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## Notch Signaling and M1 Macrophage Activation in Obesity-alcohol Synergism\*

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### Abstract

Macrophages are a key cell type in the innate immune system, and its proinflammatory (M1) activation in the liver plays a critical role in pathogenesis of alcoholic steatohepatitis. Emerging evidence indicates the involvement of Notch signaling in regulation of innate immune response and cellular metabolism. Metabolic switch to glycolysis characterizes macrophages undergoing M1 activation. It has been proposed that metabolic reprogramming in response to extrinsic stimulation, such as bacterial endotoxin, triggers intrinsic signal to dictate cell differentiation. Using an obesity-alcohol synergistic ASH mouse model, we have recently shown that Notch1 pathway promotes M1 activation of hepatic macrophages, through direct upregulation of M1 gene transcription and through reprogramming of mitochondrial metabolism to glucose oxidation and subsequent mtROS generation to augment M1 gene expression. Our studies demonstrate a novel mechanism of Notch1 signaling in metabolic reprogramming of macrophage for M1 activation in ASH.

### Introduction

Alcoholic liver disease encompasses a spectrum of injuries ranging from steatosis, steatohepatitis (ASH), fibrosis, to cirrhosis. M1 activation of hepatic macrophages (HMac) by LPS is a key pathogenic event in ASH (1). Although nuclear factor NF- $\kappa$ B is considered the prototypical proinflammatory signaling pathway, emerging evidence suggests an important role of Notch pathway in M1 activation of macrophages (Mac). In addition to the signaling pathways that regulate Mac activation, metabolic reprogramming to glycolysis in M1 Mac and fatty acid oxidation in alternatively activated (M2) Mac suggest a role of metabolism in Mac fate determination (2). We have recently demonstrated that M1 Mac have concomitant increases in aerobic glycolysis and glucose oxidative phosphorylation

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(OXPHOS), and the latter enhances mitochondrial ROS (mtROS) generation. All of these metabolic events are in a manner dependent on Notch1 pathway. The increased OXPHOS is achieved by Notch1 mediated activation of pyruvate dehydrogenase (PDH) and expression of mitochondrial DNA (mtDNA); and the increased mtROS in turn augments M1 activation. Most importantly, myeloid Notch1 deficiency attenuates transmigration of peripheral blood monocyte/Mac into the liver and subsequent M1 activation in an obesity-alcohol synergistic ASH mouse model (3).

### **Obesity-alcohol synergism mouse model of steatohepatitis**

Alcoholic and non-alcoholic steatohepatitis are the two most common life-style liver diseases caused by excessive intake of alcohol and calories, respectively. Although most alcoholics and obese individuals develop fatty liver, only a fraction of these individuals develop advanced disease such as steatohepatitis, suggesting multifactorial nature of the liver disease (4). Alcohol abuse in obese people, however, causes significantly increases the risk of developing steatohepatitis and cirrhosis (5-7). In experimental animals, earlier study showed that oral gavage of alcohol (4 g/kg) every 12 hours for 3 days caused increased serum ALT and mild ASH in obese rats but not in lean controls (8). In an intragastric feeding mouse model, we previously demonstrated that obesity aggravated alcohol-induced liver injury (9). In this model, mice overfed high fat diet (OF mice) develop moderate obesity and mild steatohepatitis, while mice fed alcohol (Alc mice) developed moderate ASH. When alcohol was co-administrated with the high fat diet, these OF plus alcohol fed (OF+Alc) mice had synergistic increase in serum ALT ( $393\pm 29$  U/L in OF+Alc vs.  $240\pm 23$  U/L in Alc vs.  $28\pm 3$  U/L in OF mice), severe steatosis, marked HMac infiltration and M1 activation, pericellular fibrosis, and intensified nitrosative stress induced by a 40-fold induction of nitric oxide synthase (Nos2) (9). These studies clearly show obesity-alcohol synergism in steatohepatitis.

### **Notch signaling in M1 macrophage activation and ASH**

Notch is a single transmembrane receptor with 4 isoforms, Notch1 to 4. Notch signaling is highly conserved pathway and it integrates environmental cues to specify cell fate during development (10). There are five known Notch ligands, Jagged1 and 2, and Delta-like ligand 1, 3, and 4. Notch activation is initiated by ligand binding, which leads to sequential proteolytic cleavage and liberation of Notch intracellular domain (NICD) by  $\gamma$ -secretase. The NICD translocates into the nucleus where it interacts with a DNA binding protein CSL and other nuclear proteins to form a transcriptional co-activator complex (11). It is now clear that Notch functions in cell fate determination extend beyond development. For example, Notch is known to be critical for liver development, but it is also involved in liver regeneration/repair, liver carcinogenesis, and metabolism (12).

Notch pathway has been shown to control expression of genes involved in Mac polarization (13), thereby modulates inflammatory response. Stimulation of bone marrow derived Mac with LPS or toll-like receptor 4 (TLR4) ligands leads to Notch1 and NF- $\kappa$ B activation, and M1 Mac polarization, which are all abrogated by Notch inhibition with  $\gamma$ -secretase inhibitor DAPT or by *Notch1* silencing (14,15). At transcriptional level, ChIP-seq reveals NICD1 binding at regions near the transcription start site of M1 genes such as Nos2, Tnf, and

Il-17rc (3,16,17). In consistent with these findings, ChIP-qPCR shows increased enrichment of NICD1 at the proximal promoters of *Nos2* and *Tnf- $\alpha$*  in M1 Mac (3,14). The *Nos2* promoter activity and expression are suppressed by DAPT or Notch1 deficiency, but is increased in NICD1 overexpressing Mac (3). At translational level, Notch signaling integrates with TLR4 pathway to induce translation of transcription factors IRF8 (interferon regulatory factor 8), a key factor associated with M1 Mac activation (18). These studies demonstrate that Notch1 activation through its effector NICD1 transactivates M1 genes, most likely underlying a molecular mechanism for the Notch1-dependent Mac M1 activation.

Recent studies have shown that hepatic monocyte/Mac migrated from peripheral blood contribute to liver injury, such as *N*-acetyl-*p*-aminophenol induced acute liver injury (19), ASH (20), and NASH (21). In Notch1<sup>+/-</sup> mice, Mac recruitment and M1 activation are decreased during wound healing (22). In the synergistic ASH model of OF+Alc mice, our studies show that HMac isolated from the wild-type mice have upregulated expression of Notch1 along with M1 genes, but not other Notch isoforms and M2 genes. HMac isolated from the myeloid Notch1 KO mice have diminished expression of M1 genes. More importantly, myeloid Notch1 KO prevents hepatic Mac infiltration and M1 activation in the liver of the ASH model (3). Using a method of ex vivo labeling and in vivo tracking of transplanted monocytes, we show significantly increased migration of transplanted wild-type but not Notch1-KO monocytes into the liver of the ASH mice. Expression of M1 genes in the migrated Notch1-KO HMac is significantly decreased compared to the wild-type HMac. These results suggest Notch1 pathway regulates migration of peripheral blood monocytes into the liver and subsequent M1 activation in ASH (3).

### Notch and glucose metabolism in M1 macrophages

To meet with the energy demand, cells constantly utilize glucose, fatty acids, and to some extend the amino acids to produce ATP. Glycolysis converts glucose to pyruvate. Under hypoxic environment, pyruvate is further metabolized in cytoplasm to lactate, a process called aerobic glycolysis. In the presence of oxygen sufficiency, pyruvate is converted to acetyl-CoA in mitochondria, which enters tricarboxylic acid (TCA) cycle to generate reducing equivalent nicotinamide adenine dinucleotide (NADH) for OXPHOS. Comparing to OXPHOS, glycolysis generates ATP at a higher rate and therefore enables cells such as cancer cells to gain competitive advantage for shared energy resources such as glucose. On the other hand, glucose through OXPHOS will generate ~18 fold more ATP than glycolysis (23), which is important for cells undergoing energy consuming process such as phagocytosis by Mac.

The increased glucose uptake and lactate production in Mac undergoing M1 activation makes it generally accepted that M1 Mac relies mainly on aerobic glycolysis for energy metabolism (24,25). However, metabolic switch to glycolysis is energetically unfavorable for the phagocytic activity of M1 Macs (24-26). In fact, it has been shown that Mac rely a significant degree on OXPHOS during phagocytosis (27) or in response to *Listeria monocytogenes* challenging (27), whereas neutrophils and monocytes are dependent on glycolysis as a source of metabolic energy (28).

Notch has been shown to enhance glycolysis via PI3-K/Akt pathway (29) and upregulation of HIF-1 $\alpha$  (30,31). In HMac, our studies show glycolysis is indeed increase. However, these cells have a concomitant ~23% increase in glucose flux to TCA cycle, higher oxygen consumption rate, and enhanced mtROS generation. The 23 % increase in glucose flux to TCA cycle seems to be trivial, but it would produce 4.2-fold more of ATP than that of glycolysis based on the presumption that 2 ATP is generated through glycolysis and 36 ATP through oxidation per glucose molecule. More importantly, these metabolic events are abrogated by the DAPT treatment, Notch1 silencing, or Notch1 KO, suggesting Notch dependent glucose oxidation and subsequent mtROS in M1 Mac (3). The importance of mtROS in mediating M1 Mac activation and function has recently been shown (32), and will be further discussed below.

### **Notch1 reprograms mitochondrial metabolism in M1 macrophages**

The oxidation of acetyl-CoA to CO<sub>2</sub> by the TCA cycle is the central process in mitochondria for energy metabolism. Two enzymes control glucose flux to TCA cycle for oxidation: pyruvate dehydrogenase (PDH) that converts pyruvate to acetyl-CoA as the primary pathway, and pyruvate carboxylase (PC) that converts pyruvate to oxaloacetate as an alternate pathway. The activity of PDH is inhibited by PDH-kinase (PDK) mediated phosphorylation of three serine residues on PDH-E1 $\alpha$  catalytic subunit (Figure 1). On the other hand, PDP1 dephosphorylates these residues and thereby activates PDH (33,34). In M1 Mac, our studies show increased NICD1 binding to Pdp1 promoter and expression of Pdp1. This is associated with decreased phosphor-/total- PDH-E1 $\alpha$  protein ratio, and increased PDH activity. Notch1 silencing or KO abrogates the induction of Pdp1 and decreases PDH activity (3). These results suggest that Notch1 upregulates PDP1 and subsequent activation of PDH to enhance glucose flux to the TCA cycle for OXPHOS (Figure 1).

Mitochondrial OXPHOS produces ATP through sequential reductions of respired oxygen via the electron transport chain (ETC) complexes. The components of ETC are encoded by both nuclear DNA and mtDNA, but all proteins encoded by mtDNA are ETC subunits. We and other laboratories have shown translocation of NICD1 to mitochondria (3,35), suggesting a role of Notch1 in mitochondrial function. In fact, mutations in Notch are associated with impaired mitochondrial respiration in CADASIL patients with neurological defects (36,37) and in *Drosophila* (38). Using ChIP-seq and ChIP-qPCR, we show enrichment of NICD1 in the promoter region of mtDNA displacement loop (D-loop). The expression of mtDNA-encoded ETC components (NADH dehydrogenase, cytochrome b, cytochrome c oxidase, and ATP synthases) is upregulated in a manner dependent on Notch in M1 Mac. These results demonstrate Notch reprogramming mitochondrial metabolism to glucose oxidation through upregulation of PDP1 and mtDNA expression (Figure 2).

### **Notch1 through mtROS links metabolic reprogramming to M1 macrophage activation**

As discussed above, coupled with the increased glucose flux to TCA, Notch may drive mitochondrial respiration to generate ATP for M1 Mac activity. The mitochondrial ETC is also a major source for cellular ROS, although ROS generated by NADPH oxidase is consider for phagocytes against bacteria invasion (39). Recent studies demonstrate

significant contribution of mtROS to the bactericidal activity and M1 activation of Mac (32,40,41). In fact, mtROS is well documented to activate NF- $\kappa$ B pathway and stabilize HIF-1 $\alpha$  (42-44), the two transcriptional factors implicated in M1 activation. Treatment of M1 Mac with mitochondrial specific superoxide scavenger MitoQ significantly decreases expression of M1 genes. More importantly, glycolytic inhibitor 2-deoxyglucose, Notch1 KO, or *Pdp1* silencing reduces mtROS and M1 gene expression in M1 Mac. Myeloid Notch1 KO also reduces hepatic ROS by ~40% in the ASH mice (3). These results establish a metabolic axis of glucose-pyruvate-OXPHOS and subsequent generation of mtROS, which links Notch dependent glucose metabolism to M1 Mac activation in ASH (Figure 2).

### Concluding remarks

Metabolic reprogramming is increasingly recognized to dictate cell fate determination (2). This concept has also been explored in M1 vs. M2 Mac activation, revealing contrasted metabolic shifts of increased glycolysis in M1 Macs vs. increased fatty acid oxidation in M2 Macs (45,46). Our studies provide evidence suggesting Notch pathway regulates M1 activation through two mechanisms: 1) Notch1 directly activates transcription of M1 genes; and 2) Notch1 reprograms Mac metabolism to mitochondrial glucose oxidation, resulting in increased mtROS, which augments M1 gene expression (Figure 2). The Notch-dependent upregulation of mtDNA expression may also sustain the M1 Mac activity through improving mitochondrial function in the setting of chronic ASH. Lastly, recent studies have demonstrated glutamine metabolism through TCA cycle is important for M1 Mac (47). The effect of glutamine metabolism in M1 activation, however, is attenuated by glycolytic inhibitor 2-deoxyglucose (48), indicating interaction of glycolytic and glutamine fluxes in TCA cycle metabolism. Thus, further studies focusing on the regulation of different metabolic fluxes that fuel TCA cycle and their impact on Mac cell fate will provide new insight into the mechanisms of M1 activation in ASH.

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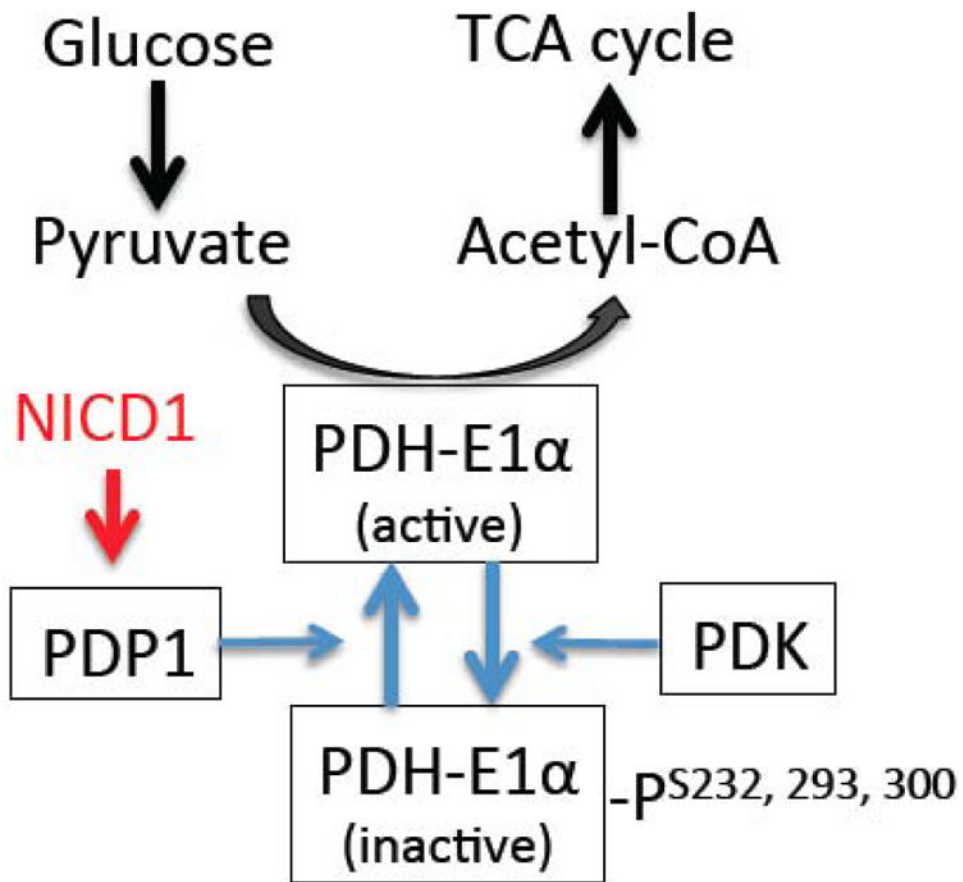
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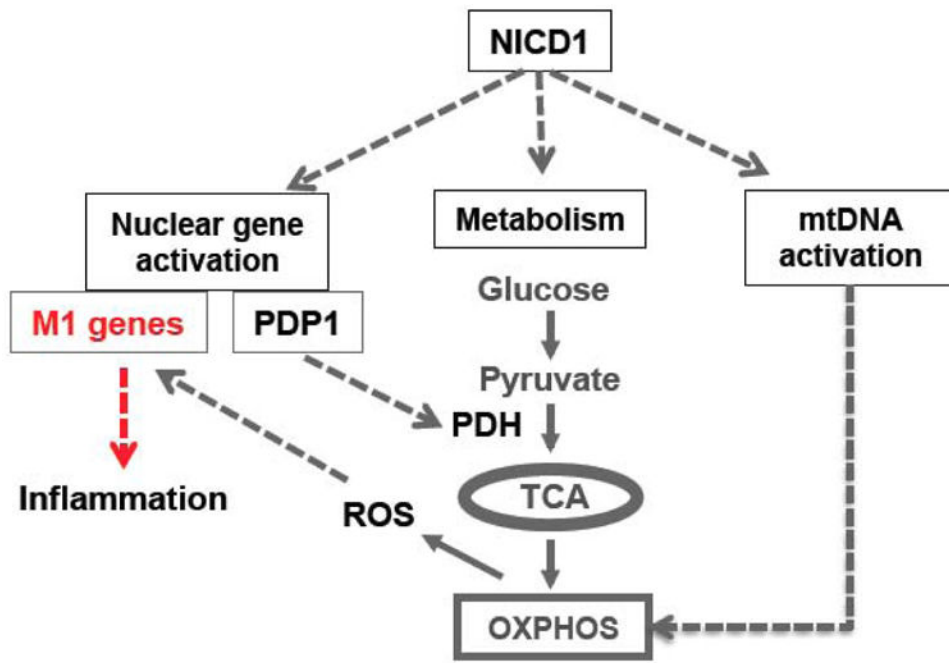
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**Figure 1.** A schematic diagram of Notch1-dependent upregulation of PDP1, which dephosphorylates and activates PDH-E1 $\alpha$  to enhance glucose flux to mitochondrial TCA cycle metabolism.



**Figure 2.** A schematic diagram of Notch1-dependent reprogramming of mitochondrial metabolism in M1 HMacS through upregulation of PDH activity and mtDNA expressions. The metabolic reprogramming provides a positive regulatory loop via enhanced OXPHOS and mtROS to augment NICD1-driven M1 gene expression.