

# Incidence and risk factors of chronic thromboembolic pulmonary hypertension in patients after acute pulmonary embolism

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**Background:** Early identification and treatment of chronic thromboembolic pulmonary hypertension (CTEPH) are critical to prevent disease progression. We determined the incidence and risk factors for CTEPH in patients with a first episode of acute pulmonary embolism (PE).

**Methods:** In this study, consecutive patients with first-episode acute PE were followed for  $\leq 5$  years. Pulmonary hypertension (PH) was screened for by echocardiography. Suspected cases were evaluated by right heart catheterization (RHC) and pulmonary angiography (PA). If invasive procedures were not permitted, PH was diagnosed by systolic pulmonary artery pressure (SPAP)  $>50$  mmHg. Diagnosis of CTEPH was confirmed by PA, ventilation/perfusion (V/Q) lung scan, or computed tomography (CT) PA (CTPA).

**Results:** Overall, 614 patients with acute PE were included (median follow-up, 3.3 years). Ten patients were diagnosed with CTEPH: cumulative incidence 0.8% [95% confidence interval (CI), 0.0-1.6%] at 1 year, 1.3% (95% CI, 0.3-2.3%) at 2 years, and 1.7% (95% CI, 0.7-2.7%) at 3 years. No cases of CTEPH developed after 3 years. History of lower-limb varicose veins [hazard ratio (HR), 4.3; 95% CI, 1.2-15.4;  $P=0.024$ ], SPAP  $>50$  mmHg at initial PE episode (HR, 23.5; 95% CI, 2.7-207.6;  $P=0.005$ ), intermediate-risk PE (HR, 1.2; 95% CI, 1.0-1.4;  $P=0.030$ ), and CT obstruction index over 30% at 3 months after acute PE (HR, 42.5; 95% CI, 4.4-409.8;  $P=0.001$ ) were associated with increased risk of CTEPH.

**Conclusions:** CTEPH was not rare after acute PE in this Chinese population, especially within 3 years of diagnosis. Lower-limb varicose veins, intermediate-risk PE with elevated SPAP in the acute phase, and residual emboli during follow-up might increase the risk of CTEPH.

**Keywords:** Incidence; pulmonary embolism (PE); chronic thromboembolic pulmonary hypertension (CTEPH); risk factors; China

Submitted Apr 30, 2015. Accepted for publication Sep 17, 2015.

doi: 10.3978/j.issn.2072-1439.2015.11.43

View this article at: <http://dx.doi.org/10.3978/j.issn.2072-1439.2015.11.43>

## Introduction

Chronic thromboembolic pulmonary hypertension (CTEPH) is a major long-term complication of acute pulmonary embolism (PE) that carries considerable morbidity and mortality (1-4). CTEPH incidence after acute PE varies significantly. Previously, CTEPH was considered a rare event, occurring in 0.1-0.5% of patients who survived an acute PE (1). However, recent studies suggest that the incidence of CTEPH is considerably higher (0.4-8.8%) than previously published in these patients (5-13). The exact incidence rate remains to be determined, particularly in Asian populations.

Understanding the process of CTEPH development after acute PE and identifying the risk factors for CTEPH, will improve early detection and prophylaxis for patients at risk. Although numerous individual factors have been linked with the development of CTEPH, including idiopathic PE (5), previous venous thromboembolism (VTE) (5,13,14), larger perfusion defect (3), and elevated systolic pulmonary artery pressure (SPAP) at the time of acute PE (13,15), the effects of acute PE management and embolus dissolution on CTEPH development have not been well documented.

In this study, we determined the incidence of CTEPH and evaluated associated risk factors in a large series of Asian patients with a first episode of acute PE.

## Materials and methods

### Patients

This consecutive cohort study was conducted in a national referral institute (Beijing Institute of Respiratory Medicine, Beijing Chao-Yang Hospital, Beijing, China) from January 1, 2006 to March 31, 2011. Written informed consent was obtained from all participants. The study protocol and consent procedure were approved by the ethics committee of Beijing Chao-Yang Hospital (ethics number: 2009-1).

Patients diagnosed with a first episode of acute PE were included. Patients with transient or permanent risk factors for PE were classified as having "provoked" PE. Transient risk factors included recent surgery, trauma (with or without bone fracture), >3 days' immobilization (classified as being awake in bed or sitting in a chair for >60% of the time) for medical reasons, recent prolonged immobility (sitting for >6 hours), deep venous catheterization, pregnancy or being in the peripartum period, and the use

of oral contraceptives or hormone-replacement therapy. Permanent risk factors included active malignancy, chronic heart or respiratory failure, cerebrovascular disease, thrombophilia, obesity, and varicose veins (16). PE occurring in the absence of risk factors was defined as "unprovoked" PE.

Patients with previously confirmed CTEPH or pulmonary hypertension (PH) at the time of acute PE diagnosis were excluded, as were those with any other medical condition that could have caused non-thromboembolic PH. Such conditions included severe chronic lung disease [forced expiratory volume in 1 second (FEV<sub>1</sub>) <60% of predicted value, FEV<sub>1</sub>/forced vital capacity (FVC) <60% of predicted, or total lung capacity <60% of predicted], left cardiac insufficiency (ejection fraction <30%), hematologic disorders (chronic hemolytic anemia or myeloproliferative disorders), systemic disorders (sarcoidosis, pulmonary Langerhans cell histiocytosis, or lymphangiioleiomyomatosis), metabolic disorders (thyroid disorders, glycogen storage disease, or Gaucher disease), fibrosing mediastinitis, chronic renal failure, or any other pulmonary arterial hypertension-associated condition (human immunodeficiency virus, connective-tissue disease, portal hypertension, congenital heart diseases, or schistosomiasis).

### Diagnosis and assessments

Acute PE was diagnosed by pulmonary angiography (PA), computed tomography (CT) PA (CTPA), or ventilation/perfusion (V/Q) scan (16-18). Deep venous thrombosis (DVT) was confirmed by ultrasonography of the leg veins or CT venography. The pulmonary embolism severity index (PESI) was evaluated upon admission (19,20). Severity stratification of acute PE was assessed using European Society of Cardiology (ESC) guidelines (8th edition) (16). All patients underwent echocardiography at the first episode of acute PE. The estimated SPAP at rest was equal to tricuspid regurgitation pressure gradient, calculated by the modified Bernoulli equation, plus the estimated right atrial pressure (19,21). The CT obstruction index was expressed as:  $[\Sigma(n \cdot d)/40] \times 100\%$ , where n is the number of segmental branches arising in the pulmonary tree distal to the proximal thrombus (possible range, 1-20), and d is the degree of obstruction (possible range, 0-2) (22). Biomarkers of endothelial and fibrinolytic function assessed during the study were: D-dimer (normal value, 0-500 ng/mL), homocysteine (normal value, <15  $\mu$ mol/L), plasminogen

activator inhibitor-1 (normal range, 5-45 ng/mL), thrombomodulin (normal range, 3.10-5.82 ng/mL), tissue plasminogen activator (normal range, 1-12 ng/mL), protein C (normal range, 3.0-5.2 µg/mL), protein S (normal range, 19.0-26.8 µg/mL), and antithrombin III (AT-III) (normal range, 92.7-123.3%). Biomarkers were all measured by enzyme-linked immunosorbent assay (ELISA) method.

### *Treatment of PE*

Hemodynamically stable acute PE was initially treated with either adjusted-dose unfractionated heparin or weight-based therapeutic doses of low molecular weight heparin. Hemodynamically unstable acute PE was initially treated with thrombolytic therapy, including streptokinase, urokinase, or recombinant tissue plasminogen activator. All patients (except for patients with cancer) started treatment with vitamin K antagonists within 1 week of diagnosis and continued for  $\geq 6$  months. Target international normalized ratio was 2.0-3.0. In patients with cancer, low molecular weight heparin was administered in the acute phase and continued over the first 3-6 months. Patients with unprovoked PE, recurrent VTE during follow-up, or at risk of recurrent VTE received prolonged anticoagulant treatment ( $>6$  months) (16).

### *Follow-up and CTEPH diagnosis*

Patients were followed up at 3, 6, 12, 18, and 24 months, and then yearly for up to 5 years. CTEPH was screened for and diagnosed by an independent expert panel. Patients with CTEPH were evaluated according to World Health Organization functional classification (19,23).

During outpatient follow-up, PH was assessed by an experienced technician using standardized transthoracic echocardiography. For patients with increasing SPAP, informed written consent was obtained before hemodynamic evaluation. Diagnosis of CTEPH was confirmed by right heart catheterization (RHC) in patients with multiple chronic or organized thromboembolic obstructions (from PA, V/Q scan, or CTPA) and defined as a mean pulmonary arterial pressure  $\geq 25$  mmHg, pulmonary capillary wedge pressure  $\leq 15$  mmHg, and pulmonary vascular resistance  $>2$  Wood units (19,24,25). If written informed consent could not be obtained for hemodynamic evaluation, CTEPH was diagnosed according to time since acute PE  $>6$  months, SPAP at rest  $>50$  mmHg plus supportive results from V/Q scan

and CTPA (2,10,19). In patients with CTEPH, CTPA shows the presence of eccentric thromboembolic material, subpleural densities, right ventricular enlargement, and a mosaic parenchymal pattern. Normal findings on V/Q scan effectively rule out CTEPH, whereas multiple bilateral perfusion defects suggest that CTEPH is a likely diagnosis (2). In the small number of patients who could not complete outpatient follow-up, clinical information was collected by telephone contact.

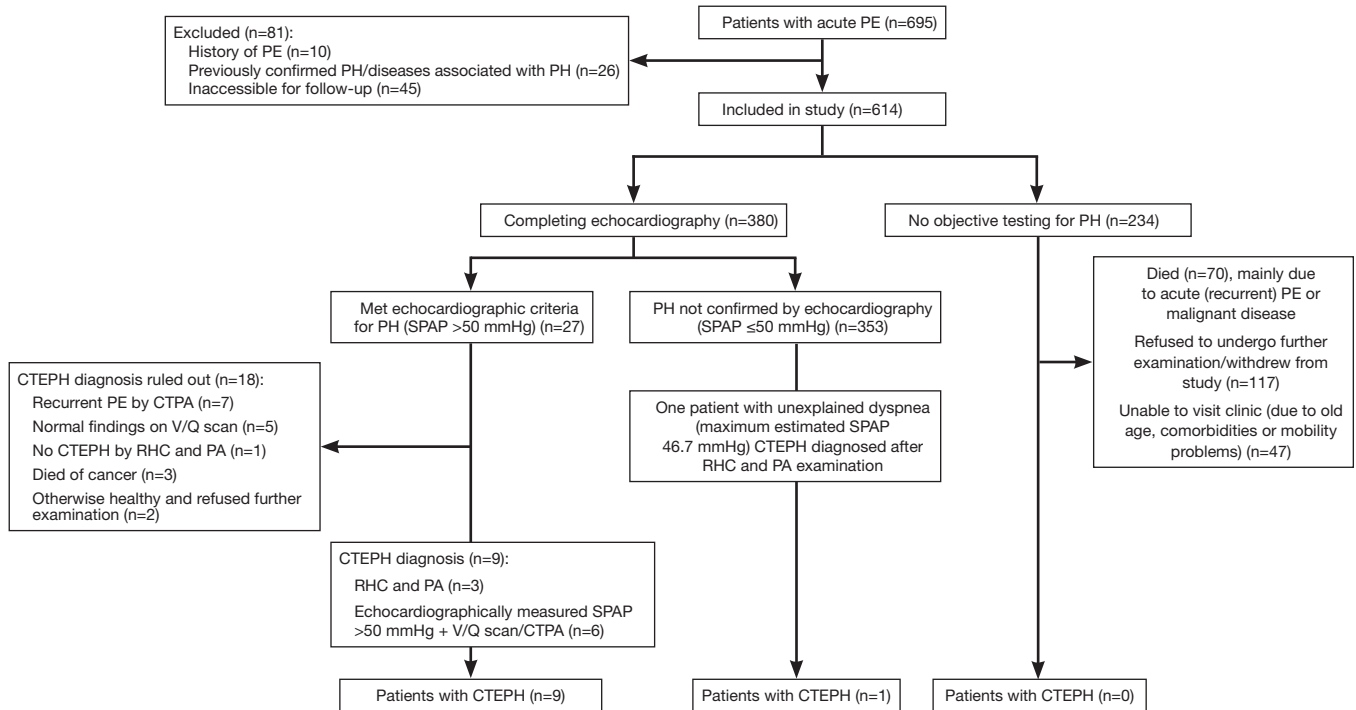
### *Statistical analysis*

This study was considered a pilot and therefore no sample size calculations were required. The Kolmogorov-Smirnov test was used to assess the normality of continuous variables. Normally distributed data were expressed as mean values with standard deviation. Data that were not normally distributed were expressed as median and interquartile range. The cumulative incidence of CTEPH after acute PE was calculated using Kaplan-Meier methods. Cox regression analysis was performed to investigate risk factors for CTEPH. Multivariable regression analysis was used in a stepwise descending method from prognostic factors with a P value  $<0.1$  in univariable analysis; results are given as hazard ratio (HR) and 95% confidence interval (CI). A P value  $<0.05$  was considered statistically significant. SPSS version 15.0 (SPSS Inc., Chicago, IL, USA) was used for all analyses.

## **Results**

### *Patients*

Acute PE was diagnosed in 695 patients. Of these, 614 patients (88.3%) were included in the study (Figure 1). Median follow-up was 3.3 years. Baseline characteristics are shown in Table 1. Of the 380 patients (61.9%) able to complete echocardiography, 27 met echocardiographic criteria for PH with SPAP  $>50$  mmHg (Figure 1). CTEPH was diagnosed in nine of these patients. In 353 patients, estimated SPAP was low and diagnosis of PH could not be confirmed by echocardiography. Of these, one patient was diagnosed with CTEPH. No objective testing for PH was performed in the remaining 234 patients during follow-up. CTEPH was ruled out on clinical grounds in these patients as none of them had symptoms of unexplained dyspnea. Overall, 10 patients were diagnosed with CTEPH (four by RHC and PA; six by echocardiography-



**Figure 1** Patient disposition. CTEPH, chronic thromboembolic pulmonary hypertension; CTPA, computed tomography pulmonary angiography; PA, pulmonary angiography; PE, pulmonary embolism; PH, pulmonary hypertension; RHC, right heart catheterization; SPAP, systolic pulmonary artery pressure; V/Q, ventilation/perfusion.

measured SPAP >50 mmHg plus V/Q scan and CTPA) (Figure 1).

During the study, 110 patients (17.9%) died, 20 patients (3.3%) from a direct result of acute (recurrent) PE, 62 (10.1%) from malignant disease, and 28 (4.6%) from other conditions.

### Characteristics and outcomes of patients with CTEPH

The characteristics of the ten patients with CTEPH are presented in Table 2. Nine patients underwent regular anticoagulant therapy before CTEPH developed. The remaining patient underwent anticoagulant therapy for 6 months and was diagnosed with CTEPH 17 months after drug discontinuation. The median time from acute PE to CTEPH was 12.5 months (interquartile range, 8.5-25 months). Despite being the recommended treatment, none of the patients diagnosed with CTEPH underwent pulmonary thromboendarterectomy (PEA), although four were assessed and deemed eligible for surgery. In some patients this was due to a preference for conservative management of their condition because of mild symptoms. Other reasons for patients not undergoing PEA were non-consent due to surgical risk or

financial concerns resulting from personal circumstances and a lack of health insurance coverage. Clinical characteristics, treatments, and outcomes for patients are shown in Figure 2.

### Incidence of CTEPH

The cumulative incidence of CTEPH after a first episode of acute PE was 0.8% (95% CI, 0.0-1.6%) at 1 year, 1.3% (95% CI, 0.3-2.3%) at 2 years, and 1.7% (95% CI, 0.7-2.7%) at 3 years. No further cases of CTEPH were seen after 3 years (Figure 3).

### Risk factors for CTEPH

Patients older than 60 years were at higher risk of CTEPH (HR, 6.4; 95% CI, 0.8-50.8;  $P=0.077$ ), but this was not significant in the multivariable analysis. A higher incidence of lower-limb vein varicosities were reported in patients with CTEPH *vs.* those without (HR, 4.7; 95% CI, 1.3-16.7;  $P=0.016$ ), and multivariable analysis confirmed the association with CTEPH (HR, 4.3; 95% CI, 1.2-15.4;  $P=0.024$ ) (Table 3).

**Table 1** Characteristics of study patients

Characteristic	Study patients (n=614)
Age at first PE (years), mean $\pm$ SD	61.6 $\pm$ 15.0
Male (%)	298 (48.5)
Han Chinese ethnicity, n (%)	595 (96.9)
Smoking status, n (%)	
Smoker	88 (14.3)
Former smoker	76 (12.4)
Non-smoker	450 (73.3)
Unprovoked PE, n (%)	204 (33.2)
Transient PE risk factor*, n (%)	125 (20.4)
Permanent PE risk factor**, n (%)	285 (46.4)
Concomitant DVT, n (%)	316 (51.5)
Initial treatment at first PE	
Thrombolysis, n (%)	51 (8.3)
Anticoagulation, n (%)	555 (90.4)
IVCF, n (%)	15 (2.4)
Mortality, n (%)	110 (17.9)
Number of patient-years***	2,023

\*, transient risk factors included recent surgery (33.2%), trauma (with or without bone fracture) (10.1%), >3 days' immobilization (classified as being awake in bed or sitting in a chair for >60% of the time) for medical reasons (6.4%), recent prolonged immobility (sitting for >6 hours) (0.3%), deep venous catheterization (1.5%), and the use of oral contraceptives or hormone-replacement therapy (0.5%). \*\*, permanent risk factors included active malignancy (14.8%), chronic heart or respiratory failure (6.5%), cerebrovascular disease (12.5%), thrombophilia (57.8%), obesity (9.1%), and varicose veins (13.0%). \*\*\*, years from registration date until diagnosis of chronic thromboembolic pulmonary hypertension was established or until death occurred. DVT, deep venous thrombosis; IVCF, inferior vena cava filter; PE, pulmonary embolism; SD, standard deviation.

The presence of SPAP >50 mmHg (HR, 37.9; 95% CI, 4.8-299.3; P=0.001) and ratio of right ventricle to left ventricle transection diameters >1 (HR, 4.3; 95% CI, 1.2-15.3; P=0.024) at acute PE were significantly higher in patients with CTEPH. In the multivariable model, elevated SPAP at acute PE was associated with CTEPH (HR, 23.5; 95% CI, 2.7-207.6; P=0.005) (Table 3).

CT obstruction index at acute PE did not differ between patients with and without CTEPH. Three months after acute PE, 107 patients (four with CTEPH and 103 without)

were reassessed, at which time there was significant difference between patients with and without CTEPH (HR, 42.5; 95% CI, 4.4-409.8; P=0.001) (Table 3).

Based on ESC risk stratification, patients with intermediate-risk PE were at higher risk of CTEPH than those with low-risk PE (HR, 1.2; 95% CI, 1.0-1.4; P=0.030). High-risk PE, however, was associated with a lower risk of CTEPH than low-risk PE (HR, 0.7; 95% CI, 0.5-1.0; P=0.031). The same observation was made using the PESI assessment (HR, 0.9; 95% CI, 0.7-1.0; P=0.055).

## Discussion

The cumulative incidence of CTEPH after a first episode of acute PE was 0.8% at 1 year, 1.3% at 2 years, and 1.7% at 3 years, with no further increase thereafter. A history of lower-limb varicose veins and an elevated SPAP (>50 mmHg) at acute PE were associated with increased risk of CTEPH. Additionally, residual emboli (CT obstruction index 3 months after acute PE) and intermediate-risk PE showed a tendency to increase the risk of CTEPH.

Data from a prospective study of 146 patients suggest that the majority of patients diagnosed with CTEPH have previously unidentified PH at the time of their initial acute PE (13). Therefore, a patient with a history of acute PE who is subsequently diagnosed with CTEPH may already have underlying CTEPH. In the study presented here, right ventricular wall thickness was measured as part of the echocardiographic assessment undertaken at the time of initial acute PE diagnosis. The right ventricular wall thicknesses of all 10 patients who were eventually diagnosed with CTEPH were below 5 mm at the time of their initial PE (Table 2), indicating that these patients had no overt signs of pre-existing chronic right ventricular dysfunction. We conclude, therefore, that it is unlikely that any of the 10 patients diagnosed with CTEPH had the condition prior to their initial diagnosis of acute PE.

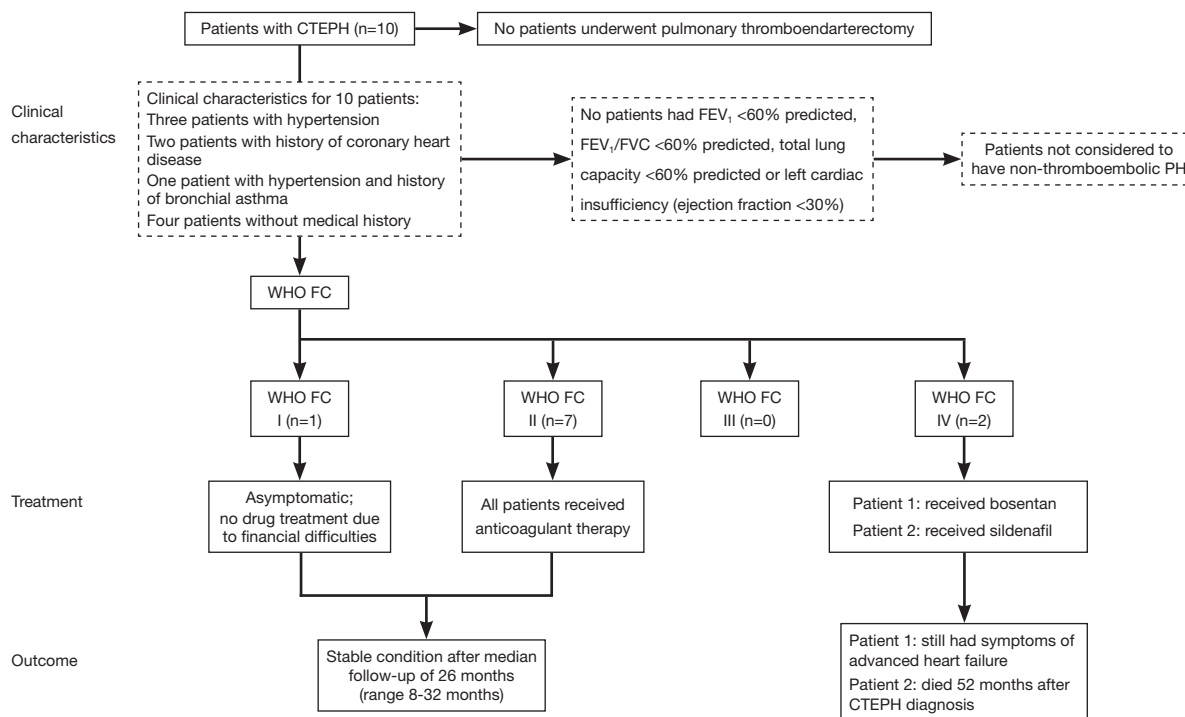
Previous studies have shown an incidence of CTEPH after acute PE of 0.4-8.8% (Table 4). The substantial variation in incidence could be the result of the screened population, diagnostic methods and cut-off values, the duration of follow-up, and the size of the study population. In most previous studies (5,6,10,12), only patients with persistent dyspnea underwent echocardiography to screen for PH. In our study, all symptomatic and most asymptomatic patients were screened using echocardiography. This process identified one initially



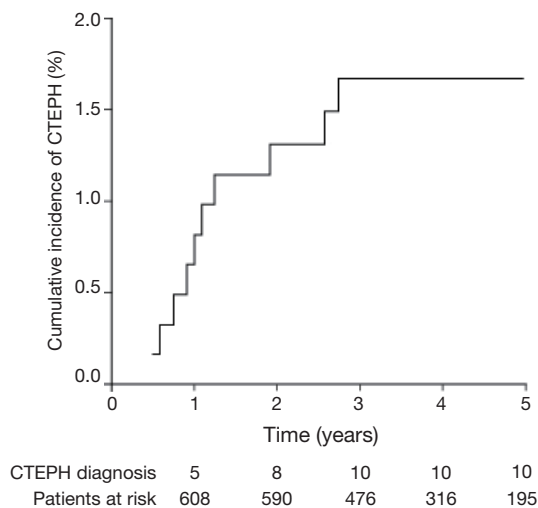
**Table 2** Characteristics of patients with CTEPH

Initial PE		During follow-up											
Age (years)/sex	Time from symptoms to diagnosis (days)	Unprovoked PE	RV wall thickness (mm)	RV/LV	SPAP (mmHg)	ESC risk stratification	Initial therapy*	Dyspnea	Time to CTEPH (months)	RV/LV	SPAP (mmHg)	MPAP by	
												RHC	WHO FC
68/F	20	No	4.0	0.9	78.0	Intermediate	Anticoagulation	Yes	11	1.1	95.0	43	IV
75/F	3	No	3.9	0.7	61.5	Low	Anticoagulation	Yes	33	1.0	53.0	-	II
68/M	0	Yes	4.2	0.6	78.0	Low	Anticoagulation	Yes	13	0.7	57.0	45	II
73/F	7	Yes	4.8	1.7	84.0	Intermediate	Thrombolysis	Yes	12	2.1	97.3	-	IV
74/F	7	No	4.5	0.8	44.5	Low	Anticoagulation	No	23	0.9	58.5	-	I
44/F	31	No	4.5	1.2	68.0	Intermediate	Anticoagulation	Yes	15	1.3	46.7	27	II
65/M	7	Yes	4.2	1.1	74.0	Low	Anticoagulation	Yes	6	1.4	71.0	32	II
67/F	2	No	4.3	1.1	82.0	Intermediate	Thrombolysis	Yes	7	1.2	53.2	-	II
69/M	20	Yes	3.9	1.2	72.0	Intermediate	Anticoagulation	Yes	9	1.4	80.5	-	II
80/F	1	No	4.7	1.2	73.0	Intermediate	Anticoagulation	Yes	31	1.5	70.2	-	II

\*, hemodynamically stable acute PE was initially treated with either adjusted-dose unfractionated heparin or weight-based therapeutic doses of low molecular weight heparin. Hemodynamically unstable acute PE was initially treated with thrombolytic therapy, including streptokinase, urokinase, or recombinant tissue plasminogen activator. All patients (except for patients with cancer) started treatment with vitamin K antagonists within 1 week of diagnosis and continued for ≥6 months. In patients with cancer, low molecular weight heparin administered in the acute phase and continued over the first 3-6 months. CTEPH, chronic thromboembolic pulmonary hypertension; ESC, European Society for Cardiology; F, female; M, male; MPAP, mean pulmonary arterial pressure; PE, pulmonary embolism; RHC, right heart catheterization; RV, right ventricle; RV/LV, right ventricle to left ventricle end-diastolic diameter ratio; SPAP, systolic pulmonary artery pressure; WHO FC, World Health Organization functional class.



**Figure 2** Clinical characteristics, treatment, and outcomes for patients with CTEPH. CTEPH, chronic thromboembolic pulmonary hypertension; FEV<sub>1</sub>, forced expiratory volume in 1 second; FVC, forced vital capacity; PH, pulmonary hypertension; WHO FC, World Health Organization functional class.



**Figure 3** Cumulative incidence of CTEPH. CTEPH, chronic thromboembolic pulmonary hypertension.

asymptomatic patient who developed CTEPH. While excluding asymptomatic patients may be more cost-effective, it may underestimate the incidence of CTEPH.

Current recommended best practice is for patients

with suspected CTEPH to have the diagnosis confirmed by RHC and PA (3). However, in our study it was not always possible to carry these investigations out, due to either a lack of patient consent or the financial cost of the investigations, which was compounded by insufficient health insurance coverage. Given that an invasive assessment of hemodynamic function was not always possible, an alternative assessment of SPAP using echocardiography was utilized where necessary to diagnose PH.

SPAP cut-off values markedly affect the incidence of CTEPH. One study used a cut-off of >40 mmHg and found a particularly high incidence (8.8%) (8), while another used >35 mmHg and found a lower incidence (4.6%) (12). Two large screening studies assessed the reliability of several SPAP cut-offs using RHC as a reference. In the first, a cut-off of >38 mmHg had a false-positive rate of 45% (26). In the second, cut-offs of >33 and >36 mmHg had false-positive rates of 79% and 11%, respectively (27). In the current study, we found that a cut-off of 33-40 mmHg by echocardiography may overestimate the incidence of CTEPH. Conversely, a further study used >50 mmHg as the cut-off and found a CTEPH incidence of 1.3% (10), similar

**Table 3** Risk factors for CTEPH

Variable	CTEPH (n=10)	Non-CTEPH (n=604)	Univariable analysis		Multivariable analysis	
			P value	HR (95% CI)	P value	HR (95% CI)
Age >60 years, n (%)	9 (90.0)	350 (58.0)	0.077	6.4 (0.8-50.8)	0.128	5.0 (0.6-40.0)
Male, n (%)	3 (30.0)	295 (48.8)	0.250	2.2 (0.6-8.6)	-	-
Risk factors for PE, n (%)	7 (70.0)	408 (67.5)	0.876	1.1 (0.3-4.3)	-	-
Cardiovascular disease	6 (60.0)	340 (56.3)	0.826	1.2 (0.3-4.1)	-	-
Chronic lung disease	1 (10.0)	85 (14.1)	0.703	0.7 (0.1-5.3)	-	-
BMI >30 kg/m <sup>2</sup>	1 (10.0)	55 (9.1)	0.912	1.1 (0.1-8.9)	-	-
History of surgery/trauma	1 (10.0)	240 (39.7)	0.096	0.2 (0.0-1.4)	0.125	0.2 (0.0-1.6)
Varicose veins in lower limbs	4 (40.0)	76 (12.6)	0.016*	4.7 (1.3-16.7)	0.024*	4.3 (1.2-15.4)
Clinical characteristics at acute PE						
Dyspnea, n (%)	8 (80.0)	445 (73.7)	0.654	0.7 (0.2-3.3)	-	-
Syncope, n (%)	1 (10.0)	79 (13.1)	0.778	1.4 (0.2-10.7)	-	-
Chest pain, n (%)	2 (20.0)	126 (20.9)	0.961	1.0 (0.2-4.9)	-	-
Hemoptysis, n (%)	1 (10.0)	49 (8.1)	0.821	0.8 (0.1-6.2)	-	-
Symptoms of DVT, n (%)	4 (40.0)	209 (34.6)	0.705	0.8 (0.2-2.8)	-	-
Laboratory findings at acute PE, n (%)						
D-dimer >500 ng/mL	7 (70.0)	366 (60.6)	0.759	1.3 (0.3-6.2)	-	-
Fibrinogen >400 mg/dL	1 (10.0)	139 (23.0)	0.373	0.4 (0.0-3.1)	-	-
Homocysteine >15 μmol/L	3 (30.0)	116 (19.2)	0.402	2.1 (0.4-12.9)	-	-
PAI-1 >45 ng/mL	1 (10.0)	56 (9.3)	0.723	1.5 (0.1-17.0)	-	-
Protein C <3.0 μg/mL	2 (20.0)	57 (9.4)	0.238	4.2 (0.4-46.8)	-	-
Antithrombin III <92.7%	1 (10.0)	31 (5.1)	0.464	2.3 (0.2-22.4)	-	-
Thrombomodulin <3.10 ng/mL	4 (40.0)	215 (35.6)	0.784	1.4 (0.16-12.2)	-	-
Echocardiography at acute PE, n (%)						
SPAP >50 mmHg	9 (90.0)	113 (18.7)	0.001*	37.9 (4.8-299.3)	0.005*	23.5 (2.7-207.6)
RV/LV >1	6 (60.0)	134 (22.2)	0.024*	4.3 (1.2-15.3)	0.946	1.0 (0.3-3.6)
CT obstruction index >30%, n (%)						
At acute PE	6 (66.7) (n=9)	223 (50.8) (n=439)	0.349	1.9 (0.5-7.7)	-	-
3 months after acute PE	3 (75.0) (n=4)	5 (4.9) (n=103)	0.001*	42.5 (4.4-409.8)	-	-

\*, significant difference between CTEPH and non-CTEPH. BMI, body mass index; CI, confidence interval; CT, computed tomography; CTEPH, chronic thromboembolic pulmonary hypertension; DVT, deep venous thrombosis; HR, hazard ratio; PAI-1, plasminogen activator inhibitor-1; PE, pulmonary embolism; RV/LV, ratio of right ventricle to left ventricle transection diameters; SD, standard deviation; SPAP, systolic pulmonary artery pressure.

to that in studies using RHC to diagnose PH (5-7,9,11). Thus, CTEPH incidence based on SPAP >50 mmHg is more consistent with RHC findings. Indeed, the 2009 ESC guidelines recommend that SPAP >50 mmHg, with/without additional echocardiographic variables, is likely to indicate PH (evidence rating: IB) (19), and we used this cut-off to diagnose PH in patients who could not complete invasive procedures. The ESC guidelines also recommend SPAP

37-50 mmHg with/without additional echocardiographic variables as suggestive of PH (evidence rating: IIaC) (19). In our study, one patient with estimated SPAP <50 mmHg (46.7 mmHg) had unexplained dyspnea and was diagnosed with CTEPH by RHC and PA, suggesting that such patients may also develop CTEPH. The cumulative incidence of CTEPH reported here may, therefore, be an underestimate.



Table 4 Summary of previous studies on the incidence of CTEPH after acute PE

First author, year	Population	Patients (n)	Inclusion criteria	Exclusion criteria	Screening patients for CTEPH by TTE	Diagnostic method for CTEPH	Median follow-up (months)	Incidence of CTEPH (%)
Pengo, 2004 (5)	Italy	223	First episode of PE	Other diseases that could cause PH, pre-existing exertional dyspnea	Patients with dyspnea	RHC, PA	94.3	3.8
Becattini, 2006 (6)	Italy	259	First episode of PE	Persistent risk factors for VTE	Patients with dyspnea (n=37)	RHC, PA	46	0.8
Miniati, 2006 (7)	Italy	320	PE	-	Persistence of large bilateral perfusion defects in V/Q scan	RHC, PA	25.2	1.3
Klok, 2010 (9)	Netherlands	866	PE	-	n=402	RHC, V/Q scan	36	0.57
Poli, 2010 (11)	Italy	239	First episode of PE	Active cancer; diseases that could cause PH	n=223	RHC, V/Q scan	36	0.4
Guérin, 2014 (13)	France	146	PE	Previously known CTEPH or PH, diseases that could have caused non-thromboembolic PH	n=146	RHC, V/Q scan	26	4.8
Otero, 2011 (10)	Spain	744	PE	Age <18 years	Patients with dyspnea (n=121)	SPAP >50 mmHg	14	1.3
Dentali, 2009 (8)	Italy	91	First episode of PE	Other diseases that could cause PH; age <18 years	n=91	SPAP ≥40 mmHg, V/Q scan	6-12	8.8
Korkmaz, 2012 (12)	Turkey	259	First episode of PE	Diseases that could cause PH	Patients with dyspnea (n=102)	SPAP >35 mmHg, V/Q scan	16.3	4.6

Abbreviations: CTEPH, chronic thromboembolic pulmonary hypertension; PA, pulmonary angiography; PE, pulmonary embolism; PH, pulmonary hypertension; RHC, right heart catheterization; SPAP, systolic pulmonary artery pressure; TTE, transthoracic echocardiography; V/Q, ventilation/perfusion; VTE, venous thromboembolism.

Numerous factors increase the risk of CTEPH after acute PE, such as younger or older age, recurrent VTE, idiopathic PE, history of malignancy, thyroid-replacement therapy, larger perfusion defect, more proximal PE, and SPAP >50 mmHg at acute PE (5,7,12-15). However, substantial differences in risk factors have been reported. In the present study, history of lower-limb varicose veins and SPAP >50 mmHg at acute PE were associated with increased CTEPH risk. Patients with lower-limb varicose veins might have asymptomatic DVT; left untreated, emboli gradually detach and block distal pulmonary arteries, eventually leading to CTEPH. In this study, most patients with SPAP >50 mmHg were in the intermediate- or high-risk PE category and were prone to CTEPH because of the large area of embolization. In patients with SPAP <50 mmHg, however, right ventricular dysfunction (24), and thus risk of CTEPH, was rarer. More attention should be paid to patients with varicose veins and high SPAP in outpatient services, and ultrasonography of leg veins and echocardiography should be repeated frequently.

Intermediate-risk PE (ESC classification) was associated with increased CTEPH risk. However, high-risk PE appeared to have a protective effect against CTEPH. This is likely because almost all patients with high-risk PE underwent thrombolytic treatment, resulting in more complete dissolution of emboli than in patients who initially received anticoagulant therapy. Of the ten patients with CTEPH, six had intermediate-risk PE, of whom four underwent initial anticoagulant therapy. We first assumed that initial anticoagulant therapy in patients with intermediate-risk PE would tend to increase the risk of CTEPH, and that thrombolytic treatment should be encouraged in these patients to reduce the development of CTEPH.

In a study of long-term outcomes of patients with acute PE undergoing surgical embolectomy, early postoperative mean SPAP (34.9±7.1 mmHg) was significantly lower than preoperative SPAP (44.9±5.7;  $P<0.001$ ) (28). Mean SPAP at follow-up was 29.4±11.5 mmHg, a further significant decrease *vs.* the early post-operation value ( $P<0.001$ ). Thus, pulmonary embolectomy can decrease pulmonary arterial pressure and maintain pressure normalization after surgery. In the current study, the CT obstruction index measured 3 months after acute pulmonary embolectomy was associated with an increased risk of CTEPH. We assume, therefore, that residual embolus after acute PE is a risk factor for CTEPH development.

The most significant limitations in our study were the fact that echocardiography was not able to be performed in all patients during the follow-up period, and that not all

patients with increased SPAP underwent RHC and PA. As detailed above, an alternative definition of CTEPH was used in patients who were unable to undergo invasive hemodynamic assessment based on SPAP >50 mmHg as measured by echocardiography plus supportive results from a V/Q scan and CTPA (2,10,19). While this alternative diagnosis may be less accurate than RHC and PA, excluding patients who cannot complete the invasive examination would substantially underestimate the incidence of CTEPH after acute PE. The use of these alternative diagnostic criteria for CTEPH is a realistic reflection of current clinical practices in China, where many patients, either as a result of non-consent or economic disadvantage and a lack of health insurance coverage, will not be able to undergo procedures such as echocardiography, RHC, or PA. Another limitation of our study is related to the small number of patients with CTEPH. This could have caused bias in the univariable and multivariable analysis. However, our study was an exploratory study and we expect further research into the incidence and risk factors of CTEPH after acute PE in the future. In conclusion, we found that CTEPH is not a rare complication of acute PE in an Asian population. In this study population the cumulative incidence of CTEPH was  $\geq 1.7\%$  and developed within 3 years of acute PE. Physicians should be alert to patients with a history of lower-limb varicose veins, intermediate-risk PE with elevated SPAP in the acute phase, and residual emboli during follow-up. This will help identify early-stage CTEPH and maximize clinical resources for prevention, diagnosis, and treatment of CTEPH after acute PE.

### Acknowledgements

The authors would like to thank the members of the independent adjudication committee (Yuanhua Yang, Yafeng Wu, Lei Zhang, and Zhanhong Ma) for the evaluation of VTE and PH in this study. Editorial assistance was provided by Adelphi Communications Ltd. (Bollington, UK), sponsored by Bayer Pharma AG.

*Funding:* This work was supported by China Key Research Projects of the 12th National Five-Year Development Plan (2011BAI11B17, 2012BAI05B01, 2012BAI05B02); and the Capital Health Research and Development Special Fund (No. 2011-4011-05).

### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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**Cite this article as:** Yang S, Yang Y, Zhai Z, Kuang T, Gong J, Zhang S, Zhu J, Liang L, Shen YH, Wang C. Incidence and risk factors of chronic thromboembolic pulmonary hypertension in patients after acute pulmonary embolism. *J Thorac Dis* 2015;7(11):1927-1938. doi: 10.3978/j.issn.2072-1439.2015.11.43