each drug serially diluted in RPMI medium was added to plates containing 50  $\mu$ l of 2  $\times$  10<sup>6</sup> cells/ml in a 96-well plate. To evaluate the efficacy of drugs against preformed biofilms, biofilms grown for 24 h were gently washed, and 100  $\mu$ l of the serially diluted drug was added. After the addition of drugs, the plates were sealed with Parafilm and incubated at 37°C for 24 h. The plates were washed twice with PBS to remove nonadherent cells. The XTT viability test was performed to determine the efficacy of drugs. The dose-response experiments were performed in duplicate at each dose in two different plates.

**XTT reduction assay.** To test the viability of cells within the biofilms, we used a colorimetric assay based on the reduction of the tetrazolium salt 2,3-bis(2-methoxy-4-nitro-5-sulfo-phenyl)-2H-tetrazolium-5-carboxanilide (XTT; Sigma) by metabolically active cells to yield a form-azan-colored product (41). Briefly, 100  $\mu$ l of 50- $\mu$ g/ml sterile XTT containing 1  $\mu$ M menadione (Sigma) was added to each well of the microtiter plate and incubated for 2 h at 37°C. After incubation, 90  $\mu$ l of XTT supernatant was removed and added to a fresh 96-well flat-bottom plate, and the plate was read in a microtiter plate reader (Benchmark Microplate reader; Bio-Rad, CA) at 490 nm. The percentage of biofilm inhibition was calculated as described for the MIC. The 50% and 90% inhibitory concentrations (BMIC<sub>50</sub> and BMIC<sub>90</sub>) were defined as the concentrations causing 50% and 90% inhibition of either biofilm formation or preformed biofilms due to drug treatment, respectively.

Murine model of oropharyngeal candidiasis. The effect of topical application of miltefosine was evaluated using a murine model of oropharyngeal candidiasis mostly as previously described (42). Cultures of *C. albicans* SC5314 for infection were grown overnight in YPD medium in a rocker table at 30°C. Under these conditions, the cells grew solely as yeast cells. Cells were harvested by centrifugation, washed three times in sterile saline solution, and counted using a hemocytometer. Animals were immunosuppressed with cortisone acetate (225 mg/kg of body weight) on alternate days during the entire experiment and anesthetized with chlorpromazine (2 mg/ml) before infection. Mice were infected with *C. albicans* suspensions (1 × 10<sup>6</sup> cells/ml in Hanks balanced salt solution [HBSS]) by placing a saturated calcium alginate swab impregnated sublingually for 75 min. Topical treatment started 1 day before infection and was carried out twice a day, using calcium alginate swabs impregnated

with miltefosine (2 mg/ml) (treated group, n = 6) or saline (control group, n = 6). Animals were treated for 4 days, and at the end of the experiment (total of 6 days), remaining mice were euthanized. During the experiment, OPC progression was evaluated daily according to a previously established score, based on the oral mucosa and tongue surface area covered by Candida biofilm with 0 denoting a healthy tongue surface and 5 denoting the most severe stage (white patches covering 100% of the surface). Scoring data were compared using the nonparametric Mann-Whitney test. On days 3 and 4, fungal burden was determined on euthanized mice. Tongues and kidneys were removed and processed for colony counting and histology. Organs were homogenized, and fungal loads were determined by plating dilutions onto Sabouraud-chloramphenicol agar plates. For histology, tongues and kidneys retrieved from sacrificed mice were fixed in 10% buffered formalin and embedded in paraffin, and thin tissue slices were obtained and stained with Grocott-Gomori methenamine-silver (GMS) stain prior to microscopic evaluation. Mice were allowed a 1-week acclimatization period before experiments were started. All animal experimentation was conducted in an AAALAC-certified facility at The University of Texas at San Antonio (UTSA) according to the National Institutes of Health guidelines for housing and care of laboratory animals and performed in accordance with institutional regulations after pertinent review and approval by the Institutional Animal Care and Use Committee at The University of Texas at San Antonio.

**Statistical analysis.** For quantitative assays, statistical analyses were performed with Dunnett's test (one-way analysis of variance) or Student's *t* test, and *in vivo* clinical scoring and CFU data were analyzed using the nonparametric Mann-Whitney test. Statistical significance was accepted at a *P* value of <0.05.

#### RESULTS

Miltefosine inhibits growth of planktonic fluconazole-resistant *Candida albicans.* All the 12 *C. albicans* clinical isolates tested, including fluconazole-susceptible and -resistant (MIC, >64  $\mu$ g/ml) isolates, irrespective of the underlying resistance mechanism (alterations in *ERG11* and/or upregulation of genes encoding efflux pumps), were susceptible to miltefosine, presenting MICs between 1 and 2  $\mu$ g/ml, similar to those of standard strain SC3514 (1  $\mu$ g/ml) (Table 1). The resistance characteristics of these strains are compiled in Table 1. Selected clinical isolates have been previously shown to be susceptible to amphotericin B and to caspofungin, in planktonic forms (34, 35).

Miltefosine inhibits C. albicans biofilm formation and is active against preformed C. albicans biofilms in vitro. The antibiofilm potential of miltefosine was evaluated using the same C. albicans clinical isolates previously characterized in regard to their fluconazole resistance, with two different approaches: (i) its ability to inhibit biofilm formation and (ii) its activity against preformed biofilms. For all isolates tested, and also for the control strain (SC5314), the presence of 2 to 4  $\mu$ g/ml of miltefosine prevented 90% of biofilm formation (Table 2). A reduction of >90% of the metabolic activity of biofilm cells was observed in the case of preformed biofilms treated with concentrations ranging from 8 to 32  $\mu$ g/ml of miltefosine (Table 3). Fluconazole showed no inhibitory activity against any stage of biofilm development, while amphotericin B and caspofungin were effective against both biofilm developmental phases (Tables 2 and 3). Amphotericin B concentrations ranging from 2 to 16  $\mu$ g/ml inhibited >90% of *C. albicans* biofilm formation, whereas concentrations between 0.5 and 8 µg/ml reduced the metabolic activity of cells within preformed biofilms by >50%. For caspofungin, a >90% inhibition of biofilm formation was observed at concentrations between 0.12 and 16  $\mu$ g/ml, whereas concentrations ranging from 0.25 to >16  $\mu$ g/ml

Strain	BMIC (µg/ml)									
	Miltefosine		Amphotericin B		Fluconazole		Caspofungin			
	BMIC <sub>50</sub>	BMIC <sub>90</sub> <sup>c</sup>								
3034	2	4	2	8–16	>1,000	>1,000	0.25	0.2-0.5		
4617	2	4	0.5	2	4	500	0.06-0.125	0.125		
4639	<2	4	0.25-0.5	2	8	>1,000	0.03-0.06	0.06-0.12		
5106	1-2	2	2	8	>1,000	>1,000	0.06-0.125	0.12-0.25		
4380	<2	4	2-4	8	2	>1,000	0.125-0.25	0.25-16		
2440	<2	4	4	8	>1,000	>1,000	0.03	0.5		
2307	<2	2-4	0.5	4	2	>1,000	0.125-0.25	0.25		
412	2	4	1	8	2	>1,000	0.06-0.125	0.125		
1691	1-2	2	1	4	250	>1,000	0.03-0.06	0.06-0.12		
3731	<2	2	0.5	4	125	>1,000	0.06-0.125	0.12-0.25		
6482	2	2-4	0.5-1	4	32	>1,000	0.03-0.06	0.125		
6191	2-4	4	1-2	2	>1,000	>1,000	0.25	0.5		
SC5314 <sup>a</sup>	<2	4	0.5-1	2-4	<2	>1,000	0.06-0.125	0.125		

TABLE 2 Inhibitory effect of alkyl phospholipid miltefosine and standard antifungals amphotericin B, fluconazole, and caspofungin on biofilm formation of *Candida albicans* clinical isolates<sup>b</sup>

<sup>a</sup> Control strain.

<sup>b</sup> Metabolic activity of biofilm cells was quantified using the XTT reduction assay after 24 h of incubation, and the inhibitory concentration was calculated in relation to control (not treated) biofilms.

<sup>c</sup> All data in this column represent the paradoxical effect.

decreased the metabolic activity of cells within preformed biofilms by >50%. Again, biofilms from most fluconazole-resistant clinical isolates showed a paradoxical growth effect (i.e., reduced activity of the drug in concentrations higher than the BMIC) when treated with caspofungin (Tables 2 and 3).

mutant information, see Table S1 in the supplemental material).

Mutant susceptibility was evaluated in vitro, for both planktonic

treated with caspofungin (Tables 2 and 3).whilMiltefosine activity is not affected by efflux pump transcrip-<br/>tion factor modulation. In order to determine the role of drug<br/>efflux pump expression in the susceptibility of *C. albicans* to milte-<br/>fosine, we used a group of *C. albicans* mutants in which transcrip-<br/>tion factors responsible for the expression of CDR, MDR, and<br/>other factors involved in drug resistance were deleted (for specificwhil

and biofilm growth conditions (in two stages of biofilm development—initial and preformed).

Mutants lacking *TAC1* ( $\Delta tac1$ ) and *MRR1* ( $\Delta mrr1$ ) have *CDR* (*CDR1* and *CDR2*) and *MDR* transcription reduced, respectively, while mutants lacking *CRZ1* ( $\Delta crz1$ ) are hypersensitive to fluconazole. Mutants with *CAP1* ( $\Delta cap1$ ) deleted lose their ability to become resistant to multiple drugs, and when *NDT80* ( $\Delta ndt80$ ) is lost, mutants cease to be resistant by failing to enable production of Cdr1 in response to drugs. Moreover, FCR1 mutants ( $\Delta fcr1$ ) become resistant to fluconazole. In our experiments, for all developmental stages tested—planktonic cells and initial and preformed biofilms—mutants associated with *CDR* ( $\Delta tac1$  and  $\Delta ndt80$ ) or associated with clinical resistance ( $\Delta rme1$ ) were sus-

 TABLE 3 Inhibitory effect of alkyl phospholipid miltefosine and standard antifungals amphotericin B, fluconazole, and caspofungin on preformed biofilms of *Candida albicans* clinical isolates<sup>b</sup>

Strain	BMIC (µg/ml)									
	Miltefosine		Amphotericin B		Fluconazole		Caspofungin			
	BMIC <sub>50</sub>	BMIC <sub>90</sub>	BMIC <sub>50</sub>	BMIC <sub>90</sub>	BMIC <sub>50</sub>	BMIC <sub>90</sub>	BMIC <sub>50</sub>	BMIC <sub>90</sub>		
3034	4-8	8	4-8	>16	>1,000	>1,000	2 <sup><i>c</i></sup>	>16 <sup>c</sup>		
4617	8	16	4	16	500	>1,000	0.5-1	$2^{c}$		
4639	8	16	2	16	>1,000	>1,000	0.5-1	$1^c$		
5106	16-31.25	31.25	8	>16	>1,000	>1,000	>16	>16		
4380	8	8-16	0.5	4	2	>1,000	0.25-0.5	$1^c$		
2440	4-8	8	4	>16	>1,000	>1,000	1–16	>16		
2307	4-8	16	4	16	4	>1,000	0.5	$1^c$		
412	8	31.25	2-4	16	>1,000	>1,000	0.5-1	2 <sup><i>c</i></sup>		
1691	8	31.25	4	16	>1,000	>1,000	0.5-1	1 <sup>c</sup>		
3731	4-8	8-16	4	>16	>1,000	>1,000	1	$4^c$		
6482	8	16	4	16	>1,000	>1,000	0.25	1 <sup>c</sup>		
6191	4-8	8	2-4	≥16	>1,000	>1,000	>16	>16		
SC5314 <sup>a</sup>	8	16	2	8	>1,000	>1,000	0.5	$1^c$		

<sup>a</sup> Candida albicans control strain.

<sup>b</sup> Metabolic activity of biofilm cells was quantified using the XTT reduction assay after 24 h of incubation with the drugs (except for control, which received only medium), and the inhibitory concentration was calculated in relation to control (not treated) biofilms.

<sup>c</sup> Paradoxical effect.

			BMIC (µg/ml)			
			Biofilm formation		Preformed biofilm	
Target	Gene	$IC_{90}$ for planktonic cells (µg/ml)	BMIC <sub>50</sub>	BMIC <sub>90</sub>	BMIC <sub>50</sub>	BMIC <sub>90</sub>
Drug response	TAC1	1	2	4	8-16	32
	RME1	2	1-2	4	8	32
	CRZ1	2	1-2	2	2	8
	MRR1	1–2	2	4	2-4	8
	NDT80	1	1-2	2	32-64	64
	FCR1	1–2	2	4	8-16	32
	CAP1	1	2	4	8	16
Stress response	WAR1	1	0.5	2	8	32
-	MNL1	2	2	2	8-16	32
	NRG1	1–2	$ND^b$	ND	ND	16
Cell wall	RLM1	2	1-2	2	2-4	8
	CAS5	2	2	4	2-4	8
	SKO1	1	1-2	2-4	8	32
Cell respiration/metabolism	HAP5	1	1-2	2	32-64	64
-	HAP3	1	1	4	8-16	32
Ergosterol	UPC2	2	2-4	4-8	2-4	8
Adherence, biofilm, and virulence	EFG1	0.5-1	1-2	2	4-8	8-16
	$ACE2^{a}$	1	4	8-16	2-4	4-8
	$BCR1^{a}$	2	2-8	8	16–32	32
C. albicans SN152	Parental strain	1–2	2	4	8	16-32

TABLE 4 Inhibitory effect of alkyl phospholipid miltefosine on planktonic cells, biofilm formation, and preformed biofilms of *Candida albicans* transcription factor mutant library

<sup>a</sup> Not a good biofilm-forming strain.

<sup>b</sup> ND, not defined.

ceptible to miltefosine to the same extent as was the parental strain (C. albicans SN152, used for mutant construction) (Table 4) and showed MICs and BMICs similar to those of C. albicans SC5314 (Tables 1 to 3). Interestingly, preformed biofilms of  $\Delta mrr1$  mutants (associated with MDR pumps) and  $\Delta crz1$  mutants (hypersensitive to fluconazole, acting "downstream" of calcineurin) were slightly more sensitive to miltefosine than the wild-type strain (1- to 2-fold), despite the similar susceptibilities observed for planktonic cells (Table 4). Additionally, mutant transcription factors responsible for controlling the stress response, synthesis and cell wall remodeling, cell respiration, ergosterol biosynthesis, adhesion, biofilm formation, and virulence were also evaluated, but these processes do not seem to have a role in the antifungal activity of miltefosine (data not shown). Interestingly, knockout mutants for UPC2 ( $\Delta upc2$ ), a transcriptional regulator of ergosterol biosynthetic genes and sterol uptake, formed biofilms with partially increased susceptibility to miltefosine (1- to 4-fold) (data not shown).

Repeated exposure of *C. albicans* to miltefosine *in vitro* does not induce resistance. We performed a series of serial passage experiments to evaluate the potential to induce resistance upon repeated exposure to miltefosine. Planktonic cells of the control strain (SC5314) and of isolate 6482 (with a high predisposition to develop resistance to multiple antifungals) were exposed to increasing subinhibitory concentrations of miltefosine or fluconazole, for 35 days. Changes in the susceptibility to the drugs were monitored by microdilution assays during the experiments. Continuous stimulation with subinhibitory concentrations of miltefosine did not alter the susceptibility profile of the standard strain (SC5314), and the MIC remained similar to that of the control (exposed to culture medium only during the length of experiments) (Fig. 1a). Interestingly, the clinical isolate 6482 showed a slight reduction in its susceptibility to miltefosine, with MIC increasing from 1 to 4  $\mu$ g/ml (2 dilutions) between days 21 and 28. However, this susceptibility change was observed simultaneously in three experimental variables: miltefosine exposure, fluconazole exposure, and fresh medium only (control). Therefore, we consider that this change is more likely an adaptation of the strain during the continuous batch culture in RPMI medium and not a direct consequence of the antifungal drug pressure (Fig. 1b). Additionally, exposure to miltefosine did not induce the development of cross-resistance to fluconazole, and the MIC for fluconazole in the miltefosine-exposed group remained between 0.25 and 1 µg/ml throughout the experiment, similar to the control group  $(0.25 \text{ to } 0.5 \text{ } \mu\text{g/ml})$  (Fig. 1c). Continuous growth in the presence of fluconazole for 14 days induced the development of tolerance to this drug, increasing the MIC to 32 and  $>64 \,\mu$ g/ml on days 21 and 28, respectively (Fig. 1c), while C. albicans strain 6482 remained resistant to fluconazole (MIC of >64 µg/ml) throughout the experiment, in all groups (Fig. 1d). Yet, populations of C. albicans SC5314 grown in the presence of fluconazole yielded no crossresistance to miltefosine, and the MIC values remained similar to those of the control and the miltefosine-exposed group, during the initial 21 days (Fig. 1c). Between days 21 and 28, a small in-

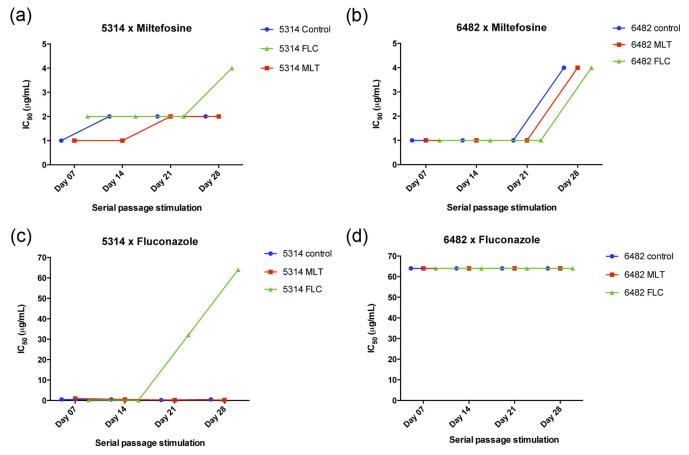


FIG 1 Induction of *in vitro* development of resistance to drugs by continuous exposure. Both strains of *Candida albicans*, SC5314 and 6482, were challenged daily with fresh culture medium (control; blue line), increasing subinhibitory concentrations of miltefosine (MLT; red line), and increasing subinhibitory concentrations of fluconazole (FLC; green line), for 30 days. (a and b) Susceptibility of the three groups to miltefosine over time; (c and d) susceptibility of the three groups to fluconazole over time.

crease was observed in the MIC of miltefosine (1-fold). The increase of only 1 dilution is not significant, but a longer test should be conducted to clarify the cross-tolerance development potential after stimulation with fluconazole for periods longer than 30 days.

In vivo topical treatment with miltefosine impaired the progression of oral candidiasis. The potential protective effect of miltefosine as a topical treatment for OPC was evaluated using a mouse model of oral candidiasis previously described (42). The effectiveness of the treatment was assessed using three different parameters: (i) clinical (visual) analysis of disease progression, using predefined parameters (see Materials and Methods); (ii) assessment of the extent of colonization of the animal tongues through histological sections; and (iii) determination of fungal burden in tongues. Topical treatment with 50 mg/kg (2 mg/ml) of miltefosine administered twice a day was started 1 day before the infection, and the drug prevented the establishment of infection on days 1 and 2 (Fig. 2a). Animals from the treated group remained with reduced clinical signs compared to the control group treated with saline until the end of the experiment (P < 0.01) (Fig. 2a). Visual analysis of the tongues from control and treated animals, after 3 and 4 days of treatment, confirmed that topical miltefosine significantly reduced the colonization and infection of mouse tongues (Fig. 2c). At the end of the 4th day, the tongues of the animals from the control group were completely covered by a

thick fungal biofilm layer, which was not observed so intensely in treated animals (Fig. 2c). In addition, mice from the treated group also showed reduced fungal burdens as determined by lower CFU values than those for control animals treated with saline (Fig. 2b). We note that filamentous forms that predominate in the tongues from the untreated group (Fig. 2c) may have a lower plating efficiency than yeast cells, which are mostly seen in the miltefosinetreated group, and therefore, fungal burdens in organs from control mice may be underestimated. Dissemination to the kidneys was not observed in any of the groups tested, as CFU plates of kidney homogenates (obtained at time of necropsy) had no colonies after 48 h of incubation (data not shown). Histological sections from control group tongues revealed that the superficial tissue of the tongue was covered by C. albicans, with many hyphae actively penetrating the tissue, characterizing the invasive behavior during an active infection (Fig. 2d and e). On the other hand, histological sections of tongues from the group treated with miltefosine showed a reduced number of yeasts and hyphae colonizing only the superficial layers of tissue, confirming a less invasive behavior (Fig. 2f and g).

## DISCUSSION

The potent antifungal activity of miltefosine has been demonstrated in recent years against different fungal species (27–32).

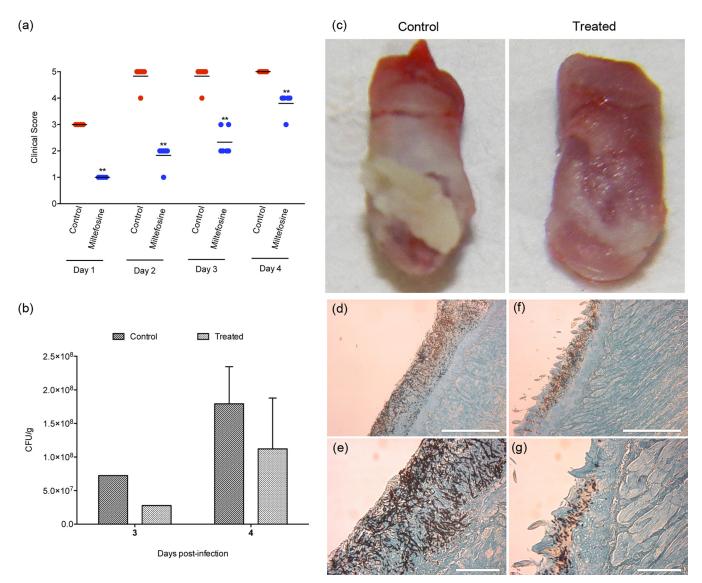


FIG 2 *In vivo* evaluation of the protective effect of miltefosine against oral candidiasis. Treated groups received 50 mg/kg of miltefosine, twice a day, by topical administration. Miltefosine treatment was initiated the day before infection (day -1). The control group received saline twice a day, also by topical administration. (a) Clinical score of candidiasis progression, showing that miltefosine has a protective role, preventing the development of disease. \*\*, P < 0.01; statistical analysis by nonparametric Mann-Whitney test. (b) Extent of *C. albicans* colonization on tongues from control mice and mice treated with miltefosine. Only one animal from each group was sacrificed at the end of day 3. Bars indicate the standard deviations. The graph shows the reduction in *C. albicans* colonizing the tongues of animals treated with miltefosine in comparison to the untreated control group. Statistical analysis by nonparametric Mann-Whitney U test, 4.000. (c) Visual analysis of the extension of tongue colonization by *C. albicans* in mice from the control group (left) and the group treated with miltefosine (right) at the end of day 4. Control tongues showed a dense biofilm covering the entire tongue surface on day 4 (left), and miltefosine treatment showed a protective role by reducing the tongue colonization and reducing the biofilm formation (right). (d to g) Histological sections of tongues from control mice (d and e) and mice treated with miltefosine (f and g) sacrificed on the 4th day and stained with Grocott-Gomori stain and silver methanamine. The administration of miltefosine reduced tissue colonization, inhibited hypha formation, and reduced invasive behavior at the infection site (f and g).

Our previous report showed that this drug also has an important *in vitro* activity against *C. albicans* biofilms formed in the lumen of central venous catheters (33). Here, we expanded these analyses to the examination of the effects of miltefosine on a panel of *C. albicans* clinical isolates, many of them exhibiting frank fluconazole resistance, and the examination of its potential to elicit resistance in *C. albicans*. Our *in vivo* results also indicate its promising topical activity for the treatment of superficial candidiasis.

Under planktonic conditions, miltefosine was highly active

against all clinical isolates tested, with MIC values between 0.25 and 2  $\mu$ g/ml. Interestingly, similar concentrations of miltefosine were able to inhibit 90% of biofilm formation (BMIC<sub>90</sub> ranging from 2 to 4  $\mu$ g/ml). This may happen because fungal cells promptly incorporate miltefosine and mitochondrial damage begins even before biofilm formation starts, impairing biofilm formation with concentrations similar to those that kill planktonic cells. The quick incorporation of miltefosine by yeast cells was demonstrated by Zuo and coworkers (43) using <sup>14</sup>C-labeled milte-

fosine, and in *Saccharomyces cerevisiae*, mitochondrial damage and disruption of its membrane potential were observed after 1 h of treatment with 2 µg/ml of miltefosine (43). Additionally, our data show that even when a highly dense preformed biofilm is challenged, miltefosine effectively inhibits 90% of cell metabolic activity with concentrations only 4 to 16 times higher than the mean planktonic MIC (2 µg/ml) (Table 3). Existing literature shows that, *in vitro*, all azoles, including the reference fluconazole and the newer molecule voriconazole, have a BMIC<sub>90</sub> for preformed biofilms higher than 1,000 and 256 µg/ml, respectively. Also, the amphotericin B inhibitory concentration of 50% for biofilms is 8 to 32 times higher than its planktonic MIC (12). In this scenario, miltefosine demonstrated a promising activity against *C. albicans* biofilms.

For this report, a set of 12 C. albicans fluconazole-resistant strains, obtained from HIV-positive patients with OPC (35), was selected. The development of "acquired resistance" to fluconazole by C. albicans is a fairly common process and most often is the result of alterations in the target enzyme ( $C_{14}$ - $\alpha$ -lanosterol demethylase) or the increase in drug efflux pumps on the cell surface. The two main changes observed in the target enzyme are overexpression of the enzyme itself and point mutations in the gene that encodes the same (ERG11). Activation of drug efflux pumps in the fungal cell membrane reduces azole accumulation inside the cells, preventing their operation. This mechanism is mediated by two types of multidrug efflux transporters, belonging to the major facilitator superfamily (MFS), encoded by multiple drug resistance genes (such as C. albicans MDR1 [CaMDR1]), and those belonging to the superfamily of ABC transporters, encoded by genes CDR1 and CDR2. The CDR overexpression can confer resistance to multiple azoles, while upregulation of CaMDR1 alone leads to exclusive resistance to fluconazole (35). Overexpression of CDR1, CDR2, and MDR1 has been observed since the early stages of development of C. albicans biofilms (44, 45) and is one of the factors that contribute to the intrinsic resistance of biofilms to fluconazole. Here, it was shown that clinical isolates resistant to fluconazole by overexpression of CDR and/or MDR pumps or by point mutations in the gene ERG11 are susceptible to miltefosine. Also, combinatory assays of miltefosine and the efflux pump inhibitor FK506 on both planktonic and biofilm forms did not lead to increases in the antifungal activity of this drug (data nor shown). These results corroborate the hypothesis of independence between the miltefosine mechanism of action and the presence of efflux pumps and highlight the inhibitory activity of miltefosine against isolates with reduced susceptibility to standard drugs. Additionally, the susceptibility of strains with Erg11p mutations places miltefosine as a potential option against resistant strains exhibiting this resistance mechanism.

Our serial passage experiments clearly indicate that repeated exposure to miltefosine is unlikely to elicit the emergence of resistance. Recently, Biswas and coworkers showed that *S. cerevisiae* mutants overexpressing the gene *HXT13* developed resistance to miltefosine through Hxt13p the protein, a hexose transporter which also appears to function as an efflux pump belonging to the MFS family (same as *CaMDR1*) (46). Miltefosine resistance development has been observed during treatment of visceral leishmaniasis, which has been attributed to the reduction of drug accumulation in the parasite resulting from overexpression of ABC transporter LtrMDR1 and to changes in membrane sterol composition, affecting the initial interaction and internalization of the drug (25, 26). In order to gain further insight into the *in vitro* activity of miltefosine under both planktonic and biofilm growth conditions, including development of resistance, we evaluated the susceptibility to miltefosine of a series of *C. albicans* transcription factor mutants (36). Our results indicate that mutant strains resulting in inhibition of expression of *CDR1* and/or *CDR2* genes remained susceptible to miltefosine under both planktonic and biofilm conditions (Table 4). Moreover, *C. albicans* mutants lacking the *MDR1* regulator *MRR1* ( $\Delta mrr1$ ) displayed planktonic and biofilm susceptibility against miltefosine similar to that of the parental strain (Table 4).

In humans, miltefosine has an extended half-life ( $\sim 150$  h) and a low therapeutic index. Clinically, this means that, even after the completion of the treatment, patients are exposed to subtherapeutic concentrations of the drug for a few weeks, a characteristic that could stimulate resistance (26). Seifert et al. (47) described the *in vitro* development of resistance in Leishmania donovani strains by serial passages in the presence of miltefosine. Using the *in vitro* model of tolerance induction by serial passage (40), we demonstrated that, in *C. albicans*, continuous *in vitro* stimulation with subtherapeutic concentrations of miltefosine does not induce the development of *in vitro* resistance. Using the same serial passage stimulation, we also showed that exposure to miltefosine does not affect the susceptibility of *C. albicans* to fluconazole and, fortunately, that previous exposure to fluconazole does not alter the susceptibility of *C. albicans* to miltefosine.

The major concerns in the use of miltefosine are related to its effect on the mucosa of the gastrointestinal tract and its teratogenicity, as shown in preclinical animal studies (25). These gastrointestinal side effects are probably related to oral ingestion of the drug and the detergent properties of miltefosine, which irritates the gastrointestinal mucosa directly, causing vomiting and diarrhea. In general, miltefosine has a good oral bioavailability (82 to 95%) and is distributed to all tissues with accumulation mainly in liver, lungs, kidneys, and spleen (25), and its cytotoxicity is not greater than that of the current gold standard drug, amphotericin B (29). The *in vivo* efficacy of oral treatment with miltefosine was initially demonstrated by Widmer et al. (28) using models of disseminated cryptococcosis in mice, where doses of 3.6 and 7.2 mg/ kg/day increased survival of animals and reduced the fungal load in the brain and lungs. However, Wiederhold et al. found that, for cryptococcal meningitis and disseminated cryptococcosis models, doses up to 45 mg/kg/day of miltefosine did not improve the survival or reduce the infectious process (48). Similarly, intraperitoneal treatment with doses up to 10 mg/kg/day of miltefosine was not effective in a murine model of disseminated candidiasis (49). These negative results may be associated with the high binding of miltefosine to plasma proteins (up to 95%) (25), reducing their systemic activity and its penetration across the blood-brain barrier.

Thus, we decided to determine the effectiveness of a topical treatment with miltefosine in a murine model of OPC, which is associated with a biofilm etiology. Usually, the clinical response to fluconazole in patients with OPC is satisfactory, but relapses or reinfections frequently occur, probably because of an incomplete eradication of yeast cells in treated patients that may result from biofilm persister cells. Consequently, many AIDS patients receive fluconazole for long periods of time, for several cycles, or even as prophylaxis, favoring the development of resistance (50). A pre-liminary *in vivo* study was conducted to evaluate if the topical

candidiasis. Our results confirmed that topical application of 50 mg/kg (2 mg/ml) of miltefosine twice a day reduced the development of oral candidiasis in immunocompromised mice (Fig. 2). Miltefosine treatment reduced the colonized area, biofilm formation in the tongue, and tissue damage. These results are relevant from a clinical point of view as miltefosine topical formulations are commercially available and may represent a new therapeutic option, especially for those recurrent cases recalcitrant to fluconazole treatment. The development of a new drug is a long-lasting, laborious, and expensive process. Defining a new application for a commercially available product cuts out much time in this process.

In conclusion, this work confirmed that low concentrations of miltefosine are efficient to inhibit *C. albicans* biofilm formation *in vitro* and that preformed biofilms are also susceptible to this drug. Its efficacy against azole-resistant clinical isolates and its reduced potential to foster development of resistance highlight the importance of expanding studies upon its antifungal activities. Finally, the demonstration of miltefosine topical activity *in vivo* in the murine model of oropharyngeal candidiasis corroborates the potential of this molecule as a promising antifungal candidate for the treatment of superficial *Candida* infections.

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Miltefosine In Vitro and In Vivo Activity in Candida

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