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## Technologies for Controlled, Local Delivery of siRNA

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### Abstract

The discovery of RNAi in the late 1990s unlocked a new realm of therapeutic possibilities by enabling potent and specific silencing of theoretically any desired genetic target. Better elucidation of the mechanism of action, the impact of chemical modifications that stabilize and reduce nonspecific effects of siRNA molecules, and the key design considerations for effective delivery systems has spurred progress toward developing clinically-successful siRNA therapies. A logical aim for initial siRNA translation is local therapies, as delivering siRNA directly to its site of action helps to ensure that a sufficient dose reaches the target tissue, lessens the potential for off-target side effects, and circumvents the substantial systemic delivery barriers. While topical siRNA delivery has progressed into numerous clinical trials, an enormous opportunity also exists to develop sustained-release, local delivery systems that enable both spatial and temporal control of gene silencing. This review focuses on material platforms that establish both localized and controlled gene silencing, with emphasis on the systems that show most promise for clinical translation.

### Introduction

The discovery that double stranded RNA (dsRNA) can trigger catalytic degradation of messenger RNA (mRNA) has inspired more than two decades of research aimed at understanding and harnessing this mechanism. Because well-designed RNA interference (RNAi) therapeutics can potently and specifically suppress translation of any gene, including intracellular targets traditionally considered “undruggable”, they have been heavily studied as a potential new class of pharmaceuticals that can modulate drug targets that are inaccessible by conventional small molecule inhibitors and antibody drugs. In particular, synthetic, double-stranded small interfering RNA (siRNA) has emerged as a leading candidate for the development of gene silencing therapeutics<sup>1, 2</sup>. siRNA is potentially advantageous in comparison to other RNAi approaches because it can directly load into the RNA induced silencing complex (RISC) machinery, simplifying dosing control and circumventing the requirement for delivery into the nucleus (e.g., as required with shRNA-encoding vectors)<sup>3, 4</sup>. However, emergence of clinically approved siRNA therapies has remained slow, with the primary challenge being the formidable anatomical and

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physiological barriers that must be overcome to deliver siRNA to its intracellular site of action in target cell types<sup>5</sup>.

To date, systemic delivery of siRNA therapeutics to targets in the liver has been most extensively tested in clinical trials; this approach is motivated by the ability to exploit the liver's physiological function as a filtration and clearance system<sup>6-8</sup>. Through strategic targeting of relevant hepatic genes, multiple siRNA therapeutics have proven efficacious in preclinical and clinical trials<sup>7, 9, 10</sup>. One of the most advanced along the regulatory pathway is a therapeutic by Alnylam currently in Phase III trials that targets the transthyretin gene for treatment of transthyretin amyloidosis<sup>7, 11</sup>. However, development of systemically delivered siRNA therapeutics that target tissues other than the liver has proven more challenging<sup>8</sup>.

Local delivery systems offer a potentially more translatable alternative, as they confer the advantages of reducing off-target side effects and potentially achieving higher gene silencing at the target site<sup>8</sup>. For these reasons, many of the first therapeutic applications of siRNA tested clinically involved local delivery (primarily topical or injection-based). However, initial clinical trials involving local siRNA delivery were largely disappointing and did not meet the high expectations of the scientific and medical communities<sup>12, 13</sup>. These studies revealed unexpected concerns regarding siRNA safety (e.g., therapies based on naked siRNA triggered immune responses) and pharmacokinetics<sup>8, 12-15</sup>. The advancement of siRNA molecular design principles and improved delivery systems have increased the number of candidate siRNA therapeutics entering the clinical pipeline, but there is currently a dearth of locally delivered siRNA therapeutics in testing relative to systemically delivered formulations<sup>8, 12</sup>. This review will focus on recent technologies that leverage the significant advantages of local siRNA delivery and have made progress toward overcoming the barriers that have thus far limited these applications.

## siRNA Mechanism

The molecular phenomenon of RNAi-based post-transcriptional gene silencing, first termed "reversible co-suppression", was unraveled following the unexpected observation by Napoli et al. in 1990 that introduction of a transgene intended to overexpress chalcone synthase (CHS, a gene for flower pigmentation) yielded more white flowers and was associated with a 50-fold reduction of CHS mRNA<sup>16</sup>. The gene silencing capability of antisense oligodeoxynucleotides (ODNs) was first elucidated, but it was discovered soon thereafter that double-stranded RNA (dsRNA) are capable of achieving 100 to 1000-fold more potent gene suppression than ODNs<sup>17</sup>. The delivery of dsRNA of varying lengths, siRNA, short hairpin RNA (shRNA), and plasmids expressing shRNA can trigger gene-specific silencing, which is optimal when there is full complementarity between the guide strand and the target mRNA sequence<sup>2, 18</sup>. These synthetic dsRNA molecules are more effective than ODNs because they "hijack" the catalytically-active gene silencing machinery that is integral to endogenous, negative feedback pathways utilized by naturally expressed microRNA (miRNA)<sup>2, 19, 20</sup>. When larger dsRNA are delivered to the cellular cytoplasm, they are cleaved by the enzyme Dicer into siRNA, which are 19–21 nucleotides in length and characterized by 3' nucleotide overhangs. The siRNA strands are then separated, and the antisense or guide strand, recognized by a less stable 5' end, is incorporated into the RNA-

induced silencing complex (RISC)<sup>21</sup>. The activated RISC loaded with the siRNA guide strand binds to complementary mRNA and initiates its degradation (Figure 1). Importantly, the activated RISC has enzymatic activity, enabling a single siRNA to elicit the degradation of multiple mRNAs<sup>22</sup>. In contrast, synthetic microRNA (miRNA) often modulate multiple mRNA targets with partial complementarity and thus can influence larger systems of genes<sup>23</sup>. While the coordinated control of multiple, related genes through miRNA therapeutics is a powerful strategy, properly designed siRNA-based therapeutics are desirable because they offer more predictable functional effects based on modulation of specific genes.

## siRNA Delivery Challenges

### General Delivery Barriers

While discovery and development of small molecule drugs for clinical use remains an enormous challenge, the translation of siRNA therapeutics is fully uncharted. Thus, in addition to traditional drug development challenges, the “normal” pipeline for development of an siRNA drug for FDA clearance has yet to be established<sup>8, 12</sup>. The major difficulty faced when designing siRNA therapeutics is that of delivery to its site of action; synthetic dsRNA or siRNA molecules have relatively poor pharmacokinetic properties and thus face more formidable extracellular and intracellular delivery challenges relative to small molecule drugs. Oral bioavailability of siRNA molecules is very poor because they are relatively large, hydrophilic, and susceptible to degradation, and systemic, intravenous delivery of siRNA results in rapid renal filtration and clearance through the urine<sup>24</sup>. siRNA also has a short half-life *in vivo* and can be degraded by nucleases, especially if optimized chemical modifications are not incorporated onto the siRNA molecule<sup>25</sup>. Furthermore, siRNA does not readily translocate lipid bilayers, such as those that constitute the outer cellular membrane and the endo-lysosomal intracellular vesicles. The latter can cause siRNA that has been internalized by target cells to be degraded within lysosomes or exocytosed, rather than becoming bioavailable for interaction with the RISC machinery in the cytosol<sup>24, 26, 27</sup>. For example, in the absence of a mechanism for endosomal escape, only 1–2% of the siRNA delivered by lipid nanoparticles is believed to be released into the cytosol and to be bioavailable for RISC loading and target gene silencing. While it is not the focus of the current review, there are a variety of delivery systems under development for overcoming these systemic and general delivery barriers<sup>24</sup>.

Utilization of siRNA therapeutically is also complicated by the potential for toxicity and immunogenicity of both the siRNA molecules and the carriers used. siRNA molecules can activate Toll-like receptors (TLRs), which are a part of the innate immune system that recognizes and mounts an immune response against microbial invaders<sup>28–32</sup>. Additionally, siRNA can elicit off-target effects due to partial sequence complementarity to unintended genes or by saturating the cell’s RISC machinery, altering endogenous miRNA gene regulatory processes<sup>31, 33, 34</sup>. Furthermore, systems used to deliver siRNA can induce toxic and immunogenic consequences<sup>24</sup>. These inadvertent effects can override therapeutic benefits and confound interpretation of experiments designed to test the functional significance of siRNA therapeutics<sup>14</sup>.

## Local Delivery Considerations

Localized siRNA delivery obviates many of the systemic delivery barriers but also raises unique challenges. In topical strategies, the skin, mucosal membranes, and epithelial cells can act as delivery barriers to target cells<sup>35</sup>. For example, siRNA delivery to cervicovaginal or gastrointestinal tissues requires transversing a superficial mucosal layer<sup>36–38</sup>. However, in most depot systems, direct contact exists between the local delivery reservoir and the target cells (Figure 1). In this scenario, a primary design concern is to control the kinetics of siRNA release such that duration of gene silencing can be temporally controlled and/or sustained without repeated treatments. Without a mechanism for controlled release from the delivery system, siRNA activity has a finite half-life and its activity will diminish over time. This is especially important in applications where the siRNA dose cannot be easily reapplied, including delivery from the surface of an implanted device, delivery to sites that are not easily accessible and/or would require assistance from a health care professional, or delivery from depots that also serve as biodegradable tissue engineering scaffolds<sup>39</sup>. At sites of tissue repair, there is the added challenge of rapid cellular turnover and proliferation as the different phases of regeneration proceed; when transfected cells undergo mitosis, the siRNA dose is diluted amongst the daughter cells. In this challenging environment, siRNA gene suppression has been shown to be maximal at approximately two days post-transfection and to disappear almost entirely after one week<sup>5, 40</sup>. By creating delivery platforms with tunable release profiles, gene silencing can be customized for specific therapeutic applications and for sustained effect in tissues that are remodeling and/or regenerating.

Local siRNA delivery systems and their degradation products must also be non-cytotoxic and should not interfere with the desired therapeutic response. Materials that degrade into biocompatible, resorbable byproducts eliminate the need for physical removal of the delivery system. For some systems, the rate at which the material degrades can be used to tune the temporal release profile of the siRNA<sup>41, 42</sup>. Altering the kinetics for diffusion-based release is also possible, for example by regulating the delivery system's crosslinking density and/or porosity via synthesis techniques<sup>43–45</sup>. Of particular interest for regenerative medicine and tissue engineering are multifunctional, porous biomaterials that enable controlled siRNA release, support cellular ingrowth, and degrade at rates that match *de novo* tissue formation<sup>46–49</sup>. Therefore, many delivery systems are fabricated using materials with inherent *in vivo* degradation mechanisms; for example, scaffold and microparticle systems are commonly based on hydrolytically degradable polyesters such as poly(lactic-co-glycolic acid) (PLGA)<sup>43, 44, 48, 50</sup>. However, the degradation products of PLGA acidify the local environment and can result in inflammation, creating impetus for the exploration of other biodegradable systems<sup>51, 52</sup>. Environmentally-responsive systems that respond to cellular stimuli like proteases or reactive oxygen species (ROS) offer a promising alternative. For instance, biomaterials incorporating polythioether crosslinkers confer ROS-dependent degradation that produces non-acidic, cytocompatible byproducts<sup>53, 54</sup>. The material choice for a local delivery depot determines not only the degradation rate but also the adherence of cells, their viability and their phenotype. In addition, the affinity of an siRNA therapeutic for the material influences release and cellular uptake characteristics<sup>42</sup>. All of these concerns

should be considered, with the goal of designing a system in which the reservoir and siRNA therapeutic work synergistically to elicit the desired cellular response.

Another approach to engineering delivery systems for spatially confined, efficient cellular uptake is to leverage the phenomenon of substrate-mediated uptake<sup>49, 55</sup>. Substrate-mediated uptake or “reverse transfection” occurs when nucleic acids immobilized on a material surface are internalized by cells adherent to that surface rather than being internalized via solution phase endocytosis or pinocytosis (Figure 1). Substrate-mediated delivery concentrates the therapeutic at the cell-material interface and can enhance transfection efficiency by 10- to 100-fold<sup>42, 49, 55</sup>. This reduces diffusion of the siRNA away from the target site and is also especially relevant for tissue regenerative applications where cells adhere and grow within a biomaterial that possesses dual functions as a delivery depot and tissue template.

While local delivery presents novel challenges, it also has inherent advantages over systemic delivery that make it a logical focus for translation of siRNA therapies to a broader range of clinical applications. Systemic delivery systems elicit greater concerns regarding off-site toxicity, often cannot achieve sufficient doses within the tissue of interest, and must overcome the rapid renal clearance of siRNA which leads to low bioavailability<sup>35</sup>. Although many disease states will necessitate systemic delivery (e.g. metastatic carcinomas, systemic infections), local delivery may be a superior strategy for a subset of pathologies<sup>37</sup>.

## siRNA Modifications and Carriers

Though local siRNA delivery avoids many of the challenges associated with systemic injection, it does require measures to prevent siRNA degradation and to overcome cell membrane/endo-lysosomal barriers following depot injection/implantation *in vivo*. A variety of chemical modifications, conjugation strategies, and lipid/polymer carriers have been identified to address the challenges inherent to siRNA therapies. As these strategies have previously been reviewed comprehensively<sup>24</sup>, this aspect of the literature will not be exhaustively overviewed herein.

A commonly-utilized chemical modification to siRNA molecules is replacement of phosphodiester linkages with phosphorothioate linkages at select locations on the backbone, which confers improved resistance of siRNA to nuclease degradation<sup>25, 56, 57</sup>. Further, modifications to the siRNA backbone at the 2' position, such as 2'-O-methyl (2OME), endow siRNA molecules with greater stability and eliminate the TLR-driven immune response without impacting silencing efficacy<sup>25, 56, 58, 59</sup>. Careful siRNA sequence selection can also aid in avoiding modulation of non-targeted genes and enhance the activity at the targeted gene. Systematic, computer-aided optimization of siRNA sequence and design has become standard practice and accelerates identification of siRNA sequences likely to exhibit high target gene silencing with minimal off-target effects and immunogenicity<sup>25, 31</sup>.

Direct conjugation to molecules such as polymers, peptides, lipids, antibodies, and aptamers has also been explored for improving siRNA pharmacokinetic properties<sup>60-62</sup>. Lipid-like moieties such as cholesterol,  $\alpha$ -tocopherol, and palmitic acid improve siRNA stability, cellular uptake, and gene silencing ability<sup>63-65</sup>. Alternatively, conjugation of targeting

ligands to siRNA has shown promise as a means to facilitate receptor-mediated, cell-specific uptake. For example, Alnylam demonstrated the *in vivo* silencing efficacy of tri-antenna and trivalent *N*-acetylgalactosamine siRNA conjugates and showed hepatocyte-specific uptake when delivering the conjugates carrier-free<sup>66, 67</sup>. The conjugation of cell integrin-binding peptides such as RGD and cell penetrating peptides (CPPs) has also been explored for modifying siRNA molecules as well as carrier systems<sup>68, 69</sup>. CPPs have emerged as potent cellular membrane translocators, but they are also associated with concerns regarding cytotoxicity and immunogenicity<sup>70, 71</sup>.

While optimization of siRNA sequence and chemical modifications can improve upon safety and efficacy, siRNA activity benefits from delivery via a carrier system in most applications. These carriers typically improve stability against nucleases and enhance cellular penetration capacity and/or endosomal escape; thus, siRNA formulation into nanocarriers is often utilized synergistically with systems for localized, sustained delivery<sup>24</sup>. siRNA carriers vary widely but commonly consist of lipids/liposomes, polymers, or viral constructs<sup>24, 60, 62, 72, 73</sup>. Transfection with lipids/liposomes is the most broadly utilized technique, with a variety of commercial reagents available that can facilitate fusion with and transport across cellular membranes<sup>24, 60, 74</sup>. Similarly, cationic polymers and dendrimers are conventionally used for siRNA packaging, protection, and delivery. Synthetic polymers such as linear or branched polyethyleneimine (PEI), poly(L-lysine), poly(amidoamine) (PAMAM) dendrimers, poly( $\beta$ -amino esters) (PBAEs), poly(dimethylaminoethylmethacrylate) (pDMAEMA), and histidine and/or imidazole containing copolymers, as well as natural polymers like atelocollagen and chitosan, are among the most extensively utilized<sup>24, 75–81</sup>. These cationic polymers electrostatically package/protect siRNA and in some cases, contain secondary and tertiary amines that enable endosomal escape via the proton sponge effect<sup>75</sup>. Other polymers have also been developed that have active membrane-disruptive behavior triggered by the slightly acidic pH of the endo-lysosomal pathway. These polymer-based systems have been utilized primarily to form siRNA nano-formulations with actively endosomolytic cores and have been combined with a variety of micelle/polyplex surface chemistries<sup>81–85</sup>. Several of these systems leverage a poly(DMAEMA-*co*-butyl methacrylate-*co*-propylacrylic acid (PAA) polymer block that is approximately charge-neutral and forms a stable micelle core at physiologic pH. When exposed to a more acidic pH, the DMAEMA and PAA monomers become concurrently more protonated, yielding a net cationic state that triggers micelle destabilization and endo-lysosomal membrane interaction/disruption<sup>81</sup>.

Cationic lipids and polymers have a number of shortcomings that are gradually being addressed through both rational design and high throughput synthesis/screening approaches. Traditional cationic transfection reagents generally cause cytotoxicity, especially at high concentrations, and are disposed to aggregation and loss of activity in serum and salt-containing environments. Additionally, while the nanocarrier should remain stable in the extracellular environment, the siRNA must be released from the packaging system intracellularly in order to ensure efficient incorporation into the RISC complex<sup>24, 86</sup>. Recent and ongoing research addresses these concerns; bio-reducible, biodegradable, and

environmentally-responsive polymers and PEGylation strategies can be utilized both to reduce cytotoxicity and to incorporate mechanisms of siRNA release<sup>24, 77, 85, 87, 88</sup>.

## Local Delivery Strategies

Local delivery of siRNA has been pursued to direct cellular responses in applications ranging from tissue engineering and regenerative medicine to treatment of carcinomas and infections. This section reviews some of the most promising local, reservoir-based siRNA delivery strategies reported to date (Table 1).

### Microparticles

Microparticles were the earliest explored delivery strategy for localized, sustained delivery of siRNA. Microparticles are generally formed using a double emulsion technique (water/oil/water) followed by solvent extraction/evaporation. The initial aqueous phase, containing the oligonucleotide (often pre-packaged into a carrier), is added to the oil phase, containing the polymer that will comprise the matrix of the microparticles. This emulsion is added to a final aqueous phase containing a surfactant, allowing stable microparticle formation. The particles are then isolated through evaporative removal of the volatile, nonpolar solvent. Microparticles can be tuned to possess optimal size for a combination of injectability and tissue retention and have proven effective at protecting loaded nucleic acids from degradation<sup>44, 92–95</sup>.

Exploration into the loading and release characteristics of microparticles has elucidated the effects of dose of nucleic acid loaded, polymer molecular weight, and particle size. Early reports revealed that smaller PLGA microspheres (1–2  $\mu\text{m}$ ) released loaded oligonucleotide more rapidly than larger microspheres (10–20  $\mu\text{m}$ )<sup>94</sup>. While the small microspheres exhibited a typical burst release profile over several days, followed by a slower rate of release over two months, larger microspheres released ODN in a triphasic profile, with a less substantial initial stage of burst release followed by sustained release for about a month, at which point the remaining ODN was quickly released. This final phase of release was due to degradation of the microspheres and could therefore be modulated by modifying the molecular weight of PLGA used to form the microparticles; for example, slower release after day 25 was seen in microspheres of 30,000 MW PLGA than in microspheres of 3,000 MW PLGA<sup>94</sup>. It was additionally shown that loading efficiency using the double emulsion, solvent evaporation technique was approximately doubled with the large microspheres in comparison to the small microspheres (60–70% vs. 30% encapsulation efficiency)<sup>94, 95</sup>.

Another key concern when designing microparticle systems is the release characteristics of the loaded nucleic acid. Changes in pH, for example that occur in ischemic environments, endosomal compartments, or due to release of degradation products, can significantly impact release kinetics and particle behavior. The impact of pH extremes on PLGA microparticle release of loaded ODN was investigated in a study comparing PLGA microparticles with 0%, 5%, and 10% PEG composition<sup>92</sup>. At physiological pH, all microparticle compositions exhibited >85% release of the total loaded ODN in a sustained, triphasic manner over 28 days. However, at a pH of 5 and 10, PLGA microparticles with no PEG content achieved <50% total ODN release due to microparticle aggregation. Inhibiting this aggregation

through the incorporation of PEG accomplished greater total ODN release at pH extremes while maintaining a similar release profile to 100% PLGA microparticles at physiological pH. However, in all cases a higher degree of burst release was still observed at the pH extremes<sup>92</sup>. This study also investigated the impact of quantity of oligonucleotide loaded on release rate, revealing that higher loading was associated with a greater burst release. The capacity to modulate release kinetics by varying composition, loading density, and size is central to microparticle utility in nucleic acid delivery applications<sup>93, 96</sup>.

The application of PLGA microparticles for sustained nucleic acid delivery was first demonstrated with encapsulated ODN. In *in vitro* studies with RAW 264.7 macrophage-like cells, a 4 hour exposure to microparticles loaded with an ODN designed to silence the transcription factor nuclear factor kappa-light-chain-enhancer of activated B cells (NF $\kappa$ - $\beta$ ) reduced protein expression of NF $\kappa$ - $\beta$  by approximately half and reduced downstream inflammatory cytokine production. Sustained release of ODN from the microspheres was shown for approximately one month *in vitro*, but the capacity of the microparticles to elicit long-term silencing was not investigated<sup>50</sup>. These ODN-loaded microparticles established a precedent for using microparticles to deliver siRNA, an application that is discussed in more detail in the following sections<sup>93, 96–98</sup>.

## Scaffolds

The use of polymer scaffolds to facilitate sustained release of siRNA is a potentially transformative strategy to influence local cellular behavior in tissue regenerative applications. An extensive array of polymers and polymer combinations has been explored for scaffold fabrication. Naturally-derived polymers, such as physiological extracellular matrix (ECM) components and polysaccharides (commonly anionic alginate, agarose, dextran, and hyaluronic acid (HA) and cationic chitosan), are often utilized because they have inherent cell-adhesion and degradation mechanisms. Collagen is a particularly popular ECM biomaterial because it is a fibrous extracellular matrix component that is enzymatically degradable<sup>99</sup>. One of the earliest studies that investigated scaffold-mediated delivery as a means to control siRNA release kinetics utilized collagen scaffolds loaded with siRNA formulated with PAMAM dendrimers<sup>100</sup>. These scaffolds achieved greater than 50% gene silencing at 7 days (using a 200 nM siRNA dose) in *in vitro* studies with fibroblasts, demonstrating the potential for sustained silencing via scaffold-based local delivery.

While natural polymers offer advantages in terms of host cell recognition, using synthetic materials enables greater control over a range of scaffold properties, including the pore structure, degradation mechanism/rate, and mechanical stiffness/strength. PLGA offers the distinct advantage of highly tunable degradation rates, and PLGA scaffolds have been shown to promote cellular ingrowth and produce localized and long-term nucleic acid transfection in numerous applications<sup>49, 101</sup>. Poly(ester urethane) (PEUR) scaffolds formed from non-toxic isocyanates, such as lysine triisocyanate (LTI), have also been successfully adapted for the sustained delivery of a variety of biomacromolecules<sup>102–104</sup>.

For all scaffold-based delivery applications, it is vital that the siRNA intracellular delivery technology can be readily incorporated into the bulk delivery depot without significant loss of gene silencing activity. Lyophilization of siRNA-loaded poly- and lipo-plexes can reduce



their activity, primarily because extensive particle aggregation occurs at high concentrations. Several stabilization strategies have been developed to maintain gene silencing efficacy post-fabrication into local delivery systems<sup>39, 105–107</sup>. For example, siRNA nanoparticles were shown to have a 50% activity loss when directly incorporated into PEUR scaffolds. However, when the natural sugar trehalose was used as a stabilizing agent, nanoparticle size and activity were retained relative to fresh siRNA formulations<sup>39, 108</sup>. Additionally, siRNA complexed with either chitosan or Transit TKO transfection reagent and lyophilized onto the surface of tissue culture plates maintained transfection efficiency only when sucrose was utilized as a lyoprotectant<sup>106</sup>. Sucrose stabilization showed similar efficacy as a lyoprotectant of polyplexes of DNA and PEI<sup>107</sup>. These results demonstrate the necessity of carefully optimizing the integration of the siRNA intracellular delivery system with the bulk scaffold/depot fabrication method and chemistry.

### Electrospun Fibers

Electrospun fibers are another strategy for localized, reservoir-based delivery. Electrospinning enables the formation of nanoscale fibrous structures (biomimetic of those present in natural ECM) using polymers<sup>48</sup>. These fibers are often used in scaffold-like coatings or dressings but merit discussion independent of the generalized scaffold discussion above. While adsorption of siRNA onto electrospun fibers can mediate gene silencing, a more powerful strategy for controlled release is encapsulation of siRNA into these fibers. For example, siRNA complexed with chitosan and encapsulated into PLGA nanofibers demonstrated sustained siRNA release dictated by PLGA degradation for more than 30 days<sup>43</sup>. It was also shown that the fibers mediated transfection superior to that of the standard forward transfection method. The silencing efficacy was investigated 48 hours after seeding green fluorescent protein (GFP)-expressing human lung carcinoma cells onto the PLGA fibers *in vitro*, with a maximum silencing of about 60% observed using the nanofibers. Given the controlled release profile shown, there is a strong impetus to further explore the capacity of this system to achieve sustained silencing.

Electrospun fibers formed from polycaprolactone (PCL), a synthetic polymer with a slower hydrolytic degradation mechanism than PLGA, have also been investigated as a means to control the release of siRNA<sup>48</sup>. The Chew group loaded naked siRNA into PCL nanofibers but showed minimal (3%) release of siRNA over 28 days<sup>90</sup>. The incorporation of poly(ethylene glycol) (PEG), a hydrophilic polymer, increased the release of the encapsulated siRNA (up to 30%) by acting as a porogen. While this modification showed promise as a way to tune siRNA release kinetics from the fibers, a full and sustained release profile was not achieved. Additionally, the combination PCL-PEG nanofiber scaffolds proved less cytocompatible than PCL scaffolds when cells were seeded onto them. However, this system produced *in vitro* silencing in cells seeded upon the scaffolds; there was approximately 20% silencing efficacy when naked siRNA was encapsulated and higher (about 80%) silencing when siRNA-TKO complexes were incorporated. Surprisingly, there was no effect of scaffold composition (0%, 20% and 60% PEG were investigated) on gene silencing<sup>90</sup>. The Chew group subsequently improved upon the siRNA release through copolymerization of ethyl ethylene phosphate (EEP) with PCL<sup>109</sup>. siRNA-TKO complexes or naked siRNA loaded into these nanofibers demonstrated scaffold-mediated silencing of

fibroblasts *in vitro* (approximately 40% with the siRNA-TKO complexes and 20% with naked siRNA). In all cases, the most effective nanofiber-mediated silencing was achieved when siRNA was packaged into commercial transfection reagents prior to nanofiber incorporation. However, these agents were also associated with significant cytotoxicity. Nevertheless, these nanofiber-based delivery systems establish silencing efficacy in model gene targets and motivate further development of matrix biomimetic electrospun constructs for controlled siRNA release.

## Hydrogels

Hydrogels are a subclass of swellable, highly hydrated scaffolds that can also be composed of natural or synthetic polymers. Strong proof-of concept work from the Alsborg group has proven siRNA-based silencing of model genes from a variety of natural hydrogel systems. In particular, the group investigated collagen, alginate, and dextran injectable hydrogels using calcium- and photo-crosslinking techniques, with the goal of identifying differences in siRNA release rates and silencing efficacy from these systems. A study comparing calcium-crosslinked alginate, photo-crosslinked alginate, and collagen revealed that each of these hydrogels, when loaded with 13.3  $\mu\text{g}$  of naked siRNA per 100  $\mu\text{L}$  gel, achieved greater than 90% gene silencing of encapsulated HEK293 cells *in vitro*<sup>110</sup>. More recently, this group demonstrated tunable and sustained siRNA release using photocrosslinked hydrogels comprising dextran (8% or 12% w/w) and linear PEI incorporated at varying concentrations (0, 5, or 10  $\mu\text{g}/100 \mu\text{L}$  gel)<sup>111</sup>. Both a higher concentration of PEI and a higher mass percentage dextran composition corresponded to slower release kinetics and less burst release, with sustained release achieved out to 8 days.

Hybrid delivery systems comprising combinations of natural and synthetic polymers can leverage the advantages of each (e.g. cytocompatibility of natural materials and tunability of synthetic materials). In a recent study, hydrogels synthesized with a combination of alginate, HA, and hydroxyethylcellulose were 3-dimensionally co-printed with PCL and loaded with Lipofectamine-complexed siRNAs in defined spatial patterns<sup>112</sup>. This system allowed gene silencing of green fluorescent protein (GFP)-expressing mesenchymal stem cells seeded upon the hydrogels, with the strongest silencing effects localized to the areas patterned with siRNA against the GFP gene. This proof-of-concept work could be modified for long-term gene silencing by optimizing the selection of siRNA carrier and/or hydrogel composition to allow for controlled release. The authors' application of a novel fabrication technique to achieve spatial and temporal control of gene silencing activity is particularly exciting for engineering of multicomponent or gradient tissue architectures<sup>42</sup>.

Another subset of synthetic hydrogels that is promising for use in local delivery is environmentally-responsive hydrogels that undergo stimuli-dependent gelation. For instance, poly(N-isopropylacrylamide) (pNIPAAm) is a well-characterized thermoresponsive polymer with an ideal transition temperature from sol to gel that occurs between room and physiologic temperature. pNIPAAm-based hydrogels have been proven to support cellular growth and infiltration, and the random or block copolymerization with other monomers can be used to tune its transition temperature, degradability, and other characteristics<sup>113-116</sup>. Hydrogels based upon pNIPAAm and other thermoresponsive

polymers have been pursued as injectable systems that form a reservoir confining siRNA to the site of injection. For example, a copolymer of NIPAAm and acrylamide (AAM) has been investigated for application as an injectable depot when loaded with gold nanoshell-encapsulated DNA<sup>117</sup>. Additional work has described an injectable hydrogel composed of NIPAAm and layered double hydroxides (LDHs; either MgAl or MgFe), where the LDHs were utilized to electrostatically incorporate siRNA<sup>118</sup>. The combination pNIPAAm-LDH gel elicited >80% silencing in osteoarthritic chondrocytes *in vitro* at 6 days in a model gene. This promising result provides strong motivation for exploration of this system and other pNIPAAm-based injectable options conjunction with functional gene targets and *in vivo* models.

While pNIPAAm remains a strong option for injectable systems, many other approaches have shown promise. Recent work by Ishii et. al. produced a redox-active injectable gel comprised of polyion complexes of a triblock cationic polymer PMNT-PEG-PMNT (PMNT = poly[4-(2,2,6,6-tetramethylpiperidine-N-oxyl)aminomethylstyrene]) and poly acrylic acid (PAAc)<sup>119</sup>. Sustained release of PAAc from the gel was shown over 28 days, with negligible burst characteristics and a release of about 30% at last measure. This system would be of interest particularly in treatment of inflammatory conditions, as PMNT contains a TEMPO nitroxide radical, known for its capacity to scavenge reactive oxygen species. While this gel has not been investigated for nucleic acid delivery, it may be amenable to incorporation of siRNA (in replacement of PAAc) and merits further investigation in therapeutic applications. Another injectable system that has demonstrated promise is a liquid crystalline formulation composed of monoglycerides, PEI, propylene glycol, and Tris buffer<sup>120</sup>. PEI functions to package siRNA and *in vitro* studies showed 44% of total loaded siRNA-PEI complexes were released over 72 hours *in vitro*. Subcutaneous implantation of the gels in mice revealed gel degradation over 30 days but also indicated an immune response upon implantation. However, despite this drawback, the capacity to load and release siRNA complexes motivates investigation of gene silencing capabilities. While the injectable strategies discussed above have not yet progressed to functional gene targets, similar systems incorporating pre-packaged oligonucleotide have been investigated in cancer therapies, and these applications are discussed further below<sup>117, 121</sup>.

## Surface Coatings

Coatings onto devices or other biomaterials, such as wound dressings, have also been pursued for local siRNA delivery; coating types include hydrogels as well as single or multilayer surface coatings. The simplest version of a coating for local delivery is a single layer adsorbed or deposited onto a surface. In these adsorbed coatings, control over the release kinetics is limited, but this approach has helped to elucidate the benefit of substrate-mediated transfection<sup>48</sup>. For example, complexes of plasmid DNA and PEI adsorbed onto nano-graphene oxide substrates exhibited sustained release (over 7 days) and resulted in both a higher transfection efficacy and lower cytotoxicity than complexes delivered in solution<sup>122</sup>. This approach capitalized on efficient, substrate-mediated transfection and also facilitated gene delivery localized to patterns on the nano-graphene oxide, enabling spatial control of therapeutic delivery.

Multilayer coatings, many of which are assembled through layer-by-layer (LbL) processes, have been one of the most promising approaches to surface-mediated siRNA release. LbL films are typically formed by alternating deposition of materials of opposing charge, with the layers bound together by electrostatic forces. Relative to other systems, LbL coatings offer a high degree of control over release kinetics of a loaded therapeutic. By altering the number of layers and the composition of the layers, it is possible to tune the release rate of loaded molecules and to achieve sustained release<sup>123–125</sup>. The Hammond group has extensively characterized layer-by-layer assembly, utilizing this approach to coat a variety of devices and biomaterials. They investigated several LbL systems for coating siRNA onto a woven nylon surgical dressing. Each LbL system used protamine sulfate (PrS) as the cationic layering agent, while the anionic layers comprised calcium phosphate-siRNA nanoparticles (CaPh), dextran sulfate, and/or laponite silicate clay (LaP)<sup>126</sup>. The most promising candidate was composed of PrS/CaP/PrS/LaP; these films demonstrated a siRNA loading capacity of 19  $\mu\text{g}/\text{cm}^2$  and an approximately linear release profile for about 6 days (release leveled off at 10 days with a third of the siRNA unreleased). These films achieved target gene silencing in fibroblasts seeded upon them, with peak silencing of 64% observed at 7 days. The highly tunable release from LbL systems makes them among the most exciting options under investigation for local siRNA delivery, and there is a strong need to investigate these systems in treatment of disease states.

## Therapeutic Applications

Due to the flexibility to design siRNA against any gene target and the tunability of delivery reservoirs, localized RNAi is a broadly applicable therapeutic approach with potential applications in tissue engineering, regenerative medicine, medical device implants, cancer, infections, and immunomodulation. The prospective applications (Figure 3) amenable to local reservoir-based delivery will be discussed briefly in general and specifically as they relate to promising therapeutic targets that have been most extensively explored (Table 2).

## Tissue Regeneration

A variety of scaffold and hydrogel chemistries have shown promise in tissue engineering applications as a means to modulate cellular ingrowth, proliferation, and phenotype. By modification of mechanical and chemical characteristics of the construct and/or by incorporation of bioactive factors, tissue engineering depots aim to direct cellular behavior and fate<sup>48</sup>. For *in situ* tissue engineering (delivery of tissue scaffolds without the incorporation of exogenous cells), the ability to modulate cellular infiltration and phenotype are primary concerns. Growth factors and chemokines are commonly utilized to stimulate desired cell phenotypes, promote infiltration, or encourage specific cell functions, but the capacity of siRNA to be directed at any desired gene target (and to modify intracellular molecules and “undruggable” targets such as transcription factors) makes it a particularly powerful approach to guide cellular behavior.

Bone repair is one tissue engineering application in which local RNAi has been effectively applied. The Noggin protein is an endogenous inhibitor of bone morphogenetic proteins (BMPs) that stimulate osteogenesis, and silencing the Noggin gene can potentiate BMP activity and enhance bone formation<sup>144</sup>. This helps to override the physiological negative

feedback mechanism in which BMPs induce an upregulation of Noggin, limiting the osteogenic response. Elucidation of this mechanism motivated co-delivery of BMP-2 and Noggin siRNA<sup>144</sup>. One particularly promising study tested PLA-polydioxanone-PEG copolymer hydrogels loaded with 1 nmol Noggin siRNA and 2.5  $\mu$ g BMP-2 and implanted into mouse dorsal pouches<sup>139</sup>. Maximum observed silencing of Noggin was 75% at day 1, and a significant silencing effect was achieved for 7 days. Importantly, Noggin silencing stimulated ectopic bone formation, with higher bone mineral content and greater bone amount observed than that triggered by delivery of an equivalent dose of BMP-2 alone. While the degradation profile and release kinetics were not characterized, this system shows potential for bone repair applications, particularly if the hydrogel system can be modified to produce a more prolonged silencing effect. Another possible genetic target to prompt bone formation is gremlin, also a BMP antagonist<sup>145</sup>. Targeting these and alternative, relevant genes with RNAi is a promising strategy to assist bone regeneration and enable repair of critically-sized bone defects.

Application of siRNA against other osteogenesis-related genes such as osteopontin and osteocalcin has also been explored as a means to regulate bone mineralization. An LbL film composed of alternating layers of calcium phosphate-shRNA nanoparticles and PLL achieved a sustained silencing effect (at 21 days) in human osteoblasts grown on the films, superior to that of the nanoparticles delivered in solution<sup>146</sup>. The strategy of alternately depositing nucleic acid nanoparticles and polymer layers, coupled with the long-term silencing effect shown, suggests the potential for LbL to achieve timed release of siRNA against multiple targets by loading of different siRNAs at different “depths” within the LbL film. However, the gene silencing effect was only assessed at 21 days, and the release characteristics of the system have not yet been elucidated.

Regeneration of muscle and connective tissue is also a primary interest in tissue engineering, especially as a treatment for genetic diseases such as muscular dystrophy. siRNA against myostatin, a gene that impedes muscle growth, delivered at a dose of 0.5 nmols from atelocollagen nanoparticles was shown to increase muscle growth, size, and activity in a mouse model of muscular dystrophy<sup>147, 148</sup>. These results were achieved via a local injection into mouse masseter muscle; however, the proven impact of myostatin siRNA on muscle growth motivates its further exploration in controlled delivery depots to regenerate weak or otherwise compromised muscle tissue. The use of siRNA to promote functional tendon regeneration has also been examined using siRNA against the collagen V  $\alpha$ 1 (Col5 $\alpha$ 1) chain. The ratio of collagen V to collagen I is important for tendon fibrillogenesis, and overexpression of Col5 $\alpha$ 1 negatively impacts fibril diameter. By silencing Col5 $\alpha$ 1 mRNA in cultured tenocytes using Lipofectamine-complexed siRNA and thereafter combining them with normal tenocytes at an optimized ratio, fibrils of a larger diameter (closer to that of a healthy tendon) were generated *in vitro*<sup>149</sup>. This study and those involving bone and muscle restoration establish the utility of siRNA therapeutics as a means to stimulate functional musculoskeletal tissue regeneration at sites of pathology or injury.

## Directing Cellular Differentiation

The therapies described above demonstrate the potential value of RNAi in functional tissue regeneration by modulating cellular behavior. A related strategy involves using siRNA to drive cellular differentiation to that of a desired tissue type.

Human mesenchymal stem cells (hMSCs) are capable of differentiating into adipocytes, chondrocytes, and osteocytes. To optimize performance of tissue engineering strategies using hMSCs, there is a strong need to develop potent and effective methods to direct their differentiation. Work by Andersen et. al. showed that hMSCs seeded onto PCL scaffolds coated with BCL2L2 or TRIB2 siRNA exhibited enhanced expression of osteogenic or adipogenic markers, respectively, after 7 days<sup>142</sup>. In this study, the PCL scaffolds were soaked post-fabrication with an approximately 500 nM solution of siRNA/TKO complexes and frozen and lyophilized prior to cell seeding. This approach yielded a 40–50% reduction in the target proteins at 72 hours. While the release was not quantified, microscopy showed substantial retention of nanoparticles in the scaffold at 24 hours, suggesting that the silencing effect could be localized to the coated areas. This was supported by data showing spatially specific gene silencing from a scaffold coated with BCL2L2 siRNA/TKO complexes on one half and TRIB2 siRNA/TKO complexes on the other. A subsequent *in vivo* study, performed using scaffolds pre-seeded with hMSCs and implanted in diabetic/immunodeficient mice for 8 weeks, led to the conclusion that the scaffolds could prompt early differentiation of hMSCs but could not direct terminal differentiation<sup>142</sup>. Still, this pioneering work was one of the first to report that a scaffold coated in siRNA nanoparticles can direct differentiation of stem cells. It is anticipated that further characterization and control of the release kinetics and/or exploration of new gene targets may enhance the functional significance of this approach.

In another study aiming to modulate cellular differentiation, embryonic stem cells (ESCs) were seeded onto PLGA/PLA scaffolds loaded with siRNA/lipidoid complexes against a type III tyrosine kinase receptor (KDR)<sup>143</sup>. The goal was to drive differentiation of embryonic stem cells (ESCs) toward a particular germ layer. For tissue engineering and regenerative medicine applications, it is often desirable to work with cells of a specific lineage; however, ESCs naturally differentiate into a mixture of the three germ layers (endoderm, mesoderm and ectoderm) and techniques to induce differentiation toward a single layer are complicated and incompletely effective. KDR expression occurs early in embryonic development; due to its role as a receptor for VEGF, KDR is critical in development of the cardiovascular system and is associated with development of the endoderm germ layer. Therefore, it was hypothesized that KDR silencing would block endodermic differentiation of ESCs. 70% knockdown of KDR was achieved in hESCs seeded on scaffolds loaded with 0.01 ng/cell siRNA, leading to a pronounced influence on hESC differentiation; a 60–90% downregulation of genes characteristic of the endoderm and a corresponding upregulation of genes characteristic of the mesoderm was observed<sup>143</sup>. The long-term silencing capacity of the system as well as its capacity to fully direct embryogenesis remains to be investigated.

Other proof-of concept work has shown the suitability of local delivery systems for tissue regeneration without identifying a functional gene target for RNAi. In the previous sections, we reviewed a number of cytocompatible, three-dimensional constructs, many of which have demonstrated general applicability for tissue regeneration applications<sup>2, 53, 142</sup>.

### Bone Pathologies

Several strategies to promote bone regeneration are discussed above; however, distinct from these approaches is the application of RNAi to treat bone and cartilage pathologies (e.g. osteoarthritis and osteonecrosis). One genetic target explored for treatment of osteoarthritis is NF- $\kappa$ B. NF- $\kappa$ B is a transcription factor that impacts myriad cellular processes; one pathological implication of NF- $\kappa$ B is that its upregulation contributes to local inflammation and tissue damage associated with osteoarthritis. Adenoviral-mediated delivery of siRNA against NF- $\kappa$ Bp65, a major subunit of the NF- $\kappa$ B complex, has been shown to reduce production of inflammatory cytokines tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ) and interleukin 1 $\beta$  (IL-1 $\beta$ ) in a rat osteoarthritis model<sup>2, 150</sup>. Thus, local treatments designed to ameliorate and/or reverse bone resorption in osteoarthritis could logically focus on NF- $\kappa$ B as a target for RNAi. Direct silencing of TNF- $\alpha$  has also been investigated in the context of clinical indications involving bone resorption and deterioration. An antisense ODN targeting TNF- $\alpha$  and delivered from a gelatin/chitosan hydrogel (5  $\mu$ g ODN per 500 mg hydrogel film) inhibited TNF- $\alpha$  by 80% in an endotoxin-induced bone resorption mouse model<sup>151</sup>. TNF- $\alpha$  gene inhibition functionally inhibited production of related inflammatory stimuli macrophage colony-stimulating factor (M-CSF) and receptor activator of NF- $\kappa$ B (RANK) by >70% and diminished bone resorption by ~95%. This study provides impetus for investigation of anti-TNF- $\alpha$  siRNA in other clinical conditions exacerbated by local hyper-inflammatory environments.

Additionally relevant to treatment of osteoarthritis is modulation of joint repair. Both type I collagen and/or HtrA1 serine protease are overexpressed in dedifferentiated chondrocytes in comparison to differentiated, healthy chondrocytes. Exposure to hypoxia and BMP2 in combination with siRNA against these genes (delivered via a commercial transfection reagent at a dose of 50 nM) was shown to promote a chondrocyte phenotype appropriate for cartilage formation at a site of joint degeneration<sup>132</sup>. However, the phenotypic differences were observed in cells pre-seeded in collagen scaffolds, pre-treated with BMP2, hypoxia, and siRNA and subsequently implanted subcutaneously in mice. Development of a system compatible with an *in situ* tissue engineering approach would enhance the utility of this therapeutic combination.

Local delivery of siRNA has also been pursued for preventing bone loss in periodontitis. RANK, mentioned above and known to modulate osteoclast differentiation and activation, was the genetic target. Chitosan hydrogels were loaded with siRNA-TKO complexes that produced a maximum silencing effect at 9 days of approximately 60% in RAW macrophages<sup>134</sup>. Characterization of the release kinetics showed sustained but incomplete release *in vitro* over 14 days with a much faster release profile *in vivo* (about 70% of the loaded siRNA released in 24 hours). Based upon the successful silencing of RANK *in vitro*, this system warrants further evaluation *in vivo* in a model of periodontitis.

Often, siRNA-based treatments of bone pathologies (i.e. osteoarthritis or steroid-associated osteonecrosis) are based upon repeated local injections at the site of bone repair and lack a mechanism for sustained release and prolonged silencing<sup>143, 150, 152–154</sup>. While these can be useful options, controlling the temporal gene silencing profile would enable greater convenience and, potentially, efficacy. Testing of these known therapeutically-validated targets with technologies for local, sustained release is justified and may enable development of superior, translatable treatments for bone disorders.

### Angiogenesis and Wound Healing

The process of angiogenesis is closely tied to tissue regeneration. In tissue engineering constructs, the promotion of angiogenesis is a primary focus, as the development of stable, functional blood vessels is essential to delivering nutrients to cells and facilitating long-term tissue viability<sup>99</sup>. The formation of functional vessel networks is also essential to the healing process following injury, and dysfunctional wound healing is often characterized by delayed or absent angiogenesis<sup>155</sup>. Plasmids encoding platelet-derived growth factor (PDGF) or VEGF can promote angiogenesis when delivered from PLGA scaffolds, but there is evidence that the upregulation of a single growth factor will not be sufficient to stimulate both sprouting and maturation of vessels<sup>49, 156–158</sup>. While delivery of combinations of multiple growth factors can more closely recapitulate an environment that stimulates functional vessel formation, these strategies are typically complex and expensive<sup>159</sup>. siRNA provides an attractive alternative because by silencing one gene it can influence activity of multiple downstream targets.

The Saadeh group demonstrated proof-of concept work for localized gene silencing in a wound model via an agarose matrix system containing 20 pmols of liposomal siRNA transfection complexes<sup>127</sup>. In this study, therapeutically relevant siRNA were not investigated but rather the ubiquitously expressed, essential mitogen-activated protein kinase 1 and lamin A/C genes. Targeting these genes facilitated demonstration of the localized nature of the matrix-based silencing and established that the delivery system in itself had no adverse effects on the wound healing process. siRNA complexes distributed in the agarose matrix were applied to a mouse wound and allowed to gel, then removed at 5 days and replaced at 7 days. At day 14, 50–60% silencing of model genes was observed specifically at the wound site, with protein-level knockdown observed via immunological staining and Western blots at day 14 and 21. However, the necessity for repeated dosing suggests that further optimization of the release kinetics could improve this system's utility. This work demonstrated the feasibility of scaffold systems to regulate gene expression locally at wound sites as well as other targets<sup>127</sup>. The Saadeh group leveraged this proof-of-concept work in subsequent studies, discussed in detail in later sections, that target relevant genes for therapeutic applications in wound healing and related pathologies<sup>128–130, 137</sup>.

Several functional targets for stimulation of angiogenesis via genetic repression have been identified, among them prolyl hydroxylase domain 2 (PHD2). PHD2 is an endogenous negative regulator of the transcription factor hypoxia-inducible factor-1 $\alpha$  (HIF-1 $\alpha$ ), and its inhibition promotes expression of genes controlled by HIF-1 $\alpha$ , including VEGF, stromal cell-derived factor 1 (SDF-1), and additional pro-angiogenic factors<sup>160–162</sup>. PHD2



deficiency stimulates both formation and maturation of blood vessels, and silencing its expression is therefore of interest both in broad tissue engineering applications and in chronic, ischemic skin wounds<sup>163</sup>.

Nelson et. al. used a PEUR scaffold loaded with siRNA/polymer nanoparticle complexes to investigate the impact of silencing PHD2 in a subcutaneous model of wound healing<sup>39, 108</sup>. The system has proven promising due to its capacity for tunable, sustained release and prolonged gene silencing. Tuning the release rate was possible by adjusting the type of isocyanate used and the amount of excipient added during scaffold formulation. Lysine triisocyanate (LTI) contributed to faster release than hexamethylene diisocyanate trimer (HDI<sub>t</sub>), and increasing the percentage of the excipient trehalose (0–5% incorporated trehalose was investigated) also promoted faster release<sup>39</sup>. A broad range of release rates could thus be achieved, with *in vitro* results showing scaffolds incorporating LTI and 5% trehalose released all siRNA nanoparticles in 5 days while scaffolds incorporating HDI<sub>t</sub> and 0% trehalose released only ~5% siRNA nanoparticles over 20 days. Modulating the release rate elicited corresponding changes in the *in vivo* silencing profile. Silencing of a model gene in a mouse subcutaneous implant model (with 0.5 nmols siRNA/nanoparticle complexes per scaffold) peaked at >90%; scaffolds incorporating LTI and 0% trehalose exhibited silencing at this level at 35 days but scaffolds incorporating LTI and 5% trehalose resulted in a silencing peak at 5 days and <50% silencing at 35 days. To prove the therapeutic utility of this system, siRNA against PHD2 was investigated in scaffolds utilizing LTI and 5% trehalose. At 14 days, 80% silencing of PHD2 was observed, resulting in greater than 2-fold upregulation of downstream pro-angiogenic markers VEGF and fibroblast growth factor (FGF). Silencing PHD2 also increased vascular volume within the scaffolds by more than 2-fold and increased mean vascular thickness, suggesting that PHD2 silencing may support both angiogenesis and vessel enlargement and maturation (Figure 4)<sup>39</sup>. This approach to local PHD2 siRNA delivery shows promise as a means of promoting angiogenesis in wound healing and tissue regeneration applications. Additionally, the sustained and controllable release from the PEUR scaffolds provides motivation to investigate this delivery system in other localized pathologies.

Vandegrift et. al. subsequently investigated PHD2 silencing as a means to promote angiogenesis<sup>137</sup>. They aimed to improve upon the success rate of acellular dermal matrix (ADM) implantation for dermal replacement and reconstruction by promoting incorporation of the ADM into the host tissue via vascularization. ADM was loaded with 20 pmol siRNA by soaking in siRNA solution. *In vitro* release of siRNA from ADM occurred almost immediately, with 70% released after 1 hour and a maximum of 80% release achieved. However, in a mouse model of a cutaneous dorsum wound, siRNA-loaded ADM silenced PHD2 by about 70% at 7 days and 93% at 14 days. While the sustained silencing contrasted with the burst release profile, further validation of PHD2 silencing was observed based on upregulation of VEGF and FGF mRNA expression by 2.3-fold and 4.7-fold, respectively, at 7 days, and VEGF and SDF-1 protein expression by 4-fold and 2-fold, respectively, at 14 days. The ADM integration was improved but not significant; however, this local delivery system further validates the promise of PHD2 silencing with siRNA as a pro-angiogenic therapy for wound healing.

Another genetic target of interest for RNAi treatment of chronic wounds is p53, a cell cycle inhibitor that is upregulated at sites of chronic wounds. Topical delivery of Lipofectamine-complexed p53 siRNA from an agarose matrix was shown to increase SDF-1 expression and to lead to faster wound closure in a diabetic mouse model<sup>129</sup>. These effects were observed after application of 20 pmols of siRNA to the wound bed at day 1 and day 8 post-wounding, which resulted in >75% silencing of p53 at the wound sites when tissue was harvested at day 10. However, silencing of p53 and similar cell cycle regulators has raised concerns due to potential carcinogenic effects. When considering these genetic targets, it is of particular import to establish local and finely tuned temporal silencing profiles, ensuring safe application of RNAi.

## Fibrosis

The modulation of the immune response, typically to reduce inflammation and/or fibrosis, is another area particularly suited for local therapeutic delivery from reservoir systems. Upon tissue injury, an inflammatory response is activated and normally leads to either tissue regeneration or fibrosis and eventual scar formation. Fibrosis, while characteristic of the natural healing process, becomes problematic when the deposition of connective tissue impedes functionality of damaged organs or medical implants. Excessive scar formation can also cause aesthetic issues (e.g., keloids)<sup>160</sup>. RNAi shows particular promise to decrease the fibrotic response and improve the quality of regenerated tissue.

Transforming growth factor  $\beta$  (TGF $\beta$ ) is a key effector of the inflammatory and fibrotic response to injury, as it recruits inflammatory cells and stimulates extracellular matrix deposition. While it is an important regulator of normophysiological healing processes, TGF $\beta$  overexpression has been linked to pathological/undesirable scar formation<sup>2</sup>. The Smad3 gene is a regulator of TGF $\beta$ , and topical application of Smad3 siRNA modulated the fibrotic response in a mouse dermal irradiation model<sup>130</sup>. 500 pmol of siRNA, applied weekly over 4 weeks, silenced Smad3 (near-total silencing was observed at 1 and 4 weeks via histological staining) and led to reductions in collagen deposition and epidermal thickness and producing mechanical properties more characteristic of healthy skin. Additionally, an *in vitro* study using keloid fibroblasts revealed a decrease in procollagen expression upon silencing Smad3<sup>164</sup>. Smad3 inhibition thus has potential to reduce radiation-induced fibrosis (common following cancer treatments) and in other pathological fibrosis-related conditions, such as keloid disease.

Direct silencing of TGF $\beta$  (rather than its regulator Smad3) has also been investigated, motivated by prior results showing that synthetic TGF $\beta$  antagonists accelerate wound healing by reducing scarring and fibrosis<sup>165</sup>. This approach is especially relevant for corneal scarring, which can cause vision problems after ocular interventions. For this application, TGF- $\beta$  type II receptor (TGF $\beta$ R2) has been investigated as an RNAi target. Nakamura et. al. showed that a 200 nM dose of siRNA/TKO complexes led to >70% TGF $\beta$ R2 silencing and inhibited fibronectin assembly and cell migration in human corneal fibroblasts<sup>166</sup>. The authors subsequently demonstrated *in vivo* efficacy; a subconjunctival injection of 6 pmol siRNA/TKO complexes reduced matrix deposition in a mouse model of ocular inflammation. Another study by Sniram et. al. using rabbit corneal fibroblasts *in vitro*

revealed that dual and triple delivery of siRNA/TKO complexes (at 30 nM for each siRNA) against TGF $\beta$ , TGF $\beta$ R2, and connective tissue growth factor (CTGF) reduced the fibrotic phenotype of the cells<sup>167</sup>. Triple delivery of a combination of siRNAs against these genes downregulated >80% of collagen I and smooth muscle  $\alpha$  actin expression and decreased cell migration. While these investigations focused on the reduction of ocular fibrosis, the genetic targets explored remain relevant to pathological fibrosis in general and could be of interest for application in conjunction with local delivery depots in other therapeutic indications.

An additional genetic target of interest in modulation of fibrosis is extracellular signal-regulated kinase 2 (ERK2), which, when downregulated via lentiviral-based siRNA transfection, decreased TGF $\beta$  and IL-6 expression >50% and reduced inflammation (as evaluated by histological differences in fibroblast and inflammatory cells) in a rat laminectomy model<sup>168</sup>. Although the motivation of this work was to reduce epidural fibrosis following back surgery, this, along with the previously discussed work, further emphasizes the potential impact of silencing TGF $\beta$  or its regulators/effectors.

In addition to the issues related to excessive scar deposition, fibrosis can also contribute to encapsulation of medical implants and tissue engineering constructs, damaging their functionality by creating a relatively acellular capsule at the cell-material interface. Fibrous capsules are characterized by acellular tissue, primarily collagen, and form due to the physiological foreign body reaction. Fibrosis can impede implant performance by obstructing input/output of devices (relevant to neural electrodes or glucose sensors) and by blocking vascularization and surrounding tissue integration of the implant (relevant to tissue engineering scaffolds). In an attempt to ameliorate the fibrotic response, siRNA designed against mammalian target of rapamycin (mTOR), an effector of cellular proliferation, was complexed with branched PEI and encapsulated by PEG hydrogel coatings on model polymer implants<sup>169</sup>. mTOR inhibition limited fibroblast proliferation as well as decreased fibroblast expression of type I collagen *in vitro*, and sustained release spanning 2 weeks *in vitro* was achieved from the PEG hydrogel system. However, no effect on mTOR expression or fibrous capsule thickness was observed *in vivo* in a rat subcutaneous implant model. The lack of *in vivo* efficacy was attributed to the inability to load the hydrogel with a dose corresponding to more than 0.4–0.5 mg/kg (siRNA dose per animal weight). It is also possible that the release characteristics *in vivo* did not match those observed *in vitro*. While an *in vivo* effect was not observed using this system, mTOR is worthy of further exploration as a target for anti-fibrotic applications.

The Chew group directly investigated silencing of collagen type I, a major component of fibrotic capsules and scar tissue, using PCL-co-EEP nanofibers encapsulating siRNA-TKO or siRNA-CPP (either the CPP CADY or MPG) complexes<sup>140</sup>. Nanofibers loaded with the siRNA-TKO complexes showed sustained release over 28 days, while siRNA-CPP complexes exhibited faster release (maximal release for siRNA-CPP complexes occurred at approximately 10 days). *In vitro* studies of human fibroblasts seeded on all fibers showed prolonged silencing, specifically from 7 to 14 days, with maximal observed silencing of about 50% at 14 days. Additionally, in a rat subcutaneous implant model at 4 weeks post-implantation, the siRNA-loaded nanofibers decreased fibrous capsule formation by greater

than 50% for the two leading groups (PCL-co-EEP nanofibers encapsulated with siRNA-CADY or siRNA-TKO complexes, using 0.75 nmols siRNA per nanofiber scaffold).

Fibrous encapsulation can be a particularly detrimental occurrence in neuronal implants. SiRNA-loaded coatings specific to this application have been explored, with the aim of silencing Ras homolog gene family, member A (RhoA). This particular genetic target is highly relevant to neuronal implant applications, as expression of RhoA inhibits neuronal repair. Chitosan imidazole/siRNA nanoparticles were used as the cationic component in HA/chitosan polyelectrolyte multilayer films<sup>135</sup>. These films were coated onto polydioxanone filaments (used as a model for a neuronal implant intended to facilitate nerve regeneration). Release of siRNA from the films was sustained for approximately 14 days from the filaments *in vitro*, and localized uptake of fluorescently labeled siRNA was observed via microscopy at 2 and 6 days in studies of neuronal rat cells seeded onto the coated filaments. Gene silencing from the film-coated filaments was not measured, but cells seeded upon film-coated glass slides showed >50% silencing of RhoA at 48 hours<sup>135</sup>. Based on the release characteristics of the system, it would be relevant to investigate uptake and silencing from the coated filaments at later time points to evaluate whether the sustained release corresponds to prolonged gene silencing.

## Inflammation

Controlled delivery of siRNA is also promising for pathologies characterized by excessive, local inflammation. In a temporomandibular joint inflammation model, siRNA silencing of IgG cell surface Fc receptor (an antibody-binding receptor functional in the immune response) reduced production of inflammatory cytokines IL-6 and IL-1 $\beta$  by ~50% and ~20% respectively<sup>98</sup>. PLGA microparticles were loaded with siRNA-PEI polyplexes and demonstrated sustained release of approximately 150 nm polyplexes (for 28 days). However, the PEI and siRNA were not released at the same rates, potentially contributing to minimal silencing of the target gene. While the lowered expression of IL-6 and IL-1 $\beta$  was significant at 9 days, better stabilization of the PEI-siRNA formulation such that the polyplexes are released intact may be an important target for improving the performance of this system.

RNAi against TNF- $\alpha$ , discussed above in relation to treatment of osteoarthritis, has also been investigated to reduce inflammation in skin applications. In a xenograft transplantation model of psoriasis in mice, lentiviral-mediated transfection of TNF- $\alpha$  shRNA decreased TNF- $\alpha$  expression by ~30% (though the reduction was not statistically significant), increased epidermal thickness by a significant 25% and restored healthier skin morphology (as visualized by histological staining) when injected intradermally<sup>170</sup>. Additionally, mucosal application of siRNA targeting TNF- $\alpha$  (via direct application of a solution of 20 nmol of Lipofectamine-complexed siRNA to the cervicovaginal and rectal regions) was demonstrated to reduce inflammation in a mouse model of colitis<sup>171</sup>. While neither of these studies investigated techniques for sustained delivery, they reveal the utility of RNAi in clinical indications characterized by chronic pain and inflammation and suited to local application.

A unique strategy has been developed for local delivery from a perfused device to effect gene knockdown in specific blood-circulating cells (i.e., circulating inflammatory cells). The

authors utilized PEGylated, targeted siRNA liposomes adsorbed onto microrenathane tubing. P-selectin was used as the targeting moiety due to its capacity to recruit and attach circulating cells in physiological flow conditions. Physiological flow of a cell solution through the coated tubes showed cellular attachment, siRNA uptake, and gene silencing (up to 77%) in neutrophils perfused through the tubes *in vitro*<sup>172</sup>. There is potential to incorporate the device into the *in vivo* circulatory system to enable gene knockdown within specific types of circulating cells. This is an intriguing concept, and this result merits further exploration as a cell-specific substrate mediated siRNA delivery approach.

Vascular inflammation is also implicated in atherosclerosis and the clinical problem of intimal hyperplasia following vascular grafting and stent placement. Intimal hyperplasia is characterized by invasion of the vessel lumen by smooth muscle cells and is a primary cause of graft/stented vessel stenosis and failure. Therapeutic-eluting stent coatings to modulate the inflammatory response and cell proliferation within the stent can reduce rates of stent failure, and siRNA strategies merit investigation in this application<sup>173</sup>. Matrix metalloproteinase 2 (MMP2) is upregulated in coronary stents that undergo restenosis, and MMP was explored as a genetic target for siRNA loaded into hydrogel coatings on coronary stents. The natural polysaccharide pullulan was cationically modified and used to form MMP siRNA-loaded hydrogels; siRNA loading capacity was modulated by the content and nature of the cationic modifications<sup>174</sup>. It would be expected that varying the cationic modifications would also allow for modulation of the release kinetics, but this was not investigated. In the investigated hydrogel formulation, 20% release was shown after 1 hour and minimal additional release occurred between 24 hours and 4 days. A modest decrease in pro-MMP2 protein level was observed *in vivo* in a rabbit carotid artery model, but this decrease was minimal and no significant change was observed for protein level of the active form of MMP2. It is possible that the protein-level effect was not yet observable at 24 hours post-implantation of the stent, but no later time points were investigated. Delivery of drugs to vascular grafts during explant and controlled release from stent surfaces are promising but very underdeveloped approaches for reducing vascular inflammation<sup>175, 176</sup>.

Another approach that has been tested for the delivery of siRNA formulations from vascular stents was via adsorption of siRNA complexes onto electrospun polyethylene terephthalate (PET)<sup>177</sup>. This study showed effective (>50%) silencing in aortic smooth muscle cells when 2–6 µg of PEI-complexed siRNA was adsorbed onto PET grafts. In this application, the target was thrombospondin 2 (TSP2), which is a matricellular protein upregulated at sites of implantation and therefore believed to be linked to the physiological response of intimal hyperplasia. Though no functional impact was observed for either MMP2 or TSP2 silencing, these studies provide proof of concept for successful stent coating with siRNA therapeutics. Both utilized a method of adsorption of siRNA complexes onto a stent via dip coating, which could translate well to potential applications in the medical field due to its relative simplicity. However, the loading and release characteristics were not described and the adsorption method typically leads to burst release and does not enable controlled or sustained release. Mechanisms to modulate release could lead to a more therapeutically beneficial delivery system that can be integrated with stent implants. Other work has revealed the feasibility of layer-by-layer coating of stents as an siRNA therapeutic delivery

system, although gene silencing efficacy from the coated stents was not established<sup>136</sup>. Gene therapy is a potentially transformative way to address the high-impact area of vascular graft patency, and further development of these and other local delivery systems will aid in identifying a translatable system.

### Microbial Infections

The use of local siRNA depots to treat infections or their negative consequences is another area of ongoing research. A broadly applicable strategy for treating infections is through the delivery of siRNA targeting the viral genome. siRNA targeting the hepatitis C virus (used as a model infection) and delivered from multilayer films was shown to inhibit viral replication and infection in *in vitro* experiments<sup>41</sup>. siRNA complexed to PEI was adsorbed onto films of PLL and PGA, and, building from this starter layer, PEI-siRNA, HA, and chitosan were used to form the successive layers of the film. Increasing the number of layers (from 1 to 3 to 5) was shown to improve transfection efficacy, and siRNA delivered from films exhibited prolonged inhibition of Hepatitis C viral replication (over 12 days) *in vitro*. These films are promising for reducing viral infections and merit future investigation in an *in vivo* model.

Additionally, siRNA therapies have been tested as a prophylactic in viral sexually transmitted infections (STIs) such as herpes simplex virus 2 (HSV2). SiRNA against genes crucial to HSV2, at a dose of 500 pmol complexed with Oligofectamine, protected mice from developing HSV2 when applied as a topical microbicide intravaginally within hours of HSV2 infection<sup>178</sup>. This system, while lacking a mechanism for sustained efficacy, provides powerful proof-of-concept for antiviral siRNA treatments against STIs. In work applied to HIV, siRNA aptamers designed to bind to HIV receptors and silence critical viral demonstrated promise as a prophylactic against HIV infection in a mouse model<sup>32</sup>. The siRNA aptamer treatment was applied 4 hours post-infection at a <0.2 mg/kg dose in a hydroxyethyl cellulose gel to the vaginal tract mucosa. Though the complete preclusion of HIV infection was only accomplished in 2 of 4 mice, this study further establishes the promise of siRNA therapeutics in STI prevention and motivates development of a controlled-release system for sustained antiviral protection. Such a system was explored by Woodrow et. al. using siRNA-loaded PLGA nanoparticles in topical intravaginal administration<sup>179</sup>. This strategy was the first proven to penetrate and distribute into the vaginal tract and achieve sustained knockdown in mice. A delivered dose of 0.1 mg/kg produced silencing out to 14 days, with a maximum of >50% silencing in the vaginal tract observed at 10 days. By prolonging the silencing profile further, antiviral prophylactic treatments for STIs could gain therapeutic relevancy.

In an attempt to accomplish a further sustained silencing effect, a solid system for intravaginal application was investigated<sup>131</sup>. This system comprises an alginate scaffold loaded with lipoplex-encapsulated siRNA. The release could be tuned by alginate:lipoplex ratio, with slower release at higher alginate, but the uptake of lipoplexes decreased at higher alginate ratios. PEGylation conferred muco-penetrative abilities to the lipoplexes, resulting in a 6-fold greater uptake *in vivo* in comparison to non-PEGylated lipoplexes. In a mouse model and after two daily doses of a scaffold loaded with 600 pmol siRNA, 85% silencing of the model gene lamin A/C was observed (at 24 hours after the second dose)<sup>131</sup>. A

prolonged effect could be possible with optimization of the degradation (shown to be complete in 3 hours in salt buffer) and release (40% release seen after only 1 hour) of the system. The promising results of this solid system, as well as the topically applied systems, to elicit silencing intravaginally, provides motivation for further exploration of these therapies to prevent STI transmission.

## Cancer

While RNAi therapeutics have been investigated extensively for the treatment of cancers via systemic delivery, some carcinomas are well-suited to local delivery strategies. siRNA injected intratumorally has been shown to inhibit tumor growth, providing motivation for approaches using injectable depot-like systems, especially in cases where primary tumors may be inoperable<sup>79</sup>. A widely investigated strategy involves the injection of a hydrogel or a hydrogel precursor at the local tumor site. In work by Sood and authors, a chitosan hydrogel was loaded with chitosan-siRNA complexes<sup>133</sup>. siRNA was targeted to tissue transglutaminase, a gene critical to carcinoma growth and chemoresistance. The hydrogels were injected intratumorally and shown to inhibit tumor growth in both melanoma and breast tumor models in mice. The system shows promise to localize cancer treatment at a tumor site; however, as described, it required multiple injections over several weeks and was not designed for sustained release.

Another study investigated local, hydrogel-based delivery of siRNA for treatment of breast cancer. The hydrogel, comprised of PAMAM dendrimers crosslinked with dextran aldehyde, was loaded with siRNA encapsulated by PBAE nanoparticles<sup>138</sup>. The study investigated the degradation characteristics of the nanoparticles alone in PBS (60% degraded at 7 hours and complete degradation at 24 hours) as well as nanoparticles loaded into the hydrogel. The gel was shown to protect the nanoparticles from rapid degradation. The hydrogel-loaded nanoparticles exhibited a phase of rapid degradation (about 50%) over 24 hours followed by a second and sustained phase of degradation reaching completion at 12 days. siRNA-PBAE complexes loaded into the hydrogels exhibited sustained release over 6 days (30% of the siRNA was released after 24 hours, followed by slower release) and was associated with hydrogel degradation. When 10  $\mu\text{g}$  of siRNA was loaded into this system and injected intratumorally into a mouse tumor model, 70% silencing of the model gene luciferase was achieved at 6 days (as opposed to a maximum of 20% knockdown achieved using an injection of siRNA-PBAE complexes without the hydrogel). The first phase of degradation was found to correspond to burst release of physically entrapped nanoparticles, with the remaining sustained release being due to release of nanoparticles chemically linked to the hydrogel (via a covalent bond between aldehydes on the dextran component and amines on the surface of the PBAE nanoparticles)<sup>138</sup>. The comprehensive investigation of the release kinetics of this system as well as the sustained silencing shown provides impetus for application of this and similar systems to functional genetic targets.

Hydrogels comprised of NIPAAm-co-AAm have also been investigated for application in intratumoral injections. This polymer exhibits thermoresponsive gelation at 40°C and was loaded with silica gold nanoshells encapsulating an anti-cancer drug and DNA duplexes<sup>117</sup>. The release of therapeutic (e.g. DNA, used as a model for siRNA) from the nanoshells was

shown to be optically triggered by irradiation with a specific wavelength of light; this was evaluated at room temperature with nanoshells in polymer solution. Controlled, optically-triggered release of DNA from the nanoshells was established, with delivery localized to the site of tumors by the hydrogel system. However, the cellular uptake of the released DNA has yet to be investigated. PEI-conjugated poly(organophosphazene) is another thermally responsive polymer used in injectable hydrogel applications; it was shown to encapsulate siRNA and gel upon transition to body temperature. siRNA against cyclin B1 (critical to cell division) and VEGF (significant in tumor angiogenesis) were investigated in separate applications of this hydrogel delivery system<sup>121, 180</sup>. This system has the potential to translate to various local, long-term gene delivery applications, as it exhibited sustained release for a week *in vivo* and >60% protein expression inhibition in a mouse tumor model at 30 days (using a 50 ug dose of cyclin B1 siRNA) (Figure 5)<sup>180</sup>. The *in vitro* transfection of the system was improved upon by conjugating a CPP to poly(organophosphazene) via a degradable linker (>90% VEGF protein-level inhibition), but the *in vivo* tumor inhibition remained similar to the previous hydrogel system lacking a CPP<sup>121, 180</sup>.

Local delivery of siRNA can also be useful as an adjuvant for cancer vaccines. In this application, it is desirable to enhance the Th1 immune response while minimizing the Th2 immune response in dendritic cells. Delivering CpG oligonucleotides can potentially initiate the Th1 response; however, this results in simultaneous upregulation of Th2-promoting cytokine IL-10. Roy et al. found that co-delivery of IL-10 siRNA and CpG oligonucleotides via PEI-conjugated PLGA microparticles prophylactically prior to a lethal B cell lymphoma in mice extended survival times significantly<sup>96</sup>. However, repeated immunizations (of 50 µg siRNA each) were necessary, and the release kinetics of siRNA from the microparticles was not investigated. The same group also explored a hydrogel system for delivery of a DNA antigen and IL-10 siRNA, similar to the microparticle system, with the addition of chemokines to recruit dendritic cells into the hydrogels<sup>97</sup>. The injectable hydrogels comprised a combination of dextran and PEG components (precise formulation was varied) and loaded with PLGA microparticles core-loaded with siRNA and functionalized with PEI for surface loading of plasmid DNA. This hydrogel allowed primary dendritic cell infiltration *in vitro* and achieved a maximum of 80% silencing of IL-10 for 5 days *in vitro*, but *in vivo* efficacy has yet to be established. Additionally, microparticles delivered via standard transfection in the cell culture media outperformed all hydrogel formulations *in vitro*, raising the question of whether the hydrogel construct is a necessary addition to the microparticle delivery system. Although a benefit could be expected *in vivo* due to localization of microparticles at the site of interest via the hydrogel, more work is required to better elucidate the functional contribution of the hydrogel.

### Clinical Prospects

The only siRNA delivery depot in the clinical pipeline is the siG12D LODER therapeutic, developed by Silenseed Ltd., to combat non-resectable pancreatic ductal adenocarcinoma (PDA)<sup>181</sup>. A mutation in the KRAS oncogene is a typical characteristic of PDA, and a particularly common mutation is a substitution of the glycine at codon 12 with aspartate (G12D). This mutation causes perpetual activation of the KRAS signaling pathway which results in heightened proliferation and migration as well as other cancerous indicators<sup>182</sup>.



Silenseed Ltd. has developed an siRNA that specifically targets this mutant version (siG12D) and encapsulated it within a PLGA polymer matrix (LODER, or LOcal Drug EluteR). The siG12D LODER system exhibited impressively prolonged release of naked siRNA; 10 µg of siG12D loaded into LODER sustained a consistent rate of release over 2 months in PBS at 37°C, and the released siRNA was shown to be intact at 97 days in PBS (and at 70 days at the site of subcutaneous implant *in vivo*). In *in vitro* silencing studies in the Panc1 human pancreatic cancer cell line, siG12D released from LODER specifically silenced mutant KRAS, decreasing downstream targets phospho-Erk and phospho-Akt (protein kinases) at the protein level, and inhibited Panc1 growth and migration. Interestingly, the *in vitro* silencing was achieved via naked siG12D released from LODER in the presence of transfection agent Lipofectamine, which aided in siG12D's intracellular delivery. However, in *in vivo* studies, siG12D LODER achieved effective silencing without the aid of a nanocarrier or other transfection reagent.

In *in vivo* studies, the siG12D LODER matrix (loaded such that the final siRNA dose was 0.32 mg/kg) was stitched to tumors to ensure localized release over time. Testing was done in immunocompetent mice with Panc02 mouse pancreatic cancer cell subcutaneous tumors as well as in immunocompromised mice with Panc1 tumors. siG12D LODER implantation onto the tumors resulted in development of a large necrotic area (>60% of total tumor area for the Panc02-derived tumors). In the Panc1-derived tumors, the treatment also caused a reduction in tumor volume and increased mouse survival time. siG12D LODER was additionally evaluated in an orthotopic tumor model using Panc1 cells, and the therapy was also effective in this setting, inhibiting tumor growth and lengthening survival time (Figure 6). These promising results *in vivo* in a relevant disease model set siG12D LODER apart in the realm of local, controlled-release siRNA therapeutics. To date, siG12D LODER has successfully undergone phase I clinical trials; although the journey to clinical application is by no means complete, this therapeutic exhibits great potential. Importantly, clinical translation of siG12D LODER could also help to spur more broad interest in translational development of systems for sustained, localized, siRNA delivery.

## Future Outlook

The ability of siRNA to orchestrate coordinated cellular responses via potent and specific gene silencing makes it a unique and powerful therapeutic option. The potential to tailor siRNA to silence any gene of interest endows this strategy with broad applicability. The promise of therapeutic RNAi in local delivery has already been adapted for clinical trials in a variety of indications, including respiratory syncytial virus infection, macular degeneration, pancreatic ductal adenocarcinoma, and pachyonychia congenita<sup>24, 192–196</sup>. A comprehensive list of local siRNA therapeutics in varying stages of clinical trials is provided in Table 3. Of note is that only one of these technologies incorporates a mechanism for controlled siRNA release, the siG12D LODER system from Silenseed Ltd (discussed in greater detail previously).

Despite the pioneering progress of siG12D LODER in the clinical pipeline, there remains a strong need to further translate *in vitro* silencing from local delivery depots to functional improvements in clinical indications *in vivo*. Many systems showing promising silencing *in*

*vitro* have not been explored in medically relevant animal disease models. In addition, of the multitude of pathologically relevant genes identified in *in vitro* RNAi studies, only a small portion have been examined in conjunction with established local delivery systems. Further investigation of potential synergism between the impact of the local constructs themselves and the silencing of genetic targets is an exciting avenue to explore.

Another complicating factor relevant to the clinical adoption of local siRNA therapeutics is the plethora of polymers, siRNA carriers, and construct types pursued in basic research. Among local siRNA therapeutics in the clinical pipeline, systems achieving sustained delivery are notably absent. Instead, simple topical treatments or local injection strategies predominate due to the far greater ease with which these treatments proceed through the regulatory pathway. In order to reap the therapeutic benefits of controlled-release delivery systems that maintain strong gene silencing activity without repeated doses, a balance must be struck between complicated fabrication processes and therapeutic efficacy.

Additionally, obfuscating comparison of strategies to therapeutic delivery of siRNA is the growing use of complex and costly modified siRNA chemistries. Emerging clinical studies have departed from use of unmodified siRNA due to recognized immunogenic effects, and recent industry-sponsored strategies employ heavily modified siRNA molecules in conjunction with the company's patent-protected delivery strategy<sup>195, 197</sup>. Due to the variety of modified siRNA used and because widespread use of the highly modified siRNA is often not financially feasible in early-stage or academic research applications, variation in silencing efficacy due to potency of the siRNA molecules must be carefully assessed when evaluating and comparing the published results of different delivery technologies.

Despite these challenges, the ability to localize the siRNA therapeutic to a site of interest, minimizing physiological clearance and off-site effects, endows local delivery methods with an enormous advantage over systemic delivery techniques. Recent advancements in wound healing applications have been particularly promising. As research and understanding of the variety of delivery systems and genetic targets continues to expand, functional improvements following sustained, localized siRNA silencing will increase rapidly. With properly designed material delivery platforms and new molecular targets identified, powerful RNAi-based local therapies will soon be clinically realized.

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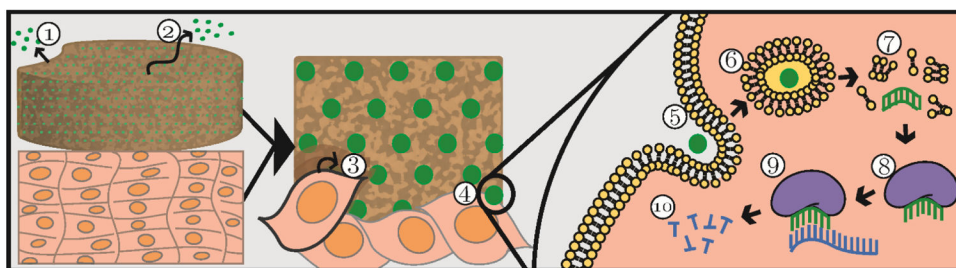
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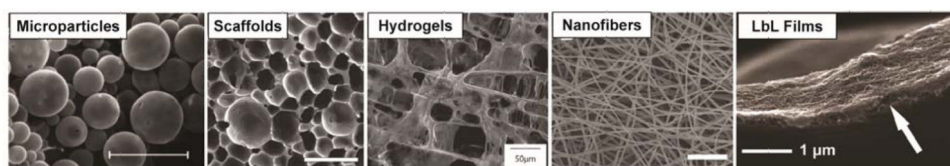
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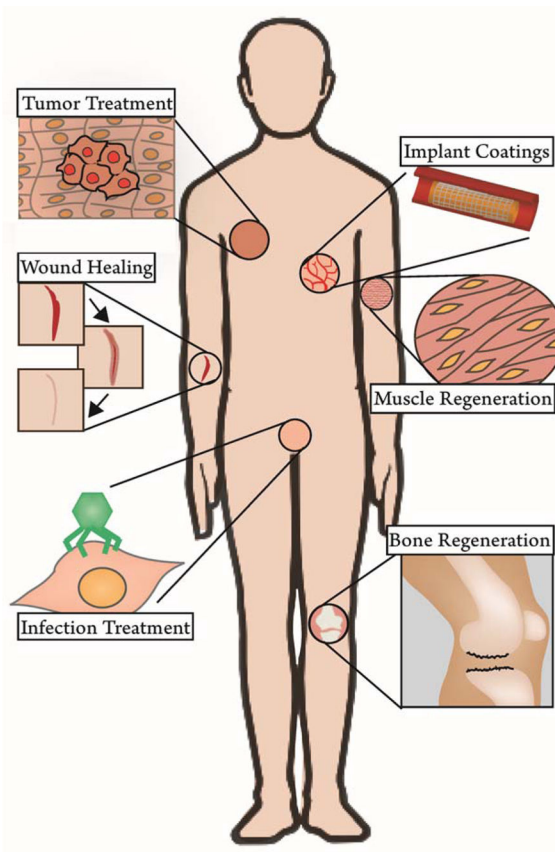
**Figure 1.**

Schematic of local delivery and mechanism of action of siRNA. The green circle represents siRNA packaged into a carrier. A local delivery reservoir releases siRNA via 1) degradation of the reservoir and/or 2) diffusion. The reservoir, shown in direct contact with host tissue, can also facilitate 3) cell migration into the reservoir and 4) substrate-mediated siRNA uptake through 5) endocytosis. If the siRNA avoids 6) degradation by the endo-lysosomal system and 7) reaches the cytoplasm, its guide strand can be 8) incorporated into the activated RISC complex, which 9) binds to complementary mRNA and 10) initiates its degradation.

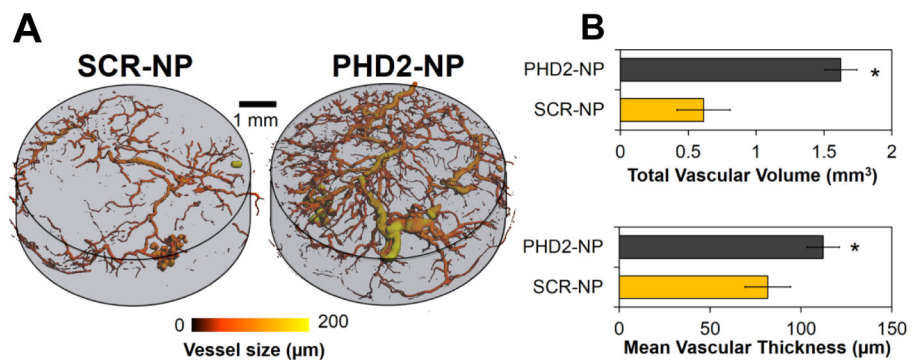


**Figure 2.** Scanning electron microscopy images of commonly employed constructs for local siRNA delivery<sup>39, 50, 89–91</sup>.

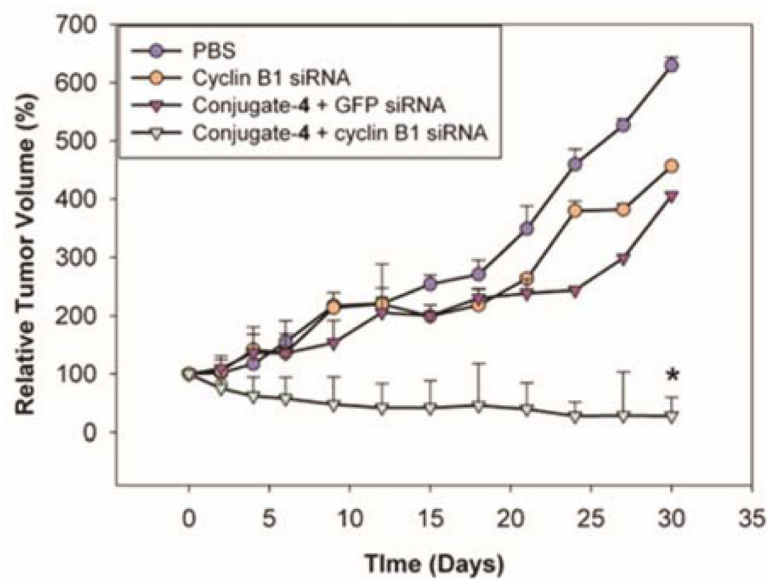




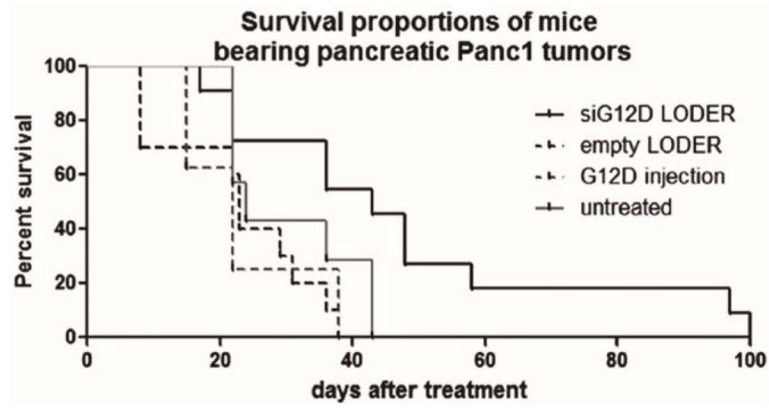
**Figure 3.** Schematic demonstrating the breadth of potential applications for local, reservoir-based delivery of siRNA therapeutics. Pictured: tumor treatment, wound healing (e.g. concerning fibrosis and angiogenesis), infection treatment (e.g. sexually transmitted diseases), implant coatings (e.g. stents), muscle/tendon regeneration, and bone repair.



**Figure 4.** Sustained silencing of PHD2 increases angiogenesis within PEUR tissue scaffolds. A) Micro-CT images visually demonstrate the increased vasculature within the PHD2-NP scaffolds. B) Quantitative analysis of 3D micro-CT vessel images reveals a significant increase in vascular volume within PHD2-NP-loaded scaffolds relative to control scaffolds containing scrambled (SCR) siRNA. \* $p < 0.05$ .



**Figure 5.** Cyclin B1 siRNA delivered via PEI-poly(organophosphazene) hydrogels inhibits tumor growth in a mouse tumor xenograft model. Conjugate 4 corresponds to poly(organophosphazene) conjugated to linear PEI of MW 800. \* $p < 0.001$  vs. PBS-injected group.



**Figure 6.** Treatment with siG12D LODER significantly improves mean survival time of mice with orthotopic Panc1 tumors.

Table 1

Summary of material and carrier choices and release and gene silencing outcomes for local depot-based siRNA delivery.

	Local Delivery Strategy		Intracellular Delivery Strategy		Release Kinetics	siRNA Gene Target/Silencing	Model
<b>Natural Materials</b>	Agarose	Topical matrix	Liposomal complex	Burst over 6 hours	MAPK1, Lamin A/C >50% at 21 days <sup>127</sup>	Murine wound model	
	Alginate	Hydrogel	PEI, chitosan, or naked <sup>110</sup>	Not measured	PHD2/Potent silencing (evaluated by protein expression) at 7, 21 days <sup>128</sup>	Murine skin irradiation model	
		Scaffold	Liposomal complex <sup>131</sup>		p53 >75% 2 days after final treatment <sup>129</sup>		
	Dextran	Hydrogel	PEI or naked <sup>111</sup>	On the order of days tunable by crosslinking and carrier	SMAD3/No silencing shown but functional effect <sup>130</sup>	HEK293s	
	Dextran sulfate/aponite silicate clay, protamine sulfate	Hydrogel	Calcium phosphate nanoparticles <sup>126</sup>	On the order of hours tunable by alginate content	Lamin A/C >85% at 48 hours	Murine, vaginal application	
		LbL film	PEI or naked <sup>111</sup>	Tunable by dextran content (9–17 days) and carrier	deGFP >90% at 3, 7, 14 days	HEK293s	
	Collagen	Scaffold	PAMAM dendrimers <sup>100</sup>	Sustained over 7–10 days	GFP >50% sustained for 7 days	NIH3T3s	
		Hydrogel	Polyplex complex <sup>132</sup>	Burst in hours	SnaII >50% at 7 days	NIH3T3s	
	Chitosan	Hydrogel	PEI, chitosan, or naked <sup>110</sup>	Not measured	COL1A1, HtrA1 >50% from 2–10 days	HACs	
		Hydrogel	Polymer/lipid complexes <sup>133</sup>	Minimal release over 15 days	deGFP >90% with siRNA released at 6 days	HEK293s	
<b>Combination</b>	Chitosan	Hydrogel	Naked <sup>134</sup>	On the order of hours	TG2 >80% at 4 days	Murine tumor model	
				On the order of days (varies <i>in vitro</i> vs. <i>in vivo</i> )	RANK >50% at 9 days	RAW264.7s	
	Chitosan, HA	LbL film	Chitosan imidazole <sup>135</sup>	Sustained over 14 days	RhoA >50% at 48 hours	PCI2s	
				Burst in 2 days	Not measured	N/A	
	Acellular dermal matrix	Coating	PEI <sup>41</sup>	Minimal, degradation-based	HCV (antiviral) >50% at 7 days	Huh7.5s	
				Burst; 70% in 1 hour	PHD2 >90% at 14 days	Murine cutaneous wound model	
	Dextran and PEG-based components	Hydrogel	PLGA microparticles loaded with siRNA-PEI complexes <sup>97</sup>	Burst in hours	IL-10 >80% at 5 days	Primary mouse APCs	
				Not measured	GFP >40% at 72 hours	hMSCs	
	Alginate, HA, hydroxyethyl cellulose, PCL	Hydrogel	PBAE nanoparticles <sup>138</sup>	30% after 24 hours, then sustained to 90% at 6 days	Luciferase/70% at 6 days	Murine tumor model	
				Not measured	Noggin >70% at maximum, sustained over 7 days	Murine dorsal muscle pouch	
PLA, dioxanone PEG,	Hydrogel	Naked <sup>139</sup>	Not measured				

Local Delivery Strategy		Intracellular Delivery Strategy		Release Kinetics	siRNA Gene Target/Silencing	Model
PCL, ethyl ethylene phosphate	Nano-fibers	Polymer/lipid complex or naked <sup>109</sup>	Sustained over 10–15 days	GAPDH/>30% at 96 hours	NIH3T3s <i>in vitro</i>	
		Polymer/lipid or CPP complex <sup>140</sup>	On the order of days (carrier-dependent)	COL1A1/maximum >80% <i>in vitro</i> at 3 days, fibrosis reduction <i>in vivo</i>	HDFs <i>in vitro</i> ; rat subcutaneous	
PCL	Nano-fibers	Polymer/lipid complex or naked <sup>90</sup>	Sustained but incomplete release for 28 days	GAPDH/>60% over 30 days	HEK293s <i>in vitro</i>	
		Polydopamine <sup>141</sup>	Burst over 3 days	REST/~30% sustained over 7 days	Mouse NPCs	
PLGA, PLA	Scaffold	Polymer/lipid complex <sup>142</sup>	Release not measured	BCL2L2, TRIB2/~50% at 72 hours	hMSCs	
	Scaffold	Lipidoid complexes <sup>143</sup>	Release not measured	KDR receptor/~90% at 2, 3 days	hESCs	
Polyurethane	Scaffold	Stimuli-sensitive polymers <sup>39, 108</sup>	Sustained release for 35 days controllable by fabrication	PPIB, PHD2/>90% at maximum (day 12), potent silencing for 21 days	Murine subcutaneous	
			Not measured <sup>96</sup>	IL-10/~50% at 2 days <i>in vitro</i> , increased survival time <i>in vivo</i>	BMDCs, murine lymphoma model	
PLGA	Microparticles	PEI	Over 30 days dependent on formulation, carrier <sup>93</sup>	TNF $\alpha$ /20–50% protein-level at 9 days, reduced appetite changes and cytokine production <sup>8</sup>	Rat joint inflammation model	
		Chitosan <sup>43</sup>	Sustained for 30–35 days	eGFP/~50% at 2 days	H1299s	
PEI and poly(organophos-phazene)	Hydrogel	PEI <sup>121</sup>	Sustained release for ~24 days <i>in vivo</i>	VEGF/>90% at 2 days	PC-3s	
		NIPAAm, double hydroxides	Not measured	GAPDH/>80% at 6 days	Osteoarthritic chondrocytes	

**Table 2**

Summary of gene targets for local siRNA-based silencing, categorized by the processes they modulate

Pathology		Gene
Inflammation		TNF $\alpha$ <sup>131, 170, 171</sup> ; CD16 <sup>98</sup> ; IgG cell surface receptor <sup>98</sup>
Autoimmune Diseases		Tbox21 <sup>183</sup> ; CD86 <sup>184</sup>
Fibrosis and Scarring		CTGF <sup>185</sup> ; mTOR <sup>169</sup> ; Col1 $\alpha$ 1 <sup>140</sup> ; Smad3 <sup>130, 164</sup> ; ERK2 <sup>168</sup> ; TGF $\beta$ <sup>165, 166</sup> ; RhoA <sup>135</sup>
Cell Cycle Control		p53 <sup>129</sup> ; p21 <sup>186</sup>
Bone Repair/Regeneration		Noggin <sup>139</sup> ; NF $\kappa$ $\beta$ <sup>2</sup> ; Src <sup>152</sup> ; osteopontin, osteocalcin <sup>146</sup>
Muscle Regeneration		Myostatin <sup>147, 148</sup>
Tendon Regeneration		Col5 $\alpha$ 1 <sup>149</sup>
Cellular Differentiation		BCL2L2 <sup>187</sup> ; TRIB2 <sup>187</sup> ; KDR Receptor <sup>143</sup> ; REST <sup>141</sup> ; Col1 $\alpha$ 1/HtrA1 <sup>132</sup>
Angiogenesis	Promotion	PHD2 <sup>39</sup>
	Inhibition	VEGF <sup>188, 189</sup>
Intimal Hyperplasia		TSP2 <sup>177</sup> ; MMP2 <sup>174</sup>
Infections		Viral Genome Components <sup>38, 41, 178, 190</sup> ; RANK <sup>134</sup>
Immune Response		IL-10 <sup>96, 97</sup>
Carcinoma Growth/Survival		TG2 <sup>133</sup> ; VEGF <sup>121, 191</sup> ; Cyclin B1 <sup>180</sup>

Table 3

Clinical trials for local siRNA delivery systems, from earliest to most recent; highlighted in gray is the only sustained release system.

Name	Genetic Target	Delivery System	Delivery Route	Control Release?	Company	Pathology Addressed	Ph.	Dose	Outcome
Cand5, Bevasiranib <sup>198, 199</sup>	VEGF	In solution, no carrier	Intravitreal injection	No	OPKO Health, Inc.	Macular degeneration (MD)	I	0.1–3 mg/eye <sup>200</sup>	Complete
							II	0.2–3 mg/eye <sup>201</sup>	Complete
							II	0.2–3 mg/eye <sup>202</sup>	Complete
AGN211745, siRNA-027	VEGFR1	In solution, no carrier	Intravitreal injection	No	Allergan siRNA Therapeutics, Inc.	Age-related MD, Choroidal neovascularization	III	2.5 mg/eye every 8 wks <sup>203</sup>	Terminated (company decision)
								N/A	Withdrawn
PF- 04523655, PF-655	RTP801	In solution, no carrier	Intravitreal injection	No	Quark Pharma	Diabetes complications, Diabetic retinopathy	I/II	0.1–1.6 mg/eye <sup>193, 204</sup>	Complete
							II	0.1–1 mg/eye <sup>205</sup>	Terminated (company decision)
							I	0.05–3 mg <sup>206, 207</sup>	Complete
ALN-RSV01	RSV-N gene	In solution, no carrier	Intravitreal injection	No	Alnylam Pharma	Choroidal neovascularization, Diabetic retinopathy	II	0.4–3 mg <sup>208</sup>	Terminated (goal not achieved)
							II	1, 3 mg every 4 wks <sup>209</sup>	Complete
							II	Not listed <sup>210</sup>	Complete
TD101	K6A N171K	In solution, no carrier	Intra-lesional injection	No	Pachyonychia Congenital Project	Respiratory syncytial virus infections	II	1.5–150 mg/day for 5 days <sup>211, 212</sup>	Complete
							II	0.6 mg/kg/day for 3 days <sup>192, 213</sup>	Complete
							IIb	Not listed; One dose/day, 5 days <sup>214</sup>	Complete
Bamosiran, SYL040012	$\beta$ 2-AR	In solution, no carrier	Ophthalmic installation	No	Sylentis, S.A.	Ocular hypertension, Open angle glaucoma	I	Escalation; Once per week, 17 mg over 17 weeks <sup>194, 215</sup>	Complete
							I	0.6–0.9 mg/eye/day for 7 days <sup>216, 217</sup>	Complete
							I/II	Not listed <sup>218</sup>	Complete
QPI-1007221	Caspase -2	In solution, no carrier	Intravitreal injection	No	Quark Pharma	Optic atrophy, Anterior ischemic optic neuropathy	II	0.08–0.9 mg/eye/day for 14 days <sup>219</sup>	Complete
							II	N/A <sup>220</sup>	Recruiting
						Acute primary angle- closure, glaucoma	I	Escalation; Not listed <sup>222</sup>	Complete
							II	1.5 mg/eye single injection <sup>223</sup>	Recruiting



Name	Genetic Target	Delivery System	Delivery Route	Control Release?	Company	Pathology Addressed	Ph.	Dose	Outcome
siG12D LODER <sup>181</sup>	KRAS G12D	LODER polymer	EUS biopsy needle	Yes	Silenseed Ltd.	Pancreatic ductal adenocarcinoma	I II	Escalation; not listed <sup>2,4</sup> N/A <sup>2,5</sup>	Complete Not yet recruiting
SYL1001	TRPV1	In solution, no carrier	Ophthalmic installation	No	Silentis, S.A.	Ocular pain Dry eye syndrome	I I/II	Not listed; One dose/day, 11 days <sup>2,6</sup> Not listed; One dose/day, 10 days <sup>2,7</sup>	Complete Recruiting

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