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## FULL PAPER

# Survey of volume CT dose index in Japan in 2014

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**Objective:** The aims of this study are to propose a new set of Japanese diagnostic reference levels (DRLs) for 2014 and to study the impact of tube voltage and the type of reconstruction algorithm on patient doses. The volume CT dose index (CTDI<sub>vol</sub>) for adult and paediatric patients is assessed and compared with the results of a 2011 national survey and data from other countries.

**Methods:** Scanning procedures for the head (non-helical and helical), chest and upper abdomen were examined for adults and 5-year-old children. A questionnaire concerning the following items was sent to 3000 facilities: tube voltage, use of reconstruction algorithms and displayed CTDI<sub>vol</sub>.

**Results:** The mean  $CTDI_{vol}$  values for paediatric examinations using voltages ranging from 80 to 100kV were significantly lower than those for paediatric examinations

Since the introduction of CT in the 1970s, it has been established worldwide as one of the most important imaging modalities in diagnostic radiology. In the past decade, various dose-reduction techniques, such as tube current modulation<sup>1</sup> and low tube voltage,<sup>2</sup> have been shown to reduce radiation exposure. In particular, the use of an iterative reconstruction (IR) algorithm, in contrast to a filtered back projection (FBP) algorithm, has provided diagnostically acceptable images using low-radiation-dose CT.<sup>3,4</sup>

Since estimates of the cancer risk attributable to the use of diagnostic X-rays have been reported,<sup>5,6</sup> radiological technologists should aim to optimize scan parameters in order to avoid excessive radiation exposure. One powerful tool in this optimization applies the concept of diagnostic reference levels (DRLs). The DRLs of CT examinations are generally expressed in terms of the volume CT dose index (CTDI<sub>vol</sub>) or dose–length product. The DRL is used in medical imaging with ionizing radiation to indicate whether, in routine conditions, the patient dose from a

using 120 kV. For adult examinations, the use of iterative reconstruction algorithms significantly reduced the mean CTDI<sub>vol</sub> values compared with the use of filtered back projection. Paediatric chest and abdominal scans showed slightly higher mean CTDI<sub>vol</sub> values in 2014 than in 2011. The proposed DRLs for adult head and abdominal scans were higher than those reported in other countries.

**Conclusion:** The results imply that further optimization of CT examination protocols is required for adult head and abdominal scans as well as paediatric chest and abdominal scans.

**Advances in knowledge:** Low-tube-voltage CT may be useful for reducing radiation doses in paediatric patients. The mean  $\text{CTDI}_{\text{vol}}$  values for paediatric scans showed little difference that could be attributed to the choice of reconstruction algorithm.

specified procedure is unusually high or low; DRLs are usually reviewed at regular intervals and could be specific to a country or region.<sup>7</sup> Surveys of DRLs for CT examination of adults<sup>8–11</sup> and children<sup>12,13</sup> have been reported in several countries.

The current DRLs in Japan were established as target values by the Japan Association of Radiological Technologists in 2006. The DRLs refer to a set of medical exposure guidelines, although there are several issues with these guidelines.<sup>14</sup> First, no more than two examinations (head and abdomen) are listed in DRLs, and they contain no information about the CT examination of children. Second, the DRL for abdomen examination employs a 30-cm phantom, whereas a 32-cm phantom is more commonly used worldwide. Therefore, a new set of Japanese DRLs has become an urgent necessity. In 2011, Asada et al<sup>15</sup> reported mean CTDI<sub>vol</sub> values for the head (non-helical and helical), chest and upper abdomen of both adults and children, which were obtained using a nationally distributed questionnaire. The aims of this study are to propose a new set of Japanese DRLs for 2014 and to study the impact of tube voltage and the type of reconstruction algorithm on patient doses. The  $CTDI_{vol}$  for both adults and children have been assessed and compared with both the results of the 2011 survey and data from other countries.

## METHODS AND MATERIALS

## National questionnaire survey

A questionnaire was sent to 3000 facilities, which were taken from the list of Japanese Society of Radiological Technology members, with random two-stage sampling. These facilities comprised national hospitals, public medical organizations, social insurance agencies, public service corporations, medical corporations, educational corporations, social welfare corporations, companies and private medical health corporations in Japan.

The distributed questionnaire contained detailed questions on the CT scan parameters employed, including the manufacturer, specific CT scanner model, tube voltage (kV), tube current (mA), rotation time, number of channels, beam width, pitch factor and reconstruction algorithm (IR or FBP). Dose information was collected in terms of the displayed CTDI<sub>vol</sub>. The scanned anatomical regions were divided as follows: head (nonhelical and helical), chest and upper abdomen (hereinafter called "abdomen"), for both adults and 5-year-old children. The questionnaire sought data for scanning performed on standard (average-sized) patients to represent usual practice, which excludes specialized examinations. The questionnaire also sought the displayed  $\text{CTDI}_{vol}$  values with a 32-cm phantom for adult chest and abdomen examinations and with a 16-cm phantom for other examinations.

#### Analysis of collected data

The data were entered manually into an Excel<sup>®</sup> spreadsheet (Microsoft Corp., Redmond, WA). The first quartile (25th percentile), median (50th percentile) and third quartile (75th percentile) of CTDI<sub>vol</sub> values for each anatomical region were calculated directly from the total dose distribution. The national DRLs presented in this survey were determined using the 75th percentile of the CTDI<sub>vol</sub>, in accordance with the fact that the DRLs reported in other countries are usually based on the 75th percentile of the CTDI<sub>vol</sub>.<sup>8,9,16–18</sup> The method of surveying CTDI<sub>vol</sub> values in Japan was the same as that used in the study by Asada et al<sup>15</sup> in 2011, which serves as a basis for comparison with the present work.

A significant difference between the two groups was evaluated using Student's or Welch's *t*-test following the *F*-test, which was used in the analysis of variance. Statistical analyses were performed using Student's *t*-test when the two groups had equal variances, whereas Welch's *t*-test was used for unequal variances. A *p*-value of <0.05 was considered to be statistically significant. Data were occasionally missing at certain parts of the questionnaire. If the displayed CTDI<sub>vol</sub> was missing, the CTDI<sub>vol</sub> was estimated using the ImPACT CT Patient Dosimetry Calculator (CT Scanner Evaluation Centre, London, UK) based on the CT scan parameters, instead of the displayed CTDI<sub>vol</sub>, as discussed in a previous study.<sup>19</sup>

### RESULTS

#### National questionnaire survey

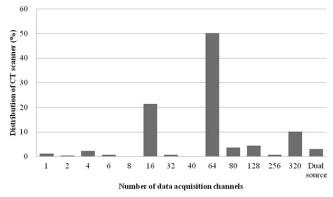
The questionnaire was sent to 3000 facilities, and responses were received from 656 (21.9%). Sufficient details on the  $\text{CTDI}_{\text{vol}}$  were provided by 584 (89.0%) of the 656 facilities. The 584 facilities that contributed to the survey represented 5.1% of all CT facilities in Japan.

## Analysis of collected data

The collected data for 3004 scanner protocols from 584 facilities were analysed in this study. Multidetector row CT (MDCT) with 64 channels was found to be the most frequently used (50.2% of facilities) in these facilities, as shown in Figure 1, while an MDCT set-up with 16 channels was the second most frequently used (21.4%). There were 13 variations for 64-channel MDCT. The data for each anatomical region are listed in Table 1 according to the tube voltage (kV). A tube voltage of 120 kV was used in the majority of facilities for all anatomical regions, including CT examinations of children. However, voltages <120 kV were used in a higher percentage of CT examinations of children than for adult examinations. The mean CTDI<sub>vol</sub> values for paediatric examinations using voltages ranging from 80 to 100 kV were significantly lower than those for paediatric examinations using 120 kV (*t*-test, p < 0.05, Table 2). The utilization rate of the IR for the head region on adults and children was approximately 15% (Figure 2). On the other hand, the utilization rate of the IR algorithm for scans of the chest and abdomen on adults and children was approximately 35%. Table 3 lists the mean and median CTDI<sub>vol</sub> for each anatomical region, classified in terms of the image analysis algorithm used: IR or FBP. The mean  $\ensuremath{\text{CTDI}_{vol}}$  values for adult examinations using IR were significantly lower than those of adult examinations using FBP (t-test, p < 0.05). However, there was no statistically significant difference in the mean CTDIvol values for paediatric scans between facilities employing IR and FBP algorithms, with the exception of abdominal CT scans.

The distributions of  $\mathrm{CTDI}_{\mathrm{vol}}$  for each anatomical region are summarized in Table 4. The  $\mathrm{CTDI}_{\mathrm{vol}}$  data are presented in terms of the number of data points, mean, coefficient of variation (CV) and quartiles (25th percentile, median and 75th percentile). The mean  $\mathrm{CTDI}_{\mathrm{vol}}$  values for all anatomical regions were higher than the medians.

Figure 1. Distribution of CT scanners according to the number of acquisition channels. There were 13 variations for 64channel multidetector row CT.



Anatomical regions	Tube voltage (kV)									
	п	80	100	110	120	130	135	140		
Head (non-helical)										
Adults	536	-	0.2	0.4	93.8	4.3	0.4	0.9		
Children (5 years)	318	-	6.0	1.9	89.6	2.2	-	0.3		
Head (helical)										
Adults	482	0.2	0.8	-	95.4	2.7	0.2	0.4		
Children (5 years)	271	-	5.5	1.1	93.0	0.4	-	_		
Chest										
Adults	584	-	0.5	0.5	95.2	3.4	0.2	0.2		
Children (5 years)	274	3.3	13.9	2.9	78.8	0.4	-	-		
Abdomen										
Adults	570	-	0.5	0.4	95.3	3.7	-	0.2		
Children (5 years)	269	2.2	14.5	1.9	79.6	1.1	-	_		

Table 1. Distribution (%) of the tube voltage in each anatomical region

The changes in the mean  $\text{CTDI}_{\text{vol}}$  values for each anatomical region from 2011 to 2014 are given in Figure 3. Although there was little difference in the mean  $\text{CTDI}_{\text{vol}}$  values for CT head scans (non-helical and helical) on both adults and children between 2011 and 2014, the 2014 results for the chest and abdominal scans on children showed slightly higher mean  $\text{CTDI}_{\text{vol}}$  values than in 2011 (*t*-test, p < 0.05). Furthermore, the adult chest scans showed a slightly lower mean  $\text{CTDI}_{\text{vol}}$  in 2014 than in 2011 (*t*-test, p < 0.05). There was little difference in the mean  $\text{CTDI}_{\text{vol}}$  values for CT abdominal scans on adults between 2011 and 2014.

Table 5 compares the 75th percentile of the  $\text{CTDI}_{\text{vol}}$  for each anatomical region in this study with the 75th percentiles of the  $\text{CTDI}_{\text{vol}}$  values taken from surveys conducted in other countries. The 75th percentiles of the  $\text{CTDI}_{\text{vol}}$  values in our study were

mostly equal to those of other countries. However, the 75th percentiles of the  $\text{CTDI}_{\text{vol}}$  for adult head and abdominal scans were slightly higher than those of other countries.

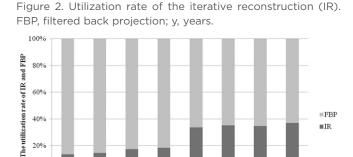
## DISCUSSION

Fukushima et al<sup>10</sup> reported that the utilization rates of MDCT with 16 and 64 channels were 38.3% and 25.5%, respectively, in the Gunma prefecture of Japan in 2010. Asada et al<sup>15</sup> reported that the use of MDCT with 64 channels (35.9%) was slightly more frequent than the use of MDCT with 16 channels (32.5%) in Japan in 2011. MDCT with 64 channels was used in half of the CT scanners included in the 2014 survey, implying that there is a general trend in Japan towards adopting MDCT with 64 channels. MDCT with 64 channels was also commonly used in Switzerland (approximately 30%)

Table 2. Comparison of the distributions of CT dose index (CTDI<sub>vol</sub>) classified in terms of tube voltage used in paediatric examinations

Anatomical regions						
	Tube voltage (kV)	n	Mean (mGy)	Coefficient of variation (%)	<i>p</i> -value	
Head (non-helical)	100	19	35.0	30	< 0.05	
	120	285	43.6	44	<0.05	
Head (helical)	100	15	31.5	35	< 0.05	
	120	252	42.4	43		
Chest	80–100	47	5.0	63	- <0.05	
	120	216	10.5	87		
Abdomen	80–100	45	6.4	84	<0.05	
	120	214	12.6	76	< 0.05	

Statistical analyses were performed using Welch's t-test.



Head (Non-helical) Head (Helical) Chest Abdomen of facilities),<sup>18</sup> Ireland (approximately 40%)<sup>9</sup> and Korea (ap-

Adults

Children

(5 y)

Adults

Children

(5 y)

Children

(5 y)

Many researchers have studied the use of low-tube-voltage CT for improving the image quality or reducing the radiation dose, particularly in paediatric patients.<sup>22,23</sup> In this study, the

utilization rate for tube voltages of 120 kV was equal for adult and paediatric head scans, although the utilization rate for this tube voltage for paediatric chest and abdominal scans was lower than that for adult scans. The tube voltage needed to penetrate the body of a child is lower than that of an adult, since a child is smaller.<sup>24</sup> A tube voltage of 120 kV was most frequently used for paediatric chest and abdominal scans, similar to adult CT scans. However, tube voltages of 100 kV and, sometimes, as low as 80 kV were more frequently used to scan the chests and abdomens of children than of adults. Furthermore, the mean CTDI<sub>vol</sub> values for paediatric examinations using voltages ranging from 80 to 100 kV were significantly lower than those for paediatric examinations using 120 kV. Low-tube-voltage CT may therefore be useful for reducing radiation doses among paediatric patients.

Previous studies have reported that use of the IR algorithm could lead to a reduction in adult patient doses in the chest by approximately  $40\%^{25}$  and those in the abdomen by approximately 30%.<sup>3</sup> In this study, the reduction of the CTDI<sub>vol</sub> in the chest (28%) was higher than in the abdomen (16%). Other works reported that the IR algorithms for head CT scanning

Table 3. Comparison of the distributions of CT dose index	ex (CTDI <sub>vol</sub> ) using reconstruction algorithms
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Anatomical regions	Reconstruction algorithm		C				
		n	Mean	Median	Coefficient of variation (%)	Reduction rate (%)	<i>p</i> -value
Head (non-helical)		1			<u> </u>		1
Adults	IR	66	72.2	69	36	12	< 0.05 <sup>a</sup>
Aduits	FBP	415	82.1	76	34	12	
Children	IR	44	41.6	38.3	42	6	$0.4^a$
(5 years)	FBP	254	44.2	41.1	43	6	0.4"
Head (helical)							
Adults	IR	77	66.7	64	40	11	< 0.05 <sup>a</sup>
	FBP	362	75.2	72.2	32	11	
Children	IR	47	38.4	31.6	41	11	0.13 <sup>a</sup>
(5 years)	FBP	207	43.3	40	43	11	
Chest							
Adults	IR	183	10.4	10	42	28	$< 0.05^{b}$
Aduits	FBP	359	14.4	12.9	69	20	
Children	IR	92	8.2	6	112	18	0.12 <sup>a</sup>
(5 years)	FBP	169	10	7.1	86	10	
Abdomen							
Adults	IR	184	15.8	14.8	49	16	$< 0.05^{b}$
	FBP	344	18.7	17.2	46	10	~0.05
Children	IR	95	9.2	7.6	92	25	< 0.05 <sup>a</sup>
(5 years)	FBP	161	12.2	9.9	76	23	<0.05

FBP, filtered back projection; IR, iterative reconstruction; n, number of CTDI<sub>vol</sub> values.

 $CTDI_{vol}$  values in the adult chest and abdomen were calculated using a 32-cm phantom, while the others were calculated using a 16-cm phantom. The  $CTDI_{vol}$  in the head (non-helical) was from a scan of the posterior fossa.

<sup>a</sup>Statistical analyses were performed using Student's t-test.

<sup>b</sup>Statistical analyses were performed using Welch's *t*-test.

BJR

0%

Adults

proximately 36%).<sup>16</sup>

Children

(5 y)

Adults

1	CTDI <sub>vol</sub> (mGy)									
Anatomical regions	п	Mean	Coefficient of variation (%)	25th percentile	Median	75th percentile				
Head										
Adults	1018	77.4	34	60.0	73.0	92.3				
Children (5 years)	589	42.7	43	30.0	40.0	50.0				
Non-helical		•				-				
Adults	536	81.0	34	62.4	75.3	93.9				
Children (5 years)	318	43.3	43	30.7	40.0	50.0				
Helical										
Adults	482	73.4	34	58.6	70.9	90.4				
Children (5 years)	271	42.0	43	29.8	39.0	50.8				
Chest		•				-				
Adults	584	13.0	66	8.7	11.5	16.2				
Children (5 years)	274	9.5	93	4.0	6.6	12.0				
Abdomen										
Adults	570	17.7	48	12.0	16.0	21.5				
Children (5 years)	269	11.3	81	5.0	8.4	14.1				

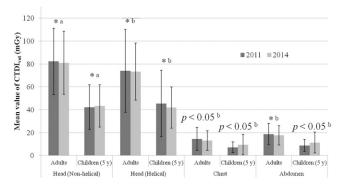
Table 4. Distributions of CT dose index (CTDI<sub>vol</sub>) for the CT examinations

All CT examinations (tube voltage values, reconstruction algorithms) have been included.

 $CTDI_{vol}$  values in the adult chest and abdomen were calculated using a 32-cm phantom, while the others were calculated using a 16-cm phantom. *n* indicates the number of  $CTDI_{vol}$  values.

had not been developed, in contrast to the CT scanning of the trunk.<sup>26,27</sup> Similarly, the utilization rate of IR algorithms for adult head scans was approximately 50% lower than for adult chest and abdominal scans. The use of IR algorithms for adult head scans yielded CTDI<sub>vol</sub> values 10% lower than those obtained using FBP. In Japan, head CT scans constituted the largest share among all types of CT examinations.<sup>28</sup> When IR algorithms are widely used in CT equipment, the DRL for head

Figure 3. Change in the mean CT dose index (CTDI<sub>vol</sub>) values and standard deviations for each anatomical region from 2011 to 2014. \*an insignificant change; <sup>a</sup>statistical analyses were performed using Student's *t*-test; <sup>b</sup>statistical analyses were performed using Welch's *t*-test.



CT might be decreased further. However, the modification of any clinical protocol must consider patient dose and image quality to ensure sufficient diagnostic image quality. An important result was that none of the paediatric CT examinations exhibited a statistically significant difference between IR and FBP, except for those on the abdomen, as the CVs of the paediatric chest and abdominal scans were double those of adult scans. However, the CVs of the paediatric head scans were as high as those of the adult head scans. One reason for the higher CV in paediatric trunk CT is that a very high variation in weight and size exists in 5-year-old patients. Another reason is that paediatric trunk CT protocols vary widely between facilities. Therefore, paediatric trunk CT protocols may require further optimization.

Paediatric chest and abdominal scans showed slightly higher mean  $\text{CTDI}_{\text{vol}}$  values in 2014 than in 2011. The reason for this increase is not completely clear. The use of MDCT with 64 channels increased from 2011 to 2014, although the diagnostic radiation dose could not be reduced. Therefore, only increasing the number of detector rows does not lead to a reduction in the CT radiation dose. Fukushima et al<sup>29</sup> reported that the results of the first dose survey for each CT scanner in 2011 were provided to all hospitals/clinics, with the DRL set from all the data, and 1 year later, a second survey was performed in the same manner to reduce the CT radiation dose successfully. It is necessary to promote the optimal diagnostic radiation dose in Japan. Each

Country	Switzerland <sup>12,18</sup>	Ireland <sup>9</sup>	Thailand <sup>13</sup>	Italy <sup>20</sup>	UK <sup>21</sup>	Portugal <sup>17</sup>	Korea <sup>16</sup>	This study		
Year	2008/2010	2010	2010	2011	2011	2012	2013	2014		
Number of facilities	10/179 <sup>a</sup>	30	3	65	127	211	32	584		
Head	Head									
Adults	65	66	_	69	80	75	53	92		
Children (5 years)	40	_	40	_	40	50	_	50		
Chest	·									
Adults	10	9	_	15	12	14	13	16		
Children (5 years)	10	_	10	_	_	5.6	_	12		
Abdomen										
Adults	15	12	_	18	14	18	13	22		
Children (5 years)	13	-	14	_	_	_	-	14		

Table 5. The 75th percentile of CT dose index (CTDI<sub>vol</sub>) obtained in this study for each anatomical region compared with the 75th percentile of CTDI<sub>vol</sub> values reported in other countries

Values provided in milligrays.

CTDI<sub>vol</sub> values in the adult chest and abdomen were calculated using a 32-cm phantom, while the others were calculated using a 16-cm phantom. <sup>a</sup>Number of CT scanners.

facility can use the proposed DRL to optimize the diagnostic radiation dose, confirming that their typical dose for a standard size patient is not higher than the DRL dose because we are not aiming to achieve DRL values, but rather ones below DRL values. If the dose is higher or significantly lower than the DRL dose, a local review should be initiated to determine whether protection has been adequately optimized or whether corrective action is required.<sup>7</sup> The CT radiation dose in Japan will be kept as low as reasonably achievable.

In this study, new DRLs for CT of adults and children in Japan are proposed on the basis of the analysis of data from 3004 scanner protocols. The 75th percentiles of each anatomical region for both adult and paediatric patients have been compared with those contained in data obtained from other countries<sup>9,12,13,16–18,20,21</sup> (Table 5). The CTDI<sub>vol</sub> values for each anatomical region in this study were mostly very similar to those of the other countries, although the 75th percentile of the CTDI<sub>vol</sub> for the head and abdomen in adults was noticeably higher in Japan than in other countries. These CTDI<sub>vol</sub> values have not changed since the 2011 survey<sup>15</sup> (Figure 3). This would ideally prompt an earnest attempt to reduce the diagnostic radiation dose of the adult head and abdomen.

The accuracy of the results of this questionnaire survey relies on the accuracy of the collected data. In this study, the analysed CTDI<sub>vol</sub> values were obtained using two different methods: the displayed CTDI<sub>vol</sub> and the estimated CTDI<sub>vol</sub> given by the Im-PACT dose calculator. A previous study<sup>16</sup> reported that there was no significant statistical difference between the CTDI<sub>vol</sub> values obtained from three different methods: reading from the CT display, ionization chamber measurement and a simulation method using the ImPACT dose calculator for head and body CT examinations. Furthermore, in this study, the percentage difference between the displayed  $\text{CTDI}_{\text{vol}}$  and the  $\text{CTDI}_{\text{vol}}$  estimated using the ImPACT dose calculator was 4.4% on average.

#### CONCLUSION

The DRLs for CT examinations of both adults and 5-year-old children in Japan were proposed based on the results of a national questionnaire survey. The proposed DRL for the adult head and abdomen was significantly higher than that reported in other countries, while the mean CTDI<sub>vol</sub> values of the chest and abdomen for children were slightly higher than those in the 2011 survey. This implies that further optimization of CT examination protocols is needed for adult head and abdominal scans and for paediatric chest and abdominal scans.

Low-tube-voltage CT may be useful for reducing radiation doses among paediatric patients. For adult examinations, the use of IR algorithms significantly reduced the mean  $\text{CTDI}_{\text{vol}}$  values in comparison with the use of FBP. However, excluding abdominal scans, the mean  $\text{CTDI}_{\text{vol}}$  values for paediatric scans showed little difference attributable to the choice of reconstruction algorithm.

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