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Prevalence and Prognostic Value of Subclinical Left Ventricular Systolic Dysfunction by Global Longitudinal Strain in a Community-Based Cohort

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Abstract

Aims—Global longitudinal strain (GLS) assessed by speckle-tracking echocardiography has been proposed as a parameter able to reflect early changes in left ventricular (LV) systolic function at a stage when LV ejection fraction (LVEF) is still normal. This study aimed at assessing prevalence and prognostic value of LV systolic dysfunction (LVSD) assessed by echocardiographic speckletracking GLS in a community-based cohort.

Methods and Results—Participants from the community-based prospective Northern Manhattan Study underwent 2-dimensional transthoracic echocardiography as part of the Cardiovascular Abnormalities and Brain Lesions study. LV systolic function was assessed by LVEF and speckle-tracking GLS. Subjects were followed annually (mean=4.8±1.5 years) and incident vascular events (ischemic stroke, myocardial infarction, and vascular death) were reviewed and adjudicated. Of the 708 study participants, 114 (16.1%) had abnormal GLS but normal LVEF (GLS-LVSD), 30 (4.2%) had abnormal LVEF (LVEF-LVSD), and 564 (79.7%) had normal GLS and LVEF (no-LVSD). In multivariate analysis, risk of events was significantly greater in GLS-LVSD [adjusted hazard ratio (HR)=2.39, 95% confidence intervals (CI)=1.20– 4.77] and in LVEF-LVSD (adjusted HR=3.51, 95% CI=1.25–9.88) compared to no-LVSD. Among participants with normal LVEF, lower GLS was significantly associated with events (adjusted HR/unit decrease=1.15, 95% CI=1.03–1.28) whereas LVEF was not (adjusted HR/unit decrease=1.01, 95% CI=0.94–1.07). GLS prognostic value was incremental to risk factors and

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LVEF both in the overall population (chi-square change=7.406, $p=0.006$) and in participants with normal LVEF (chi-square change=6.357, p=0.012).

Conclusion—In a community-based cohort, GLS-LVSD was four times more frequent than LVEF-LVSD. GLS-LVSD was a powerful and independent predictor of cardiovascular events. LV function assessment by GLS may improve cardiovascular risk stratification in subjects with normal LVEF.

Keywords

Left ventricular systolic dysfunction; global longitudinal strain; ejection fraction; outcome

INTRODUCTION

Left ventricular (LV) ejection fraction (LVEF) by echocardiography is the cornerstone of LV systolic function assessment in clinical practice. Large epidemiology studies have shown that the prevalence of LV systolic dysfunction (LVSD), defined as a reduced LVEF, progressively increases with age, and ranges from 1.7% to 14% depending on the characteristics of the studied population and on the cutoff considered for abnormal LVEF definition.^{1–10} LVSD is associated with cardiovascular risk factors, and is more frequent among subjects with an established diagnosis of cardiac disease; however, most individuals with LVSD in population studies did not have a previous history of cardiac disease or heart failure symptoms.^{5,8} LVSD, even when not associated with a definite diagnosis of heart failure or history of heart disease, has been demonstrated to be a predictor of unfavorable cardiovascular outcome.1,5,7,11

In recent years, myocardial tissue deformation analysis by echocardiographic speckletracking imaging has provided new insights in the cardiac function assessment. LV global longitudinal strain (GLS), a measure of the LV myocardial systolic deformation over the longitudinal axis, has proved to be able to detect early LV systolic dysfunction in a variety of conditions, even when LVEF is still in the normal range.^{12,13} Studies in selected samples of patients with cardiac diseases have shown that GLS is a predictor of cardiovascular outcome, and that its prognostic value is independent of and additive to $LVEF^{14,15}$ However, it is not known whether GLS is associated with cardiovascular outcomes in a population setting in the context of normal LVEF. Accordingly, the aims of the present study were: 1) to evaluate the prevalence of LVSD by GLS in a community-based cohort of middle-aged to elderly individuals, and 2) to assess the association of LVSD assessed by GLS with the future occurrence of cardiovascular events.

METHODS

Study population

The Cardiovascular Abnormalities and Brain Lesion (CABL) study is a community-based epidemiologic study designed to investigate the cardiovascular predictors of silent cerebrovascular disease in the community. CABL based its recruitment on the Northern Manhattan Study (NOMAS), a population-based prospective study designed to investigate the epidemiology and risk factors for stroke and cardiovascular disease that enrolled 3,298

participants from the community living in northern Manhattan between 1993 and 2001. The study design and recruitment details of NOMAS have been described previously.¹⁶ Beginning in 2003, participants were invited to participate in a brain MRI substudy if they: 1) were at least 50 years of age; 2) had no contraindications to MRI; and 3) did not have a prior diagnosis of stroke. From September 2005 to July 2010, NOMAS MRI participants that voluntarily agreed to undergo an extensive cardiovascular evaluation were included in CABL. Of the 1,004 CABL participants, 151 had either no digitally acquired echocardiographic study or no raw data for speckle-tracking assessment. Of the remaining 854 participants, 125 were excluded because of suboptimal image quality for speckletracking analysis. Twenty participants were excluded because an event occurred before enrollment in the study, leading to the final study sample of 708 (Figure 1). Among the excluded participants with no events at enrollment, 8 subjects had LVEF<50% and there were a total of 22 events.. Written informed consent was obtained from all study participants. The study complies with the Declaration of Helsinki, and was approved by the Institutional Review Boards of Columbia University Medical Center and of the University of Miami.

Baseline assessment

Cardiovascular risk factors were ascertained through direct examination and interview by trained research assistants as previously described.16 Hypertension was defined as systolic blood pressure (SBP) 140 mmHg or diastolic blood pressure (DBP) 90 mmHg, or selfreported history of hypertension or use of anti-hypertensive medication. Diabetes mellitus was defined as fasting blood glucose 126 mg/dL or self-reported history of diabetes or use of diabetes medications. Hypercholesterolemia was defined as total serum cholesterol >240 mg/dL, self-report of hypercholesterolemia or use of lipid-lowering treatment. Cigarette smoking, either at the time of the interview or in the past, was recorded. Coronary artery disease was defined as history of myocardial infarction, coronary artery bypass grafting, or percutaneous coronary intervention. Atrial fibrillation was defined from ECG at the time of echocardiography or from self-reported history. The race-ethnicity classification was based on self-identification, and modeled after the U.S. Census.

Echocardiographic assessment and definition of LVSD

Two-dimensional echocardiography—Transthoracic echocardiography was performed using a commercially available system (iE 33, Philips, Andover, MA) by a trained, registered cardiac sonographer according to a standardized protocol. Interventricular septum and posterior wall thickness, LV end-diastolic diameter, and left atrial anteroposterior diameter were measured from a parasternal long-axis view according to the recommendations of the American Society of Echocardiography.17 LV end-diastolic diameter (LVEDi) was indexed by body surface area. LVEF was calculated using the biplane modified Simpson's rule. LVSD by LVEF (LVEF-LVSD) was defined as a LVEF<50%.¹¹ LV mass was calculated with a validated method¹⁸ and indexed by body surface area (LV mass index). LV relative wall thickness was calculated as: $2 \times$ posterior wall thickness/LV end-diastolic diameter. Left atrial volume was assessed by threedimensional echocardiography and indexed by body surface area.19 Significant valvular disease was defined as regurgitation or stenosis of mitral or aortic valve of more than mild

degree. LV diastolic function assessment has been previously described.^{19,20} Briefly, in apical 4-chamber view, pulsed-wave Doppler sample volume was placed at the level of mitral valve leaflet tips to sample trans-mitral inflow. Peak early velocity (E), its deceleration time (DT), and late velocity (A) of mitral inflow were measured, and the E/A ratio was calculated. Mitral annular velocities were evaluated by pulsed-wave tissue-Doppler imaging from the apical 4-chamber view. Peak early diastolic velocity (e′) of the lateral and septal mitral annulus were measured and averaged. Diastolic dysfunction was defined as: E/A $\,$ 0.7 or DT>260 ms; or E/A between 0.7–1.5 and e $\,$ < 7 cm/s; or E/A > 1.5 and $e' < 7$ cm/s or DT<140 ms.

Speckle-tracking strain imaging—Speckle-tracking analysis was performed off-line using commercially available software (Philips QLAB Advanced Quantification Software version 8.1) as previously described.²¹ Briefly, analysis of LV myocardial deformation over the longitudinal axis was performed from two-dimensional gray-scale loops by automatic tracking of myocardial speckles after manual selection of landmark points. Global longitudinal systolic strain (GLS) was calculated averaging the negative peak of longitudinal strain from 12 ventricular segments from the apical 4-chamber and 2-chamber views. At least two cardiac cycles were recorded at a frame rate $\frac{45 \text{ fps}}{100 \text{ gps}}$, and were averaged for strain analysis. Aortic valve opening and closing times were measured from the LV outflow Doppler profile and were incorporated in the speckle-tracking strain profile in order to exclude post-systolic components. Because GLS is represented by negative values, with more negative numbers expressing greater systolic shortening and therefore better function, we adopted the terminology "lower GLS" referring to less negative values, therefore expressing smaller systolic shortening. Normal GLS values were derived from a healthy subgroup of participants free of hypertension, diabetes mellitus, coronary artery disease, arrhythmias, and with body mass index 25 kg/m^2 ; mean GLS in the healthy reference sample was -18.1±2.4%, and the value identifying the lower 5% of the normal GLS distribution was used to define abnormal GLS ($95th$ percentile= -14.7%). Reproducibility of speckle-tracking measurements has been reported previously.²²

Follow-up and outcome evaluation

All subjects were followed-up annually by telephone interviews. Any vascular event or acknowledgment of neurological or cardiac symptoms during the standardized interview triggered an in-person assessment. In addition, active hospital surveillance of admission and discharge ICD-9 codes was performed. Outcomes were ischemic stroke, myocardial infarction, and vascular death. Stroke was defined by the first symptomatic occurrence of any type of stroke as defined by TOAST criteria.23 Diagnosis of ischemic stroke was determined by two neurologists independently, and disagreements were adjudicated by the NOMAS principal investigators (RLS/MSVE). Myocardial infarction was defined by criteria adapted from the Cardiac Arrhythmia Suppression Trial24 and the Lipid Research Clinics Coronary Primary Prevention trial²⁵ and adjudicated by a study team cardiologist. Death was classified as either vascular or nonvascular based on information from family, medical records, death certificate, and primary care physicians. Vascular causes of death were stroke, myocardial infarction, heart failure, pulmonary embolus, cardiac arrhythmia, and other vascular causes.

Statistical analysis

Data are presented as means \pm standard deviation for continuous variables and as percentages for categorical variables. The t-test, one-way ANOVA, and Chi-square tests were used to assess differences between groups with different LV systolic function. Cox proportional hazards models were used to test the association of parameters of LV function with incident cardiovascular events, and hazard ratios (HR) and 95% confidence intervals (CI) were reported. Multivariate models were built selecting covariates from their univariate association with LVSD. The likelihood ratio test was used with a series of nested Cox proportional hazards models to examine the incremental value of LVEF and GLS in the prediction of events. Kaplan-Meier plots were created to analyze event-free probability in LVSD groups vs. no LVSD. The event rates were estimated with the Kaplan-Meier method. For all statistical analyses, a two-tailed p<0.05 was considered significant. Statistical analyses were performed using SAS software version 9.3 (SAS Institute Inc., Cary, NC).

RESULTS

Prevalence of LVSD and baseline characteristics of the study population

Mean age of the study population (n=708) was 71 ± 9 years, 61% were women, 66.8% were Hispanics, 17.1% blacks, 14.1% whites, and 2% of other race-ethnicities. Thirty participants (4.2%) had an abnormal LVEF (LVEF-LVSD). Among participants with normal LVEF, 114 (16.8%) had abnormal GLS (GLS-LVSD), and 564 had normal GLS (no LVSD). The prevalence of LVSD progressively increased with age, with GLS-LVSD showing higher prevalence than LVEF-LVSD in all age groups (Figure 2). Demographics and clinical characteristics of the study participants are shown in Table 1. Subjects in LVSD groups were significantly older than those without LVSD and had higher rates of hypertension. Participants with GLS-LVSD showed higher values of SBP and DBP, and higher prevalence of diabetes mellitus compared to those with no LVSD. Participants with LVEF-LVSD were more frequently males compared to the other groups.

Echocardiographic characteristics of the three groups are shown in table 2. LV wall thickness was greater in both LVSD groups than in no LVSD, LVEDi was greater in the LVEF-LVSD group than in the other groups, LV mass index was greater in GLS-LVSD than in no LVSD and was greatest in LVEF-LVSD. Relative wall thickness was greater in GLS-LVSD and smaller in LVEF-LVSD compared to no LVSD. Left atrial volume index was greater in LVEF-LVSD than in the other groups. E/A was lower, E/e' was higher, and diastolic dysfunction was more prevalent in both LVSD groups compared with no LVSD.

LVSD and cardiovascular events

During an average follow-up period of 4.8 years (minimum 0.06, maximum 7.38 years), 58 total cardiovascular events were recorded, including 10 myocardial infarctions, 16 ischemic strokes, and 32 vascular deaths. Kaplan-Meier estimates of event rates were: 32.9% [standard error $(SE)=15.3\%$] in the GLS-LVSD group, 29.1% $(SE=11.3\%)$ in the LVEF-LVSD group, and 7.45% (SE=2.16%) in the no LVSD group. Event-free survival curves in participants with any LVSD vs. no LVSD are shown in figure 3A. Participants with any LVSD showed a significantly higher risk of cardiovascular events during the follow-up

period, with a HR=3.52 (95% CI=1.99–6.23, p<0.001). In multivariate analysis, adjusted HR for cardiovascular events associated with any LVSD was 2.59 (95% CI=1.36–4.93, p=0.004). Event-free survival probability in participants with GLS-LVSD and LVEF-LVSD vs. no LVSD are shown in figure 3B. The risk of cardiovascular events was significantly higher in subjects with GLS-LVSD (HR=3.22, 95% CI=1.74–5.98) and in those with LVEF-LVSD (HR=4.84, 95% CI=1.97–11.88) compared to those with no LVSD (table 3, model 1). In multivariate analysis, both GLS-LVSD (adjusted HR=2.39, 95% CI=1.20–4.77) and LVEF-LVSD (adjusted HR=3.51, 95% CI=1.25–9.88) remained significantly associated with events compared to those with no LVSD (table 3, models 2 and 3).

The association of LVEF and GLS with cardiovascular events was also assessed in the 678 study participants with normal LVEF (table 4). In this group, LVEF was not predictive of cardiovascular events (HR/unit decrease=1.03, 95% CI=0.96–1.09), whereas GLS showed significant associations with outcome in both univariate (HR/unit decrease=1.24, 95% CI=1.12–1.37) and multivariate analysis (adjusted HR/unit decrease=1.15, 95% CI 1.03– 1.28). Further adjustment for LVEF did not affect the predictive value of GLS.

The likelihood ratio test (table 5) showed that LVEF did not increase the predictive value of a model that already included risk factors, whereas the prognostic value of GLS towards cardiovascular events was incremental to risk factors and LVEF both in the overall population (chi-square change=7.406, p=0.006) and in the sub-group with normal LVEF (chi-square change= 6.357 , p= 0.012).

DISCUSSION

In this study, we investigated the prevalence of LVSD combining conventional echocardiographic assessment and speckle-tracking imaging in a community-based cohort of middle-aged and elderly individuals. In our study population, the prevalence abnormal LVEF was 4.2%; however, an impaired GLS was present in 16.8% of subjects with normal LVEF. Thus, the application of speckle-tracking imaging to a large cohort study allowed the identification of a significant number of individuals with subclinical LV dysfunction that was otherwise undetected by traditional LVEF assessment. Additionally, we assessed the prognostic value of GLS towards cardiovascular events. In our cohort, GLS was a powerful predictor of a combined endpoint of myocardial infarction, ischemic stroke, and vascular death. The prognostic value of GLS-LVSD was strong and independent of confounders, showing hazard ratios for events only slightly smaller than that of LVEF-LVSD, a wellestablished and powerful outcome predictor. GLS showed an independent significant association with incident cardiovascular events even among subjects with normal LVEF, and its prognostic value was incremental to risk factors and LVEF.

The prevalence of LVSD reported in previous studies varied widely (1.5% to 14.0%), depending on the mean age of the population, the subjects' risk profiles, and the LVEF threshold used for LVSD definition.^{1–10,26,27} The prevalence of LVEF-LVSD observed in our study is comparable to that reported by studies using a similar LVEF cut-off in middleaged to elderly subjects.^{1,5,6,10,27} However, while the prognostic value of LVEF-LVSD has been documented, the prognostic value of GLS has been so far documented only in patients

with myocardial infarction, $28-30$ heart failure, $15,31$ ischemic cardiomyopathy, 32 and in selected series referred to cardiologists for known or suspected heart disease.^{14,33} The observation that a significant proportion of LVSD in the population goes undetected by traditional echocardiographic assessment, along with the demonstration of the powerful predictive value of GLS-LVSD for cardiovascular events, raises the issue of what should be done in individuals with preserved LVEF but impaired GLS. In patients with low LVEF (considered at stage B of heart failure), the guidelines for the management of heart failure recommend treatment with ACE-inhibitors to prevent or delay the onset of symptomatic heart failure, even in the absence of a history of MI.³⁴ Whether medical treatment might have an impact on the outcome of patients with isolated abnormal GLS is not known, and specifically designed studies are needed to address this question.

The mechanisms for the unfavorable prognostic value of abnormal GLS are not completely understood. GLS is a measure of LV myocardial shortening in the longitudinal direction, and is known to be associated with several cardiovascular risk factors, such as hypertension, diabetes, and LV hypertrophy, $12,13$ which may in part mediate its association with cardiovascular events. Recently, we demonstrated that lower GLS is associated with silent brain disease in subjects without overt cardiovascular disease,³⁵ suggesting that GLS might be an indicator of the early changes associated with subclinical atherosclerosis and small vessel alterations (medial hyperplasia, perivascular and interstitial fibrosis) that have been described in hypertensive patients in various vascular territories.³⁶ Furthermore, because longitudinally oriented myofibers are mostly located in the subendocardium, GLS is considered to be particularly sensitive to subendocardial ischemia, hemodynamic overload, or early myocardial damage at a stage when LVEF is not yet impaired.37,38

Although differences in normal GLS values have been reported in previous studies, mean values ranged between -16% and -19% in most studies.³⁹ In a healthy population significantly younger than our healthy reference sample, mean GLS was −18.6±5.1%.⁴⁰ In our healthy reference group, mean GLS was -18.1%, therefore in line with past reports. Our abnormal GLS cut-off is also similar to those adopted and reported by other studies in cohorts of similar age. In the Framingham study, the 97th percentile of GLS in a normal healthy population was −14.4% in subjects >75 years, and −15.3% and −14.7% in men and women 65 to 74 years old, values comparable to our −14.7%.⁴¹ Recent improvements in echocardiographic software, and its inclusion in most commercially available echocardiographic systems, have made speckle-tracking analysis widely available. However, the lack of standardization across commercially available speckle-tracking software makes the evaluation of myocardial strain vendor-dependent. As GLS is emerging as a promising tool that might improve risk stratification in different clinical conditions, standardization across vendors will become a crucial factor for its future utilization on a large scale.⁴²

Strengths and limitations

Strengths of our study are: the large number of subjects from a tri-ethnic community-based cohort, the prospective design of the study, the use of advanced imaging techniques, and the wide range of cardiovascular risk profiles present in our study population. However, our study also has limitations. The study sample included subjects over 50 years old, with a

large representation of Hispanic ethnicity, which might preclude the generalization of our findings to populations with different demographic composition. Also for this reason, and for the relatively low prevalence of LVSD, we could not perform subgroup analyses. Finally, although we accounted for several confounders and performed multivariate analyses adjusting for established cardiovascular risk factors, we cannot rule out the possibility of unmeasured confounders playing a role in the observed associations.

Conclusion

In middle-aged to elderly subjects with normal LVEF from the community, the use of speckle-tracking GLS allowed the detection of subclinical LVSD in 16.8% of the study participants. GLS-LVSD was independently associated with an over 2-fold increase risk of cardiovascular events during follow-up. GLS prognostic value was incremental to risk factors and LVEF. Our findings suggest that LV function assessment by GLS may refine risk stratification in subjects with normal LVEF by identifying those at higher risk of future cardiovascular events.

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Figure 1.

Flow diagram showing selection of the present study population from the original CABL cohort.

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Figure 2. Prevalence of LVSD by age.

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Figure 3B. Kaplan-Meier plot comparing event-free probability in participants with LVEF-LVSD, GLS-LVSD, and no LVSD.

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Table 1

Demographics and clinical characteristics of the study population by LV systolic function categories

LVSD: LV systolic dysfunction. LVEF: LV ejection fraction. GLS: Global longitudinal strain. SBP: Systolic blood pressure. DBP: Diastolic blood pressure.

Pairwise comparisons:

*** p<0.05 vs. no LVSD.

† p<0.05 vs. GLS-LVSD.

Table 2

Echocardiographic data by LV systolic function categories

LV: Left ventricular. LVSD: LV systolic dysfunction. LVEF: LV ejection fraction. GLS: Global longitudinal strain. LVEDi: LV end-diastolic dimension index.

Pairwise comparisons:

*** p<0.05 vs. no LVSD.

† p<0.05 vs. GLS-LVSD.

Table 3

Risk of cardiovascular events associated with LVSD detected by GLS and by LVEF. Risk of cardiovascular events associated with LVSD detected by GLS and by LVEF.

HR: Hazard ratio. CI: Confidence intervals. GLS: Global longitudinal strain. LVEF: Left ventricular ejection fraction. LVSD: LV systolic dysfunction. Model 1: Unadjusted. Model 2: adjusted for age and sex. Model 3: adjusted for age, sex, SBP, DBP, hypertension, anti-hypertensive medications, diabetes, LV mass index, relative wall thickness, left atrial volume index, diastolic dysfunction, and atrial HR: Hazard ratio. CI: Confidence intervals. GLS: Global longitudinal strain. LVEF: Left ventricular ejection fraction. LVSD: LV systolic dysfunction. Model 1: Unadjusted. Model 2: adjusted for age and
sex. Model 3: adjuste Author Manuscript

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Table 4

LV systolic function parameters and risk of combined vascular events (myocardial infarction, ischemic stroke, vascular death) in participants with normal LV systolic function parameters and risk of combined vascular events (myocardial infarction, ischemic stroke, vascular death) in participants with normal LVEF ($n=678$). LVEF (n=678).

HR: Hazard ratio (per unit decrease). CI: Confidence intervals. GLS: Global longitudinal strain. LVEF: Left ventricular ejection fraction. Model 1: Unadjusted. Model 2: Adjusted for age, sex, SBP, DBP,
hypertension, anti-h HR: Hazard ratio (per unit decrease). CI: Confidence intervals. GLS: Global longitudinal strain. LVEF: Left ventricular ejection fraction. Model 1: Unadjusted. Model 2: Adjusted for age, sex, SBP, DBP, hypertension, anti-hypertensive medications, diabetes, LV mass index, relative wall thickness, left atrial volume index, diastolic dysfunction, and atrial fibrillation.

Table 5

Likelihood ratio test showing the incremental prognostic value of GLS for cardiovascular events over cardiovascular risk factors and LVEF.

*** Risk factors: age, sex, SBP, DBP, hypertension, anti-hypertensive medications, diabetes, LV mass index, relative wall thickness, left atrial volume index, diastolic dysfunction, and atrial fibrillation.