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## Pancreatic Islet Cell Development and Regeneration

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### Abstract

**Purpose**—This review will discuss recent advances in understanding mouse and human pancreatic islet cell development, novel concepts related to  $\beta$  cell dysfunction and improved approaches for replenishing  $\beta$  cells to treat diabetes.

**Recent Findings**—Considerable knowledge about pancreatic islet development and function has been gained using model systems with subsequent validation in human tissues. Recently, several rodent studies have revealed that differentiated adult islet cells retain remarkable plasticity and can be converted to other islet cell types by perturbing their transcription factor profiles. Furthermore, significant advances have been made in the generation of  $\beta$ -like cells from stem cell populations. Therefore, the generation of functionally mature  $\beta$  cells by the in situ conversion of non- $\beta$  cell populations or by the directed differentiation of human pluripotent stem cells could represent novel mechanisms for replenishing  $\beta$  cells in diabetic patients.

**Summary**—The overall conservation between mouse and human pancreatic development, islet physiology and etiology of diabetes encourages the translation of novel  $\beta$  cell replacement therapies to humans. Further deciphering the molecular mechanisms that direct islet cell regeneration, plasticity and function could improve and expand the  $\beta$  cell replacement strategies for treating diabetes.

### Keywords

Islet; pancreas; development; endocrine;  $\beta$  cell; diabetes

### Introduction

Extensive research using rodent models has characterized many of the essential genes and molecular mechanisms that are important for pancreatic islet cell development and function. Recently, the increased availability of human fetal pancreas has revealed important similarities and differences in mouse versus human islet cell development and morphology. Furthermore, with the advent of high throughput sequencing, mouse studies have facilitated the identification of a number of causative genetic mutations for pancreatic agenesis, perinatal diabetes and mature onset diabetes of the young (MODY) in humans. With these advances, it is an optimal time to evaluate our current knowledge of mouse and human

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pancreatic islet cell development, the conserved transcriptional program for generating and maintaining functionally mature  $\beta$  cells, and exciting new approaches for repairing or replacing damaged  $\beta$  cells as potential therapies for diabetes.

## Pancreatic Specification

The pancreas derives from two distinct segments of the foregut endoderm that can be identified at approximately embryonic day (E) 8.5 in mice by the expression of the pancreatic determination transcription factor (TF) Pdx1 (Pancreatic and duodenal homeobox 1)[1]. The Pdx1<sup>+</sup> multipotent pancreatic progenitor cells (MPCs) cells are highly proliferative and give rise to all cell types of the three major pancreatic compartments, including the exocrine, endocrine, and ductal lineages[2]. Between E9.5 and E11, the expansion, rearrangement and morphogenesis of MPCs restructures the endoderm monolayer to multilayered stratified epithelia forming a dorsally and ventrally located pancreatic bud[3]. When cultured *ex vivo*, as few as eight MPCs isolated from the early pancreatic bud are sufficient to form pancreatic rudiments containing all three pancreatic lineages[4].

Pancreatic specification and maintenance of MPCs requires several TFs, including Pdx1, Ptf1a (Pancreas specific transcription factor 1a), Mnx1 (Motor neuron and pancreas homeobox1), Sox9 (SRY box 9), and HNF1 $\beta$  (Hepatocyte nuclear factor-1  $\beta$ )[5–10]. Depletion of any of these TFs impairs pancreatic bud formation and leads to varying degrees of pancreatic agenesis. Furthermore, Pdx1 and Ptf1a are sufficient for pancreas specification; ectopic expression of Pdx1 or Ptf1a in specific regions of endoderm can induce ectopic pancreatic bud development[11–13].

Although little is known about the specification of pancreatic endoderm upstream of Pdx1 and Ptf1a, there is evidence that the TFs Foxa1/Foxa2 and Gata4/Gata6 induce pancreatic fate by activating expression of Pdx1 and Ptf1a[14, 15]. Simultaneous loss of Foxa1/Foxa2 or Gata4/Gata6 reduces the expression of Pdx1<sup>+</sup> and Ptf1a<sup>+</sup>, impairs bud development and subsequently leads to pancreatic agenesis. Currently, the mechanism(s) by which the broadly expressed Gata and Foxa TFs induce Pdx1 and Ptf1a specifically in the prospective pancreatic endoderm is unknown. Likely candidates are the presence or absence of additional regulatory factors and the integration of spatiotemporal signals secreted from adjacent structures such as the notochord, mesenchyme and aorta to promote pancreas development [16].

Corresponding morphological and molecular studies of early pancreas development in humans have been much more limited due to the scarcity of human fetal tissues. However, several recent studies have begun to define the similarities and differences between mouse and human pancreas development. Interestingly, pancreatic specification in humans occurs at a relatively later developmental stage – shortly after gut closure has occurred and the gut tube has become separated from the notochord and aorta[17]. Similar to mice, PDX1 can be first be detected in the presumptive dorsal and ventral pancreatic endoderm at 29–31 days post-conception (dpc) in a region of the pancreatic endoderm that is also positive for GATA4 and FOXA2[17]. No information is currently available for GATA6 or PTF1A

expression; however, most cases of pancreatic agenesis in humans has been linked to heterozygosity of GATA6 (>56% of cases) and by rare mutations in PTF1a, PDX1, and GATA4[7, 18–25], suggesting there is some degree of conservation in these early developmental processes. In humans, however, GATA4 is not expressed in the early foregut endoderm prior to pancreas specification, which may at least partly explain a greater dependence on GATA6 for pancreatic specification[17].

## Pancreatic Lineage Restriction

During early stages of murine pancreatic bud outgrowth, pancreatic lineage differentiation is primarily limited to a few endocrine glucagon-producing  $\alpha$  cells[26]. This early wave of endocrine cell differentiation during murine development, known as the primary transition, is apparently absent in humans, perhaps as a consequence of the relative delay in pancreatic specification[17]. During the secondary transition, which represents the major wave of endocrine cell differentiation, the pancreatic bud drastically reorganizes into an epithelial arboretum with tip and branch structures that are enmeshed in a loose mesenchyme[3]. At this time, the ventral bud descends distally and fuses with the dorsal bud to form a single nascent pancreas. During these complex morphogenetic events, MPCs become lineage restricted and segregate to either Ptf1a+/Gata4+ exocrine progenitors located at the tips of the epithelial plexus or to Sox9+/Nkx6.1+ ductal/endocrine progenitors located in the trunks[27–29]. Shortly after tip/trunk compartmentalization, exocrine progenitors begin to differentiate into acinar cells at the distal end of the tips, while the bi-potent trunk progenitors differentiate into ductal and endocrine precursor cells. Similarly in humans, trip-trunk segregation can be detected by the restriction of GATA4 expression to the tip cells and SOX9 and NKX6.1 to the trunk domain[24].

The specification of MPC towards exocrine or endocrine/ductal bi-potent progenitors involves the mutual repression of Ptf1a and Nkx6 factors[29] and appears to rely on instructive micro-environments established during epithelial polarization and plexus formation[3, 30]. A number of intra-epithelial and mesenchymal signals can instruct MPC proliferation, lineage allocation and differentiation (extensively reviewed in [31]). Notably, Notch signaling can promote both MPC proliferation and trunk bi-potent progenitor specification at the expense of exocrine differentiation[29, 32–37]. Certainly in the future, additional factors that influence MPC fate decisions will be identified, especially those from the developing vasculature that intercalate into the pancreas during the secondary transition[38]. Determining how these signals regulate the Ptf1a/Nkx6 lineage switch during tip trunk compartmentalization will greatly advance the understanding of MPC lineage allocation.

## Endocrine islet development

Bi-potent trunk progenitors are directed to an endocrine fate by the transient induction of the TF Neurog3 (Neurogenin3). Neurog3 KO mice fail to develop endocrine cells and display enlarged ducts, suggesting the reallocation of progenitors to the ductal lineage[39–41]. Moreover, ectopic Neurog3 expression in MPCs drives their precocious differentiation into endocrine cells[26, 32, 42]. Shortly after Neurog3 expression, endocrine cells delaminate

from the ductal epithelium and aggregate to form nascent islets. Although there is a transient peak in NEUROG3 expression in human fetal pancreas that corresponds to the stage of endocrine lineage commitment, inactivating mutations of NEUROG3 in humans causes relatively mild defects in endocrine islet cell development and function[43–46].

Interestingly, despite the remarkable molecular conservation between zebrafish and murine pancreas development, Neurog3 is also dispensable for endocrine lineage differentiation in zebrafish and, instead, the control of endocrine cell fate requires two basic helix-loop-helix factors, Ascl1b and Neurod1[47]. Since NeuroD1/NEUROD1 is essential for  $\beta$  cell function in mice and humans, NEUROD1 could potentially function redundantly with NEUROG3; however NEUROD1 expression in human pancreas development has yet to be determined[48–50].

In mice, the majority of endocrine cells emerge during the secondary transition as mono-hormonal cells from the Neurog3 endocrine precursor population. The differentiation of specialized mono-hormonal islet cells is controlled by specific combinations of islet TFs that operate as genetic switches by cooperatively inducing islet cell-type specific gene regulatory networks (GRNs) and by repressing alternate islet GRNs (Figure. 1, extensively reviewed in [51, 52]). Although many individual transcription factors have been characterized for their respective roles in islet cell type specification, their cooperative activities are not completely understood. This represents a critical gap in our knowledge since the majority of essential islet TFs, including Pdx1, Nkx2-2, Neurog3, Nkx6.1, Mnx1, Pax6, Isl-1, Glis3, Insm1, Rfx6, and Neurod1 direct the differentiation and specialization for multiple pancreatic cell types[10, 39, 48, 53–63] and extra-pancreatic cell types, such as enteroendocrine cells [48, 61, 64–66] and neuronal cells[67–73]. A further complication is that a single TF may employ distinct mechanisms for regulating different targets in each cell type. For example, in  $\beta$  cells, Nkx2-2 can synergize with Neurog3 to activate NeuroD1, yet it also can interact with the Grg3 co-repressor protein to directly repress Arx, an  $\alpha$  cell factor[54, 74]. Furthermore, Neurod1 can promote or impede the development of  $\alpha$  cells depending on the presence of Nkx2-2[75]. Therefore the precise mechanisms that control TF target specificity and the downstream GRNs that specify cell type identity are unclear, but are likely to involve unique combinations of TFs forming complexes with chromatin modifying enzymes on the promoters and enhancers of specific targets.

The developmental cues and signals that regulate the genetic switches for endocrine cell specification and specialization are also unclear. During early stages of pancreatic bud out growth, the vast majority of endocrine cells are  $\alpha$  cells, followed by increased production of  $\beta$ ,  $\delta$ , and  $\epsilon$  cells during the secondary transition, and finally the PP cell population during late embryogenesis. The influence of developmental timing on islet cell identity was recently confirmed by the doxycycline controlled ectopic expression of Neurog3 in MPCs (in a Neurog3 null background) at different stages of development[26]. Deciphering the complex transcriptional mechanisms that control islet cell specific GRNs and their regulation by developmental cues will lead to improved strategies for generating functional mono-hormonal  $\beta$  cells from alternative cell sources.

Most TFs analyzed have an expression profile consistent with having a conserved function during human islet development[17, 46, 76]. Moreover, mutations in TFs essential for islet

cell development in mice including *NEUROD1*, *NKX2-2*, *GLIS3*, *PDX1*, *RFX6*, and *MNX1* have been linked to diabetes[50, 77]. Interestingly, the expression pattern of *NKX2-2* and *MAFB* is different in humans and this may explain divergence from mouse islet development[17, 76]. In contrast to mice, a large population of the early endocrine cells in humans is poly-hormonal and the majority of mono-hormonal cell types do not appear until later in development[17, 76, 78]. Interestingly, in humans, *NKX2-2* is absent in the early MPCs and is only expressed relatively late during endocrine cell differentiation, corresponding to the appearance of mono-hormonal populations [16]. Given its importance in maintaining islet cell identity in mice[54, 55, 79, 80], *NKX2.2* may function to resolve poly-hormonal cells into specialized mono-hormonal cells[17]. In mice, silencing of the TF *MafB* in the  $\beta$  cell also plays an important role in  $\beta$  cell maturation and identity[81]; however in humans *MAFB* expression is maintained in  $\beta$  cells indicating that alternative mechanisms may be important for this process [77, 94].

In both mice and humans, all the endocrine cell populations are formed by birth and the full complement of functionally mature endocrine cells aggregate into islet structures shortly after birth. In the adult mouse, 90% of islet cells are  $\beta$  cells that are clustered in the center of the islet and are surrounded by a mantle of the other endocrine islet cell types. In contrast, the human islet has a mosaic distribution of endocrine cells with the proportions of  $\alpha$ ,  $\delta$  and  $\beta$  cells reaching 1:1:1 at birth[76, 78]. The relative abundance of  $\alpha$  and  $\delta$  cells in the human islet compared to the mouse islet maybe due to differences in the relative proliferation of these cells to  $\beta$  cells during development [76, 78, 82, 83].

## Maintenance of Islet cell identity

The generation of conditional mutations in TFs that are required for islet cell differentiation has revealed that the functional identity of islet cells is not permanently hardwired, but needs to be actively maintained throughout the cell's lifetime. For example, deletion of the  $\beta$  cell determination TFs *Nkx6.1* and *Pdx1* in adult  $\beta$  cells leads to their conversion to  $\delta$  cell-like and  $\alpha$  cell-like phenotypes, respectively[81, 84, 85].  $\beta$  cell function also depends on sustained expression of *Neurod1*, *Rfx6*, *Pax6*, *Glis3*, *Islet1*, *Foxa1* and *Foxa2*[49, 86–91]. Similarly, in  $\alpha$  cells, deletion of *Arx* or ectopic expression of *Pax4* directs their trans-differentiation to a  $\beta$  cell-like phenotype[92, 93]. In addition to these genetic TF models, sufficient oxygenation of  $\beta$  cells also appears to be required to maintain the functional identity of  $\beta$  cells: culturing islets in hypoxic conditions or disrupting the *Vhlh* (von Hippel-Lindau) and the *Hif1 $\alpha$*  oxygen sensing pathway alters the expression of differentiation and progenitor markers. Although genetic lineage tracing in human islets is not possible, one study has demonstrated that  $\alpha$  cells can also be partially converted to  $\beta$ -like cells when cultured in vitro in the presence of methyltransferase inhibitor[94]. These studies have revealed the existence of a previously unappreciated plasticity in the adult islet that has influenced current ideas about  $\beta$  cell dysfunction and raised the possibility that novel transdifferentiation mechanisms could be used to regenerate or replace  $\beta$  cells in diabetic islets[95].

## Loss of $\beta$ cell identity during the pathogenesis of Type 2 Diabetes

During the pathogenesis of T2D, loss of glycemic control occurs by the deterioration of functional  $\beta$  cells in response to chronic exposure to cellular stressors generated during insulin resistance. Experiments that lineage labeled  $\beta$  cells in several diabetic mouse models revealed that  $\beta$  cell mass is reduced not only due to apoptosis, as previously believed, but also from the transcriptional silencing of insulin and other markers of functionally mature  $\beta$  cells[96]. During the initial stage of the disease,  $\beta$  cells can accommodate the increased demand for insulin by increasing  $\beta$  cell proliferation and insulin production. In particular, the TF Foxo1 is activated by metabolic stress during insulin resistance to enhance  $\beta$  cell function, at least in part, by directly inducing the expression of MafA and Neurod1[96, 97]. However, prolonged metabolic stress impairs Foxo1 activation and eventually causes a subset of  $\beta$  cells to acquire  $\alpha$  cell-like phenotypes or express progenitor markers such as Neurog3, Oct4, and Nanog[96, 98]. The reactivation of progenitor markers has been coined “dedifferentiation”, however expression profiling and functional analysis for pluripotency have not yet confirmed whether these former Ins+  $\beta$  cells have dedifferentiated to a progenitor-like state.

Evaluation of human islets from patients with T2D has also revealed phenotypes consistent with  $\beta$  cell dormancy, which is characterized by diminished expression of insulin and other markers for differentiated  $\beta$  cells, but without significant induction of progenitor markers[98]. Expression analysis of TFs found to be critical for maintaining functionally mature  $\beta$  cells in the mouse revealed diminished expression of TFs PDX1, NKX6.1 and MAFA in human T2D islets[98]. The loss of Pdx1, Nkx6.1 and MafA in the mouse adult  $\beta$  cell leads to many of the same defects observed in T2D islets, suggesting  $\beta$  cell dormancy may be driven by the transcriptional silencing of these TFs during pathogenesis of T2D[81, 84, 85, 99–101]. Recently, several studies have provided mechanistic insight into how  $\beta$  cell transcriptional complexes are inactivated during the pathogenesis for T2D. Most of the SNPs (Single poly-nucleotide polymorphisms) that are associated with T2D are found in non-coding sequences and little is known about how they contribute to  $\beta$  cell dysfunction or dormancy[102, 103]. The genome wide identification of human islet specific enhancers by CHIP-seq analysis has revealed that several of these SNPs are likely to predispose for  $\beta$  cell dormancy by disrupting TF binding sites found in islet specific enhancers[104]. The activity of TFs can also be modified by cellular stressors generated during insulin resistance. Hyperglycemia can lead to the accumulation of highly reactive free radicals that have recently been shown to directly inactivate the  $\beta$  cell TFs MafA and Nkx6.1[98]. Ectopic overexpression of MafA partially prevented  $\beta$  cell dedifferentiation in a mouse model for T2D and significantly improved their pathology[98]. Similarly, administering antioxidants or transgenic overexpression of Gpx-1, an enzyme that reduces free radicals, can prevent the dedifferentiation of  $\beta$  cells in a mouse model for T2D[98, 105, 106]. Better understanding of how cellular stressors generated during insulin resistance inactivate  $\beta$  cell specific transcriptional complexes will likely lead to other novel therapies designed to prevent or reverse  $\beta$  cell dormancy during the pathogenesis of T2D.



## Regeneration of $\beta$ cells in the adult

Adult  $\beta$  cells are mostly quiescent, however a small fraction can be activated to proliferate in response to hyperglycemia from insulin resistance or  $\beta$  cell depletion[107–110]. Compared to mice, human  $\beta$  cells are more resistant to cell cycle entry during development and in response to stress or mitogens[111]. The limited regenerative capacity of  $\beta$  cells has led many researchers to search for alternative approaches to replenish lost or dysfunctional  $\beta$  cells, including taking advantage of the recently discovered islet cell plasticity. It has now been demonstrated that severe  $\beta$  cell depletion (>99%) can lead to a small fraction of either  $\alpha$  or  $\delta$  cells to transdifferentiate into a  $\beta$  cell-like phenotype in adult and adolescent mice respectively[112, 113]. Remarkably, the chemical ablation of  $\beta$  cells combined with treatment of the cytokines CNTF and EGF can convert acinar cells to a  $\beta$  cell-like phenotype, nearly restoring normal glycemic control[114]. Previous experiments suggested ductal cells could transdifferentiate to  $\beta$  cells in response to  $\beta$  cell depletion from partial duct ligation-induced injury[115]. These findings, however have become somewhat controversial in light of new studies using ductal lineage labeling experiments that fail to show duct-derived  $\beta$  cell formation[27, 116].

The ectopic overexpression of  $\beta$  cell TFs can also induce the expression of insulin and other  $\beta$  cell markers in a number of non-islet cell types including liver, exocrine pancreas, gallbladder and mesenchymal stem cells [117–121]. Acinar cells can be converted to a  $\beta$  cell like phenotype in vivo by the adenoviral mediated ectopic overexpression of Pdx1, Neurog3, and MafA[119, 122]. Surprisingly, in a mouse model with ubiquitous transgenic overexpression of the same Pdx1, Neurog3 and MafA combination did not lead to acinar to  $\beta$  cell transdifferentiation, suggesting a permissive effect of either the adenovirus or the nude mouse background used for adenovirus mediated overexpression[119, 123]. The only cells that were converted to a  $\beta$  cell like phenotype by the ubiquitous transgenic overexpression of Pdx1, Neurog3, and MafA were intestinal crypt cells; highlighting their unique potential for reprogramming to a  $\beta$  cell fate[123]. Recently both mouse and human intestinal cells could also be converted to a  $\beta$  cell-like phenotype by the depletion of Foxo1 activity[124, 125]. In the future, identifying the factors unique to intestinal cells that allow for their reprogramming to a  $\beta$  cell like fate could be used to directly reprogram other cell types.

## Generation of functionally mature $\beta$ cells from stem cell populations

Previously, protocols based on the extensive knowledge gained from in vivo mouse pancreatic  $\beta$  cell development studies successfully differentiated embryonic stem cells (ESC) to foregut endoderm, pancreatic progenitors and Ins<sup>+</sup> cells[126–129]. Although these protocols robustly produced pancreatic endodermal cells, induction of Ins<sup>+</sup> cells was inefficient and the majority of Ins<sup>+</sup> cells resembled functionally immature  $\beta$  cells that were poly-hormonal and only achieved modest GSIS after 3–4 months of in vivo engraftment[128–131]. However, recent studies by two independent groups have reported significantly improved differentiation protocols that efficiently yield mono-hormonal Ins<sup>+</sup> cells with an expression profile and physiology comparable to  $\beta$  cells isolated from human cadaveric islets[132–134]. Within only 2 weeks after transplantation into diabetic mice, the newly improved stem cell derived  $\beta$  cells secreted insulin in response to glucose and

partially restored normal glycemic control[132, 134]. This achievement greatly advances the potential for using ESC or IPS cells as a source for  $\beta$  cell replacement therapies for diabetes.

## Conclusions

The generation of functionally mature  $\beta$  cells by the differentiation of stem cells or the in situ conversion of other islet cell types, acinar and intestinal cells are major breakthroughs towards replacing dysfunctional  $\beta$  cells in diabetic patients. Moreover, elucidating the etiology of  $\beta$  cell dysfunction during the pathogenesis of T2D has revealed many new potential therapies for preventing or repairing dysfunctional  $\beta$  cells. These breakthroughs were possible due to insight from over 25 years of basic and clinical research aimed at understanding the molecular mechanisms that control key events during islet cell development and diabetes-related dysfunction. This review has highlighted current knowledge and gaps in the understanding of the mechanisms that control pancreatic specification, subsequent lineage restriction and differentiation of MPCs to functionally mature islet cells. Further elucidation of the signaling and transcriptional mechanisms that direct cell type specific GRNs during the development of functionally mature islet cells will enhance the efficiency of  $\beta$  cell differentiation, transitioning from transgenic to pharmacological approaches for reprogramming, and for diversifying the sources of  $\beta$  cell differentiation. The overall conservation of the mechanisms that direct the development of functional  $\beta$  cells in mice and humans reinforces the idea that these strategies may translate to treating patients with diabetes.

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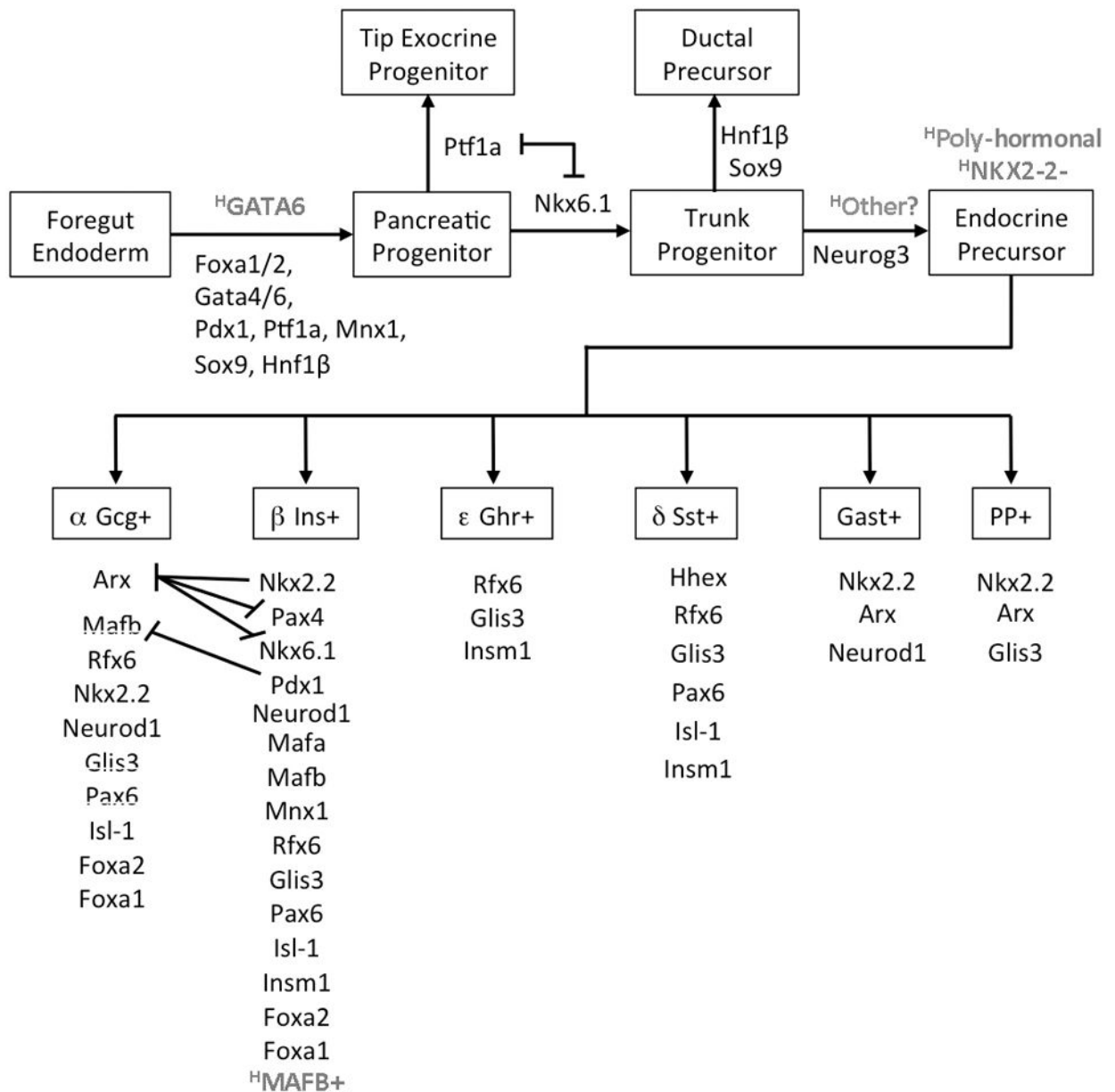
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**Key points**

1. Many of the key transcription factors (TFs) that regulate pancreatic islet cell development are conserved between mice and humans, however divergent expression patterns and/or functions of some factors may explain phenotypic differences between murine and human islets.
2. The functional maturity and identity of  $\beta$  cells are actively maintained by TFs that are important for islet development.
3. Inactivation of  $\beta$  cell TFs during the pathogenesis of T2D can lead to  $\beta$  cell dormancy and/or dysfunction.
4. Functionally mature  $\beta$  cells can be generated by the differentiation of embryonic stem cells or the in situ trans-differentiation of non- $\beta$  cell populations, such as  $\alpha$  cells, acinar cells and intestinal cells.



### Figure 1. Transcription Factors direct pancreatic islet cell development

Pancreatic bud development from foregut endoderm depends on the TFs Pdx1, Ptf1a, Mnx1, Foxa1, Foxa2, Gata4 and Gata6. Human pancreas specification has greater dependency on GATA6 possibly due to a lack of GATA4 expression in the foregut endoderm prior to pancreas specification. Lineage restriction of multi-potent pancreatic progenitor populations towards exocrine progenitor cells and ductal/endocrine bi-potent progenitor cells occurs during their segregation to the tips and trunks of the developing pancreatic arboretum respectively and is directed by mutual repression between Nkx6.1 and Ptf1a. Neurog3 is essential for the differentiation of trunk progenitors to hormone producing islet cells in mice but not humans; suggesting functional redundancy by other factors. Specific combinations of functionally conserved TFs are required for Neurog3+ precursors to differentiate into

specialized mono-hormonal islet endocrine cells. In humans NKX2-2 is not expressed until endocrine cell differentiation, which may explain why many of the early endocrine cells are poly-hormonal. TFs that differ in human versus mouse by their expression pattern or genetic functions are highlighted in grey with a superscript H to delineate “human”.

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