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Telephone Intervention to Improve Diabetes Control:

A Randomized Trial in the New York City A1c Registry

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Abstract

Introduction—Scalable self-management interventions are necessary to address suboptimal diabetes control, especially among minority populations. The study tested the effectiveness of a telephone behavioral intervention in improving glycemic control among adults with diabetes in the New York City A1c Registry.

Design—RCT comparing a telephone intervention to print-only intervention in the context of the A1c Registry program.

Setting/participants—Nine hundred forty-one adults with diabetes and hemoglobin A1c (A1c) >7% from a low-income, predominantly Latino population in the South Bronx were recruited from the A1c Registry.

Intervention—All study participants were mailed print diabetes self-management materials at baseline and modest lifestyle incentives quarterly. Only the telephone participants received four

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calls from health educators evenly spaced over 1 year if baseline A1c was >7%–9%, or eight calls if baseline A1c was >9%. Medication adherence was the main behavioral focus and, secondarily, nutrition and exercise.

Main outcome measures—Primary outcome was difference between two study arms in change in A1c from baseline to 1 year. Secondary outcomes included diabetes self-care activities, including self-reported medication adherence. Data were collected in 2008–2012 and analyzed in 2012–2014.

Results—Participants were predominantly Latino (67.7%) or non-Latino black (28%), with 69.7% foreign-born and 55.1% Spanish-speaking. Among 694 (74%) participants with follow-up A1c, mean A1c decreased by 0.9 (SD=0.1) among the telephone group compared with 0.5 (SD=0.1) among the print-only group, a difference of 0.4 (95% CI=0.09, 0.74, $p=0.01$). The intervention had significant effect when baseline A1c was >9%. Both groups experienced similar improvements in self-care activities, medication adherence, and intensification.

Conclusions—A telephone intervention delivered by health educators can be a clinically effective tool to improve diabetes control in diverse populations, specifically for those with worse metabolic control identified using a registry. This public health approach could be adopted by health systems supported by electronic record capabilities.

ClinicalTrials.gov Registration—NCT00797888.

Introduction

The prevalence of diabetes in New York City (NYC) increased from 4.2% in the early 1990s to 9.2% in 2004.¹ Faced with increasing diabetes prevalence and suboptimal control of diabetes,^{2,3} the NYC Department of Health and Mental Hygiene (DOHMH) expanded existing community programs and policy approaches to diabetes prevention and control by creating an A1c Registry (Registry). The Registry was created in 2006 following an amendment to the NYC Health Code mandating laboratories to report hemoglobin A1c (A1c) test results for NYC residents to the DOHMH. Using information in the Registry, the DOHMH planned to follow trends in glycemic control while providing broad-reaching, low-intensity Registry services to providers and patients across the city to support diabetes management.⁴ These services consisted of: quarterly mailing of reports to healthcare facilities and providers containing a summary of glycemic control in their population compared with a citywide benchmark, a list of their patients stratified by A1c level for panel management, and motivational letters mailed to patients with high A1c values or who were overdue for an A1c test. These free services were initially offered to providers serving the South Bronx because of the high prevalence of diabetes in this predominantly Latino and lower-income neighborhood. The program was expanded over time, ultimately reaching up to 150 healthcare facilities serving approximately 20% of residents with diabetes.

Although provider performance feedback, panel management, and patient reminders such as those provided in the Registry program are components of effective quality improvement interventions,⁵⁻⁷ self-management support is an aspect of diabetes management that is essential in any environment.⁸ Previous research^{3,9,10} has found that self-management support delivered in person or by telephone can improve diabetes outcomes, and may be

delivered effectively by clinical^{11,12} or community¹³⁻¹⁶ providers. Telephone interventions are particularly appealing as they are relatively low cost, easily accommodated around individuals' schedules, and may ameliorate health literacy challenges of print interventions. Given DOHMH's interest in high-need South Bronx residents and under-representation of low-income Latino and black populations in RCTs, identification of a low-cost, community-based intervention to complement existing clinical management of diabetes and the DOHMH's Registry program was important. We designed a study, "Bronx A1c," to test the effectiveness of a tiered, tailored telephone intervention to improve glycemic control in adults with diabetes identified through the Registry. This report describes the main results of this study.

Methods

As previously described,¹⁷ eligible study participants were non-pregnant adults with type 1 or type 2 diabetes, aged >18 years, who had a recent A1c test >7.0%, had not opted out of receiving communications from the Registry, and lived in one of the ten ZIP codes of the South Bronx. The estimated number of people with diabetes living in the South Bronx at the start of recruitment was 35,000.¹⁸ Between September 2008 and October 2010, adults with an A1c test result >7.0% in the previous 3–6 weeks were contacted by telephone using recruitment lists generated by DOHMH Registry staff. Eligibility was confirmed by a self-reported diagnosis of diabetes. Those with plans to move from NYC within 12 months, inability to read or speak in English or Spanish, evidence of cognitive dysfunction, history of or intention to have bariatric surgery, or women who reported only having diabetes during pregnancy were excluded. Oral informed consent was documented, baseline surveys were completed, and participants were randomly assigned into the telephone (Tele/Pr) or print-only (PrO) intervention arm. Participants were randomized by opening a sealed opaque envelope containing a computer-generated sequence of random assignments. The sample size was chosen to provide at least 80% power to detect a 0.3 percentage point difference in mean decrease in A1c between arms using a two-tailed *z*-test at the 0.05 significance level, assuming an A1c SD of 1.6 percentage points and an intra-class correlation of 0.59 (Appendix S1 and S2). IRBs at Albert Einstein College of Medicine and the DOHMH approved study protocol and oral informed consent documents in English and Spanish. It was registered with ClinicalTrials.gov as NCT00797888 (clinicaltrials.gov/show/NCT00797888).

Interventions

In the environment of Registry services and following randomization, all study participants were mailed a "welcome" packet that included low-literacy print diabetes self-management materials. Retention incentives to promote healthy choices (e.g., pedometers to motivate walking) with their instructional materials were mailed every 3 months to all participants. All participants received a call at 6 months to complete mid-study surveys and a call at 12 months to complete post-intervention behavioral/psychosocial surveys. The control condition was called the PrO arm; they received print self-management materials and did not receive the intervention telephone calls.

In addition to the control condition, the Tele/Pr arm participants were assigned a health educator and could receive four phone calls, generally every 3 months, if their baseline A1c was in the >7.0% and <9.0% tier or eight phone calls if baseline A1c was in the >9.0% tier. In a previous telephone intervention study among individuals with a less optimal baseline A1c >7.5%, Walker et al.¹⁰ showed that six phone calls in 1 year was the minimum amount associated with a significant A1c decrease, compared with the control arm, and more than six phone calls did not add benefit. Because our glycemic threshold for inclusion in Bronx A1c was lower (i.e., better glycemic control) than that previous study (A1c >7.0% versus >7.5%), we created two A1c tiers for intervention. We speculated that participants with a lower A1c may not need as much support to decrease their A1c as those in the higher tier (A1c >9.0%), potentially minimizing the cost of the intervention. Tier assignment to the protocol was based on baseline A1c and did not change during the intervention year.

During these calls, bilingual health educators, who received at least 20 hours of training in delivering behavioral counseling by telephone, provided self-management support in the participant's preferred language. These health educators used theory-based techniques such as problem solving¹⁹ and goal setting to increase self-efficacy²⁰ in order to promote participant discussion of appropriate behavioral changes to improve their diabetes control. Medication adherence to their diabetes medications was addressed with participant in the Tele/Pr arm. Then, depending on participant preferences, health educators also tailored conversations to explore healthy eating and physical activity topics. During the scheduled phone calls, the Tele/Pr arm participants additionally received behavioral activation for all mailed items (e.g., prompting use of pedometer or to use a 7-day pill box). A multidisciplinary team (nurse certified diabetes educator, internal medicine physician, clinical health psychologist) shared supervision of health educators, primarily through weekly case management meetings.

Primary Outcome Data

The primary outcome for the study was change in A1c from baseline to post-intervention (1 year). For all participants, the baseline A1c was from the A1c Registry. Given the episodic nature of healthcare utilization, we defined the post-intervention primary outcome window as the time period from 6 weeks before to 4 months after the end of protocol anniversary (i.e., 12 months after randomization). For a few participants for whom a Registry test was not available, A1c test values within the primary outcome window were obtained from their healthcare provider with participant written permission.

Demographic and clinical characteristics (Table 1) collected at baseline included: race/ethnicity, country of origin, household income, marital status, educational attainment, insurance status, and BMI.¹⁷ Foreign-born was defined as being born outside of the U.S., not including Puerto Rico. Secondary outcome measures collected by self-report at baseline and at 1-year follow-up included: types of diabetes medications prescribed, medication adherence (Morisky Medication Adherence four-item scale²¹), diabetes self-care activities (Summary of Diabetes Self-Care Activities [SDSCA]²²), depression screener (Patient Health Questionnaire, eight-item scale [PHQ-8]^{23,24}), and the five-item general Well-Being scale of the WHO [WHO-5].²⁵ Post-intervention data were collected by trained staff other than

assigned intervention health educators. Diabetes medication regimen, self-reported by participants, was categorized as: pills only, insulin only, pills plus insulin, pills plus other injectable, or no medication. Participants were considered to have a change in diabetes medication regimen (yes/no) if they changed categories between baseline and follow-up. Additionally, change in intensity of the medication regimen was assessed by creating a three-level ordinal classification of diabetes medication regimen intensity (no medication, pills only or pills plus other non-insulin injectable, and insulin [with or without pills]) and counting the number of ranks the regimen intensity changed between baseline and end of study (potential range, -2 to +2).

Statistical Analysis

In all analyses presented here, study arm was based on intention to treat. The primary analysis and all other analyses examining the effect of the telephone intervention on variables measured at baseline and post-intervention used a random effects regression model, with indicators for study arm and time (baseline/post-intervention) and their interaction as predictors, and a random intercept at the person level. Model parameters were estimated by maximum likelihood. The coefficient of study arm X time interaction term is an estimator of the between-arm difference in within-person A1c change. It quantifies the intervention effect, and its significance is determined with a *z*-test.

Missing outcome data were handled in several ways (Appendix S3). For subjects who did not have an A1c test during the defined end of study window, we analyzed A1c test results before or after that window. A robustness analysis using this expanded definition of study outcome was carried out. Additional robustness analyses imputing the best or worst A1c available in the Registry for patients lacking an A1c within the defined end of study window were performed. Finally, multiple imputation using chained equations, basing imputation of outcome A1c on age, BMI, and baseline A1c, was carried out.

Analyses of modification of the intervention effect by demographic or psychosocial variables were conducted by including the corresponding covariate(s) and their interactions with the study arm, time, and arm X time interaction terms. Effect modification was considered detected if the coefficient of the covariate X study arm X time interaction(s) were (jointly) statistically significant. Analyses of mediation of the intervention effect by behavioral variables were carried out using path analysis and the Sobel test.²⁶ Mediation by each behavior was considered detected if the product of path coefficients along the indirect path(s) from study arm to outcome through the behavioral variable(s) was statistically significant. The study design was not powered to detect effect modification or mediation effects, so these analyses are exploratory. Change in medication regimen intensity between study arms was contrasted using the Mann-Whitney *U* test. Data management and analyses were carried out using Stata, version 12.1MP.

Results

A total of 941 adults with diabetes were randomized, 31 of whom either died or withdrew informed consent (Figure 1). Study participants were: 67.7% Latino and 28.0% non-Latino black, with 69.7% being foreign-born (86.5% of Latinos and 31.5% of non-Latino blacks)

and 55.1% Spanish-speaking; mean age was 56.3 years. The majority (77%) had an annual household income of <\$20,000, and 91% of participants reported at least some healthcare coverage. There were no substantial differences at baseline in demographic characteristics between the two study arms¹⁷ (Table 1). Participants' baseline A1c tests were ordered from 48 healthcare facilities. More than half (54.2%, $n=26$) of these facilities were receiving A1c Registry facility-level or provider-level reports at study start (September 2008) and 79.2% ($n=38$) were receiving the Registry patient letter service by September 2009. All data were collected between 2008 and 2012 and analyzed in 2012–2014.

Randomization assigned 443 to the Tele/Pr arm and 498 participants to the PrO arm. Mean baseline and available post-intervention A1c test results for all participants randomized to each arm and in each A1c tier are shown in Table 2. End of study A1c test results within the primary outcome time window were available for 334 in Tele/Pr arm and 360 in PrO arm (Figure 1), with only six and three results, respectively, obtained from non-Registry medical sources. The difference in change in A1c between the two arms estimated by random effects regression was 0.4 percentage points (95% CI=0.09, 0.74 percentage points, $p=0.01$). There was no evidence of effect modification by gender, language preference, race, ethnicity, income, educational attainment, or marital status. In addition to the decrease in the mean A1c being greater in the Tele/Pr arm, the percentage of participants in the Tele/Pr arm who had at least a 1% or 1.5% A1c decrease at end of protocol was 37.4% and 26.7%, respectively, compared with the PrO arm with 28.3% having a 1% decrease ($p=0.01$) and 19.2% with a 1.5% decrease ($p=0.02$) in A1c.

The difference in change in A1c between the two arms was predominantly in the >9.0% A1c tier with a decrease of 2.1% A1c and 1.3% A1c in the Tele/Pr and PrO arms respectively, compared with the 9% A1c tier, in which there was no change in the Tele/Pr arm and an increase of 0.2% A1c in the PrO arm (Table 2). The difference in change between the >9.0% tier and 9.0% tier of -0.8% versus 0.2% A1c, respectively, was statistically significant ($p=0.0005$).

The proportion of participants without a follow-up A1c test within the primary outcome window was similar between the two arms, 24.6% for Tele/Pr and 27.7% for PrO ($p=0.28$). Overall, participants without a follow-up test had a higher baseline A1c compared with those with a follow-up test (9.6% vs 9.1% A1c, $p=0.001$), and were younger, less medication adherent, and more likely to be widowed, separated, or divorced (data not shown). When we expanded the outcome window to include any A1c test result 6 months before and 1 year after the 1-year randomization anniversary (Appendix S3), we found that the decrease in A1c of 1.1% among the Tele/Pr arm participants who were missing the primary outcome but had an expanded window test result was greater than that in the Tele/Pr arm with primary outcome data (0.7%). This A1c difference was not seen in the PrO arm (0.3% vs 0.4%, respectively). Additionally, the relationship between baseline A1c and a missing follow-up test was stronger in the Tele/Pr arm, such that Tele/Pr participants were more likely to have a follow-up test if their baseline A1c was lower. These findings suggest that our primary analysis is conservative.

Robustness analyses using multiple imputations and assorted single imputations (wider outcome window, last observation carried forward, best observation carried forward, and worst observation carried forward) all led to estimates of telephone intervention effect similar to the findings of the primary analysis and with similar levels of statistical significance. Details are provided in Appendix S3 for Tables 1–3.

Among the 774 participants (82.2%) who completed both baseline and post-intervention surveys, there were no significant group X time effects among the measured behavioral and psychosocial outcomes. Specifically, there were no significant changes in either study arm in measures of medication adherence, amount of TV watching, or blood glucose monitoring. Depression and well-being improved significantly in both study arms, as did some measures of dietary behaviors and exercise frequency (Table 3).

Among the 938 participants with self-reported medication information at baseline, 930 reported taking diabetes medication at the start of the study. Baseline diabetes medication regimen was not associated with change in A1c over the course of the study in either arm ($p=0.61$) and the effect of the intervention did not differ by baseline medication regimen ($p=0.24$). Among the 751 participants for whom we had diabetes medication information at baseline and follow-up, 30.2% had a change in their medication regimen over the course of the study (Appendix S4). This rate did not differ significantly between the two study arms (29.2% in Tele/Pr vs 31.1% in PrO, $p=0.57$), even among the >9.0% tier (32.7% in Tele/Pr vs 36.7% in PrO, $p=0.47$). Rate of change did differ significantly by A1c tier, irrespective of study arm (27.3% in >7%–9% tier vs 34.7% in >9% tier, $p=0.03$).

Although diabetes medication treatment intensity did not change for the majority (79%) of study participants, 7.6% of participants ended the study on a less intense medical regimen than they started and 13.4% ended on a more intense medical regimen than they started. These overall proportions did not differ significantly between study arms ($p=0.298$) or A1c groups ($p=0.54$). (Appendix S4, Tables 4–6).

Over the course of this study, the Tele/Pr participants engaged in a mean of 4.6 intervention phone calls over 12 months; this was a mean of 3.4 calls for those in the >7%–9% A1c tier (protocol maximum was four calls) and a mean of 6.3 calls completed for those in the >9% A1c tier (protocol maximum was eight calls). The total duration of intervention calls in the Tele/Pr arm averaged 109.8 minutes over 12 months and increased in relation to baseline A1c by study protocol. The average total minutes for those with baseline A1c <9% was 85.5 minutes, and for those with a baseline A1c >9%, it was 144.7 minutes.

Discussion

This report provides the main results of a telephone behavioral intervention to improve diabetes control in a predominately Latino, urban, low-income population living in the South Bronx, New York. The telephone intervention, incorporating print diabetes self-management materials, was associated with a significant decrease of 0.4% A1c more than the print intervention alone. These results compare favorably in terms of A1c lowering to monotherapy with some pharmacologic agents approved to treat type 2 diabetes.^{27,28} We

found that those with higher baseline A1c experienced the greatest improvements in glycemic control, as seen in other studies,²⁹ and a significantly larger proportion of those who were randomized to the Tele/Pr arm achieved decreases in A1c of at least 1% compared with the PrO arm.

We had hypothesized that the telephonic self-management support delivered by health educators, which focused on medication adherence and healthy lifestyle, would result in A1c reduction through improved medication adherence and self-care activities such as healthier eating and increased exercise. However, among our measures of secondary outcomes, there were no mediators of glycemic changes identified from the behavioral or psychosocial surveys we used. Paradoxically, we saw no change in measures of medication adherence self-reported by participants. This may have been related to the fact that participants on average reported high medication adherence at baseline, even though their A1c levels were poor on average (mean baseline A1c, 9.3%), leaving little room for observed improvement in this self-reported, four-item measure. By study design, we did not have access to prescription claims data or pill counts, which can be a more reliable measure for medication adherence than self-report.^{10,30} Change in prescribed medication regimen could have driven the difference in A1c between the two arms, although we did not find a difference in the proportion of each arm that reported a change in medication regimen or regimen intensity from baseline to post-intervention. Significant improvements in other self-reported measures of diet, exercise, depression, and well-being were self-reported, although these occurred in both arms. Though we did not discern any major factors that explain the A1c improvement in the Tele/Pr arm, it is possible that these widely-used, brief behavioral measures (e.g., Morisky medication adherence scale²¹ and dietary or physical activity questions from SDSCA²²) had limited sensitivity in our population to detect participant-specific behavior changes that may have contributed to our positive findings.³¹ Another study¹⁰ found similar results with these measures. Although a recent study by Treif et al.³² found the SDSCA adherence measure to be a significant predictor of A1c, perhaps other self-care measures, even if longer, should be considered in future research or clinical practice in similar populations.^{31,33-35}

Keeping a scalable intervention as a priority, we designed our study to test the efficacy of a less-intensive telephone intervention for those with a lower A1c and more-intensive intervention with an A1c >9% at baseline. There appears to be no improvement in A1c in either arm for those with a baseline A1c between 7.1% and 9.0%. The improvement was seen in those with an A1c >9.0% at baseline in both arms, with participants receiving the Tele/Pr intervention having a significantly greater improvement. There are at least two potential reasons why we saw no change in A1c in the lower tier. First, a limit of four phone calls may not have been intense enough to promote behavior change for an A1c decline among those who received the Tele/Pr intervention. Second, as clinicians often report, it may be more difficult to lower an A1c that is closer to the standard goal of <7%^{3,29} because of perceived risk of hypoglycemia by patient or provider or more aggressive medical treatment when a baseline A1c is >9%, in partial response to a medical facility's quality improvement measures. In addition, a pattern of greater decline in a subsample with higher baseline A1c values in both study arms is consistent with the effects of regression to the

mean. Regression to the mean cannot, however, account for the differences between study arms.

Our goal was to test an affordable, effective, and scalable public health intervention implemented by a city department of health to improve glycemic control. Our telephone behavioral intervention by non-clinical health educators, including verbal activation of the standard print self-management materials, would usually be characterized as a modest-intensity intervention. Several recent self-management interventions have shown significant improvements in A1c; however, most of these interventions do so with a more labor-intensive approach implemented by interventionists with more advanced training and credentials, and often with face-to-face components.^{5,14,34,36,37} Presumably, these characteristics would contribute to higher intervention costs. The cost analysis for the Bronx A1c telephone intervention is currently in process.

Limitations

A major limitation of our study is that we were missing primary outcome data for 26.3% of the participants balanced in the two arms. Although participants were encouraged to receive quarterly A1c tests from their healthcare provider to assess diabetes control, this pragmatic telephonic trial was not designed to provide this A1c testing. We relied on participants and their providers to obtain an A1c test at the end of the 1-year intervention. This A1c test value would then be available in the A1c Registry. Additionally, our study population was almost 70% foreign-born and they may have received diabetes care outside the reach of the A1c Registry when visiting their country of origin. Though one quarter of participants were missing outcome data, the sensitivity analyses we conducted suggest that our findings may be conservative, underestimating the effect of the Tele/Pr intervention (Appendix S3). Another limitation to our study is that it is not generalizable to the overall diabetes population; instead, it is generalizable to a predominantly Latino, low-income population with consistent telephone access.

Trained and supervised bilingual health educators from the community¹⁶ were key to recruitment and retention of participants and implementation of the protocol. They provided telephonic self-management support using their knowledge of the Bronx socioeconomic-cultural environment for our diverse population of mostly Latino and black participants, knowledge which cannot be easily instilled. Ongoing training and supervision for health educators through case management meetings were crucial for success. We attempted to overcome health disparities in our South Bronx population compared with NYC in general by reaching out by telephone with support from health educators from similar cultural backgrounds.

Conclusions

This study and its findings have important public health implications. There have been significant gains in diabetes management over the past two decades as evidenced by increased prevalence of glycemic, blood pressure, and cholesterol control, and decreased rates of complications.^{38,39} However, there is still room for improvement in glycemic control, especially in low-income populations. Readily accessible, low-cost programs to

support medication adherence and lifestyle behaviors are critical for improving glycemic control across all populations. A tailored telephone intervention such as the program we implemented has the potential for wide-scale use, particularly for those with poor metabolic control (A1c >9%), in the environment of a registry or other population health management system such as a patient-centered medical home. For those with an A1c between 7% and 9%, further research may be needed to understand what would be an effective telephone intervention.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

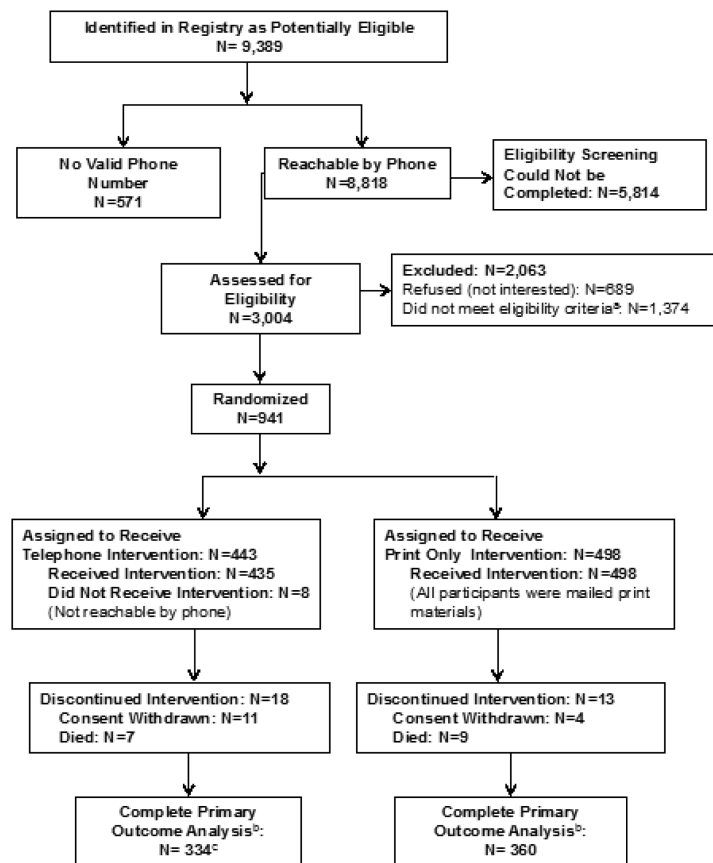
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^ae.g., no self-reported diabetes, moving out of the area within 1 year, language barriers, etc.

^bComplete primary outcome analysis includes those with both pre- and post-intervention A1c test results.

^cThose who completed no phone calls (N=8) were included in the primary outcome analysis (intent to treat design) if a post-intervention A1c test result was available.

Figure 1.
CONSORT diagram.

Table 1

Demographic and Baseline Participant Characteristics by Randomized Study Arm and Total

Characteristic	Study arms		Total (N=941)
	Telephone/Print (n=443)	Print only (n=498)	
Age (years, mean \pm s.d.)	56.7 \pm 11.3	56.0 \pm 12.0	56.3 \pm 11.7
Female gender, % (n)	64.8 (287)	62.7 (312)	63.7 (599)
Ethnicity/Race, % (n)			
Latino	66.1 (293)	69.1 (344)	67.7 (637)
Black (non-Latino)	29.8 (132)	26.3 (131)	28.0 (263)
White (non-Latino)	0.9 (4)	1.0 (5)	1.0 (9)
All other	3.2 (14)	3.6 (18)	3.4 (32)
Foreign born ^a % (n)	69.5 (308)	69.9 (348)	69.7 (656)
Spanish preferred, % (n)	55.1 (244)	56.4 (281)	55.8 (525)
Marital status (%)			
Married/Cohabiting	35.2 (156)	38.0 (189)	36.7 (345)
Widowed	11.5 (51)	8.4 (42)	9.9 (93)
Separated/Divorced	24.4 (108)	21.7 (108)	23.0 (216)
Never married/Single	28.9 (128)	31.9 (159)	30.5 (287)
Working status, % (n)			
Works full time	14.1 (62)	18.4 (91)	16.3 (153)
Works part time	10.7 (47)	6.1 (30)	8.2 (77)
Unemployed	22.7 (100)	26.8 (133)	24.9 (233)
Retired	19.1 (84)	13.9 (69)	16.3 (153)
Disabled	26.5 (117)	30.0 (149)	28.4 (266)
Other	7.0 (31)	4.8 (24)	5.9 (55)
Household income, % (n)			
<\$20,000	78.0 (287)	75.4 (316)	76.6 (603)
\$20-29,000	12.2 (45)	11.0 (46)	11.6 (91)
\$30-39,000	4.6 (17)	5.7 (24)	5.2 (41)
\$40-49,000	3.0 (11)	4.5 (19)	3.8 (30)
\$50,000 +	2.2 (8)	3.3 (14)	2.8 (22)
Education, % (n)			
8th Grade or less	31.2 (138)	31.4 (156)	31.3 (294)
9th-11th Grade	19.0 (84)	20.9 (104)	20.0 (188)
Completed H.S. or GED	26.0 (115)	25.0 (124)	25.4 (239)
Some college or beyond	23.9 (106)	22.7 (113)	23.3 (219)
Any health care coverage, % (n)	89.8 (387)	91.6 (447)	90.8 (834)
Reports diabetes education program, % (n)	11.5 (51)	16.1 (80)	13.9 (131)
Years since diabetes diagnosis, (%)			
5	33.6 (149)	33.3 (166)	33.5 (315)

Characteristic	Study arms		Total (N=941)
	Telephone/Print (n=443)	Print only (n=498)	
6-10	21.4 (95)	21.7 (108)	21.6 (203)
>10	44.9 (199)	45.0 (224)	45.0 (423)
HbA1c (mean \pm s.d.)	9.3 \pm 2.1	9.1 \pm 2.0	9.2 \pm 2.0
BMI (kg/m ² , mean \pm s.d.)	32.3 \pm 7.8	32.0 \pm 7.5	32.1 \pm 7.6

Note: Table 1 has been adapted with permission from reference 17, H.S., high school; GED, high school equivalency

^aForeign born does not include those born in Puerto Rico.

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Table 2

Mean A1c at Baseline and Post Intervention for Overall and Each A1c Tier by Study Arm

Study arm	Overall		>7.0-9.0% A1c Tier		>9.0% A1c Tier	
	Baseline	Post	Baseline	Post	Baseline	Post
Tele/Pr	N=443	n=334	n=261	n=206	n=182	n=125
A1c (%)	9.3±2.1	8.4±1.9	7.9±0.5	7.9±1.3	11.3±1.9	9.2±2.4
PrO	N=498	n=360	n=300	n=229	n=198	n=131
A1c (%)	9.1±2.0	8.6±2.0	7.8±0.6	8.0±1.6	11.0±1.90	9.7±2.3

Note: Boldface indicates statistical significance ($p < 0.05$). Between study arm difference in baseline to post change in A1c statistically significant in overall study sample ($p = 0.012$), and in >9.0% A1c Tier ($p = 0.003$), but not in <7.0-9.0% A1c Tier ($p = 0.214$). Tele/Pr, Telephone/Print Intervention; PrO, Print Only Intervention

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Table 3

Changes from Baseline to Post-Intervention in BMI, Medication Regimens, Behavioral and Psychosocial Measures

MEASURES	Telephone/Print arm N=443		Print only arm N=498	
	BASELINE	POST	BASELINE	POST
BMI (kg/m²)	32.3 ± 7.8 (n=431)	32.2 ± 6.7 (n=349)	32.0 ± 7.5 (n=493)	31.9 ± 7.1 (n=389)
DIABETES MEDICATION REGIMENS, n (%)				
Diabetes pills only	212 (47.9)	153 (34.6)	242 (48.6)	189 (38.0)
Insulin only	71 (16.0)	74 (16.7)	83 (16.7)	82 (16.5)
Pills and insulin	113 (25.5)	111 (25.1)	112 (22.5)	98 (19.7)
Pills and other injectable	44 (9.9)	9 (2.0)	53 (10.6)	7 (1.4)
None	3 (0.7)	9 (2.0)	5 (1.0)	18 (3.6)
Unknown ^a	0 (0.0)	87 (19.7)	3 (0.6)	104 (20.9)
BEHAVIORAL AND PSYCHOSOCIAL MEASURES				
Morisky score ^b , mean ± s.d.	3.1 ± 1.1 (n=442)	3.1 ± 1.1 (n=366)	3.1 ± 1.1 (n=493)	3.2 ± 1.1 (n=404)
Morisky Score ^b = 4, n (%)	225 (50.9)	190 (51.9)	235 (47.7)	209 (51.7)
Diabetes Self-Care (# days per week)^c				
General diet, mean ± s.d. **	3.7 ± 2.6 (n=442)	4.5 ± 2.5 (n=357)	3.7 ± 2.7 (n=497)	4.4 ± 2.4 (n=400)
Specific diet, mean ± s.d. **	4.1 ± 1.9 (n=441)	4.5 ± 1.8 (n=360)	4.1 ± 1.9 (n=497)	4.4 ± 1.7 (n=400)
Exercise, mean ± s.d. **	2.6 ± 2.3 (n=442)	3.2 ± 2.6 (n=360)	2.6 ± 2.3 (n=498)	3.3 ± 2.4 (n=398)
Blood Glucose tests, mean ± s.d.	4.6 ± 2.8 (n=438)	4.8 ± 2.6 (n=360)	4.5 ± 2.9 (n=497)	4.7 ± 2.6 (n=396)
TV watching, n (%)				
None	8 (1.8)	10 (2.7)	10 (2.0)	11 (2.7)
<2 hours/day	119 (26.9)	97 (26.5)	136 (27.3)	120 (29.4)
2-4 hours/day	119 (26.9)	102 (27.9)	148 (29.7)	125 (30.6)
>4 hours/day	196 (44.3)	204 (42.9)	204 (41.0)	152 (37.3)
PHQ-8 score, mean ± s.d. **	6.3 ± 5.4 (N=443)	5.7 ± 5.7 (n=363)	6.5 ± 5.5 (N=498)	5.8 ± 5.3 (n=400)
Well-being, mean ± s.d. *	15.3 ± 6.7 (N=443)	16.0 ± 6.9 (n=366)	15.0 ± 6.7 (N=498)	15.9 ± 6.6 (n=406)

Note: Boldface indicates statistically significant contrasts between baseline and post-intervention values in both study arms

* $p < 0.01$;

**
 $p < 0.005$

^aThe “unknown” category denotes a response of “I don’t know” at Baseline; at Post Intervention, the vast majority of the “unknown” ($n=191$) are participants who did not complete the surveys.

^bMorisky Medication Adherence survey with possible range 0-4, with 4 being most adherent to medication.

^cData from the Summary of Diabetes Self Care Activities (SDSCA) survey.

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