# The Woodchuck, a Nonprimate Model for Immunopathogenesis and Therapeutic Immunomodulation in Chronic Hepatitis B Virus Infection

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The woodchuck hepatitis virus (WHV) and its host, the eastern woodchuck, is a very valuable model system for hepatitis B virus infection. Many aspects of WHV replication and pathogenesis resemble acute and chronic hepatitis B infection in patients. Since the establishment of immunological tools, woodchucks were used to develop new therapeutic vaccines and immunomodulatory approaches to treat chronic hepadnaviral infections. Combination therapy of nucleos(t)ide analogs, with prime–boost vaccination and triple therapy, including immunomodulatory strategies by blocking the interaction of the programmed death-1 (PD-1) receptor with its ligand inducing a potent T-cell response in chronic WHV carrier woodchucks, suppression of viral replication, and complete elimination of the virus in 30% of the animals. Both strategies may be used for future therapies in patients with chronic hepatitis B.

The Eastern woodchuck (*Marmota monax*) is naturally infected by woodchuck hepatitis virus (WHV), which was discovered in 1978. Early development of hepatocellular carcinoma (HCC) in wild-caught woodchucks within 1 or 2 years of age led to the discovery of WHV (Summers et al. 1978). In contrast to development of HCC in patients, a frequent integration of the WHV genome close to the N-*myc* and c*myc* genes has been observed in the woodchuck (Moroy et al. 1986). WHV was found to be closely related to hepatitis B virus (HBV) (Galibert et al. 1981) and classified as a member of the genus orthohepadnavirus, family hepadnaviridae. However, these viruses differ from each other in several aspects (e.g., regulation of transcription) (Di et al. 1997). Infections of woodchucks with WHV have been shown to be endemic in the Mid-Atlantic states of the United States (e.g., Delaware, Maryland, Pennsylvania), whereas in the State of New York and in New England, woodchucks are rarely infected with WHV. Recently, Chinese *Marmota* species, genetically related to *Marmota monax himalayana*, *Marmota baibacina*, and *Marmota bobak*, were tested for their susceptibility to WHV infection. The results showed that *M. himalayana* is susceptible to WHV infection as all inoculated animals became positive for anti-WHV core (WHC), WHV surface antigen

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(WHsAg), and WHV DNA. WHV replication intermediates and proteins were detected in liver samples. *M. bobak* species showed a limited susceptibility to WHV (Wang et al. 2011). In contrast, *M. baibacina* remained negative for all tested virological parameters. These data indicate that *M. himalayana* is phylogenetically closely related to *M. monax*. Therefore, these Chinese *Marmota* species can be explored as a model for hepadnaviral infection and prevention of infection (Wang et al. 2014) and in the future for the new therapies. In contrast to these findings, transmission of WHV to alpine marmots was not successful (Chomel et al. 1984).

The molecular characterization of WHV and experimental infection of woodchucks with WHV have been of great value in modeling several aspects of hepadnaviral infection in humans. Many questions of hepadnaviral infection have been addressed in this model, for example, natural course of infection (Kajino et al. 1994; Cote et al. 2000; Guo et al. 2000; Yamamoto et al. 2002) and immunpathogenesis (Menne et al. 1997, 1998, 2002b; Nakamura et al. 2001; Frank et al. 2007), including innate and adaptive immune responses, host and viral factors associated with development of chronicity.

In chronic WHV infection, a limited intrahepatic interferon (IFN)-a response, intrahepatic markers associated with T-cell exhaustion, elevated levels of suppressor of cytokine signaling 3 (SOCS3) in the liver, and intrahepatic accumulation of neutrophils was observed, as in chronic HBV infection. In addition, WHVinduced HCC shares characteristics with human HCC. These data indicate that immune pathways play a major role either in the persistence of HBV infection or the sequelae of chronic hepatitis B (CHB) (Benhenda et al. 2009). Occurrence and significance of viral mutants in acute and chronic infections has also been studied in detail (Li et al. 1996; Botta et al. 2000; Zhang et al. 2001; Lu et al. 2002; Yamamoto et al. 2002).

From a medical point of view, the woodchuck model has been used to develop new strategies for prevention of infection (Lu et al. 1999; Garcia-Navarro et al. 2001)—post-exposure prophylaxis of hepatitis B and therapy of chronic hepadnaviral infection, including nucleoside analogs (Cullen et al. 1997; Mason et al. 1998; Genovesi et al. 2000; Korba et al. 2000; Peek et al. 2001), non-nucleoside analogs (Block et al. 1998), therapeutic vaccination (Hervas-Stubbs et al. 2001; Lu and Roggendorf 2001; Menne et al. 2002a), and gene-therapeutic approaches for treatment of HCC (Putzer et al. 2001). Liver transplantation has recently been established for woodchucks to study early events in reinfection and adoptive immune transfer (Dahmen et al. 2004). Lately, significant progress has been made in this model to show that both noncytotoxic and cytolytic antiviral immune responses are needed for elimination of the virus from hepatocytes or to at least control viral replication.

This review, therefore, focuses on immunomodulatory therapies for chronic hepadnaviral infection, including cloning and characterization of additional genes related to innate and adaptive responses, the recent development of new tools to investigate virus-specific T-cell responses, therapeutic vaccines, and, finally, immunomodulatory approaches to treat chronic WHV infections. These new insights in modulation of immune response to WHV in this animal model will enable the development of new strategies to treat chronic HBV infection in patients.

# CLONING AND CHARACTERIZATION OF COMPONENTS OF THE WOODCHUCK IMMUNE SYSTEM

In recent years, a number of immune function– related genes in woodchucks have been cloned and characterized. These genes include cytokines and their receptors, immune cell-surface markers, and other immune function–related proteins. In general, the woodchuck genes are more closely related to human than to mouse homologs.

Important woodchuck cytokines and their receptors that have been cloned so far are summarized in Table 1. Among them, tumor necrosis factor (TNF)- $\alpha$ , IFN- $\alpha$ , IFN- $\gamma$ , interleukin (IL)-8, IL-12, IL-15, granulocyte-macrophage

	Size	Homology (aa) human/mouse (%)	Biological activity	Accession number	References
TNF-α	233 aa	80/84	+	Y14137	Lohrengel et al. 1998, 2000
IFN-γ	166 aa	60/43	+	Y14138	Lohrengel et al. 1998; Lu et al. 2002
IFN-α	167 aa	62/58	+	AAG27516 AAK19944	Salucci et al. 2002; Lu et al. 2008b
IFNAR1	553 aa		+	JN379357	Fan et al. 2012
IL-2	Partial			AF082496	M Lu, unpubl.
IL-4	Partial			AF082495	M Lu, unpubl.
IL-6	207 aa	49/46	N.D.	Y14139	Lohrengel et al. 1998
IL-10	178 aa	80/72	N.D.	AF012909	Li et al. 2000
IL-12, p35	223 aa	62/51	+	X97018	Berraondo et al. 2002
IL-12 p40	325 aa	78/65	+	X97019	Berraondo et al. 2002
IL-15	192 aa	79/70	+	AY426605	Wang et al. 2005
GM-CSF	138 aa	63/49	+	AF255734	Wu et al. 2001
Lymphotoxin-α	202 aa	78/80	+	AF095586	Li et al. 2000
Lymphotoxin-β	306 aa	67/69	N.D.	AF095587	Li et al. 2000
Fas ligand	Partial		N.D.	AF152368	Hodgson et al. 1999
IL-8	101 aa	79/-	+	EU332349	Liu et al. 2009
IP10	98 aa			EU564728	Zhang et al. 2009
CCR7	378 aa			EU924211	Z Meng, unpubl.
IL-10R	576 aa		+	JN122322	Jiang et al. 2012

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aa, Amino acid; TNF, tumor necrosis factor; IFN, interferon; IFNAR, interferon receptor; IL, interleukin; N.D., not determined; GM-CSF, granulocyte-macrophage colony-stimulating factor.

colony-stimulating factor (GM-CSF), lymphotoxin (LT)- $\alpha$ , and IL-10R have been tested for their biological activities (Lohrengel et al. 1998, 2000; Li et al. 2000; Garcia-Navarro et al. 2001; Wu et al. 2001; Lu et al. 2002; Wang et al. 2005; Liu et al. 2009; Jiang et al. 2012). The entire open reading frame (ORF) of IFN- $\alpha$  was cloned under control of the cytomegalovirus (CMV) promoter into the expression vector PVIJ, and the biological action of IFN- $\alpha$  was shown by inducing expression of MxA-protein. IFN- $\alpha$ was also shown to reduce WHV surface antigen expression in a dose-dependent fashion in WHV-infected woodchuck hepatocytes (Salucci et al. 2002). The same group also refined the analysis of the IFN- $\alpha/\beta$  system of the woodchuck and studied the expression of woodchuck (w)IFN- $\alpha/\beta$  in peripheral blood lymphocytes (PBLs) from naïve and WHV-infected woodchucks (Lu et al. 2008b). The woodchuck IFN- $\alpha$  genes could be classified into 10 subtypes and three pseudotypes. The woodchuck IFN- $\alpha$ 

subtypes 1, 4, and 5 were found to be produced by poly(I:C)-stimulated woodchuck PBLs, indicating a selective expression of woodchuck IFN- $\alpha$  subtypes. PBLs from chronically WHVinfected woodchucks showed a reduced ability to produce woodchuck IFN when stimulated with poly(I:C). The complete or partial sequences of the type I IFN receptors (IFNARs) of woodchucks were also obtained and analyzed by Fan et al. (2012). IFNAR2 was significantly up-regulated in primary woodchuck hepatocytes stimulated with IFN- $\alpha$  or IFN- $\gamma$ . The expression of woodchuck IFNAR1 and IFNAR2 was decreased in woodchucks chronically infected with WHV. These studies are essential for studying type I IFN-related innate immunity and therapy in hepadnaviral infection in the woodchuck model. The biological activities of TNF- $\alpha$  and LT- $\alpha$  were tested in a cytotoxicity assay, and both cytokines showed cytotoxic activities on both mouse L929B and woodchuck A2 cells in the presence of actinomycin D (Li et

al. 2000). The biological activity of woodchuck IFN- $\gamma$  was characterized by Lu et al. (2002). It has been shown that recombinant woodchuck IFN-y-protected woodchuck cells against infection with murine encephalomyocarditis virus in a species-specific manner. IFN-y could up-regulate the mRNA level of the woodchuck major histocompatibility complex class I (Mamo-I) heavy chain in woodchuck WH12/6 cells and regulated differential gene expression of interferon-induced genes. However, levels of WHV replication intermediates and RNAs in persistently WHV-infected primary woodchuck hepatocytes did not change despite treatment with high doses of IFN- $\gamma$  (Lu et al. 2002). IL-10 is a pleiotropic cytokine acting on a variety of immune cells through the cell-surface receptor (IL-10R). It has been suggested to resuscitate antiviral immunity by interfering with IL-10/ IL-10R pathways. Very recently, Jiang et al. (2012) successfully cloned woodchuck IL-10R and generated antibodies against this molecule. The blockade of woodchuck IL-10R showed the ability to enhance the proliferation and degranulation of specific T cells from chronically WHV-infected woodchucks in vitro (Jiang et al. 2012).

Important woodchuck immune cell-surface markers that have been cloned so far are summarized in Table 2. The complete coding region of woodchuck T-cell markers, such as CD4, CD28, and cytotoxic T-lymphocyte-associated antigen 4 (CTLA-4), have been cloned and sequenced (Zhou et al. 1999; Yang et al. 2003). CD28 and CTLA-4 are known to play important roles for the regulation of T-cell activation by delivering the costimulation signals. Woodchuck CD28 showed a similarity of 70%-80% to its mammalian homologs according to the deduced amino acid sequences. Woodchuck CTLA-4 has a higher similarity of 74% to the corresponding mammalian CTLA-4 molecules. The strict conservation of critical amino acid residues like cystein and asparagine residues in woodchuck CD28 and CTLA-4 suggests that both molecules may structurally resemble their human or mouse homologs. A hexapeptide motif MYPPPY, which is believed to be essential for the interaction with CD80, is present in both woodchuck CD28 and

CTLA-4 (Yang et al. 2003). Sequence information of woodchuck CD3, CD4, and CD8 have been used to determine the kinetics of the influx of T cells into the liver during the incubation period and during acute or chronic WHV infections. In week two postinfection, an influx of CD3<sup>+</sup> lymphocytes could be observed and reached higher levels prior and during the recovery phase. The peak level of CD4<sup>+</sup> and CD8<sup>+</sup> T cells coincided with recovery. During transient infection, T cells can accumulate in the liver and reach up to two-thirds of the total number of liver cells (Guo et al. 2000).

Toll-like receptors (TLRs) have been identified as key regulators of innate and adaptive immune responses in viral infection. Recent progress in this field revealed that there are significant interactions between the TLR system and pathogens in chronic viral infections. Woodchuck TLRs that have been cloned so far are summarized in Table 2. In a recent study, Zhang et al. showed that TLR2 ligands activated NF-κB, PI3K/Akt, and different arms of mitogen-activated protein kinases (MAPK) signaling pathways and induced the production of proinflammatory cytokines in woodchuck hepatocytes. TLR2-mediated innate immune responses led to reduction of HBV and WHV replication and gene expression in HepG2.2.15 cells and primary woodchuck hepatocytes, respectively. In the woodchuck model, relatively low levels of TLR2 expression were found in peripheral blood mononuclear cells (PBMCs) and in liver tissues from chronic WHV carriers. TLR2 expression in PBMCs was inversely correlated with WHV DNA titers in acute WHV infection and in entecavir-treated chronic WHV carriers (Zhang et al. 2012).

Although progress has been made in cloning and characterizing woodchuck components of the woodchuck immune system, the sequence information is still limited compared with what is known in humans and mice. To address this major limitation of the model, Fletcher et al. (2012) performed the sequencing, assembly, and annotation of the woodchuck transcriptome, together with the generation of custom woodchuck microarrays. By using this new platform, they characterized the transcrip-

# The Woodchuck as a Model for Chronic HBV Infection

	Sequence	Homology (aa)	Accession	
Gene	information	human/mouse (%)	number	References
CD3-y	Partial		AF082493	Guo et al. 2000
CD3-e	Partial		AF232727	Michalak et al. 2000
CD4	455 aa		AF082497	Zhou et al. 1999;
				M Fiedler, unpubl
CD8	Partial		AF082499	Zhou et al. 1999
CD28	221 aa	76/74	AF130427	Yang et al. 2003
CTLA-4	223 aa	86/85	AF130428	Yang et al. 2003
MHC-I, Mamo-I	359 aa	81-83/78-79	AF146091 usw	Yang et al. 2003
MHC II, WLA	266 aa	87/80		S Viazov, unpubl.
B2 microglobulin	Partial		AF232726	Michalak et al. 2000
TAP-1	Partial		AF232724	Michalak et al. 2000
TAP-2	Partial		AF232725	Michalak et al. 2000
2'-5' oligoadenylate synthetase	Partial		AF082498	Zhou et al. 1999
Stat4	Partial		AY177676	Wang et al. 2003
Stat6	Partial		AY177677	Wang et al. 2003
T-bet	Partial		AY177675	Wang et al. 2003
GATA-binding protein 3	Partial		AY177678	Wang et al. 2003
PemI	Partial		AY494083	Fourel et al. 1994
Perforin	Partial		AF298158	Hodgson et al. 1999
Tumor suppressor p53	391 aa	86/77	AJ001022	Feitelson et al. 1997
Foxp3	Partial		EU168437	Crettaz et al. 2009
PD-L1	229 aa		HQ403652	Zhang et al. 2011
PD-L2	Partial		EU306521	Zhang et al. 2011
CD14	Partial		EF621769	CS Guy, unpubl.
CD56	Partial		EF621771	CS Guy, unpubl.
CD40L	Partial		EF621770	Guy et al. 2008
CD1d	Partial		EF621767	Guy et al. 2008
CD40	280 aa		EU586561	E Zhang, unpubl.
CD107a	322 aa		EF159727	Frank et al. 2007
TLR2	Partial		HQ446273	X Zhang, unpubl.
TLR3	Partial		EU586552	Zhang et al. 2009
TLR4	Partial		EU586553	Zhang et al. 2009
TLR7	Partial		EU586554	Zhang et al. 2009
TLR8	Partial		EU586555	Zhang et al. 2009
TLR9	Partial		EU586556	Zhang et al. 2009

Table 2	. Clonal	cell-surface	marker and	other	genes	related	to the	woodd	chuck	immune	system
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CTLA, Cytotoxic T-lymphocyte-associated antigen; PD-L1, programmed death-ligand 1.

tional response to persistent WHV infection and WHV-induced HCC. Very recently, this analysis revealed that WHV does not induce significant intrahepatic gene expression changes during the early-acute stage of infection (week 8). These findings correspond to findings in chimpanzees infected with HBV (Wieland et al. 2004). At week 14 of infection, resolution was associated with induction markers of activated cytotoxic T cells. At this time point, high-level expression of programmed death-1 (PD-1) and various other inhibitory T-cell receptors, which likely act to minimize liver damage by cytotoxic T cells during viral clearance, were detected. The IFN- $\gamma$  signaling response was comparable to that in chronically infected animals (Fletcher et al. 2012).

Liu et al. have also performed de novo woodchuck transcriptome assembly by using deep sequencing technology (J Liu, unpubl.).

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With the help of this advanced sequencing technology, sequence information of important immune genes, such as APOBEC3 of woodchucks, has been revealed. It has been shown that upregulation of APOBEC3 led to specific and nonhepatotoxic degradation of nuclear HBV covalently closed circular DNA (cccDNA) (Lucifora et al. 2014). Therefore, future cloning and characterizing of APOBEC3 in the woodchuck model has therapeutic values for CHB. In summary, these efforts in establishing the translational value of the woodchuck model can provide new insight into characterizing immune pathways that may play a role in the persistence of HBV infection.

# EVALUATION OF T-CELL RESPONSE IN THE WOODCHUCK MODEL

Monitoring of virus-specific CD4 and CD8 T-cell response is a prerequisite for immunotherapeutic approaches in woodchucks as an appropriate T-cell response against hepatitis B is essential for resolution of the infection.

For many years, the immunological studies of WHV infection in woodchucks were restricted to evaluation of antigen-specific antibodies (Roggendorf and Tolle 1995). The lack of appropriate methods to evaluate antigen-specific T-cell responses was a serious limitation of this model. Development of 2[<sup>3</sup>H]-adenine-based proliferation assays enabled detection of Thelper lymphocyte responses after stimulation of woodchuck PBMCs with WHV core, surface, and X antigens (WHV core antigen [WHcAg], WHsAg, and WHV X antigen [WHxAg], respectively) (Menne et al. 1998, 2002b). In addition, using the 2[<sup>3</sup>H]-adenine-based proliferation assay in PBMCs from acutely infected animals, several T-helper epitopes within WHcAg were identified (Menne et al. 1998, 2002b).

Significant progress in studying T-cell response in the woodchuck model was achieved by introduction of the flow cytometric CD107a degranulation assay that enables the detection of WHV-specific cytotoxic T lymphocyte cells (CTLs) in woodchuck PBMCs and splenocytes (Frank et al. 2007). Several studies showed that detection of CD107a, as a degranulation marker, is a suitable method for determination of antigen-specific CTLs (Betts et al. 2003; Rubio et al. 2003). The assay enables detection of WHV-specific CTLs based on their granule-dependent effector function. CD107a molecule (also known as lysosomal-associated membrane protein 1 [LAMP1]) is a glycoprotein located in membranes of cytolytic granules. Recognition of the infected cells by CTLs and degranulation process results in the exposure of CD107a molecule on the CTL surface, where it can be stained with specific antibodies. Woodchuck CD107a can be stained by cross-reactive anti-mouse CD107a antibody, which enables detection of the woodchuck CTLs by flow cytometry.

Introduction of the immunological tools for studying the T-cell response in woodchucks revealed a significant similarity between the pathogenesis of WHV infection in woodchucks and HBV infections in humans. It was shown that acute self-limiting and resolved WHV infections correlate with robust multifunctional T-helper and cytotoxic T-cell responses, whereas WHV chronic carriers show weak or no virusspecific T-cell responses against the virus (Menne et al. 1998, 2002b; Frank et al. 2007). Moreover, these studies confirmed that the efficient cellular immune response to viral antigens results in liver injury, and is necessary for viral clearance. With the novel CD107a degranulation assay, an immunodominant CTL epitope within WHcAg (aa 96-110), and a CTL epitope within the WHsAg (aa 220-234; I Frank, AD Kosinska, M Roggendorf, et al., unpubl.) were characterized (Frank et al. 2007).

The establishment of assays for monitoring the cellular immune response in woodchucks is of great importance for a reliable evaluation of therapeutic and immunomodulatory strategies for treatment of CHB in the woodchuck model.

# THE WOODCHUCK—A PRECLINCAL MODEL FOR IMMUNMODULATORY APPROCHES FOR NEW THERAPIES AGAINST CHRONIC HEPADNAVIRAL INFECTIONS

Over the past 10 years, the treatment options of chronic HBV infection improved greatly. There

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are two types of antiviral therapies currently available for chronic HBV-treatment with pegylated IFN- $\alpha$  (PEG-IFN- $\alpha$ ) and nucleot(s)ide analogs, such as entecavir (ETV) and tenofovir. However, treatment with PEG-IFN-a leads to a sustained antiviral response in only  $\sim$ 30% of patients and is associated with side effects. The introduction of PEG-IFN- $\alpha$  in combination with nucleoside analogs did not significantly increase the rate of sustained responders (Janssen et al. 2005; Lau et al. 2005). Although treatment with nucleoside analogs improves the clinical condition of chronic HBV patients, it is hampered by the emergence of drug-resistant mutations, and rebounding viremia after cessation of antiviral therapy (Locarnini and Mason 2006; Zoulim and Locarnini 2009). Therefore, alternative strategies to treat chronic HBV infection are needed.

The host immune response determines whether acute HBV infection will progress to resolution or chronicity. A strong, multispecific T-cell response to HBV antigens is associated with the clearance of hepatitis B. Depletion of CD8 T cells in chimpanzees during acute HBV infection results in the persistence of the viremia (Thimme et al. 2003). In contrast, weak or often undetectable HBV-specific immune response correlates with HBV persistence (Jung et al. 1991; Penna et al. 1991; Rehermann and Nascimbeni 2005; Yang et al. 2010). Results of these studies provided the basis for therapeutic vaccination trials to enhance the virus-specific immune response and to overcome persistent HBV infection (Lu et al. 2007, 2008a). In this review, we will describe new therapeutic vaccination strategies to treat CHB, which may be introduced for patient treatment in the future.

Numerous clinical trials of therapeutic vaccination exploited the conventional prophylactic hepatitis B surface antigen (HBsAg)-based protein vaccines in patients. These studies showed reductions in viremia, hepatitis B e antigen (HBeAg)/HB e antibody (anti-HBe) seroconversion, and HBV-specific T-cell responses in some patients. However, the antiviral effect was only transient and did not lead to an effective control of the HBV (Pol et al. 1994, 2001; Couillin et al. 1999; Jung et al. 2002; Dikici et al. 2003; Ren et al. 2003; Safadi et al. 2003; Yalcin et al. 2003).

### T-CELL VACCINES

DNA vaccines are gaining popularity given their ability to induce both cellular and humoral immune responses. Several phase I clinical trials investigated the therapeutic efficacy of plasmid DNA vaccines expressing HBsAg in chronic HBV carriers. These studies provided evidences for the safety of HBV DNA vaccination. They showed that DNA vaccination can restore or activate T-cell responses. However, DNA vaccines expressing only HBsAg did not result in any significant HBV DNA suppression (Mancini-Bourgine et al. 2004, 2006).

According to the results of these studies, it seems that therapeutic vaccination alone is not sufficient to achieve control over HBV infections. High virus load may be responsible for the immune-tolerant status in some patients. As shown in animal models, the quantity of antigen to which the immune system is exposed can induce different degrees of functional impairment of antiviral T cells, up to physical T-cell deletion (Freeman et al. 2006; Wherry et al. 2007). This idea is supported by Boni et al. (2001, 2003), reporting that the T-cell response to HBV was successfully restored in patients treated with lamivudine. Therefore, pretreatment with nucleos(t)ide analogs has been combined with vaccination to achieve better therapeutic efficacy.

# THERAPEUTIC IMMUNIZATION USING RECOMBINANT VIRAL VECTORS AND PRIME–BOOST STRATEGY

Previous results from therapeutic vaccination trials on woodchucks, chimpanzees, and humans indicated that the licensed vaccines are not able to boost a functional antiviral T-cell response. Therefore, vaccines based on recombinant viruses have gained a great interest because of their ability to stimulate robust humoral and cellular immune responses. Preliminary results obtained from the study in chronically HBV-infected chimpanzees immunized with retroviral vectors, based on Moloney murine leukemia virus, encoding hepatitis B core antigen (HBcAg) suggested that further investigation of viral vector-based vaccines should be taken into consideration (Sallberg et al. 1998). In one experiment, one of three therapeutically immunized chronic carrier chimpanzees cleared the virus and showed HBeAg seroconversion (Sallberg et al. 1998). Significant alanine aminotransferase (ALT) elevations observed in this animal implicated restoration of HBV-specific cytotoxic and humoral responses without causing fulminant hepatitis. Moreover, the other two chimpanzees showed high anti-HBe titers after the therapy and one of them HBcAg-specific CTLs (Sallberg et al. 1998). This study shows not only the benefit of using the recombinant viral vectors for treatment of chronic HBV infection in a primate model, but also the possible advantage of using core antigen-based therapeutic vaccines. Nevertheless, usage of another recombinant virus as a vaccine carrier could be a more reasonable choice, as several clinical trials suggested that gene therapy with traditional retroviral vectors can lead to oncogenesis (Hacein-Bey-Abina et al. 2003; Schwarzwaelder et al. 2007).

Recombinant adenoviruses proved to elicit a vigorous and sustained humoral and T-cell responses to expressed antigens that are considered to be crucial for clearance of persistent viral diseases (Shiver et al. 2002; Zakhartchouk et al. 2005). Adenoviral vectors not only deliver the antigens of interest, but also act as natural adjuvants causing DCs maturation, enhanced antigen presentation, and secretion of antiviral cytokines, such as IFN- $\alpha$ , TNF- $\alpha$ , and IL-6 (Morelli et al. 2000). However, even single immunization with recombinant adenoviruses may induce immunity, predominantly neutralizing antibodies, against the vector itself. The negative effect of adenovirus-induced immunity against the vaccine, mostly when the therapy requires multiple dosages, may be overcome by heterologous prime-boost regimens. In particular, priming immunizations with a plasmid DNA vaccine followed by a booster vaccination with adenoviral (AdV) seems to be a very promising strategy. DNA prime-adenovirus

boost regimens proved to induce more robust and potent immune responses in comparison to plasmid DNA alone and provided protection against the pathogen challenge in several animal models of infectious diseases (Sullivan et al. 2000; Casimiro et al. 2005; Xin et al. 2005).

Recently, our group has investigated whether a heterologous prime-boost immunization strategy using plasmid DNA and recombinant adenoviral vectors may improve the efficacy of the therapeutic vaccination in CHB in the woodchuck model. A new DNA plasmid (pCGWHc), an adenoviral serotype 5 vector (Ad5WHc), and a chimeric Ad5 displaying Ad35 fiber (Ad35WHc) showing that high expression levels of WHcAg were constructed (Kosinska et al. 2012). Increased antigen expression was achieved by insertion of an intron sequence in the expression cassette of the vaccine. Immunizations of mice with the DNA prime-AdV boost method, resulted in more vigorous and multispecific T-cell responses in comparison to immunization with plasmid DNA alone. Moreover, immunization of naïve woodchucks with pCGWHc plasmid or AdVs induced a significant WHcAg-specific degranulation response before the challenge, which had not been detected so far. Consistently, this response led to a rapid induction of anti-WHs antibodies and control of infection after the challenge (Kosinska et al. 2012). These results showed that high-antigen expression levels and the DNA prime-AdV boost immunization protocol improved the T-cell response in mice and induced significant T-cell responses in woodchucks.

The DNA prime–AdV boost immunization strategy was further used as a therapeutic vaccine against chronic WHV infection in combination with antiviral treatment with ETV. Six chronically WHV-infected woodchucks were treated for 23 wk with ETV. Initially, the drug was administered for 12 wk at a dosage of 1.4 mg/wk by osmotic pumps implanted surgically under the skin of the animals. From week 8 to 23 of the therapy, subcutaneous injections of 1 mg of ETV were performed twice a week. Starting from week 8, four of the six ETV-treated animals received, subsequently, nine intramuscular immunizations with DNA plasmids, expressing WHcAg (pCGWHc) and WHsAg (pWHsIm), Ad5WHc, and Ad35WHc. The combination therapy of ETV treatment and DNA prime-AdV boost immunization in chronic WHV carriers resulted in WHsAg- and WHcAg-specific T-helper and cytotoxic T-cell responses, which were not detectable in ETVonly treated controls. Woodchucks receiving the combination therapy showed a prolonged suppression of WHV replication and lower WHsAg levels compared with controls. Moreover, two of four immunized carriers remained WHV negative after the end of ETV treatment and developed anti-WHs antibodies (Kosinska et al. 2013). These data are encouraging and show that the combined antiviral and vaccination approach efficiently elicited sustained immunological control of chronic hepadnaviral infection in woodchucks. In addition, these results implicate the feasibility and usefulness of the immunotherapeutic strategies for the treatment of chronically HBV-infected patients.

# COMBINING THERAPEUTIC VACCINATION AND MODULATION OF T-CELL FUNCTION

The specific CTL response in patients with chronic HBV infection is generally weak or totally undetectable. This inability to mount protective CTL responses is believed to be a crucial determinant of viral persistence. Although it has been shown that nucleoside analog treatment can induce the recovery of HBV-specific cytotoxic T-cell activity in patients (Boni et al. 2001), this effect is only transient (Boni et al. 2003). Those findings are consistent with our data obtained from the woodchuck model in which ETV treatment alone only induced either transient CTL responses (Kosinska et al. 2013) or no responses at all (Liu et al. 2014). Therefore, instead of nucleoside analog treatment alone, additional treatments, which can potently enhance T-cell responses, need to be pursued.

Recent studies in chronic virus infection models indicate that the interaction between PD-1 and its ligands plays a critical role in Tcell exhaustion (Barber et al. 2006; Maier et al. 2007; Finnefrock et al. 2009; Velu et al. 2009). In many human chronic infections, including HBV, up-regulation of PD-1 on virus-specific T cells was observed, and restoration of the T-cell function has been achieved by blocking the PD-1/PD-ligand 1 (PD-L1) interaction in vitro (Day et al. 2006; Freeman et al. 2006; Petrovas et al. 2006; Trautmann et al. 2006; Boni et al. 2007; Penna et al. 2007; Pilli et al. 2007). PD-L1 blockade was originally described in vivo in lymphochoriomeningitis virus-infected mice. It has now been investigated in vivo for chronic HBV infection in transgenic mice and for HCV infections in chimpanzees (Fuller et al. 2013) and recently also in patients (Gardiner et al. 2013). Experiments in chimpanzees with chronic HCV infection, WHV transgenic mice, and patients with chronic HCV infection using PD-L1 antibody without additional stimulation of the T-cell response had little effect. PD-1 blockade likely requires a critical threshold of preexisting virus-specific T cells in liver and warrants consideration of therapeutic vaccination strategies in combination with PD-1 blockade to broaden narrow CD4/CD8 T-cell responses (Fuller et al. 2013).

In line with these findings, Zhang et al. and Liu et al. successfully cloned and characterized woodchuck PD-1/PD-L system in the WHV infection woodchuck model (Zhang et al. 2011; Liu et al. 2014). In vitro blockade of woodchuck PD-1/PD-L1 pathway by using a rabbit polyclonal PD-L1-blocking antibody (aPD-L1) could partially restore the T-cell function in WHV-infected woodchucks (Zhang et al. 2011). Moreover, in vivo blockade of PD-1/PD-L1 pathway on CD8 T cells, in combination with ETV treatment and DNA vaccination, synergistically enhanced the function of virusspecific T cells (Fig 1). The combination therapy potently suppressed WHV replication, leading to sustained immunological control of viral infection, anti-WHs antibody development, and complete viral clearance in some woodchucks (Liu et al. 2014).

# CONCLUSION AND SUMMARY

The aim of therapeutic vaccination and/or the immunomodulatory therapy in CHB is to in-



**Figure 1.** The kinetics of woodchuck hepatitis virus (WHV) core antigen (WHcAg)-specific T-cell responses to triple therapy in chronic WHV carriers. WHcAg-specific T-cell responses of differently treated woodchucks were analyzed by CD107a degranulation assay. The kinetics of WHcAg-specific CD8 T-cell response of four differently treated groups of woodchucks is presented. Control, control group without any treatment; entecavir (ETV), ETV-only treated group; ETV + DNA, ETV in combination with DNA vaccinations; ETV + DNA + Apd-L1, ETV and DNA vaccination in combination with anti-programmed death-ligand 1 (PD-L1) antibody treatment.

duce virus-specific CD4 and CD8 T-cell response in patients to down-regulate viral replication and elimination of infected hepatocytes. These B- and T-cell responses should be able to completely block viral replication and spread of virus over prolonged time periods until the patient can resolve the infection. Residual infected cells may carry cccDNA as it happens in patients after acute resolving infection (Rehermann et al. 1996).

In animal studies described in this review, combining prime-boost immunization and antiviral treatment seems to be sufficient in some animals to completely eliminate virus including cccDNA (Table 3). In addition, a specific B-cell response is needed to induce anti-HBs antibodies to prevent reinfection of naïve hepatocytes.

Blockade of PD-1/PD-L1 interactions increased HBcAg-specific IFN- $\gamma$  production in intrahepatic T lymphocytes. Furthermore, blocking the interaction of PD-1 with PD-L1 by an anti-PD-1 monoclonal antibody (mAb) reversed the exhausted phenotype in intrahepatic T lymphocytes and cleared WHV in vivo in chronic viral infections.

These studies show, for the first time, that antiviral treatment in combination with therapeutic vaccination or triple therapy with additional PD-L1 blockade potently boosts virusspecific CD8 T-cell responses and promotes viral control during chronic hepadnaviral infection. Further studies in a larger number of chronic carriers need to be conducted to investigate whether the rate of virus elimination could be significantly enhanced. Our results may lay a foundation for initiating a dual therapy (combination of nucleoside analog and PD-L1 blockade) or a triple therapy (combination of nucleoside analog, DNA vaccine, and PD-L1 blockade) in chronic HBV patients.

The negative regulation of T-cell function involves numerous receptor and ligand interactions in separate cellular compartments at different phases of the immune response. Recent studies have suggested that multiple inhibitory receptors other than PD-1, such as CTLA-4 (Schurich et al. 2011), TIM-3 (Wu et al. 2012), and LAG-3 (Li et al. 2013), could play important roles in T-cell exhaustion during persistent HBV infection. These observations suggest that there may be a synergy between blockade of the various inhibitory pathways and encourage the examination of combinatorial strategies for treatment of woodchucks with chronic WHV and then in patients with chronic HBV.

# The Woodchuck as a Model for Chronic HBV Infection

						С	outcome
Study	Number of treated animals	Antiviral treatment	Duration month	Vaccine	Number of shots	Delayed rebound	Woodchuck hepatitis virus DNA– negative in follow-up
Lu et al. 2007	9	ETV 0.5 mg/kg	6	DNA vaccine, WhsAg, WhcAg	6	9/9	1/7 (14.3%)
M Lu et al., unpubl.	6	ETV 0.2 mg	12	DNA vaccine, WhsAg, WhcAg	12	6/6	2/6 (33.3%)
Kosinska et al. 2013	5	ETV 0.2 mg/kg	6	DNA vaccine, WhcAg, Adenoviral	10	4/4	2/4 (50%)
Liu et al. 2014	3	ETV 0.2 mg/kg	6	DNA vaccine, WhsAg, WhcAg, Anti-PD-L1	12	3/3	2/3 (66.7%)
Total	23 vaccina	ated animals				23/23	7/21 (33.3%)
	10 control animals; four studies						0/10

Table 3. Summary of four	preclinical studies	on therapeutic	vaccination	performed in	) the woodchuc

ETV, entecavir.

Current progress indicates the feasibility of therapeutic vaccination with or without additional immune modulation for treatment of chronic HBV infection. The increasing number of available vaccine formulations raises a crucial question: What is the optimal scheme of the therapeutic strategy? According to the studies reviewed above, the following steps should be taken to achieve a potent therapeutic effect: (1) reducing viral load by antiviral treatment; (2) inducing antiviral T-cell and/or B-cell responses by vaccinations; and (3) combining immunomodulation methods to amplify and maintain T-cell functions (Fig. 2). In addition, proper design of antigens for vaccination is also important for the development of an effective regimen of immune therapy against HBV. It has been noticed that satisfactory therapeutic effects were not reported in studies using HBsAg-based prophylactic vaccines. In the meantime, evidence has been obtained that HBcAg-specific immunity is endowed with antiviral and liver protecting capacities in CHB patients and animal models. Therefore, it remains to be investigated which factors influence the effect of therapeutic vaccination. Understanding these issues will be helpful for the

translation of recent progress for clinical use of therapeutic vaccination.

# UNRESOLVED PROBLEMS

The viral load seems to be one of the reasons for tolerance of T cells against HBV antigens in CHB. The treatment with nucleoside analogs can reduce replicative forms of the virus by blocking reverse transcription and formation and release of new viral particles. This pretreatment seems to be a prerequisite that viralspecific CD8 and CD4 T-cell response can be induced by vaccination, and their function can be guaranteed by blocking PD-1 and PD-L1 interaction. However, the template for transcription of mRNA for the S protein and the core protein of the cccDNA in the nucleus is not reduced by antiviral treatment. Therefore, HBsAg and HBcAg/HBeAg are still translated at high level. One option to reduce the protein load is the application of small interfering RNAs (siRNAs) of a length of 21 to 23 nucleotides that lead to the sequence-specific degradation of homologous mRNA. Using this RNA interference (RNAi) with chemically synthesized or vectorexpressed siRNAs, many clinically relevant vi-

Optimal vaccine:

DNA vaccine HBcAg, MVA or Ad5, Ad35 vectors



**Figure 2.** Strategies for therapeutic vaccination and/or immunomodulation in patients with chronic hepatitis B (CHB). Option 1: Combination of antiviral treatment nucleos(t)ide and prime-boost vaccination. Option 2: Combination of antiviral treatment nucleos(t)ide and anti-programmed death-ligand 1 (PD-L1). Option 3: Triple therapy with antiviral treatment nucleos(t)ide and prime-boost vaccination and anti-PD-L1. HBcAg, Hepatitis B core antigen; MVA, modified vaccinia Ankara; HBsAg, hepatitis B surface antigen; MVA, modified vaccinia Ankara; HBsAg, hepatitis B virus; HBeAG, hepatitis B e antigen; ALT, alanine aminotransferase; IFN, interferon.

ruses including the human immunodeficiency virus, HBV, and HCV could be inhibited. The degradation of mRNA reduces the translation of proteins. In vitro experiments showed that significant silencing of WHV transcripts can be achieved (Meng et al. 2009). However, so far, in vivo studies have not been performed to show that this technology can achieve a significant reduction of expression of WHV proteins in vivo. This silencing approach, together with nucleoside analogs and stimulation of the immune system, may be used in the future for treatment of chronic HBV infection.

An alternative to regulate HBV gene expression emerged recently with the epigenetic approach. HBV minichromosome in hepatocytes is under the control of epigenetic control and its transcriptional activity could be influenced by methylation, histone acetylation, and other mechanisms (Zhang et al. 2013). Therefore, epigenetic drugs targeting these regulatory processes may potentially be used to suppress HBV gene expression and combined with therapeutic vaccinations. The ultimate strategy to cure HBV infection would be the direct elimination of HBV cccDNA. A recent report by Lucifora et al. (2014) about the role of APOBECs in the degradation of cccDNA remains to be confirmed. Some HBV researchers raised questions about this issue and further efforts are required to verify these findings (Chisari et al. 2014; Xia et al. 2014). In any case, it will take some time to explore whether cccDNA can be directly targeted for antiviral treatment in patients.

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